# Human identification at a distance: The impact of image quality and image restoration techniques on human face matching performance

Joseph Andrew Calleja

This thesis is submitted in partial fulfilment of the Honours degree of Bachelor of Psychology

School of Psychology
The University of Adelaide
October 2016

Word Count: 11,979

## **Table of Contents**

| List of Tables  | V    |
|---|------|
| Abstract  | vi   |
| Declaration   | vii  |
| Acknowledgements  | viii |
| CHAPTER 1 – Introduction.   | 1    |
| 1.1 Rationale   | 1    |
| 1.2 Surveillance and Low Image Quality  | 1    |
| 1.3 Face Matching with Low Quality Target Images                              | 3    |
| 1.4 Image Restoration Techniques and Face Matching with Restored Images       | 4    |
| 1.5 Face Matching with High Quality Target Images                             | 5    |
| 1.6 Expert Facial Reviewers, Individual Differences, and Operational Settings | 7    |
| 1.7 Facial Recognition Algorithm Performance                                  | 8    |
| 1.8 Image Restoration and Facial Recognition Algorithm Performance            | 10   |
| 1.9 The Present Study   | 11   |
| CHAPTER 2 – Method  | 12   |
| 2.1 Participants  | 12   |
| 2.2 Design  | 12   |
| 2.3 Materials   | 13   |
| 2.3.1 Image source  | 13   |
| 2.3.1.1 Passport-quality images   | 14   |
| 2.3.1.2 Unrestored images   | 14   |
| 2.3.1.3 Restored images   | 14   |
| 2.4 Procedure   | 15   |
| 2.4.1 Image selection   | 15   |
| 2.4.1.1 Exemplar image selection  | 15   |
| 2.4.1.2 Mated pair formation  | 15   |
| 2.4.1.3 Non-mated pair formation  | 15   |
| 2.4.1.4 Addressing duplicates   | 16   |
| 2.4.1.5 Final image preparation   | 17   |
| 2.4.2 Human trial   | 17   |

| CHAPTER 3 – Results  | 19 |
|--|----|
| 3.1 Data Screening, Assumptions, and Test Selection                | 19 |
| 3.2 Overall Performance  | 19 |
| 3.2.1 Accuracy   | 20 |
| 3.2.2 Confidence   | 20 |
| 3.2.3 Response latency   | 21 |
| 3.3 Performance on Mated and Non-Mated Pairs                       | 21 |
| 3.3.1 Hit and correct rejection                                    | 21 |
| 3.3.1.1 Hit  | 22 |
| 3.3.1.2 Correct rejection  | 22 |
| 3.3.2 Confidence and response latency                              | 23 |
| 3.3.2.1 Confidence   | 25 |
| 3.3.2.2 Response latency   | 25 |
| CHAPTER 4 – Discussion.  | 27 |
| 4.1 Face Matching with Passport-Quality Target Images              | 27 |
| 4.1.1 Contradictions with previous literature and the implications | 28 |
| 4.2 Face Matching with Restored and Unrestored Target Images       | 28 |
| 4.2.1 Contradictions with previous literature and the implications | 29 |
| 4.2.2 Reasoning the inferiority of image restoration               | 29 |
| 4.2.2.1 The restricted number of restored images                   | 29 |
| 4.2.2.2 Distorting spatial frequencies                             | 30 |
| 4.3 Influential Factors  | 31 |
| 4.3.1 The restricted number of impostor identities                 | 31 |
| 4.3.2 Using a facial recognition algorithm for impostor selection  | 32 |
| 4.3.3 The own-race bias  | 33 |
| 4.4 Strengths  | 34 |
| 4.5 Limitations  | 34 |
| 4.6 Conclusion   | 35 |
| References   | 37 |
| Appendices   | 46 |
| Appendix A. Examples of Mated Pairs in Each Group                  | 46 |
| Appendix B. Examples of Non-Mated Pairs in Each Group              | 47 |
| Appendix C. Participant Information Sheet                          | 48 |

| Appendix D. Consent Form                              | 50 |
|---|----|
| Appendix E. Instruction Screen Before Practice Trials | 51 |
| Appendix F. Practice Trials                           | 52 |
| Appendix G. The Screen Before Experimental Trials     | 53 |
| Appendix H. Testing Normality with Shapiro-Wilk Tests | 54 |

# **List of Tables**

| Table 1. Descriptive Statistics for Accuracy, Confidence, and Response Latency by Group. | 20  |
|--|-----|
| Table 2. Descriptive Statistics for Signal Detection Measures by Group                   | 22  |
| Table 3. Descriptive Statistics for Accuracy, Confidence, and Response Latency on Mated  |     |
| and Non-Mated Pairs by Group   | .24 |

#### Abstract

The suitability of surveillance for facial identification has been questioned given the low quality of such imagery often captured at a distance. The Defence Science and Technology (DST) Group have developed the Zephyrus normalised cross-correlation (NCC) restoration technique to enhance long-range images, and demonstrated an improvement in facial recognition (FR) algorithm performance. However, whether this technique could improve human face matching performance was not known. This study aimed to understand the impact of image quality and the Zephyrus NCC image restoration technique on human face matching performance during the conduct of a simultaneous one-to-one face matching task. Participants (N = 50) from the University of Adelaide and the general public examined 120 facial image pairs in a repeated measures design, and were asked if they were of the same or different people. The quality of one image (the target image) varied in each pair, and was either a passport-quality, restored surveillance, or an unrestored surveillance image. The other image (the exemplar image) was always of passport-quality. Face matching decisions with passport-quality target images were the fastest, most accurate, and most confident, overall. However, decisions with restored surveillance target images were the slowest, least accurate, and least confident, overall. This may have been due to the restricted number of restored images accessible, and/or the distortion of spatial frequencies necessary to support facial identification. Future research could implement an objective image quality measure, assess the performance of many commercial FR algorithms alongside human performance, and explore various restoration techniques for long-range imagery.

## **Declaration**

This thesis contains no material which has been accepted for the award of any other degree or diploma in any University, and to the best of my knowledge, contains no materials previously published except where due reference is made. I give consent to this copy of my thesis, when deposited in the University Library, being available for loan and photocopying.

Joseph Andrew Calleja October, 2016

## Acknowledgements

Firstly, I would like to thank my internal supervisor Dr Carolyn Semmler at the University of Adelaide for her guidance and assistance throughout the year. I appreciate your reassurance, the short-notice meetings you were able to accommodate, and always keeping me in the right direction. I would also like to extend a very big thank you to my external supervisor Dr Rebecca Heyer at DST Group. I cannot thank you enough for your endless help, the constructive responses to my countless emails, and the time and effort you invested in this project. I would also like to thank Dr Veneta MacLeod at DST Group for her assistance and feedback throughout this year.

I would also like to extend a big thank you to my partner Bek. I cannot describe how much I appreciate your unconditional support, positivity, and reassurance throughout even the most stressful of times. I would not have been able to get through this year without you. I would also like to thank my parents Emanuel and Catherine, and my brother David for their patience and support while I have been invested in this project. Finally, I would like to thank my friends, both in-and-outside of university, with a special mention to the boys from my graduating class at Blackfriars Priory School for making this year easier to manage.