



A NATURALISTIC GROUNDWORK

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Master of Arts.

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S U M M A R Y

- CHAPTER I: The aim of this thesis is to present a groundwork for a naturalistic theory of ethics. An indication is given for what would count as a naturalistic theory. A naturalistic theory is distinguished from a descriptivist theory : the former but not the latter must be an objectivist theory of morality. Two major forms of naturalism are distinguished: a 'definist' theory and an 'account' theory. The former is concerned with the meaning of words and the latter is concerned with property identity.
- CHAPTER II: Restricting our attention to definist theories we distinguish three formulations of naturalism. Of particular interest is a formulation presented by Warnock which supposedly is consistent with the 'independence'-thesis. We distinguish between an I/M theory and an N/M theory and argue that a naturalist must at least present an I/M theory. It is then argued that either Warnock's theory is consistent with the 'independence'-thesis and does not satisfy the criterion for an adequate naturalistic theory, or it is not consistent with the 'independence'-thesis and is indistinguishable from traditional definist theories.

CHAPTER III: Naturalism is supposedly misconcieved because it attempts to bridge the fact/value gap. It is arguable that any such gap exists. As is pointed out, the arguments which attempt to show that there is a fact/value gap seem to presuppose that gap or at least require some prior means of distinguishing between fact and value. I attempt to show that the implicit 'prior means' in modern meta-ethical theory involves an appeal to a false empiricist epistemology and theory of meaning.

CHAPTER IV: A counter-example is presented to Hume's Law (no 'ought' from an 'is'). An explication and defence of Searle's attempt to derive an 'ought' from an 'is' is given. However, it is argued that even if Searle's derivation goes through this does not show that morality is objective. That is, Searle's derivation may show that descriptivism is true but it has not shown that naturalism is true.

CHAPTER V: One of the principal arguments, the Argument from Motivation, that is addressed against naturalism is considered. Two major formulations of this argument are distinguished. Of the psychological argument it is argued that a) its premises are dubious, b) in its most plausible version it is invalid, and c) that even if it were valid it would

not show that a definist theory is false. Of the meta-linguistic argument it is argued that a) its premises are dubious, and b) that even if the argument were sound this would not show that an account theory is false.

CHAPTER VI:

The second of the principal arguments, the Open Question Argument, that is addressed against naturalism is considered. It is argued that this argument seen as an argument about the meaning of words either begs the question or at best pushes the dispute back a step without doing anything to settle the issue. But even if the argument showed that definist theories are false this would not show that account theories are false because there are contingent property identity statements. Whether an account theory is a plausible theory is then considered. It is argued that account theories are plausible but their establishment depends on the making of vast generalizations about human beings and their predicament.

S T A T E M E N T

This thesis contains no material which has been accepted for the award of any other degree or diploma in any university and that, to the best of my knowledge and belief, the thesis contains no material previously published or written by another person, except when due reference is made in the text of the thesis.

LANNING/SOWDEN.

A C K N O W L E D G E M E N T S

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INTRODUCTION

The primary aim of this thesis is to provide a groundwork for the presentation of a naturalistic theory of ethics. What I mean by a 'naturalistic theory' will, I hope, become clearer in this and later chapters of the thesis. For the nonce we may say this much: a naturalistic theory would maintain that moral statements or judgements may be tested and confirmed in the way that scientific statements or judgements can. Of course, to make this statement more clear and more helpful we must determine, even if only somewhat roughly, what we mean by 'tested and confirmed' and more particularly how we envisage scientific statements being confirmed and tested. Roughly we could say that scientific statements are confirmed, that is they are said to be true, when certain objectively determinable facts are said to hold in the world. Thus a naturalist will maintain that moral statements are true or false, or at least certain statements which entail moral statements are true or false, depending on whether certain objectively determinable facts hold in the world. But it may seem that moral values, or values in general, are not part of the structure of the world: that is, when I say something is good, I am not predicating of that thing that it has a certain objectively determinable property like when I say something is yellow. Rather my statement that something is good is essentially an expression of some psychological state of mine. For example, when I say that



something is good I am essentially saying that I approve of that thing or that I would choose it. A common reply for the naturalist is that it is mistaken to suppose that moral statements are essentially expressions of the speaker's approval etc. Although, as we shall see (Chapters IV and V), in order to advance a naturalistic theory we need not deny that moral statements necessarily have a certain function. In addition we should note that the naturalist in claiming that morality is objective is not committed, as is *the* axiologist or the intuitionist or the Platonist, to positing values as part of the (possibly transcendent) world. He is not committed to positing as part of his ontology non-natural or super-natural properties in addition to natural properties<sup>1</sup>. This indeed must count as part of the motivating force for advancing a naturalistic theory as opposed to a straight out objectivist theory. Why is the naturalist not committed to positing any properties other than natural properties in his ontology? To facilitate an answer to this question we will mention the two major forms of naturalism and here we will only do so briefly as further explication will take place in later chapters (cf., especially Chapter IV). The naturalist claims that moral statements are

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1. Although it is true that he may be committed to positing more into his ontology than is, for example, the physicalist. Commonly cited examples of naturalistic properties are the prevention of pain and the greatest happiness for the greatest number. Now it is part of the physicalist program to reduce talk of pain (or even to eliminate it) to talk of certain brain states or whatever. And it may be necessary for the success of the naturalist program that his putative naturalistic (i.e. objectively determinable) properties be reduced to physicalist properties; for only physicalist properties are, it might be claimed, genuinely part of the fabric of the world.

objective because the word 'good' (or some other evaluative term) is definable as 'F' (some naturalistic term) or that the property good is identical to the property F. What is a naturalistic term and what is a naturalistic property? For the purposes of this essay we will say that a naturalistic term is a term which refers to some property which is objectively determinable, i.e., some property which is a 'part of the fabric of the world'. Hence the naturalist is no more committed to non-natural properties or to the existence of value as part of the world than is the materialist committed to the existence of pain as a non-physical property when he defines 'pain' as 'G' or asserts that pain is identical to G (where G is a property from the set of physicalist properties).

When I talk of 'naturalism' I am distinguishing this from 'descriptivism'. Descriptivism I take to be a thesis which is quite simply and purely a linguistic thesis. It is a thesis which maintains that evaluative statements are, or are entailed by, descriptive statements. Although there is no clear and easily drawn distinction to be made in practice between descriptive statements and evaluative ones it is nonetheless generally held to be the case that some distinction can at least be drawn in principle. Descriptivism is best characterised by the thesis with which it is in opposition: descriptivism is opposed to the view that moral statements have a certain meaning determined by their function so that they cannot be derived from descriptive statements which do not have <sup>that</sup> function. Now we should note

that for a descriptivist theory to be advanced it is not necessary that a descriptivist deny that moral statements have a certain function, because, as we shall see in Chapter IV, a descriptivist may admit that moral statements are used to commend (or whatever) but deny that this implies that moral statements cannot be derived from descriptive ones. Although, to be sure, it is dubious that moral statements necessarily have that function (cf., Chapter V). Furthermore, as we will argue in Chapter IV, a descriptivist theory may be correct but nonetheless it may remain an open question as to whether morality is objective. Consequently, as we have defined a naturalistic theory as one that if it were true then morality is objective and yet the truth of descriptivism is not sufficient for the objectivity of morality, then descriptivism must be distinguished from naturalism. A quite general argument for this conclusion can be presented as follows. If we show a statement to be descriptive, ie., that a statement has a certain type of meaning, this does not entail that the statement is objective. For example, let us suppose, with Berkeley, that it is true that there are no physical things that exist independently of minds, that all that exists are sense-experiences. We do, of course, have sentences like 'This is a pen' but such sentences should not lead us to suppose that there are pens, it is just that we have (misleadingly) a mode of describing our sense-experiences which employs the referring term for the supposedly existing object which we take the sense-experience to be an experience of. Even so a statement like 'This is a pen' would be descriptive, it describes an experience of the mind, but clearly it would not be objective, it would not say that the world is thus and so but only that our mind

has had such and such an experience and we could have that experience irrespective of how the world was. That is, statements about material objects are, for a subjective idealist, descriptive, but they are not objective.<sup>2</sup>

However, we should not suppose that much of the argument of recent times in meta-ethics which has centred around descriptivism is totally irrelevant to the presentation of a naturalistic theory. And this is for two reasons. Firstly, many of those who have attempted to defend or attack descriptivism have actually had naturalism in mind. Secondly, the truth or falsity of descriptivism is particularly relevant for a certain form of naturalism, what I will call a 'definist theory of naturalism'. This is a theory which maintains that the word 'good' is definable as 'F'. Now if 'good' is definable naturalistically it must at least be definable descriptively. That is to say, although it may be true that if a statement is descriptive it does not follow that it is naturalistic (ie., that it is objective) it must be the case that if a statement is naturalistic then it is descriptive. Hence if we are to show that 'good' is definable naturalistically then we must at least be able to show that it is descriptive because it is a necessary condition of a statement

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2. cf. Berkeley G., Principles of Human Knowledge, sections 1-7, and Mackie J.L., Ethics, Penguin, 1977, page 23.

being naturalistic that it be descriptive.<sup>3</sup> However, this statement requires some qualification. I do not wish to deny that a statement which describes some fact in the world (ie., a naturalistic statement) is not also capable of performing a certain function, say, of being prescriptive. And a descriptive statement is often characterised as one which does not have the function of an evaluation, and the typical function of an evaluation is to prescribe. Therefore we might conclude that a naturalistic statement is not necessarily descriptive. However, as it seems to me to be obviously the case that a naturalistic statement describes some feature of the world then it must be incorrect to characterise a descriptive statement as one which does not have the function of prescribing. Indeed it is possible to argue for this conclusion quite independently and we will consider an argument to this effect in Chapter V.

Having roughly set our bearings I now wish to consider two points in greater detail. Firstly, we will attempt to examine more closely what will count as a naturalistic theory by examining various statements of naturalism (Chapter II), and secondly, I will delineate what I believe to be the foundation for the alleged fact/value gap which supposedly makes a naturalistic theory impossible (Chapter III).

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3. There are, however, some difficult cases; eg., presumably counterfactuals and causal/statistical correlative statements are in our sense naturalistic, but it is not clear that they are descriptive. What, after all, does the statement 'There is no significant correlation between apple eating and lung cancer' describe? Cf. Monro D.H., Empiricism and Ethics, Cambridge University Press, 1967, pages 18-19, and Franklin R.L., 'Recent Work in Ethical Naturalism', American Philosophical Quarterly Monograph, no.7, page 76.

Before proceeding, however, I should note that the aim of this thesis is only to provide a groundwork for a naturalistic theory of ethics. We will not attempt to give some content to the dummy term 'F' or attempt to state what is the property F. This would be to go outside the scope of this thesis. However, as examples of the filling out of 'F' I would offer, 'pleasure', 'prevention of harm', 'performance of function', and 'the greatest happiness for the greatest number': the reader if he so desires may choose that content which he finds the most plausible.

CHAPTER II

THREE THEORIES OF NATURALISM

We have already distinguished, but only briefly, between two major forms of naturalism: that which maintains that 'good' is definable as 'F' and that which maintains that good is identical to F. The first I have labelled a 'definist theory of naturalism' and the second I will call an 'account theory of naturalism'. At this juncture I will not be concerned to discuss an account theory.

I will only discuss three formulations of naturalism even though there are a number of other formulations. But I don't think that a discussion of these other formulations would prove particularly helpful. Now as I have said naturalism is a theory which attempts to show that moral statements are objectively determinable. The problem here is that while there might be a presumption in favour of the view that morality is objective, given that our ordinary discourse and our ordinary thinking about moral problems seems to presuppose that morality is objective, it seems to be difficult to justify that presumption. After all it is not immediately obvious that 'X is good' is objectively determinable, how would we go about testing and confirming such a statement? In reply a naturalist says:

- (I) 'E' is definable as 'F'.
- (II) 'E' is entailed by 'F'.

(III) 'F' is (the expression of) the  
necessarily relevant criteria for 'E'.

(Where 'E' is some evaluative (moral) statement or term and 'F'  
is some naturalistic statement or term.)

Given the way that 'entails' is commonly used in ethical theory it would be reasonable to say that (II) collapses into (I). For example, Hare remarks, "A sentence P entails a sentence Q if and only if the fact that a person assents to P but dissents from Q is sufficient criterion for saying that he has misunderstood one or other of the sentences."<sup>1</sup> It would not, I think, be too barbarous a rendition of Hare's view to maintain that given the sense of 'entails' employed in ethical theory then (II) would be true if and only if (I) were true.<sup>2</sup> Whether or not this sense of 'entails' is plausible is something I will not attempt to determine. But what I will attempt to determine is whether (III) -- which I take to be a reasonable rendition of Warnock's thesis as found in his Contemporary Moral Philosophy<sup>3</sup> -- likewise collapses into (I), or is it a significantly different and new version of naturalism.

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1. Hare R.M., The Language of Morals, Oxford University Press, 1964, page 25.

2. This may not be strictly correct. No doubt it would be admitted that if 'E' is definable as 'F' then 'E' is entailed by 'F'. But it may be denied that if 'E' is entailed by 'F' then 'E' is definable as 'F' because, for 'E' to be entailed by 'F', it is only necessary that 'E' be a part of the meaning of 'F'. But I think few of the protagonists in meta-ethical theory would deny that if 'E', in its full evaluative sense, is entailed by 'F', then 'E', in its full evaluative sense, is definable as 'F'.

3. MacMillan, 1970, page 52 ff and especially page 68.



At the outset I think it is important to distinguish two theories which are to be found in Warnock's explication of (III): I will call one the N/M theory (for the 'non-moral/moral' theory) and the other the I/M theory (for the 'immoral/moral' theory).<sup>4</sup> An N/M theory attempts to delineate the moral from the amoral: what entitles us to claim that a judgement, principle, code, etc., is a moral one as opposed to a non-moral one? An I/M theory attempts to delineate the moral and immoral; what entitles us to claim that something is moral as opposed to immoral? An N/M theory is not concerned with whether we are entitled and on what grounds we are entitled to claim that something is good or something is bad, it is only concerned with whether any such statement can be counted as a moral statement as opposed to a statement of etiquette, law, and so on. On the other hand an I/M thesis is concerned with whether we are entitled and on what grounds we are entitled to claim that something is good, etc. Now I take it that (II), for example, is intended as an I/M thesis. That is, it maintains that there are in principle certain naturalistic statements which entail statements like 'X is morally good' or 'X is morally bad' and the concern here is not simply whether we

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4. An exposition of this distinction is to be found in W.K. Frankena's, 'The Concept of Morality', The Definition of Morality, Wallace G. and Walker A.D.M., (ed.), Methuen, 1970, pages 146-173; cf., especially page 147 for the distinction and pages 158 and 164 for an indication of the relationship between an N/M theory and an I/M theory.

can insert the word 'moral' in these statements, but whether we can insert the words 'good' and 'bad'. Now as it will be my concern to argue that (III) collapses into (I) it is of paramount importance that (III) be interpreted as an I/M thesis.

It would appear that Warnock at least begins his argument as an argument for an N/M thesis. He asks a question which he believes to be a very fundamental one and which has received "far less attention than it deserves", viz., "What distinguishes a moral view from views of other kinds?"<sup>5</sup> He then considers four replies and maintains that the mark of morality is its general topic: "what makes a view a moral view is ... its content, what it is about, the range or type of considerations on which it is founded."<sup>6</sup> Warnock maintains "that one who professes to be making a moral judgement must at least profess that what is in issue is the good or harm, well-being or otherwise, of human beings".<sup>7</sup> Now it might appear that by so specifying the content of morality Warnock's N/M thesis collapses into an I/M thesis for what will count as a moral view (as opposed to a non-moral view) is a view which is not immoral. Warnock attempts to parry this objection. He denies that so specifying the content of morality would preclude us from regarding as moral codes certain codes which are barbarous and benighted by arguing that for a

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5. Op.cit., page 52.

6. Ibid, page 54.

7. Ibid, page 57.

code to be a moral one it must be that it is supposed to take cognizance of human interest.<sup>8</sup> Whether Warnock's reply sufficiently answers the objection will not concern me here. But Warnock's later argument seems to be at best ambiguous between whether it is an argument for an N/M thesis or an I/M thesis -- how do we take the claim that what we regard "as morally wrong is somehow damaging, and what (we) regard as morally right is somehow beneficial"<sup>9</sup>? At times he appears to be quite clearly presenting an I/M thesis; for example, he presents the case of someone "who holds, while conceding the facts, that, for instance, it would not be morally wrong for me to induce in my children addiction to heroin"; any person who argued thus "shows either that he has not really followed the argument, or that he does not know what 'morally wrong' means"<sup>10</sup>? But surely it is not just that the person does not understand the word 'moral' but that he does not understand the word 'wrong'. Surely it is not simply that the facts determine that an action is properly the subject of moral evaluation but that the facts determine that an action be described as '(morally) wrong'. In fact this brings me to the general argument I would address against taking (III) as an N/M thesis.

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8. Ibid, page 58.

9. Ibid, page 57.

10. Ibid, page 70.

(III) cannot simply be an N/M thesis for such a thesis would not satisfy the criterion for an adequate ethical theory -- a criterion subscribed to by Warnock, namely, "that moral arguments might in principle be demonstrative, logically cogent"<sup>11</sup>. (I admit that it may be true that Warnock is presenting both an N/M thesis and an I/M thesis; or that an N/M thesis such as Warnock's collapses into an I/M thesis; or that in order to present an I/M thesis we must first have satisfactorily established an N/M thesis; all this may be true but my point here is that Warnock must at least be presenting an I/M thesis). It is because Hare's prescriptivism does not allow for 'demonstrative, logically cogent' moral argument that Warnock argues thus against Hare:

(Hare's) doctrine does not allow for genuine argument ... my giving 'reasons' for my expressed prescriptions consists, on (Hare's) view, essentially of my referring to and relying on further prescriptions of my own: what are reasons for me, are, for you, not only not necessarily good reasons, but possibly not reasons at all.<sup>12</sup>

But equally an N/M thesis does not establish demonstrative and logically cogent moral argument: an N/M thesis can only tell us whether a view is moral or non-moral, not whether we are entitled to hold it or not, not whether the reasons given for it are reasons. Genuine moral argument would only be possible if we

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11. Ibid, page 69

12. Ibid, page 46.

had a correct I/M thesis. Consider two conflicting moral views: in such a situation at most an N/M thesis can establish that either one or the other or both are moral views as opposed to non-moral views. But both views might be moral views and yet still conflict, ie., one person might claim 'X is good' and the other 'X is bad' and both these views may be moral views. An N/M thesis cannot resolve this conflict -- it cannot show which view is correct and which view is wrong. At most an N/M thesis sets the stage, as it were, in that if the views are to conflict then they must both be moral views. Hence as the criterion for an adequate ethical theory is that it demonstrate the possibility of genuine argument in morality then a naturalistic theory which was simply an N/M theory would not be an adequate ethical theory. Therefore, (III) cannot simply be an I/M theory.

But even if it is granted that Warnock is attempting to present a theory such that questions like 'Is X good?' are the subject of demonstrative debate it is by no means clear that (III) collapses into (I). And this is because in his explication of (III) Warnock affirms his allegiance to the 'independence'-thesis. By the 'independence'-thesis it is meant that no-one is logically obliged to accept any given feature as a criterion

of merit.<sup>13</sup> The 'independence'-thesis is supposedly contained in the claim of the anti-naturalist that the naturalist commits the 'naturalistic fallacy', viz., that "facts of certain kinds about the world ... might in principle entail a particular moral judgement".<sup>14</sup> And this is not just the point that we can distinguish between description and evaluation, which no doubt we can, but that description and evaluation are in some important sense independent. In what sense independent? Independent in the sense that given any description then any evaluation might be accepted or rejected without logical inconsistency:

• Evaluation of any kind ... implies the acceptance of, and must be done in the light of, certain standards, rules, principles, or criteria of judgment.  
... Now no one, it is suggested, is ever logically obliged to accept any given feature as a standard or criterion, or any general proposition as a rule or principle of judgment.<sup>15</sup>

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13. Ibid, page 65.

I am taking this statement to be read as, given that we are making an evaluation then in making that evaluation we are not logically obliged to adopt any given feature as a criterion of merit. That is, it would not be logically inconsistent to adopt certain features rather than other "as standards for favourable or unfavourable judgement" (ibid, page 65). For example, in making the evaluative judgement that 'This is a good apple' I may without logical inconsistency adopt any feature whatsoever as a criterion for the goodness of this apple. I am not taking this statement to be read as, we are not logically obliged to adopt a given feature as a criterion of merit because we are not logically obliged to make evaluative judgements. This would simply be a more general version of what I later call the 'undisputed'-thesis and, as I point out, the 'undisputed'-thesis must be distinguished from the 'independence'-thesis. And from the context I surmise that Warnock is here explicating the 'independence'-thesis: certainly, it is that thesis and not the 'undisputed'-thesis which is the central doctrine of anti-naturalist theory.

14. Ibid, page 61.

15. Ibid, page 65.

Now if we are indeed to take (III) as being consistent with the 'independence'-thesis then as (II) is inconsistent with the 'independence'-thesis (in fact (II) explicitly denies the 'independence'-thesis) it cannot be that (III) is reducible to (I). (III) read as a theory which is consistent with the 'independence'-thesis would be a significantly different and new version of naturalism: it would be a theory whose truth or falsity would depend not at all on whether we could or could not establish the existence of the so-called 'naturalistic fallacy'. (III) does not assert, indeed it explicitly denies that evaluative statements are entailed by certain naturalistic statements or, in other words, that evaluative statements are definable naturalistically.

But one might wonder if (III) is to be seen as consistent with the 'independence'-thesis how it is that (III) would establish that in principle moral argument is demonstrative and logically cogent. Warnock's reply is that while it may be true that there is no logical limit to the features that may count as a criterion of merit this is not to say that just anything might feature as a criterion of merit.<sup>16</sup> That is, if there is no logical limit to the features that may count as a criterion of merit it does not follow that there is not some limit. There are limits, if not logical ones, which arise because to adopt some feature as a criterion of merit is to 'imply' some preference for what has that feature. And while there may be no logical

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16. Ibid, pages 66-67

limit to what people may want there are, nonetheless, limits to "what a person may understandably ... want".<sup>17</sup> Apparently these considerations even more obviously hold in the case of moral evaluation.

It would be of some benefit to have a somewhat closer look at these (non-logical) limits to the features which may count as a criterion of merit. The limits Warnock envisages arise because, a) to adopt some feature as a criterion of merit is to "imply, in some way appropriate to the particular context, some preference for what has that feature"<sup>18</sup>, and b) while there is no logical limit to what a person may want there is a limit to "what a person may understandably ... want". The limit imposed by a) seems to be the result of 'contextual implication'<sup>19</sup> which is certainly not (and most certainly it cannot be for Warnock) entailment. 'Contextual implication' can be explicated thus: it is not logically inconsistent (it would not be self-contradictory) for me to assert that the cat is on the mat and at the same time believe that it is not. Whereas if P entails

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17. Ibid, page 66.

18. Ibid, page 66.

19. This is a difficult notion and I do not attempt anything like a full explication of it here. However, the notion has been employed previously in ethical theory; cf., especially P.H. Nowell-Smith's, Ethics, Pelican, 1969, page 80 ff, and his 'Contextual Implication and Ethical Theory', Proceedings of the Aristotelian Society, Supp. Vol., 1962, pages 1-18.



Q then it would be contradictory to assert P and deny Q. And yet there is something odd about my asserting that the cat is on the mat and at the same time not believing it. This oddness is quite accurately captured by an ordinary sense of 'implies' with which we are all familiar: we do wish to maintain that my saying the cat is on the mat implies that I believe it is.<sup>20</sup> As regards the limit imposed by b) Warnock explicitly maintains that this is not a logical limit, and, indeed it does appear to be true that it would not be logically inconsistent to have or express any want.<sup>21</sup> But it would also appear to be true that we do not understand some wants because we do not understand the people who hold or express such wants.

(III) when explicated as a theory which affirms the truth of the 'independence'-thesis we will term (III)\*. It should be clear that the charge of committing the 'naturalistic fallacy' is misplaced against (III)\* as (III)\* does not maintain that an entailment relation exists between certain facts and certain moral judgements. And as (III)\* denies (II) it should be clear that (III)\* is quite a distinct theory from (I).

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20. cf., Austin, J.L., How to do Things with Words, Oxford University Press, 2nd edition, 1975, pages 47-52.

21. There are some problematic cases which need not concern us here.

However I will argue that there are good reasons for rejecting (III)\* as an interpretation of (III) if (III) is intended as a naturalistic theory of ethics. Firstly, if (III)\* is put forward as a naturalistic theory then it appears to miss the boat -- it does not achieve what a naturalist would wish to achieve in ethical theory. Secondly, (III)\* is consistent with an anti-naturalist theory -- indeed it is a partial statement of a paradigm case of an anti-naturalist theory. And thirdly, there is evidence to suggest that Warnock actually holds another theory which is in conflict with (III)\*.

It will be recalled that Warnock objected to Hare's theory because it did not allow for demonstrative and logically cogent argument. Hare's theory was supposedly a theory which in the final analysis only required that a view be consistently applied. But any view could be consistently applied because there were no reasons for a view which must hold for all parties to the dispute: any reason could be given for a view without logical impropriety. But it would seem that (III)\* also does not provide us with a theory that posits demonstrative and logically cogent argument in morality. If to have genuine argument in morality requires not only that a view be applied consistently but that certain reasons must count as reasons on pain of logical inconsistency, then it is difficult to see how this is possible if there is no logical limit to the reasons that may be given for a moral judgement. Certainly, to adopt some feature as a criterion of merit may be to imply some preference for what has

that feature, but to have no such preference is not logically inconsistent although it may be contextually odd. Certainly, and perhaps more importantly, there may be a limit to what we may want imposed by 'understandability', in the sense of oddness, bizarrerie. But it is surely one thing to convict something of not being understandable because we find certain people odd and bizarre, and another to convict it of logical impropriety: people cannot want that which is logically unattainable (although they may want that which is logically unattainable when they are not aware that it is) but quite a few people have odd and bizarre wants -- some, but not all, are inmates of psychiatric institutions. It is true that (III)\* does maintain that there is more to moral argument than merely ensuring that ones views are consistently applied, but as we shall see it is a moot point whether (III)\* is an improvement on Hare's anti-naturalist theory in this regard.

I remarked that (III)\* was consistent with an anti-naturalist theory. This is clear once we recognise that Hare's theory is not one that maintains that morality is simply a matter of prescriptivity and universalizability. Rather it is a theory which posits a relation between certain facts and particular moral judgements and in a fashion not dissimilar from (III)\*.

Hare argues that in making a moral judgement we made a decision of principle and that is a decision we must make. If someone asks for the justification of a certain principle we

eventually reach the point where "we can only ask him to make up his own mind which way he ought to live; for in the end everything rests on such a decision of principle."<sup>22</sup> It is this aspect of Hare's theory which Warnock takes exception to for its failure to establish that in principle moral argument may be logically cogent: in the end what moral views I hold will be up to me, I must decide on the principles that are to govern the way I ought to live. But for Hare moral argument amounts to more than this. Hare maintains that if someone does not accept the principle upon which our moral decision is based then "let him accept some other, and try to live by it. The sting is in the last clause."<sup>23</sup> The point is we do not just universalize our moral judgements and our moral judgements are not simply prescriptive: our moral judgements do not take place in vacuo nor are they judgements made by agents without desires, wants, and interests. Rather

the duties which we acknowledge towards people ... are acknowledged because we say 'There, but for my good fortune, go I. That man is like me in important respects; in particular, the same things as cause me to suffer cause him to suffer'.<sup>24</sup>

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22. The Language of Morals, page 69.

23. Ibid, page 69 (my emphasis)

24. Freedom and Reason, Oxford University Press, 1965, page 222 (my emphasis).

Hence Hare remarks there are certain principles "which will remain acceptable unless human nature and the state of the world undergo a most fundamental change".<sup>25</sup> So certain facts are relevant to the moral judgements we make -- they do forge some limit to the principles we may adopt. But what sort of limit?

It would appear that Hare does not envisage that the limit is a logical one. Firstly, Hare denies that anything he has said requires that an entailment relation exists between our wants, interests, etc., and the moral judgements we make: his argument "does not involve any sort of deduction of a moral judgement ... from a factual statement about people's inclinations, interests, &c. .... It is not a question of a factual statement about a person's inclinations being inconsistent with a moral judgement."<sup>26</sup> Rather the relation "is not unlike that between a belief that the cat is on the mat, and an inability to accept the proposition that the cat is not on the mat."<sup>27</sup> Secondly, Hare does not believe that there is any logical limit to what we may want or desire. He considers the case of a person who expresses an eccentric want and notes that in such a case "we can, indeed, get as far as accusing him of having eccentric

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25. The Language of Morals, page 72.

26. Freedom and Reason, page 108.

27. Ibid, page 109.

desires"<sup>28</sup> but we cannot get as far as accusing him of being logically inconsistent: "logic does not prevent me wanting to be put in a gas chamber if a Jew."<sup>29</sup> And Hare goes on, "It is, indeed, in the logical possibility of wanting anything ... that the 'freedom' which is alluded to in my title (Freedom and Reason) essentially consists."<sup>30</sup>

I conclude therefore the Hare would be in substantial agreement with (III)\*: (III)\* is certainly not inconsistent with his theory. But Hare must argue that (III)\*, as much as his own theory, does not provide us with the possibility of demonstrative and logically cogent argument in morality, at least in the sense that (III)\* does not show that certain reasons or criteria must (logically) be adopted by one who claims to have presented a correct moral judgement. Certain judgements will be odd and given the nature of the world and ourselves very rare -- but this does not amount to showing that such views are logically incoherent. Now Warnock wishes to claim that there can be logically cogent argument in morality. If he means by this only that we can show certain views to be odd and rare then he is not, except trivially and terminologically, in disagreement with Hare. On the other hand, if he means that a person who does not

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28. Ibid, page 110.

29. Ibid, page 110.

30. Ibid, page 110.

adopt certain criteria as criteria of moral merit can be shown to be guilty of logical inconsistency, then (III)\* does not provide him with such a thesis. I think it is important to note this point. One of the primary points in contention between the naturalist and an anti-naturalist like Hare is whether we can show that the 'fanatic' -- the person of eccentric desires (the person who if he were a Jew would want to be put in a gas chamber) -- is guilty of logical inconsistency. A naturalist believes that we can whereas Hare argues that in the final analysis we cannot. And as (III)\* cannot show 'fanaticism' is logically incoherent then (III)\* will not suffice as a naturalistic theory of ethics.

I mentioned previously that there is textual evidence to suppose that Warnock in fact holds another thesis which we'll term (III)\*\*. (III) interpreted as (III)\*\* would maintain that there is a logical limit to the features that may figure as a criterion of merit, ie., an entailment relation exists between certain facts and particular moral judgements. If we recall that 'entailment' was explicated in terms of meaning equivalence then several of Warnock's statements are significant. I have already mentioned Warnock's example of the person who while admitting the facts does not agree that it would be wrong for me to induce heroin addiction in my children: such a person according to Warnock does not know what 'morally wrong' means. He also states that there are "considerations of good or harm to people which ... figure analytically in setting moral

standards and moral principles".<sup>31</sup> Furthermore, ignoring essentialist interpretations of necessity, I cannot see what would be the force of the word 'necessity' in (III) other than that it signifies an analytic relation holds between certain factual criteria and our moral judgements.

But, despite Warnock's apparent protestations to the contrary, (III)\*\* is inconsistent with the 'independence'-thesis. Warnock recognizes this tension and argues:

if we say, as we have in effect just done, that certain features must necessarily be accepted as criteria of moral merit, we can and must go on at once to concede that no one, of course, is obliged by logic to engage in moral judgment or debate.<sup>32</sup>

But here, I think, Warnock has equated the 'independence'-thesis with a quite different thesis, what we'll call the 'undisputed'-thesis. We can set these theses out as follows :

'Independence'-thesis;

affirmation -- 'one is not logically obliged to accept any given feature as a criterion of merit',

denial -- 'one is logically obliged to accept a given feature as a criterion of merit'.

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31. Op.cit., page 71 (my emphasis)

32. Ibid, page 68.



'Undisputed'-thesis;

affirmation -- 'one is not logically obliged to engage in moral judgement or debate',

denial -- 'one is logically obliged to engage in moral judgement or debate'.

I call the latter thesis the 'undisputed'-thesis because to my knowledge this thesis has not been in dispute between Hare and Warnock. It is certainly not the thesis in dispute in the context of the present argument: we are concerned with whether Warnock's thesis is consistent with the 'independence'-thesis and not with whether it is consistent with the 'undisputed'-thesis. And clearly the 'undisputed'-thesis must be distinguished from the 'independence'-thesis. The 'independence'-thesis makes a statement about the relationship that holds between the criterion and the evaluation -- to affirm the 'independence'-thesis is to maintain that there is no logical limit to the criteria we may adopt as criteria of merit. Whereas the 'undisputed'-thesis makes a statement about the evaluator and whether or not he must (logically) make moral judgements. Although I will only be concerned to show that one could deny the 'independence'-thesis and yet affirm the 'undisputed'-thesis nothing appears to follow for the 'undisputed'-thesis from the affirmation or denial of the 'independence'-thesis.

One could deny the 'independence'-thesis, ie., we could maintain that 'one is logically obliged to accept a given

feature as a criterion of merit', and yet accept the 'undisputed'-thesis, ie., 'one is not logically obliged to engage in moral judgement or debate' because it would be consistent to maintain that we are logically obliged to accept a given feature as a criterion of merit, if we are prepared, which of course we may not, to engage in moral judgement or debate. In other words if it is true that there is a logical limit to the features that may count as criteria of merit it does not follow (logically) that people will make judgements about (moral) merit.

Now anyone who advanced (III)\*\* must deny the 'independence'-thesis and yet they might quite rightly accept the 'undisputed'-thesis. However, it would be a mistake to identify an acceptance of the 'undisputed'-thesis with an acceptance of the 'independence'-thesis. It is only by means of this mistaken identification that we could claim that (III)\*\* is consistent with the 'independence'-thesis. I would argue that Warnock is guilty of this misidentification: in fact, he accepts the 'undisputed'-thesis and denies the 'independence'-thesis.<sup>33</sup>

I will now briefly consider two arguments that might be advanced in order to rebut the claim that Warnock in fact denies the 'independence'-thesis. Firstly, it might be replied that

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33. He says, in fact, "That there are, as it were, necessary criteria of moral value does not imply that anyone, let alone everyone, necessarily evaluates things with reference to those criteria; it is only that we must do so if we are prepared, as we may not be, to consider the question 'from the moral point of view'." Ibid, page 68.

Warnock only denies the 'independence'-thesis as regards an N/M theory and not as regards an I/M theory. That is to say, his claim is only that one is logically obliged to accept a given feature as a criterion of merit if one's view is to be classified as moral as opposed to non-moral but not that one is logically obliged to accept a given feature as a criterion of merit if one's view is to be classified as moral as opposed to immoral. But I have argued that Warnock's thesis must be interpreted, at least, as an I/M theory and, indeed, that there is evidence to suggest that he is presenting such a thesis. Secondly, it might be replied that Warnock only affirms the 'independence'-thesis as regards evaluation in general and denies the 'independence'-thesis as regards moral evaluation: Warnock's comments on page 68 of Contemporary Moral Philosophy would certainly suggest such a reply. Thus Warnock would affirm 'one is not logically obliged to accept any given feature as a criterion of merit' and also 'one is logically obliged to accept a given feature as a criterion of moral merit'. But surely to adopt a certain feature as a criterion of moral merit is to adopt it as a criterion of merit. The apparent contradiction is only resolved if we modify the formulation of the 'independence'-thesis to read 'one is not, always, logically obliged to accept any given feature as a criterion of merit'. While I accept this point in so far as it shows that (III)\*\* is not inconsistent with the 'independence'-thesis as thus defined it now becomes clear that any claim to the effect that (III)\*\* is consistent with the 'independence'-thesis has no bearing on my claim that (III)\*\* is reducible to (I).

For (III)\*\* does assert that there is a logical limit to the features that we may adopt as criteria of moral merit, and hence that an entailment relation exists between certain naturalistic statements and our moral judgements, and therefore that moral statements are definable naturalistically. The 'independence'-thesis understood as a thesis which does not concern morality is quite irrelevant to my argument. Of course, it remains an open question whether indeed the 'independence'-thesis is irrelevant as regards moral evaluation for it has been maintained that there is some unbridgeable gap between evaluation (of which moral evaluation is a sub-class) and description such that moral statements cannot be defined naturalistically. We will examine the foundation for this claim in the next chapter of this thesis. All I wish to conclude here is that, ignoring account theories of naturalism, (III) is not a significantly different and new form of naturalism and that the central thesis of naturalism is (I).

CHAPTER III

EMPIRICISM, FACT, and VALUE

The reply of the anti-naturalist to the naturalist who maintain that 'good' is definable as 'F' is that the naturalist in attempting such a definition has committed what has become known as the 'naturalistic fallacy'. There have been a number of ways attempted to show what is involved in committing the naturalistic fallacy and that indeed that such a fallacy exists. Two of the more popular methods will be examined in this thesis and I will argue that both are unsuccessful. At this juncture I wish to examine what I believe to be the basis of the alleged fact/value gap which generates the naturalistic fallacy.

In his book, Empiricism and Ethics, Monro remarks:

We know how to justify the empirical assertions that are the starting-point of reasoning about matters of fact. We justify these by reference to observation, the evidence of the senses. Moral propositions cannot be verified by the evidence of the senses ... the meaning of an empirical assertion is always something that can be observed: all empirical assertions can always be reduced, without remainder, to some observation statement, or set of observation statements.<sup>1</sup>

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1. Cambridge University Press, 1967, pages 11-12.

Therefore, as the meaning of a factual statement is 'always something that can be observed', whereas the meaning of a moral statement is not, then it will follow that moral statements are not definable in factual terms. As Monro maintains:

That is the way in which the contrast between questions of fact and questions of value may be said to generate the traditional problems of moral philosophy.<sup>2</sup>

We should note that there are two claims involved in the remarks made by Monro. Firstly, there is an epistemological claim, viz., that factual, but not moral statements, can be known to be true by the evidence of the senses. Secondly, there is a claim about meaning, viz., that the meaning of a factual statement, but not a moral statement, is always something that may be observed. However, these two claims are, for the empiricist, not independent. If a statement is to be verified or known to be true then we must know the meaning of the statement (although, of course, it does not follow if we know the meaning of the statement then we know that the statement is true). Consequently, if, as the empiricist would have it, questions of fact are settle-able by experience, then if we are to know or verify a certain statement, eg., 'This is red', then the meaning of 'red' must be given by experience. (Because it is necessary that we know

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2. Ibid, page 11.

the meaning of 'red' if we are to know or verify 'This is red'.) The theory of knowledge and the theory of meaning are here opposite sides of the same empiricist coin.

Now I think Monro is correct in claiming that there is an implicit empiricist epistemology underlying the alleged fact/value gap (at least in modern meta-ethical theory). And it is interesting to note in this regard two points made by Hare (a supporter of the putative fact/value gap). Of the verificationist theory of meaning Hare remarks, "this is a very promising account of one of the ways in which a certain class of sentences (the typical indicatives) have meaning"<sup>3</sup> and of the sentence 'A is right' Hare says, "It is not a statement verifiable by observation"<sup>4</sup>. Furthermore, in The Language of Morals<sup>5</sup> Hare goes on to consider in some detail the comparison that has often been made between 'good' and "typical simple property words like 'red'".

Hare maintains that it is characteristic of words like 'red' that we can explain their meaning in a certain way, and, following Wittgenstein he maintains that in this way we can investigate the logical character of words. Now the point to

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3. The Language of Morals, page 8.
4. Ibid, page 6.
5. Ibid, Chapter 6.

be noted is that in this sort of enterprise, as Hare himself notes, we are interested not simply in how we might in fact get the meaning wrong during any learning process, but we are interested in all the logically possible mistakes. As Hare says, "what mistakes anyone actually makes or avoids is irrelevant". Assuming that a learner of the English language knows no English then according to Hare:

If we had to explain the meaning of the word 'red' to such a person, we might proceed as follows: we might take him to see pillar-boxes, tomatoes, underground trains, etc., and say, as we showed him each object, 'That is red'.<sup>6</sup>

And we might also show him things which were like the previous objects in certain respects but unlike them in not being red. Supposedly in this way the learner would become conversant with the meaning of the word 'red'. A little further into the chapter Hare writes:

you explain the meaning of 'red' ... by getting the learner to have certain experiences and telling him that the word 'red' is properly applied to the objects of them.<sup>7</sup>

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6. Ibid, page 95.

7. Ibid, page 106.



This should not lead us to suppose that the meaning of 'red' is something which is private. According to Hare the meaning of 'red' is normally something which is public and commonly accepted such that when I use the word 'red' someone hearing me use that expression will find (unless I am an eccentric) that other people use it in the same way.<sup>8</sup>

Similar ideas are expressed by Hare in Freedom and Reason<sup>9</sup>. There Hare asks, "What is it for a term to have descriptive meaning?". According to Hare meaning is or involves the use of an expression according to rules and the kind of rule will determine the kind of meaning. By a rule he does not mean very simple and general rules which could be formulated in words but rather a consistency of practice which is the condition of intelligibility. Well, what kind of rules are relevant to descriptive meaning? Once again it appears to be those rules which are learnt ostensively. In fact the notion of ostensive definition is invoked to account for the universalizability of descriptive terms: the determination of the relevant respects of similarity of the objects to which the descriptive terms applies are supposed to be, in the final analysis, explicable by ostensive explanation.

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8. Ibid, page 114. This statement presages Hare's point that the meaning of 'red' (and, indeed, any word which has a meaning) is rule-governed. For if it is, then by the very notion of a rule, the meaning will be public and commonly accepted: there will be a consistency of practice - other people will use the word in the same way that I do - and a consistency of practice is the condition of a word's intelligibility.

9. Chapter 2, especially pages 7-15.

Similarly, in his article 'Descriptivism', Hare says of the descriptive term  $\phi$  that we could "teach someone to recognise the  $\phi$  taste by lining up samples of the liquids tasting  $\phi$  and others having different tastes, and getting him to taste them, telling him in each case whether the sample tasted  $\phi$  or not".<sup>10</sup>

Hare does not believe that all words follow this sort of meaning rule. For example, 'this', 'Quaxo' (a proper name), 'it', and so on do not because they refer to or name rather than describe.<sup>11</sup> The interesting question is whether 'good' follows this sort of (descriptive) meaning rule.

It is interesting at this point to compare the views of Ayer in his paper 'Basic Propositions'<sup>12</sup> with the views of Hare just delineated. A few points of comparison will suffice. Both writers are concerned to discover when we could be mistaken in

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10. Reprinted in, Essays on the Moral Concepts, Macmillan, 1972, page 58.

11. The Language of Morals, page 95 and Freedom and Reason, page 9.

12. In his Philosophical Essays, London, 1954, page 105 ff. I intend this observation to be no more than a brief historical remark which is germane especially in the light of Hare's claim that the verificationist theory of meaning was a promising account of indicative meaning.

the use of a simple descriptive term and they are both concerned to point out that they are aware that they must distinguish between describing and naming and both regard that what they have said is a correct account of the former. Ayer and Hare subscribe to the view that descriptive terms are universalizable and that the descriptive term is correlated with an actual situation or experience by means of a meaning rule which is learnt ostensively. And for both writers to learn this meaning rule is to learn the meaning of the term.

Now that the meaning of a term can be taught ostensively seems to be offered by Hare as a sufficient condition of its being descriptive. For he says that if we could explain the meaning of 'good' simply by getting a person to have certain experiences and then telling him that the word 'good' is properly applied to them (or to the objects of them) then "this would make 'good' just like 'red'",<sup>13</sup> The obvious question then is whether a word such as 'good' can or cannot have its meaning explained in this way. Hare offers an argument which he believes shows that it cannot.

In The Language of Morals<sup>14</sup> Hare considers the distinction that has often been made between 'intrinsic' and 'instrumental' goodness; this distinction is drawn as part of some naturalist

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13. The Language of Morals, page 106.

14. page 98 ff.

programs. And, according to Hare, it is by means of this distinction that we are supposed to see that goodness is a common ostensible property.

For the sake of argument Hare assumes that 'good' means in its instrumental use 'conducive to the end that it is used for'. Now can we teach this phrase (the purported synonym for 'good') ostensively? It would seem not for the various 'performances' of different things which we call 'the ends for which the objects are used' have little in common: the performance of a watch, an auger, a screwdriver, etc., which we may call 'the ends for which the objects are used' have nothing in common despite this common designation.

Furthermore Hare notes that there are some 'functional' words, eg., 'auger', which to understand the meaning of these words we must understand their ends: to understand the meaning of 'auger' we must know that it is an instrument for boring holes. Thus Hare concludes we cannot even teach the end of something in particular<sup>ostensively</sup>. For consider, what would be involved in teaching someone the purpose of, for example, an auger? Now we might try to teach this person ostensively, say, by showing him people boring holes with augers. But, as Hare notes, this may not prove successful because :

If he thought they were just trying to exercise their wrists, we should not be

able by this demonstration to explain  
to him what an auger is for.<sup>15</sup>

So Hare's argument against 'good' being ostensively definable is as follows. Let us allow that 'good' means, at least in its instrumental use, 'conducive to the end that it is used for'. But even if 'good' could be thus defined the definiens is not explicable by ostention. And this is so even in the case of some particular object. Therefore, Hare concludes, even if 'good' means 'conducive to the end that it is used for' it is not a term like 'red', a typical, simple, descriptive term that is ostensively definable.

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I wish to criticize (in a way similar to how Hare has criticized his opponents over 'ends') the empiricist view that we could come to understand the meaning of a term, even a simple property word like 'red' by means of ostensive definition. One could not come to understand the expression 'red' just simply through someone pointing to an expanse of redness while saying 'This is red' unless much else were understood first. For example, in this learning process the learner must know that we are talking and not simply uttering noises, that we were describing and not doing the multifarious other things that we may do

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15. Ibid, page 101.

with language, that we were describing the colour of the expanse and not its shape, area, and so on. Hence the notion of a descriptive meaning rule as explicated by Hare is a far too simple-minded notion and no term simply gets its meaning in that way.

The point here is that the understanding of a simple property word cannot be derived simply from having a number of experiences from which the idea of, for example, 'red' is abstracted. For an experience to be one of red it is necessary that the learner should already know what it is for something to be red: ie., the person must have the concept of 'red'. Now I am not denying that we need experiences in order to come to know what it is for something to be red because to know what it is for something to be red is not only to know how 'red' fits in with our concepts of colour, it is also to know what things count as red. Up to a point, but only up to a point, the idealist rationalist is correct in claiming that knowledge of things is conceptually mediated knowledge as any knowledge presupposes a system of concepts.

It will be recalled that the notion of universalizability was explicated in terms of the relation of similarity which in turn was to be explained ostensively. Now as I have briefly mentioned this analysis will not suffice unless it is presupposed that the learner already knows the relevant respects in which the objects are supposed to be similar. For example, take Hare's red tomato and red pillar-box: in this case the relation of

similarity, S, will hold between the red tomato and the red pillar-box but the red tomato will also have S to a green tomato. Thus it is not possible to characterize the relevant respects by pointing to a thing called 'red' and saying that 'red' applies to anything that has S to that thing.

Now it might be thought that I have done Hare an injustice because surely the situation could be improved upon if, with Hare, we ostensibly explain over a larger number of objects. But once again it is clear that this will not suffice for S could still hold over a red tomato, a red pillar-box, an underground train, and a purple kite for all of these objects fall under the terms 'solid', 'extended', 'shaped', etc..

And it does not seem that this difficulty for Hare is even soluble in principle for no matter how many examples we use it does not appear that we can delimit S in this way. Consider Wittgenstein's example of developing a number series:<sup>16</sup> we

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16. Cf., for example, Philosophical Investigations, Blackwell, 3rd edition, 1976, Part 1, # 185-190. Also Kant argues:

If understanding in general is to be viewed as the faculty of rules, judgement will be the faculty of subsuming under rules; that is of distinguishing whether something does or does not stand under a given rule (casus datae legis). General logic contains, and can contain, no rules for judgment .... If it sought to give general instructions how we are to subsume under these rules, that is, to distinguish whether something does or does not come under them, that could only be by means of another rule. This in turn, for the very reason that it is a rule, again demands guidance from judgment ... judgment is a peculiar talent which can be practised only .... It is the specific quality of so-called mother-wit.

Critique of Pure Reason, trans. by N. Kemp-Smith, Macmillan, page 177.

develop the series 1, 2, 3 ... etc. up to, say, 3001 and we instruct someone to 'go on in the same way'. Now in practice no doubt he would continue 3002, 3003, etc., but we are in agreement with Hare that what the person actually does is irrelevant; we are interested in what 'mistakes' it is logically possible for him to make. Our pupil might go on 1, 2, 3, ... 3001, 1, 2, 3, ... 3001 or even 2, 3, 4, ... 3002. And if he did this we would have no right to say that he did not continue as we started although obviously he has not gone on as we would have gone on. For if we understand that to continue a series is to generate numbers according to a principle then what he has done is to continue a series. That he has made a mistake is because he has not continued according to the principle (rule) that we were using, but there is nothing 'out there', as it were, which determines that one rule or the other must (logically) be adopted. We can also make the point without recourse to the example of a number series. Let us suppose that I instruct someone to place before me something which is the same as this object (a cup) which is on my desk. He might then place before me a pen because the rule that he has adopted for what will count as the same as the object I pointed to is that the object is blue coloured or even that it is on my desk. He might even fail to place before me a cup from another room because that object is from another room. And the possibility that he will not adopt the rule I adopted is always open no matter how many objects I show him.



I conclude therefore that there is something seriously wrong with Hare's attempt to distinguish fact from value by saying that the factual is that which is simply confirmed by the evidence of the senses and that the meaning of a factual statement is given by experience whereas neither this epistemological nor meaning claim is true of value. Of course there may be other ways of marking the fact/value gap, and we will examine these, but I will argue that these other means are unsuccessful. In particular I will point out, as has MacIntyre, that there is an ambiguity on Hare's whole enterprise. As MacIntyre argues:

When Hare characterizes evaluation and prescription, is he in fact defining these terms in such a way as to protect his thesis against possible counter-examples? If we produce an example of 'ought' which does not entail a first-person imperative, or an example of 'good' in which the criteria are not a matter of choice, will Hare be able to reply that these are simply nonprescriptive and nonevaluative uses of 'ought' and 'good'? Hare certainly recognizes that there are some nonprescriptive and nonevaluative uses. But if he has simply legislated so that evaluation and prescription shall be what he says they are, why should we assent to his legislation? If he is not legislating, then we must have the class of evaluative and prescriptive expressions delimited for us independently

of Hare's characterization, in a way that Hare himself never delimits it.<sup>17</sup>

Now where I disagree with MacIntyre is in his statement that Hare never delimits the evaluative independently of the central tenets of his prescriptivist theory. He does provide an independent characterization as I have argued above, but this characterization is highly suspect.

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17. MacIntyre, A., A Short History of Ethics, Routledge and Kegan Paul, 1966, pages 262-263 (my emphasis); and cf., Mary Warnock's remark that there is a danger in the central prescriptivist claim that evaluative statements entail an imperative.

Either the inference rule just stated will turn out to be tautological, since no use of 'good' will be counted as fully prescriptive unless the inference is possible; or, if more uses of 'good' are to count as prescriptive, then the inference will simply not be possible. That is to say, as so often happens with philosophical principles, there is the danger that the principle will turn out to be either uninteresting, because tautological, or false. I do not think that Hare means to restrict very severely the uses of 'good' which would count as evaluative in the proper sense, or prescriptive; and therefore I think that his claim that one must always be able to infer an imperative from any such use is simply mistaken.

Ethics Since 1900, Oxford University Press, 2nd edition, 1966, page 90.

Of course, the problem involves not just what will count as an evaluative expression, but also as to what will count as a descriptive expression. As Philippa Foot remarks, anti-naturalist programs seemed to have proceeded by assuming that the notion of description was quite clear, when in fact "A word or sentence seems to be called 'descriptive' on account of the fact that it is not emotive, does not commend, does not entail an imperative, and so on according to the theory involved." ('Moral Arguments', Mind, volume 67, 1958.)

Furthermore this classical empiricist view of how language relates to the world seems incapable of accounting for quite a large part of apparently fact stating discourse, namely, those statements which involve reference to some 'institution' -- what Searle has termed 'institutional' facts.<sup>18</sup> These are facts which presuppose the existence of some human institution. Thus a football game certainly involves a certain set of physical movements and so on but to specify just those physical movements is not to specify the event as a football game. The existence of institutional facts presents a special problem for Hare (and other anti-naturalists) because according to Searle some institutional facts have constitutive rules which involve obligations, commitments, and so on. Therefore from a factual statement (one which reports some institutional fact) we should be able to derive some evaluative statement. We will examine if this is indeed possible in the next chapter.

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18. Speech Acts, Cambridge University Press, 1969, pages 50-53. Our discussion would suggest that there are in some sense no 'brute' facts and therefore that the classical empiricist view cannot account for any part of our fact stating discourse.

CHAPTER IV

DESCRIPTIVISM : DERIVING 'OUGHT' FROM 'IS'

An observation which Hume believed "wou'd subvert all vulgar systems of morality"<sup>1</sup> was that a proposition joined by an 'ought' or 'ought not' could not be deduced from propositions joined by an 'is'. An imperceptible change from an 'is' to an 'ought' was, according to Hume, of the last consequence: "For as this ought, or ought not, expresses some new relation or affirmation, 'tis necessary that it shou'd be observ'd and explain'd". The point here<sup>2</sup> seems to be that an 'ought' expresses an entirely different relation to an 'is', ie., that 'ought' and 'is' have different meanings, and that as a valid deductive inference can only be drawn where the conclusion 'contains' the premises, then an 'ought' conclusion cannot be drawn from a set of 'is' premises. This observation of Hume's has hardened into a dictum and has become known as Hume's Law. Its

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1. A Treatise of Human Nature, ed. L.A. Selby-Bigge, page 470.
  2. Although this is certainly debatable. But my interest here is less in Humean exegesis than in how his comments have been construed and used by later meta-ethicists. A collection of papers discussing Hume's observation are to be found in Hudson, W.D. (ed.), The Is/Ought Question, Macmillan, 1969.

influence is still to be found in modern meta-ethical theory.

For example, Hare has affirmed the following rule:

No imperative conclusion can be validly drawn from a set of premises which does not contain at least one imperative.<sup>3</sup>

And in this 'logical' rule is supposedly "to be found the basis of Hume's celebrated observation on the impossibility of deducing an 'ought'-proposition from a series of 'is'-propositions". Furthermore, Hare's rule is supposedly "confirmed by an appeal to general logical considerations. For it is now generally regarded as true by definition that (to speak roughly at first) nothing can appear in the conclusion of a valid deductive inference which is not, from their very meaning, implicit in the conjunction of the premises."<sup>4</sup>

Now Hume's Law, as it stands, seems to be clearly wrong if we take it as a mere grammatical thesis. For from the statement that "Doing X is wrong" it surely follows that "One ought not to do X" given some appropriate rendering of 'wrong' and 'ought'.

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3. The Language of Morals, pages 28-29, and cf. Freedom and Reason, page 108. The relevance of this rule to our current discussion is immediately apparent if we realize that 'ought'-propositions are, according to Hare, imperatives.
  4. The Language of Morals, page 32, and cf. the previous explication of the notion of 'entails'.

But champions of Hume's Law regard such an objection as irrelevant because apparently there is, so to speak, a concealed 'ought' in the predicate 'wrong', and therefore "Doing X is wrong" is not an 'is' proposition in the intended sense. For the sake of clarity maybe we should reformulate Hume's Law as that from the conjunction of a set of descriptive or factual statements (which typically contain an 'is') we cannot deductively infer an evaluative conclusion (a typical evaluative conclusion would contain an 'ought'). Of course, even this statement of Hume's Law is not all that clear because it is not readily apparent just what are the criteria for counting a statement as descriptive and what are the criteria for counting it as evaluative; obviously what will not do as a criterion for counting a statement as evaluative, for example, is that it is a statement which cannot be deduced from a set of descriptive premises for this would be to beg the question against vulgar systems of morality. However, in the main, we will attempt to use the terms 'descriptive' and 'evaluative' at a pre-theoretical level, ie., in the discussion that follows we will employ statements which are agreed by both supporters and detractors of Hume's Law to be descriptive or evaluative, irrespective of the theoretical reasons that may be given for so calling them.

The argument for Hume's Law has, I think, in recent times, taken two forms. One is that an evaluative statement (if sincerely affirmed) must guide action, whereas a descriptive or factual statement does not. This putative fact is then coupled with the speech act thesis that the illocutionary force of an

utterance is to be counted as part of the meaning of the word or morpheme which is a constituent part of that utterance. Add to this the particular doctrine on inference we have quoted from Hare previously, and one arrives at the conclusion that no evaluative statement can be deduced from a set of descriptive statements. But, as I will argue in a later chapter, this argument, which I term "the Argument from Motivation", is singularly unsuccessful. The second form of argument for Hume's Law can be put as follows. The criteria for the application of an evaluative term (sometimes called the evaluative term's descriptive meaning) are essentially matters of choice; thus for any evaluative term E while it may be applied according to the descriptive criteria a, b, c it is always (logically) possible for someone to admit that something is a, b, c and yet deny that it is E. Therefore, we cannot determine that X is E from the premises "Whatever is a, b, c is E" and "X is a, b, c" because it is always possible for someone to deny "Whatever is a, b, c is E". The point here is not so much that to derive an evaluative conclusion from a set of descriptive premises would involve one in an invalid argument, but that it is always possible to deny the requisite major premise. It is up to the evaluator to decide that whatever is a, b, c is E; this is to decide on a principle of evaluation, or, as in the case of moral evaluation, to decide on a moral principle. Thus says Hare, "The gravest error ... of the type of theory which I am criticizing (naturalism) is that it leaves out of reasoning about our conduct a factor which is

the very essence of morals. This factor is decision."<sup>5</sup> Support for the claim that it is logically possible to deny that "Whatever is a, b, c is E" is drawn from the fact that if it were not logically possible to deny such a statement then there should be some formulation of "Whatever is a, b, c is E" which is analytic. But that there is any statement of that form which is analytic is supposedly shown false by the Open Question Argument or some reformulation of it.<sup>6</sup> But as I argue in a later chapter the Open Question Argument is also unsuccessful.

But in this chapter I do not wish to directly attack the arguments for Hume's Law. Rather I will attempt to present counter-examples to it. That is, I will attempt to show that we can derive an evaluative conclusion from a set of descriptive premises by way of actual example. The particular derivation we will consider is John Searle's and I will argue that his derivation is successful despite the recent criticism it has received.

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5. Ibid, pages 54-55

6. For a reformulation cf. ibid, Chapter 5. In this reformulation the basis of The Open Question Argument is to be found in the Argument from Motivation. As Hare remarks:

It seems to me that Moore's argument was not merely plausible; it rests, albeit insecurely upon a secure foundation; there is indeed something about the way in which, and the purposes for which, we use the word 'good' which makes it impossible to hold the sort of position which Moore was attacking (ie. naturalism). The Language of Morals, pages 83-84.



Searle's derivation first appeared in an article entitled "How to derive 'ought' from 'is'"<sup>7</sup> and appears slightly modified in his book *Speech Acts*.<sup>8</sup> An important point to note is that Searle maintains that the alleged descriptive/evaluative dichotomy is the result of a misidentification of two distinctions:

- (1) between two types of illocutionary forces, the descriptive and evaluative, and
- (2) between that which is objectively decidable as true or false and that which is not, but is rather a matter of opinion.

It has been assumed that an utterance which has the illocutionary force of an evaluation cannot (or its constituent sentence cannot) be entailed by factual premises.<sup>9</sup> But, Searle, by way of actual example, attempts to show that an evaluative conclusion can be deduced from a set of factual premises.

Before examining the derivation of an 'ought' from an 'is' I will briefly consider a related argument which successfully derives a 'valid' from an 'is', despite this derivation's alleged impossibility according to some anti-naturalists. The

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7. Reprinted in Foot, P., Theories of Ethics, Oxford University Press, 1967, pages 101-114.

8. Pages 175-198.

9. Ibid, page 187.

reasons for examining the 'is'/'valid' derivation are three-fold. Firstly, because 'ought' seems to hold such a mesmeric force for some philosophers I hope that by the presentation of an incontrovertible example of the derivation of an evaluative statement from a set of descriptive statements that the subsequent derivation of an 'ought' from an 'is' will be that much more palatable. Secondly, the 'is'/'valid' derivation nicely illustrates the misidentification that has taken place with the two distinctions mentioned earlier. Thirdly, I propose to use the 'is'/'valid' derivation as a suitably parallel argument to the 'is'/'ought' derivation in order to rebut and also to highlight certain objections to the 'is'/'ought' derivation. I should add that the 'is'/'valid' derivation is in no way essential to the 'is'/'ought' derivation, it is just that I believe it will prove helpful.

J.O. Urmson has said:

I take it that once stated it is obvious that 'valid' is an evaluative expression. To speak of a good argument is in most contexts equivalent to speaking of a valid argument, for example, it would be ridiculous if, when asked to produce an argument to support a position which I had taken up, I were to enquire whether valid or invalid arguments would be preferred. It seems

that any detailed argument on this point would be otiose.<sup>10</sup>

Certainly, whether or not further argument would be otiose, Urmson would find quite a few people who are in agreement with him. For example, Barker, in an attempt to show that the problem of induction is a pseudo-problem, argues that there is an analytic relation, via probability, between induction and rationality. But as Barker points out:

In saying that a conclusion is probable one is not merely describing it: also an essential part of what one is doing is taking one's stand in favour of believing it.<sup>11</sup>

And Salmon has agreed (although he disagrees with Barker that the problem of induction is a pseudo-problem), "that terms like 'probable' and 'rational' sometimes function as terms of cognitive

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10. 'Some Questions Concerning Validity', reprinted in The Justification of Induction, (ed.) Swinburne, R., Oxford University Press, 1974, page 79. For an argument against treating 'valid' as an evaluative expression cf. Max Black's 'Paradigm Cases and Evaluative Words', Dialectica, 1973, pages 262-272, especially pages 266-267. I should add that Urmson is aware that not in all contexts does 'valid' indicate preferability or satisfactoriness. 'Valid' is a specialized evaluative term; "it is used only to evaluate arguments and then only from a certain point of view - an invalid argument might indeed be preferable for the persuasion of stupid people, and as a valid argument may have false premises, validity never can involve total satisfactoriness. But ... when the context is clear we often use 'valid' and 'good' indifferently." Ibid, page 80.

11. "Is There a Problem of Induction?" in Swinburne, op.cit., page 60.

appraisal. They are used to commend beliefs, assertions, propositions, etc. .... To say, in some contexts, that a conclusion is probable is (at least in part) to recommend its acceptance."<sup>12</sup> So, I think there would be general agreement with Urmson when he claims that to call a deductive or inductive argument valid "is at least in part to evaluate or appraise it. Similarly, to call an argument invalid is to condemn or reject it."<sup>13</sup> Now where, I think, Salmon, for example, would be in disagreement with Urmson is in Urmson's contention that a statement which asserts that an argument is valid is, a) not equivalent in meaning to a set of statements which are simply descriptive or classificatory, nor b) is it entailed by them, because a statement which asserts that an argument is valid is evaluative.<sup>14</sup> Rather, as Salmon puts it, "When a conclusion

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12. "Rejoinder to Barker and Kyburg" in Swinburne, op.cit., page 67. Salmon does not disagree with Barker drawing an analogy between moral (or aesthetic) evaluation and cognitive evaluation, his main disagreement is that the drawing of such an analogy is damaging to his view that there is a problem of justifying induction. (Ibid, page 68) We will have cause to return to Salmon's argument later in this chapter.

13. Ibid, page 79.

14. Ibid, pages 79-80. And cf. Urmson's, 'On Grading', reprinted in Logic and Language, 2nd series, (ed.) Flew, A.G.N. Blackwell, 1953. pages 159-186.

satisfies certain descriptive (logical) characteristics we commend it cognitively."<sup>15</sup>

We will examine Urmson's claims with regard to deductive arguments. Therefore, we may take the above claims a) and b) to be a<sup>1</sup>) that the expression "valid deductive argument" is not definable in purely descriptive terms, and b<sup>1</sup>) that there is not a set of descriptive statements about a deductive argument which entails that that argument is valid. Now Searle in commenting on Urmson's paper, quite rightly in my opinion, maintains that both these claims of Urmson's are false.<sup>16</sup> He proceeds to supply a definition of the expression "valid deductive argument" (in that sense of 'definition' where a definition provides a logical equivalence):

X is a valid deductive argument =<sub>df</sub> X  
is a deductive argument and the premises  
of X entail the conclusion of X.

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15. Op.cit., page 67. Salmon does not believe that he can adequately show what the descriptive characteristics of an argument are which would entail that it is a valid inductive argument, ie. that the argument is a good argument, because he is not sure that we can show that inductive argument is good argument. However, he maintains that a "justification of induction must ... hinge upon a relation between induction and frequency of truth-preservation or success". (Ibid, page 66.) Thus the problem of setting out those set of characteristics which would entail that an inductive argument was valid is not a fact/value problem, but the notorious problem of vindicating our basic logic notions.

16. Speech Acts, page 133.

And similarly, Searle provides a description of a deductive argument which entails that it is a valid deductive argument:

X is a deductive argument in which the premises entail the conclusion.

It might be replied that in fact the definiens and description are here really evaluative because 'entails' is an evaluative term - 'entails' is the only even remotely plausible candidate for the appellation 'evaluative'. To be sure such an objection seems to me to be a clutching at straws to save a theory. But in any case, as Searle notes, we could readily supply quite a number of other descriptions that would entail "X is a valid deductive argument". Alternatively we could offer definitions of 'entails' where the definiens are descriptive, possibly something along the lines of the descriptive definition offered by Hare.<sup>17</sup> Admittedly it may be difficult to supply such a definition which is entirely satisfactory, just as it may be difficult to supply a description of deductive argument that would entail "X is a valid deductive argument". But surely these are problems that concern the general difficulty of explicating our basic logical notions and do not arise because of some fact/value gap. Furthermore, if

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17. cf., The Language of Morals, page 25, and Hare's notion of a deductive inference (ie. an inference where the premises entail the conclusion) as being "analytic in character", ibid, page 32.

'entails' were an evaluative term then presumably the statement that "no evaluative statement is entailed by a set of descriptive statements" is itself evaluative and it seems hardly credible that this statement is presented other than as some sort of fact.

A common assumption of anti-naturalists has been that if a certain term, eg., 'good' or 'valid', characteristically had a certain illocutionary force (eg., of commendation) then it would not be possible for that term to be defined in terms which did not have that illocutionary force nor would it be possible for terms which did not have that illocutionary force to entail a statement which in its typical (or primary) uses did have such an illocutionary force. Pretty clearly these claims will only hold if it is true that,

- (1) entailment is to be explicated in terms of meaning sharing or equivalence, and
- (2) the illocutionary force of a speech act is to count as part of the meaning of the statement used in the speech act.

(It would also have to be argued that the illocutionary force of an utterance determines the meaning not of a sentence but of a word or morpheme - we will ignore this complication.)<sup>18</sup> Let us

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18. cf., Alston, William P., 'Meaning and Use', in The Theory of Meaning, (ed.) Parkinson, G.H.R., Oxford University Press, 1968, and Hare's appendix to 'Meaning and Speech Acts' in Practical Inferences, Macmillan, 1971, pages 94-99.

grant the first point, but if (1) is true and we have successfully defined the evaluative term 'valid' descriptively, and we can provide a description of a deductive argument which would entail that it is valid, then it must be the case that (2) is false. Searle argues that in fact (2) is false - he calls it the speech-act fallacy - "The speech-act fallacy is thus one of the props supporting the naturalistic fallacy fallacy".<sup>19</sup> The speech-act fallacy can be put in general terms by noting that Austin's<sup>20</sup> classification of locutionary, illocutionary, and perlocutionary acts presupposes the distinction between language and speech. The locutionary act is a (possibly abstract) entity of language - the uttering of a certain sentence with a certain sense and reference; the illocutionary act (and the perlocutionary act) belong to speech - the former is what we do in uttering words and the latter is the causal consequences of those utterances. Now the speech-act fallacy consists in our identifying the illocutionary force of an utterance (which is a function of speech) with word meaning (which is a function of language). Determining whether Searle is right in talking of the speech-act fallacy would take us too far afield but if we have successfully defined a term in terms which do not have the illocutionary force of the definiendum then we have an at least prima facie case against a speech-act theory of meaning.

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19. Speech Acts, page 140.

20. How to do Things with Words, especially pages 98-132.



Let us now turn to Searle's derivation of an 'ought'-statement from an 'is'-statement. We may put the derivation as follows -

1. Jones uttered the words "I hereby promise to pay you, Smith, five dollars."
- 1a. Under certain conditions C anyone who utters the words (sentence) "I hereby promise to pay you, Smith, five dollars" promises to pay Smith five dollars.
- 1b. Conditions C obtain.
2. Jones promised to pay Smith five dollars. (From 1, 1a and 1b: reading C for conditions, U for utterance, P for promise, the argument is of the form if C then (if U then P), and C and U, then P.)
- 2a. All promises are acts of placing oneself under (undertaking) an obligation to do the thing promised.
3. Jones placed himself under (undertook) an obligation to pay Smith five dollars. (From 2 and 2a by modus ponens: reading puO for place under an obligation we have, if P then puO, and P, then puO.)
- 3a. Other things are equal.
- 3b. All those who place themselves under an obligation are, other things being equal, under an obligation.
4. Jones is under an obligation to pay Smith five dollars. (From 3, 3a and 3b: reading E for other things are

equal,  $u0$  for under an obligation we have, if  $E$  then (if  $pu0$  then  $u0$ ), and  $E$  and  $pu0$ , then  $u0$ .)

- 4a. Other things are equal.
- 4b. All those who are under an obligation ought, other things being equal, to do what they are under an obligation to do.
- 5. Jones ought to pay Smith five dollars. (From 4, 4a and 4b: reading  $O$  for ought we have, if  $E$  then (if  $u0$  then  $O$ ), and  $E$  and  $u0$ , then  $O$ .)

The premises subscripted a and b are what Searle calls the additional statements required to make the relationships between 1, 2, 3, 4 and 5 ones of entailment. According to Searle these additional statements simply consist of "empirical assumptions, tautologies, and descriptions of word usage".<sup>21</sup> Whether indeed these additional statements do make the relationships between the major premises ones of entailment is a moot point, but nevertheless it is true that the relationships will not be simply "accidental or completely contingent" and we will have "derived (in as strict a sense of 'derive' as natural languages will admit of) an 'ought', from and 'is'".<sup>22</sup> As we will see this is in a large part due to the defeasible character of the concepts of a promise or an obligation.

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21. Speech Acts, page 181.

22. Ibid, pages 177 and 181.

It is important to be aware of the basic manoeuvre being employed by Searle. He aims to show that we can start with some 'brute' fact to the effect that a man uttered certain words; then he invokes the institution of promising such that we derive an 'institutional' fact; but this institutional fact has certain 'constitutive' rules such that we can arrive at evaluative conclusion.

Some explanation then of what Searle has in mind by a 'brute' fact and an 'institutional' fact is in order. The distinction here is basically that found in Anscombe's "On Brute Facts":<sup>23</sup> sometimes the description or report of an action or event presupposes an institution - other descriptions (according to Searle at any rate) do not. Institutional facts, we might say, only exist within our institutions, i.e., a system of constitutive rules. A constitutive rule not only regulates behaviour, it creates or defines new behaviour. On the other hand a regulative rule regulates antecedently existing behaviour. Thus it could be said that the rules of chess not only regulate behaviour but actually define a new form of behaviour - the game of chess - which is constituted by the rules of chess. On the other hand, the rules of etiquette regulate the antecedently existing (to the rules of etiquette) behaviour of eating. There are problems with this analysis. Firstly, the notion of a rule,

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23. Analysis, 1957--58, page 69 ff.

or more particularly, rule governed behaviour is not as clear as may be desired. It is notoriously difficult to supply a set of necessary and sufficient conditions for a piece of behaviour to be rule governed. For example, Fann has suggested that behaviour is rule governed if, and only if, it exhibits regularity and that it is possible to say of that behaviour that it is mistaken.<sup>24</sup> But a wicket-keeper regularly keeps close to the wicket when a slow bowler is bowling and keeps back from the wicket when a fast bowler is bowling; and we would say that a wicket-keeper who did not do this was mistaken. But surely we would not say that the wicket-keeper is here either following a rule or acting in accordance with a rule; rather we have a piece of goal oriented behaviour and given the way the world is if we wish to achieve that goal then we will behave regularly and we may be mistaken in our behaviour if we do not behave in that regular pattern. Secondly, it is not clear what, if any, distinction can be drawn between constitutive rules and regulative rules. Are there any rules which simply regulate antecedently existing behaviour? For example, do the rules of etiquette simply regulate the pre-existing behaviour of eating or do they create and define a new form of behaviour, viz., well-mannered eating? Anticipating such an objection Searle argues:

There is a trivial sense in which the creation of any rule creates the possibility of new forms of behaviour, namely, behaviour

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24. Wittgenstein's Conception of Philosophy, Blackwell, 1969, pages 75-79.

done as in accordance with the rule.  
That is not the sense in which my remark is intended. What I mean can perhaps be best put in the formal mode. Where the rule is purely regulative, behaviour which is in accordance with the rule could be given the same description or specification (the same answer to the question 'What did he do?') whether or not the rule existed, provided the description or specification makes no explicit reference to the rule.<sup>25</sup>

On the other hand, if the behaviour is constitutive rule governed then -

behaviour which is in accordance with the rule can receive specifications or descriptions which it could not receive if the rule or rules did not exist.<sup>26</sup>

Succinctly put we may express the distinction Searle has in mind by the following pair of necessary conditions for regulative and constitutive rule governed behaviour:

- (I) If behaviour described or specified as 'A' is regulative rule governed then the behaviour A is describable as 'A' in the case where the regulative rule which regulates behaviour A did not exist.
  
- (II) If behaviour described or specified as 'B' is constitutive rule governed then behaviour B is not describable as 'B' in the case where the constitutive rule which constitutes behaviour B did not exist.

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25. Speech Acts, page 35.

26. Ibid, page 35.

Put in terms of examples we might say that if a piece of behaviour described as "Not eating before the hostess at dinner" is regulative rule governed then not eating before the hostess at dinner is describable as "Not eating before the hostess at dinner" in the case where the regulative rule that regulates not eating before the hostess at dinner did not exist. (The regulative rule in this instance would be "Never eat before the hostess at dinner".) On the other hand if a piece of behaviour described as "Moving king out of check" is constitutive rule governed then moving king out of check is not describable as "Moving king out of check" in the case where the constitutive rule which constitutes moving king out of check did not exist. (The constitutive rule in this instance would be "Always move king out of check".) We should note that (II) is not claiming that if a constitutive rule like "Always move king out of check" did not exist then there would be no such behaviour as moving a piece of wood across a chequered board, ie., it is not denied that just because there is no appropriate constitutive rule that someone could not make just those physical movements which we, in possession of the appropriate constitutive rule, describe as "Moving king out of check".<sup>27</sup> For the purposes of this chapter we can put further consideration of these issues to one side as the criticism of Searle's derivation of an 'ought' from an 'is' has not in the main centred

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27. c.f., ibid, pages 35-36

around his employment of the notion of a rule and of the distinction between a constitutive and a regulative rule. Furthermore, I think we have a workable understanding of what it is for behaviour to be rule governed and a workable understanding of the distinction between constitutive and regulative rules.

Returning to our discussion of 'brute' and 'institutional' facts: certain facts are 'brute' relative to others; the fact that I move certain wooden pieces on a chequered board is brute relative to the institutional fact that I have checkmated you.

As Anscombe puts it:

As compared with supplying me with a quarter of potatoes we might call carting a quarter of potatoes to my house and leaving them there a 'brute fact'. But as compared with the fact that I owe the grocer such-and-such a sum of money, that he supplied me with a quarter of potatoes is itself a brute fact. In relation to many descriptions of events or states of affairs which are asserted to hold, we can ask what the 'brute facts' were: and this will mean the facts which held, and in virtue of which, in a proper context, such-and-such a description is true or false, and which are more 'brute' than the alleged fact answering to that description.<sup>28</sup>

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28. Op.cit., page 71 (my emphasis)

It is important to note that certain 'brute facts' amount to certain institutional facts only in a proper context; "not any action of taking a lot of potatoes to my house and leaving them there would be supplying me with them."<sup>29</sup> Similarly not every utterance of the words 'I promise' is the act of making a promise; it is so only in a proper context. Thus Searle inserts after premise 1 the premise 1a. What are the sorts of conditions under the rubric 'conditions C'? Or what context is a 'proper context' for the uttering of the words 'I promise' to be the act of promising? As examples of the sorts of conditions Searle offers: "that the speaker is in the presence of the hearer Smith, they are both conscious, both speakers of English, speaking seriously ..."<sup>30</sup> and so on. As Searle remarks it may be difficult to supply these conditions and it may be difficult to decide marginal cases. But, nonetheless, they are conditions which to determine whether they held would, in an ordinary sense, be empirical enterprise. In fact Anscombe maintains that there could never be an exhaustive description of all the circumstances "which theoretically could impair the description of an action of leaving a quarter of potatoes in my house as 'supplying me with a quarter of potatoes'".<sup>31</sup> To utter the words 'I promise' as a promise is to invoke the constitutive rule of promising and we

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29. Ibid, pages 70-71.

30. Speech Acts, page 178.

31. Op.cit., page 71.



can "never fully specify a rule".<sup>32</sup> Now if this is the case then it would be difficult to claim that the relationship between 1 and 2 is one of entailment, although to be sure it would not simply be "an accidental or completely contingent relation".

Premise 1b is simply the empirical assumption that the conditions referred to in 1a obtain. Thus from premises 1, 1a and 1b we have premise 2.

Premise 2a Searle describes as a "tautological (analytic)" premise, because promising is, by definition, an act of placing oneself under an obligation.<sup>33</sup> Thus we can conclude from 2 and 2a that 3 Jones placed himself under an obligation. And with 3 (as Searle notes<sup>34</sup>) we have already arrived at an evaluative conclusion as presumably 'obligation' is an 'evaluative' word.

The remaining premises that may require some discussion at this juncture are the tautologies 3b and 4b, and the ceteris paribus clauses 3a and 4a. The tautologies: if you place yourself under an obligation then you are under an obligation, other things being equal. It is not denied that when you place

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32. cf. Wittgenstein, L., Philosophical Investigations, Part 1, # 185-190.

33. Speech Acts, page 178.

34. Ibid, page 179, footnote.

yourself under an obligation all manner of things may intervene such that you are no longer under an obligation, hence the ceteris paribus rider. Similarly, if you are under an obligation then you ought to do what you are under an obligation to do, other things being equal. Once again it is not denied that when you are under an obligation all manner of things may intervene such that you ought not to do what you are obliged to do, hence the ceteris paribus rider. Premises 3a and 4a simply state that the ceteris paribus riders of 3b and 4b are satisfied and hence we may exclude the possibility in 4 that the obligation is void (eg., Smith has said "I release you from your obligation") and the possibility in 5 that Jones ought not to keep his promise (eg., Jones' obligation to pay Smith five dollars is over-ridden by his obligation to his starving children).

Criticism of Searle's argument has in the main been that he has, if 5 is to be taken as genuinely evaluative, smuggled in an evaluative premise somewhere. There are two places that this evaluative premise is seen to reside; firstly, in the ceteris paribus clauses, and secondly, in the use of the word 'promise'.

The ceteris paribus clauses are designed to exclude a reason to the effect that in the move from 3 to 4 although Jones has placed himself under an obligation he is not now under an obligation, and, in the move from 4 to 5, although Jones is under an obligation he ought not to keep it. We have previously given

examples of the sorts of reasons involved here. Now if there were such reasons then in getting from 3 to 4 and from 4 to 5 we would have to make an evaluation, and Searle certainly does not want this if he is to get to 5 via only descriptive premises. The function of the ceteris paribus clauses as Searle sees it is not that for them to be satisfied we must establish some un-versal negative proposition to the effect "that no reason could ever be given by anyone for supposing the agent is not under an obligation or ought not to keep the promise.... It is sufficient to satisfy the condition that no reason to the contrary can in fact be given."<sup>35</sup> It is of the very essence of the notions of a promise and an obligation - their defeasibility - that their application is always open to objection and indeed that the objections are heterogeneous. Hence Searle remarks, "It is always an open possibility that we may have to make an evaluation in order to derive 'he ought' from 'he promised', for we may have to evaluate a counterargument. But an evaluation is not logically necessary in every case, for there may as a matter of fact be no counter-arguments."<sup>36</sup>

However, James and Judith Thomson<sup>37</sup> have made the point that 4a can be interpreted in two ways: a 'weak' interpretation in which 4a asserts "we, who are considering Jones' case, see no

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35. "How to Derive 'Ought' from 'Is'", page 105, (my emphasis)

36. Ibid, page 105, (my emphasis)

37. "How Not to Derive 'Ought' from 'Is', in Hudson, W.D., (ed) The Is/Ought Question.

reason or know of no reason why he ought not or need not pay", and a 'strong' interpretation in which 4a asserts that there is no reason or at least no conclusive reason why he ought not or need not pay. On the 'weak' interpretation while 4a may be descriptive it does not with 4b entail 5 as there could be some reason why Jones ought not to pay which we do not know about. On the 'strong' interpretation while 4a with 4 b does entail 5, 4a is evaluative. Similarly, McClellan and Komisar<sup>38</sup> maintain that the ceteris paribus clauses are either statements of fact or they are evaluative. Either they mean that someone at this moment cannot, in fact, offer a reason - "for our mouths are stuffed with very hot mashed potatoes" - in which case it would be absurd to suppose that whether Jones ought to pay up "depends on what we happen to be eating, the condition of our memory, or anything else affecting what reasons 'we are actually prepared to give'." Or they mean (where C is a voiding condition) that "the situation contains no condition C properly relevant to an 'ought'-judgement, or ... that there is such a C but that it lacks sufficient force to avoid this particular obligation", in which case they would appear to be evaluative.

Anticipating such an objection, Searle, in his earlier version of the derivation, suggests that he could include the ceteris paribus clauses in the conclusion 5 which would then

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38. "On Deriving 'Ought' from 'Is'", in Hudson, W.D. ibid.

read:

5'. Other things being equal, Jones ought to pay Smith five dollars.

And then it would be immaterial if this ceteris paribus clause was evaluative as he would have deduced the evaluative conclusion 5' without recourse to any evaluative premises. Admittedly this may not sit well with Searle's contention that he has derived a categorical and not a hypothetical 'ought' from a set of descriptive premises.<sup>39</sup> Of course, 5' is still an evaluative conclusion and it is a moral (as opposed to a prudential) conclusion. But supporters of Hume's Law, eg., Hare,<sup>40</sup> have admitted that we can derive a hypothetical evaluative conclusion from a set of descriptive premises and, consequently, maybe we should give them the benefit of the doubt on 5'. However, one objection to 5' which I do not find compelling is that presented by the Thomsons: either 5' is entailed by 4 but it is not evaluative, or it is evaluative but it is not entailed by 4. Their argument runs as follows: either, if 5' is a conditional with 4a as its antecedent under the weak interpretation then 5' is not

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39. "How to Derive 'Ought' from 'Is'", op.cit., page 106. However, as the Thomsons point out it is not clear that 5' is a hypothetical in the intended sense, cf. "How Not to Derive 'Ought' from 'Is'", op.cit., page 165.

40. The Language of Morals, pages 34-36.

entailed by 4, or, if 5' is a conditional with 4a as its antecedent under the strong interpretation then 5' is not evaluative, rather it "is analytic - if the Law of Excluded Middle is - and therefore not evaluative."<sup>41</sup> But it does not seem to me that 5' with 4a as its antecedent under the strong interpretation is an instance of the Law of Excluded Middle. If we recall that the strong interpretation of 4a was "there is no reason or no conclusive reason" then for 5' to be an instance of the Law of Excluded Middle 5' must be read as "Either there is no conclusive reason why Jones ought not to pay Smith five dollars or there is a conclusive reason why Jones ought not to pay Smith five dollars". But 5' does not say anything of the sort; rather, it may be read as "Either there is a conclusive reason why Jones ought not to pay Smith five dollars or Jones ought to pay Smith five dollars". That is 5' is not an instance (as the Thomsons would have it) of  $P \vee \sim P$  but rather  $P \vee Q$ .<sup>42</sup>

But whether or not Searle is right in making the shift from 5 to 5' is somewhat immaterial because I believe that such a shift is quite unnecessary. We can rebut the objections to the ceteris paribus clauses without making recourse to 5'.

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41. "How Not to Derive 'Ought' from 'Is'", op.cit., page 165.

42. cf., Hancock, Roger N., Twentieth Century Ethics, Columbia University Press, 1974, page 216.

Objections to Searle's derivation of an 'ought' from an 'is' which are directed against the ceteris paribus clauses are, quite simply, irrelevant. We may take 3a and 4a to be assumptions employed by the general argument such that we exclude the possibility in 4 that the obligation is void and the possibility in 5 that Jones has conflicting obligations. In asserting 3a and 4a we are not necessarily making the evaluative judgement that there are no conditions which would void the obligation or that there are no obligations which would conflict with Jones' obligation to pay Smith five dollars, we are simply making that assumption. And this is a reasonable assumption to make because it is not logically necessary that there be these voiding conditions or these conflicting obligations, ie., it is possible for there to be the case where there are no voiding conditions and where Jones does not have any conflicting obligations. Of course, it is equally possible that the obverse is true, but this is irrelevant for the purposes of the current argument, ie., an argument which attempts to derive an evaluative conclusion from a set of descriptive premises. 3a and 4a attempt to exclude this possibility, for the purposes of the current argument, and surely it is not the anti-naturalist's argument that we cannot derive an 'ought' from an 'is' because there is the problem of conflicting obligations. The problem of conflicting obligations is a quite separate problem from the problem of the alleged fact/value gap, and 4a by assuming that there is no such conflict simply insists on this point. That is to say, we might preface Searle's general argument with some

question to the effect that assuming that for some obligation there are no conditions which make it void and no obligations with which it is in conflict can we derive an evaluative conclusion from a set of descriptive premises? Searle believes that he can answer this question in the affirmative and he believes that his derivation of an 'ought' from an 'is' justifies that affirmative answer. Now it may be true that this derivation will not go through in the possible case where the abovementioned assumptions do not hold. But that possibility is quite irrelevant to the current argument because the realisation of that possibility is a distinct problem from the problem of deriving an 'ought' from an 'is'.

Searle makes essentially the same point, but more graphically, by reformulating his argument such that we assume a situation where it is impossible that the obligation is void and we reformulate the conclusion of the argument such that it is irrelevant that Jones has obligations in conflict with his obligation to pay Smith five dollars. In this reformulation Searle dispenses with the ceteris paribus premises altogether. He then alters the premise 3b to read:

3b\* All those who place themselves under an obligation are (at the time when they so place themselves) under an obligation.<sup>43</sup>

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43. Speech Acts, page 179.



(Such a move is also going to require that for formal neatness we preface premises 1-5 with 'at time t'). Now Searle regards 3b\* as a tautology which surely it is given that one cannot have succeeded in placing oneself under an obligation if at no time was one under an obligation. This is not to deny that all manner of things may occur subsequently which may make the obligation void, but the point still remains that at the time one places oneself under an obligation one is under an obligation.

Analogous to the tautology of 3b\* we have:

4b\* All those who are under an obligation ought, as regards that obligation, to do what they are under an obligation to do.<sup>44</sup>

(The premise 4b\* replaces the original premise 4b.) Once again it is not denied that there may be obligations which outweigh the obligation which one has undertaken. But this is not to say that the original obligation has been qualified or that it does not exist : there must be an obligation in the first place to be outweighed. As Searle argues:

I may be in a conflict as to which of two conflicting obligations I ought to carry out, which of the two I should perform and which I should breach. I may be justified in not doing what I ought to do as regards a particular obligation. My breach may even

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44. Ibid, pages 180-181.

be excused, sanctioned, or even encouraged.  
To all this the fact that I ought to do what  
I have undertaken an obligation to do is  
logically anterior.<sup>45</sup>

Searle now suggests that we read 5 as:

5\* As regards his obligation to pay Smith  
five dollars, Jones ought to pay Smith  
five dollars.<sup>46</sup>

Now 5\* would appear to be a categorical 'ought', in that sense of  
'categorical' employed in ethical theory. It does not say that  
Jones ought to pay up if he wants such and such, or even if other  
things are equal, rather it says that Jones ought, as regards his  
obligation, to pay up.

Finally we may note that with 3 we have, before we have  
had to employ any of the ceteris paribus clauses, arrived at  
an evaluative conclusion because presumably to say that Jones  
placed himself under an obligation to pay Smith five dollars  
is an evaluative statement - presumably 'obligation' is an  
evaluative word. Maybe we should not be surprised that we can  
drive an 'obligation' from an 'is', or at least that the objec-  
tions of the Thomsons and McClellan and Komisar will have no  
effect against such a derivation. After all it will be true  
that someone has placed themselves under an obligation whether

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45. Ibid, page 180, footnote.

46. Ibid, page 181

or not the obligation is now void, or whether or not there are obligations which are in conflict with the obligation he has placed himself under. This observation, and the arguments I have presented above, may give us cause to think that if we are to mount a serious objection to Searle's derivation our objection must focus on the move from 1 to 3, and indeed this is the basic thrust of the objections I shall consider below.

Hare, in his criticism of Searle's derivation, concentrates his attention on the "relations between (1a) and (2a)" and he believes that "the argument will be simplified" if we combine 1a and 2a thus:

1a\* Under certain conditions C anyone who utters the words (sentence) 'I hereby promise to pay you, Smith, five dollars' places himself under (undertakes) an obligation to pay Smith five dollars.<sup>47</sup>

Hare then argues that 1a\* is evaluative. What are Hare's arguments for claiming that 1a\* is evaluative? Well, one 'argument' of Hare's which I think will certainly not do is his statement that "(1a\*) is neither a synthetic statement nor a synthetic prescription about how English is, or is or ought to be, spoken. Just because it has the consequences which Searle claims for it, it must be more than this."<sup>48</sup> But this is surely

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47. 'The Promising Game', in Foot, P., op.cit., page 117

48. Ibid, pages 118-119

to beg the question against Searle. Allowing for the moment that 1a\* does have certain consequences, for example, that it may prescribe how people should act or that it enables us to conclude 'he ought' from 'he promised', and allowing for the moment that Searle would claim that 1a\* is descriptive, Hare cannot argue that 1a\* really is evaluative simply because it has those consequences. This is the very point at issue. Searle's argument is that from a descriptive statement we may derive an evaluative conclusion, or to put the point another way, descriptive statements (or at least some of them) have certain consequences (for example, those statements themselves or those statements entail statements which guide action). To simply reply to this argument that these descriptive statements are not really descriptive but evaluative because they have those consequences is to beg the question.<sup>49</sup>

But in any case I do not think it is necessary that Searle deny that 1a\* is evaluative, in fact I believe he would maintain that 1a\* is evaluative is just the point of his argument. Searle has put the following objection to his own argument:

as soon as we literally and unreservedly  
use the word 'promise', an evaluative  
element enters in ...

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49. cf., my earlier comments in the opening paragraphs of this chapter, and cf., Searle's "How to Derive 'Ought' from 'Is'", op.cit., page 107.

To which Searle replies:

In a way, you are here stating my argument as if it were an objection against me. When we do use a word literally and unreservedly we are indeed committing ourselves to the logical properties of that word. In the case of a promise, when we assert 'He made a promise' we commit ourselves to the proposition that he undertook an obligation.<sup>50</sup>

In other words 1a\* is indeed an evaluative statement, but it is not a premise of Searle's argument, it is not the conjunction of 1a and 2a, rather it is the logical consequence of the conjunction of 1a and 2a. And Searle would not deny that from the conjunction of 1a and 2a we may derive an evaluative statement; that is precisely his point. Using the abbreviations we employed in stated Searle's derivation we have:

A) The conjunction of 1a and 2a;  
 $C \supset (U \supset P) \quad . \quad P \supset pu0$

B) 1a\*;  
 $C \supset (U \supset pu0)$

Now Searle does not want to deny A) and he would admit that B) is evaluative. But he would deny that B) is logically equivalent to A) for then he would not have derived an

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50. Speech Acts, page 194.

evaluative statement from a set of descriptive statements. But he would admit that B) is the logical consequence of a) for then he would have derived an evaluative statement from a set of descriptive statements. And it is obvious that B) is not the logical equivalence of A) although it is the logical consequence of A). Of course, it may turn out that A) is also evaluative, but what will not do as an argument for A's) being evaluative is that B) is evaluative.<sup>51</sup>

However, the above argument, especially the objection that "as soon as we use the word 'promise' unreservedly and literally, an evaluative element enters in", may suggest to us an objection which I think is the most serious that Searle's derivation must face. And certainly Hare's later argument suggests such an objection. Flew has pointed out that there is a "necessary and decisive" distinction to be drawn between using the word 'promise' as a detached reporter of verbal usage and using that word as an engaged participant in the language of which that word forms a part.<sup>52</sup> When one switches from the use of the word as a detached reporter to the use of the word as an engaged participant "there comes exactly that commitment to the incapsulated values which alone warrants us to draw the normative conclusions."<sup>53</sup> Hence Flew does not disagree with Searle's statement that "when

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51. Hancock, op.cit., pages 214-215, argues similarly.

52. "On Not Deriving 'Ought' from 'Is'", in Hudson, op.cit., page 141.

53. Ibid, page 141.

we assert 'He made a promise' we commit ourselves to the proposition that he undertook an obligation". But, Flew would argue, this commitment only holds if we are using the word 'promise' as an engaged participant but not if we are using the word as a detached reporter. At most what will follow if we are using the word as a detached reporter is "Jones undertook what a certain group of language users call an obligation" which, of course, is not to say that Jones is under an obligation.

There are three ways that we may proceed to argue here only one of which is of merit. And even this argument does not show that Searle was unsuccessful in what he was attempting to do, although it does show that Searle's derivation and the general context within which that derivation takes place is somewhat removed from the central and most important problem of moral philosophy.

Firstly, we may argue that if we put the premises of Searle's derivation in oratio obliqua then Searle's derivation is invalid: we will not be able to derive the conclusion 5 or 5\* but something like

5\*\* According to a certain group of language users Jones ought to pay Smith five dollars.

But this objection is misconceived and I think Searle counters

it by pointing out of course we can rewrite the premises of Searle's argument in oratio obliqua and thereby make the derivation invalid, but this does not show that the argument which uses premises which are not in oratio obliqua is invalid. If the fact that we can rewrite the premises of Searle's argument in oratio obliqua shows that Searle's argument is invalid then we can show any valid argument to be invalid; eg., with the premises from which we can validly draw the conclusion "X is a valid deductive argument" we can generate an invalid argument by rewriting the premises in oratio obliqua, but, surely, this does not show that the original argument was invalid.<sup>54</sup>

Secondly, and by way of reply to the above argument, it may be said that we have failed to see the true significance of the distinction between the engaged participant and the detached reporter. And the true significance is this: it is only if we take Searle's use of the word 'promise' to be in oratio obliqua that Searle can correctly claim that he has derived an evaluative statement from a set of descriptive statements because it is only when the word 'promise' is used in oratio obliqua that its use is descriptive. But I think that this objection too is misconceived. Searle would not deny that the premises of his argument when put in oratio obliqua are descriptive and he would not deny that when the premises

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54. Speech Acts, pages 196-197.



are taken in a literal and unreserved sense that "there comes exactly that commitment to the incapsulated values which alone warrants us to draw the normative conclusions". But what he would deny is that the premises of his argument when taken in a literal and unreserved sense are any the less descriptive for that.<sup>55</sup> As Hudson<sup>56</sup> points out the distinction between the engaged participant and the detached reporter use of words is not the distinction between fact and value. Surely the statement that "Jones promised to pay Smith five dollars" is a factual statement: the statement "Jones uttered the words 'I promise to pay you, Smith, five dollars'" is either true or false - this is not a matter of opinion or decision - and therefore so too must be the statement "Jones promised to pay Smith five dollars" (whether we take this in oratio obliqua or not). Similarly, the statement "The grocer carted a quarter of potatoes to my house and left them there" is either true or

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55. Alternatively, as Searle argues:

If you like then, we have shown that 'promise' is an evaluative word since we have shown that the notion of promising is logically tied to the evaluative notion of obligation, but since it is also purely 'descriptive' (because it is a matter of objective fact whether or not someone made a promise), we have really shown that the whole distinction needs to be re-examined.

Speech Acts, page 187. As Searle also points out we are emphasizing the conflation of the two non-coincident distinctions mentioned earlier, viz., the distinction between two types of illocutionary force and the distinction between that which is objectively decidable as true or false.

56. "The Is-Ought Controversy", in Hudson, op.cit., page 170

false and so is the statement that "The grocer supplied me with a quarter of potatoes" (whether we take this in oratio obliqua or not). Maybe statements like "he promised" are a different type of factual statement to other statements, and Searle would admit this for he distinguishes between institutional facts and 'brute' facts, but this will not salvage the anti-naturalists' case. Their thesis has been that no descriptive statement may entail an evaluative statement. And it will not do to point out that as soon as we literally and unreservedly use certain descriptive statements an evaluative element enters in and therefore such statements are not really descriptive. It is precisely Searle's point that there are certain descriptive statements that incapsulate values which enable us to draw normative conclusions. (Maybe such statements are to be distinguished from other descriptive statements but, as previously, this will not salvage the anti-naturalists' case.)

Finally, we may argue that the distinction Flew has drawn is really meant to mark a distinction between, as it were, speaking within an institution and speaking from outside it. And that in making this distinction we are drawing attention to the fact that we may describe the facts within the institution and thereby commit ourselves to the logical consequences of such a description or we may describe the same facts from outside the institution and thereby not commit ourselves to the logical consequences of the description made within the institution. And the point here is not just that we can talk from

outside the institution as well as from within it, but that this is a decision we must make depending on whether we accept the institution or not, in particular depending on whether we decide to accept the logical consequences of a description made within the institution. That is to say, while speaking within the institution of promising, for example, we may be committed to certain evaluative conclusions, for example, that Jones ought to pay Smith five dollars, we may also speak from outside the institution of promising and ask whether there ought to be such an institution. As Mackie argues:

There are other institutions, with associated speech acts, that have the same logical form as promising. Children use the word 'Bags' as part of a well-defined institution. Whoever first says 'Bags I the chocolate cake' thereby purports to have an exclusive right to the chocolate cake. So we can construct an argument like Searle's, leading from 'John first said "Bags ..."' by way of 'John bagged ...' to 'John has a right to ...' But here it is even more obviously an open question whether we are to endorse the institution or not.<sup>57</sup>

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57. Ethics: Inventing Right and Wrong, Penguin, 1977, page 71.

Now Searle has distinguished between descriptions made within and from the outside of the institution:

There are two radically different ways of taking the phrase 'commit oneself to (accept) the institution of promising'. In one way it means something like (a) 'undertake to use the word 'promise' in accordance with its literal meaning, which literal meaning is determined by the internal constitutive rules of the institution'. A quite different way to take the phrase is to take it as meaning (b) 'endorse the institution as a good or acceptable institution'. ... Sense (b) of commitment really is a matter of opinion (at least as far as the present discussion is concerned) but there is nothing subjective about the statements involving commitments in the sense of interpretation (a).<sup>58</sup>

I think Searle's statement in parenthesis should be emphasized. Searle has only intended his derivation as a derivation within the institution of promising and the purpose of his derivation is to show the falsity of what he calls "the classical theory of 'evaluative' statements" which maintains that "descriptive statements cannot entail evaluative statements". Here I think Searle has succeeded. But Searle admits that he has not

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58. Speech Acts, pages 194-195.

shown that there ought to be the institution of, for example, promising. Hence it is difficult to formulate the speaking within and speaking outside distinction as an objection to Searle's argument, a point which Mackie appears to concede:

Nevertheless, the popular formulation of the law (Hume's Law) is misleading. From sets of 'is'-statements which are purely factual, which conceal no value terms, we can derive not only hypothetically imperative 'ought'-statements but also moral ones. Admittedly we do so only by speaking within some institution, but this can itself be a part of ordinary language.<sup>59</sup>

The intent of Searle's derivation was to show that this so-called popular formulation of Hume's Law was, at best, misleading. He states his own "tentative conclusions" thus:

1. The classical picture fails to account for institutional facts.
2. Institutional facts exist within systems of constitutive rules.
3. Some systems of constitutive rules involve obligations, commitments, and responsibilities.
4. Within some of those systems we can derive 'ought's' from 'is's' ...<sup>60</sup>

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59. Op.cit., page 72.

60. Speech Acts, page 186.

To repeat, I think that Searle has achieved what he set out to achieve. But the question remains 'Just how important is this achievement for moral philosophy more generally?'. I am afraid that the answer must be 'Not very much'. This is less a reflection on Searle's argument and more a reflection on the context within which Searle's argument takes place; the 'ordinary language' approach to moral philosophy. To show as Searle has done that we may derive an 'ought' from an 'is' within our ordinary language which has imbedded in it certain institutions goes a very little way (if that) to answering the central problem in meta-ethical theory: is morality subjective or objective? For, as Searle admits, it still remains an open question whether we ought or ought not to endorse those institutions. Similarly, we have, so to speak, the institution of inductive reasoning, and given that institution it will be true or false whether this particular argument is an inductive argument. But still we may question whether we ought to have the institution of inductive reasoning, whether, that is, inductive reasoning is justified. And it may be, as Barker points out,<sup>61</sup> that the notion of induction is deeply imbedded in our ordinary language and, indeed, that the very words with which we wish to discuss the problem of induction are "permeated by a commitment to the practice of induction, a practice which shapes our entire form of life." But as Salmon argues<sup>62</sup> this

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61. Op. cit., pages 60-61

62. Op.cit., pages 68-70

should not lead us to suppose that those who seek a vindication for induction are simply making 'inarticulate cries'. Similarly, it may be the case that given the institution of promising it may be true or false whether John promised. Nevertheless, we may still ask whether there ought to be the institution of promising, even if that institution "shapes our entire form of life".

What is at issue with Searle's derivation is, I think, two ways in which we may say that a statement is true. There are those statements which describe what we have called an 'institutional' fact. Now these statements may be said to be true or false but only in relation to the existence of a certain institution. But it seems to be open to us (at least, for all that Searle has said) to question whether that institution ought to exist and this seems to be a matter of opinion or decision. On the other hand, certain statements describe (or purport to describe) how the world is, and they are said to be true when certain objectively determinable facts hold in the world. But it does not seem to be open to us to decide how the world is. How the world is seems to be quite independent of us.

However, I hesitate to say that Searle's argument goes absolutely no way to supplying an answer to the question of whether morality is subjective or objective. For we may be able to supplement Searle's argument with an argument to show that some institutions are good institutions and that whether they

are good or not is a matter of objective fact. One way to show that such matters are matters of objective fact would be to advance a naturalistic theory of goodness and in the following chapters we will consider whether such a theory is in principle a plausible theory. It may appear strange that I am here advocating that Searle's argument needs supplementation with a naturalistic theory of moral value when Searle saw his derivation of an 'ought' from an 'is' as an exposure of what he calls 'the naturalistic fallacy fallacy'. But we should realise that a naturalistic theory is not simply a linguistic theory, ie., it is not simply the theory that we may derive in ordinary language an 'ought' from an 'is', but it is the theory that morality is objective, that moral statements are, in principle, objectively determinable, and Searle's argument has not established this. What Searle's argument has established, if only to a somewhat limited extent, is the truth of a descriptivist theory. But, as I pointed out in Chapter I, we must distinguish between a descriptivist theory and a naturalist theory of morality: a descriptivist theory may be correct, ie., it may be true that certain descriptive statements (eg., those which describe 'institutional' facts) entail certain evaluative statements, but not all descriptive statements are naturalistic (ie., objectively determinable).



CHAPTER V

THE ARGUMENT FROM MOTIVATION

The argument from motivation has figured as one of the central arguments addressed against cognitivism, and, in particular, against naturalism. (I will mainly consider the argument as an argument against naturalism, although most of what I have to say is relevant to cognitivism more generally if my comments are suitably emended.) However, there are two major formulations of the argument which should be distinguished: while the premises of the arguments are the same their conclusions are distinct. We will call one argument, 'the psychological argument', and the other, 'the meta-linguistic argument'. Of particular interest will be the psychological argument which I take to be the argument presented by Hume: this is an argument which attempts to show that there are distinct psychological states or acts (whether mental or physical will be immaterial for our purposes) that are distinguished by the motivational influence they have on our actions. I will argue -

- (a) that the psychological argument must be put in a modal form and is invalid, and

- (b) that even if the argument were valid this would not show that naturalism is false given a certain common interpretation of what constitutes a naturalistic theory.

The meta-linguistic argument I take to be the reinterpretation of Hume by more recent theorists in meta-ethics, for example, R.M. Hare. This argument attempts to show that certain sentences (or rather certain sentences when used as moral judgements or reason judgements) are distinct in their meaning. Against this argument I will argue -

- (a) that it is unsound because at least one of its premises is false (which, of course, is a criticism that applies equally to the psychological argument as its premises are identical to the premises of the meta-linguistic argument), and
- (b) that even if we grant the soundness of the argument this does not show that naturalism is false given a certain plausible interpretation of what constitutes a naturalistic theory.

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Hume's argument may be succinctly put as follows:<sup>1</sup> it makes the claim that moral judgements are not reason judgements because reason judgements never have any motivational influence on our

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1. Cf., A Treatise of Human Nature, ed., Selby-Bigge, pages 457, 462.

actions whereas moral judgements do have a motivational influence. As it stands the argument seems to rest on Leibniz's Law, but the conclusion intended for the argument seems to amount to more than just the claim that as a matter of contingent fact any moral judgement is distinct from any reason judgement; rather, it seems to be the claim that to confuse a moral judgement with a reason judgement would involve one in some sort of conceptual confusion, or, to put the point more neutrally, that a moral judgement cannot be a reason judgement.

It would be as well to ask before proceeding further, what is it that we mean here by the term 'judgement'? In particular, what is it that we are claiming does (or does not) have a motivational influence on our actions? Frank Snare in a recent paper makes the point that "'judgement' is here not being used to refer to the propositions or claims which can be the content of belief but rather to the beliefs themselves": we are contrasting the motivational influence of "two kinds of psychological states".<sup>2</sup> Now Snare might mean by this, and this would certainly be a common way to construe the term 'judgement' in meta-ethical theory, that what is posited as having (or not having) a motivational influence is not simply a proposition or sentence S, but

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2. 'The Argument from Motivation', Mind, Vol.84, 1975, pages 1-9.

I have relied heavily on Snare's paper for this chapter. Snare provides some excellent criticism of the premises of the argument from motivation. However, he only seems to consider the argument in its psychological form when it seems clear that some of the authors to whom he attributes the argument, for example, Stevenson, were intent on advancing a meta-linguistic version of the argument.

rather the affirming of S, or the assenting to S, or the subscribing to S, and so on. What we might term, with due caution, a 'propositional attitude'. Thus Hume's argument could be rendered as follows: a moral judgement (the affirming of a certain sentence) has a motivational influence and a reason judgement (the affirming of a certain sentence) does not have a motivational influence and, therefore, moral judgements and reason judgements are distinct psychological states.

A similar argument is presented by Hare, although we should note immediately that when Hare declares that a moral judgement is not a reason judgement he does not mean that they are distinct psychological states, but rather that their contents are distinct, or, as we might put it, a moral sentence is not identical in meaning to a reason sentence.<sup>3</sup> Hare<sup>4</sup> distinguishes between the 'phrastic' and 'neustic'. The 'neustic' performs one of the functions of the Frege-Russell assertion sign, viz., the signifying of the use or affirmation of a sentence, and the 'phrastic'

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3. Although echoes of Hume's psychological argument remain in Hare's statement of the argument: cf., Freedom and Reason, pages 70-71.

4. The Language of Morals, pages 18-20. And cf., 'Meaning and Speech Acts' in Practical Inferences, Macmillan, 1971, pages 90-93: in this paper Hare introduces the notion of a 'tropic', the mood indicator, but there is no need for us to introduce this notion to our discussion.

appears to be that which is asserted or affirmed, viz., a sentence or, more accurately, a sentence of a certain mood - (the relevant moods here being the indicative and imperative mood). Corresponding to such a sign of affirmation is, for Hare, a sign for agreement or assent for use by a hearer.

Now this supposedly provides us with -

A clue to the essential difference between statements [ie., indicatives] and commands [ie., imperatives]; it lies in what is involved in assenting to them; and what is involved in assenting to them is ... closely allied to what is involved in affirming them in the first place.<sup>5</sup>

What is involved here is that "we assent to a second-person command addressed to ourselves ... if and only if we do or resolve to do what the speaker has told us to do"<sup>6</sup>. And similarly with first person commands, except affirmation and assent, are identical. But such is not the case with assenting to or affirming a statement: this does not involve doing or resolving to do something, but merely, as Hare puts it, believing something. Thus we may characterise the difference between indicatives and imperatives as follows: to assent to or affirm the sentence S,

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5. The Language of Morals, page 19, (my emphasis and my inclusion in square brackets)

6. Ibid, pages 19-20.

where S is in the indicative mood, does not have a motivational influence on our actions; but where S is in the imperative mood, assenting to or affirming S does have a motivational influence.

However, this characterisation of the essential difference between indicatives and imperatives requires some modification. According to Hare assenting to or affirming an imperative necessarily has a motivational influence - "It is a tautology to say that we cannot sincerely assent to a command addressed to ourselves, and at the same time not perform it".<sup>7</sup> But while assenting to or affirming an indicative sentence may have a motivational influence, it does not necessarily have a motivational influence. That an indicative has a motivational influence is dependent on the contingent fact that we have a certain want or desire:

For example, if I say 'The train is just about to depart', this may guide a person who wants to catch the train to take his seat.<sup>8</sup>

That is to say, if I assent to or affirm an imperative sentence, for example, 'Let me do X', then necessarily I do or resolve to do X. But if I assent to or affirm any indicative sentence there is no such implication.

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7. Freedom and Reason, page 79.

8. The Language of Morals, page 163.

If we recall that for Hare a moral judgement either is or entails an imperative then we see the putative difference between moral judgements and judgements of fact: a moral judgement necessarily has a motivational influence on our actions but a factual judgement does not, and thus a moral judgement is not a factual judgement. As Hare argues, "If we admit ... that it is part of the function of a moral judgement to prescribe or guide choices ... then it is clear ... that no moral judgement can be pure statement of fact".<sup>9</sup>

Now this argument of Hare's is in all essential respects just that argument we have attributed to Hume. Of course, there are two important differences that have come to light in our explication of Hare's argument; firstly, his concern is not to show that moral judgements and reason judgements are distinct psychological states, but rather to maintain that the logic (sic) of a moral judgement is distinct from that of a reason judgement; and secondly, his argument is quite clearly cast in a modal form. But as we shall see, even the psychological version of the argument from motivation must be cast in a modal form if it is to have even the semblance of cogency.

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9. Ibid, page 29, and cf., Freedom and Reason, pages 68-69.

The non-modalized form of the argument from motivation may be rendered succinctly thus:

- (I') (1) No Reason judgements have a motivational influence on our actions.
- (2) All Moral judgements have a motivational influence on our actions.
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- (3) Moral judgements are not Reason judgements.

Obviously we need not question the argument's validity. However, we might question the truth of the argument's premises. And the difficulty here is that it does not appear that we can settle the truth of (1), for example, until such time as we have settled the truth of the conceptual claim in (3). For example, as Snare has pointed out:

If contrary to (3), moral judgements are just a species of reason judgement, then the factual observation in (2) that moral judgements have a motivational influence would serve to show that (1) is false.<sup>10</sup>

If, however, (1) involved a claim of logical necessity, then the truth of the factual observation in (2) could not serve to show that (1) is false, since then it must be the case that reason judgements do not have a motivational influence on our actions.

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10. Op.cit., page 3.



Thus Snare says, "if we had a non-empirical argument of some sort for (1) we would be in a quite different position".

Taking cognizance of the distinct modal propositions generated by the qualifiers 'necessarily not' and 'not necessarily' - the strong and the weak modal propositions respectively - we can record the following premises, (using 'R-J' for 'reason judgement', 'M-J' for 'moral judgement' and 'MIA' for 'motivational influence on our actions' to avoid the vagaries of English grammar):

- (1a) All R-J's are necessarily not MIA.
- (1b) Not all R-J's are necessarily MIA.

And for premise (2)

- (2a) All M-J's are as a matter of fact MIA.
- (2b) All M-J's are necessarily MIA.

The argument from motivation might use the following combinations of the above premises: (1a) with (2b), (1a) with (2a) and (1b) with (2b). An argument which employed the premises (1b) with (2a) would not suffice to get the conclusion desired; such an argument would only show that as a matter of fact moral judgements are not reason judgements.

Now I would like to comment briefly on the argument which uses the two strong modal claims, (1a) with (2b). This is especially important in the light of my later argument. While

an argument which employed the two strong modal claims would be valid, it is a highly problematic argument. For the argument is such that whatever reason we have for claiming that one premise is true seems to provide us with sufficient reason for believing the other is false.

For example, let us suppose that we believe that (1a) is true, and we counter the argument that surely it is possible for a reason judgement (let us say, a belief about a matter of fact) to have a motivational influence by saying that a reason judgement alone (ie., without an appropriate desire or pro-attitude) necessarily does not have a motivational influence. Well, under this interpretation let us suppose that (1a) is true. But let us also suppose that we reject the conclusion of the argument - what follows, and is what follows acceptable? If we believe that (1a) is true, and that moral judgements are reason judgements, then it follows that a moral judgement (let us say, a belief that such and such is good) alone does not have a motivational influence - we would require in addition to the belief that such and such is good the desire to choose that which we judge to be good. And that does not seem obviously false; certainly it is a claim which in maintaining so much less than (2b) is that much more readily defensible. Now, of course, the supporter of the argument from motivation might attempt to provide some defence of (2b), but what will not do as a defence is the claim that moral judgements are not reason judgements, for that would be to beg the question against the naturalist. And, with an eye to what has been written in defence of (2b), it is difficult to



imagine just what might be adduced in support of (2b) other than the (at least, implicit) assumption that moral judgements are not reason judgements.

On the other hand, let us suppose we believe (2b) to be true and we understand this to be the claim that moral judgements alone necessarily have a motivational influence. But if we believe that to be the case, and that moral judgements are reason judgements then it follows that some reason judgements alone have a motivational influence. But is the conclusion that some reason judgements alone have a motivational influence acceptable? Now it is the conventional wisdom that a belief alone may not bring about an action. However, there are two ways that we may take that conventional view. The first is that just as a matter of fact reason judgements alone do not have a motivational influence, and the second is that it is necessary that reason judgements alone do not have a motivational influence. The first interpretation of the conventional view is, of course, consistent with a rejection of (1a): it may be true that reason judgements alone de facto do not have a motivational influence, but false that they necessarily do not. But, also, this first interpretation of the conventional view would, if true, show that it is false that some reason judgements alone have a motivational influence. So the important question for our purposes is whether this view is correct? To maintain that this view is false it is not necessary that we attempt to argue that all reason judgements alone have a motivational influence, but only that some of them do. Indeed,

all that follows from the acceptance of (2b) and that moral judgements are reason judgements is that some reason judgements have a motivational influence. Let us ask is it plausible to suppose that some reason judgements alone have a motivational influence? Consider the following case of a prima facie reason judgement: I believe that this car is about to run me down so I step back onto the footpath; was there any desire or pro-attitude present, in addition to my belief, that brought about my action? We might say, despite apparent evidence to the contrary, that I must have had such a desire or pro-attitude. But in saying that we seem to be saying that it is necessary that reason judgements alone do not have a motivational influence; ie., we are affirming the truth of the second interpretation of the conventional view. But now, how do we decide the truth of such a a priori claims without invoking the truth of our analysis of the springs of human action, or, as so often seems to be the case in discussions of the argument from motivation, without invoking the truth of our meta-ethical theory?<sup>11</sup>

Let us now turn to a discussion of the arguments which employ the premises (1a) with (2a) and (1b) with (2b). Consider first the argument which employs the premises (1a) and (2a). As Snare has pointed out:

In fact we can even get by with a weakened form of (2a) to the effect that it is always

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11. Cf., Snare, ibid, who argues similarly at pages 4-6.

possible for a moral judgement to have a motivational influence even if none of them in fact do.<sup>12</sup>

Thus we arrive at the following form of the modal argument:

- (I) (1a) All R-J's are necessarily not MIA  
 (2a) Not all M-J's are necessarily not MIA  


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 (3) M-J's are not R-J's.

We have already given some reason to suppose that (1a) is highly problematic. But that (1a) is problematic might suggest to us that we reject (1a) in favour of (1b). However, having done that we must adopt premise (2b) as nothing short of (2b) would suffice to get the conclusion desired. Thus we have:

- (II) (1b) Not all R-J's are necessarily MIA.  
 (2b) All M-J's are necessarily MIA.  


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 (3) M-J's are not R-J's.

We have already given some reason to suppose that (2b) is highly problematic. Certainly, it is a reasonably common move of those who have attacked the argument from motivation to maintain that it is possible for a moral judgement not to have a motivational influence.<sup>13</sup> Indeed, Snare in commenting on both arguments

12. *Ibid*, page 3.

13. For example, Philippa Foot, 'Moral Beliefs' in *Theories of Ethics*, ed., P. Foot, Oxford University Press, 1967, page 96.

(I) and (II) maintains that the arguments are unsuccessful because of the problematic nature of the strong modal claim that each of them employs (ie., premises (1a) and (2b)). But I would argue that there is something more seriously wrong with arguments (I) and (II) than merely that the premises are dubious; they are invalid.

This is so because it is highly doubtful that Leibniz's Law holds over modal predicates: that is, the following open sentence is not valid:

$$(x) (y) \quad [(x=y) \supset (L\phi x \supset L\phi y)]$$

As is often claimed, modal contexts are referentially opaque. Thus, while the head of cabinet is necessarily the Prime Minister, it does not follow that the leader of the Liberal Party is necessarily the Prime Minister, even though in fact the leader of the Liberal Party is the head of cabinet. I suppose that this is one of those things that keep Labor Party hopes alive.

To put the point generally and in a way that more closely parallels argument (I): it does not follow from the premises

A's are necessarily  $\phi$

B's are not necessarily  $\phi$

that B's are not A's; thus it does not hold that if heads of cabinet are necessarily Prime Ministers, and leaders of the Liberal Party are not necessarily Prime Ministers, then leaders of the Liberal Party are not heads of cabinet. Clearly then argument (I)

does not establish that moral judgements are not reason judgements. And by parity of reasoning argument (II) does not establish that moral judgements are not reason judgements.<sup>14</sup>

But let us suppose that the arguments are valid; that is to say, let us suppose that either arguments (I) or (II) (or both) show that moral judgements and reason judgements are distinct psychological states. Does the argument, even so, prove that naturalism is false? A certain common conception of what constitutes a naturalistic theory is that it is a theory which maintains that some ethical term, eg., 'good' is definable as 'F' (some naturalistic term). Now it may be true that to affirm, believe, etc., some such sentence as 'This is good' is a distinct psychological state or act from the affirming of 'This is F': but from this fact it would not follow that 'good' and 'F' (or the sentences, 'This is good' and 'This is F') are not synonymous, unless one adopted the implausible theory that two sentences are non-synonymous if they are the content of distinct psychological states.

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14. It might be replied that I have failed to distinguish between de dicto and de re necessity and that I have treated the premises of the argument from motivation as claims of de dicto necessity, when, in fact, they should be treated as de re: there are genuine properties such as  $L\phi$  such that what we have called Leibniz's Law does hold in modal contexts. To answer this objection fully would require that we make an excursion into the troubled waters of quantified modal logic. However, I will simply state that in fact I believe all necessity can be classified as de re but that de re necessity does not imply the existence of such peculiar modalized properties as  $L\phi$  in addition to the property  $\phi$ . This is a position that has been argued for by Alan R. White in his Modal Thinking, Blackwell, 1975, Chapter 11, especially page 177.

However, as we have noted, the argument is subject to an important variation labelled earlier, 'the meta-linguistic argument', which is the argument from the same premises we have considered, but to a different conclusion. We have thus far criticized the argument as one which attempts to show that no moral judgement is identical with a reason judgement (in the sense specified). It is now time to consider the argument as one which attempts to show that the linguistic expression of a moral judgement is not identical in meaning to the linguistic expression of a reason judgement.

Pretty clearly such a treatment of the argument requires some particular theory of meaning: I would suggest some speech-act theory where the illocutionary force (perhaps the perlocutionary force?) of assenting to or affirming S is to be counted as part of the meaning of S or determines its type of meaning.<sup>15</sup>

While I believe such a theory faces considerable problems, I do not intend here to embark on a criticism of that sort.<sup>16</sup>

Rather I will claim that the argument from motivation is unsuccessful in showing that moral sentences and reason sentences are not

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15. Certainly Hare holds such a theory; cf., 'Meaning and Speech Acts' and appendix thereto, and 'Austin's Distinction between Locutionary and Illocutionary Acts' in Practical Inferences.

16. Cf., Chapter IV.



identical in meaning, on the grounds that the premises of the argument are highly dubious. (For the purposes of the counter argument we will restrict our attention to argument (II)).

I do not wish to deny that morality has something to do with conduct: I only wish to deny that this is a matter of necessity. I will claim that while (2b) is false it is nonetheless true that moral judgements as a matter of fact have a motivational influence. Thus I will not be denying the apparent fact that there is something odd about believing or affirming some such sentence as 'I ought to do X' and yet not doing or resolving to do X: such a situation would only be, as we might put it, factually odd and not logically odd. Now what precise explication we should give for the link between our moral judgements and our actions is not altogether clear, but, plausibly, it may only be that as a matter of fact most people most of the time have an interest in or pro-attitude towards that which they judge to be good or what they ought to do. In any case, all that is required to reject (2b) and accept that there is some connection between morality and conduct is to show that it is possible for a moral judgement not to have a motivational influence even though, in fact, it is very rarely the case that a moral judgement does not have a motivational influence. I would claim that I may affirm or subscribe to some sentence like, 'I ought to perform my filial duties' (prima facie a moral judgement) and yet not do or resolve to do what I affirm I ought to do. Hare's reply to such a claim would seem to be that my affirmation of the sentence, 'I ought to perform my filial duties'

where such an affirmation does not have a motivational influence is not an instance of a moral judgement. Rather, it is an 'inverted commas' use of 'ought', in effect, it is an instance of a reason judgement. But it is difficult to see what is being adduced for the truth of this reply other than the truth of Hare's meta-ethical theory. This is a particularly conspicuous problem for Hare as it turns out to be merely a matter of definition that a moral judgement necessarily has a motivational influence: and why should a naturalist accept that definition?<sup>17</sup>

But let us suppose that the meta-linguistic argument is sound; does it show that naturalism is false? Certainly, if we take naturalism to be that theory we have explicated previously, i.e., the theory that moral sentences are definable as reason sentences, then naturalism is false. We have called such theories 'definist theories'. But is this the only plausible conception of what constitutes a naturalistic theory that has been, or may be, advanced in ethical theory? This is a difficult question to answer; for one thing, those who have so regularly attacked naturalism have not set out at all precisely just what theory it is that they claim is false. However, if we turn to Moore, for example, and his celebrated attack upon naturalism, the so-called Open Question Argument,<sup>18</sup> then by implication, at least, it would seem that he conceived of naturalism as a theory which maintained that the property goodness is identical to the

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17. The Language of Morals, page 164, and cf. my concluding remarks in Chapter III.

18. Principia Ethica, Cambridge University Press, 1903, Chapter 1, especially pages 15-16.

property F (some naturalistic property). Or, in other words, that the terms 'good' and 'F' refer to one and the same property. We have called such theories 'account theories'. Now it is by no means obviously the case that if we show a defintist theory of naturalism to be false, that we have shown an account theory to be false. Such would be the case only if it were true that two terms refer to one and the same property only if the terms are synonymous. But there has been much debate in recent times, particularly in discussions of materialism, whether this criterion of synonymy is necessary for property identity.<sup>19</sup> In the next chapter we will look a little more closely at Moore's conception of naturalism and his argument against naturalism. We will also examine the plausibility of an account theory.

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We may conclude that the argument from motivation provides no bar to the presentation of a naturalistic theory. In its psychological form the argument is invalid and in any case will

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19. For example, cf., J.J.C. Smart, 'Sensations and Brain Processes' in The Mind/Brain Identity Theory, ed. C.V. Borst, Macmillan, 1970, pages 58, 63; Max Deutscher, 'Mental and Physical Properties', in The Identity Theory of Mind, ed. C.F. Presley, University of Queensland Press; G.A. Malinas, 'Physical Properties', Philosophia, vol.3, No.1, 1973, pages 17-31.

not prove a definst theory of naturalism false. In its meta-linguistic form the argument has dubious premises (a criticism that applies equally to the psychological argument) and in any case will not prove that an account theory of naturalism is false.

CHAPTER VI

THE OPEN QUESTION ARGUMENT AND PROPERTY IDENTITY

Moore's argument against naturalism, the so-called Open Question Argument, may be viewed in two, although not totally unrelated, ways. Firstly, there is the way that it has normally been discussed, namely, as an argument that solely concerns itself with the meaning of words: is the word 'good' identical in meaning to 'F' (some naturalistic term)? Secondly, we may discuss the Open Question Argument in a way that more closely parallels Moore's treatment of the argument, namely, as an argument about property identity: is the property goodness identical to the property F, or, in other words, do the terms 'good' and 'F' refer to one and the same property? Now the two questions that we have here raised are not necessarily unrelated. For if it were the case that two terms refer to one and the same property if and only if the terms are synonymous then clearly a negative answer to the first question will entail a negative answer to the second. But the distinction we have drawn between the two ways that the Open Question Argument may be viewed is, nonetheless, important. Not only does it raise the question of what Moore intended to prove with the Open Question Argument, but it also raises the more important and general question that even if we have shown that

'good' does not mean 'F' does this show that naturalism is false? For surely, quite plausibly, we could regard naturalism not as a 'definist' theory, ie., as a theory which maintains that 'good' is definable as 'F', but as a theory which maintains that the property goodness is identical to the property F, what we've called an 'account' theory. We are drawing a distinction here between theories of naturalism which, to some extent, parallels Mackie's<sup>1</sup> distinction between two sorts of second order moral question, viz., the 'linguistic' (what is the meaning of 'good?') and the 'ontological' or 'factual' (what is the nature and status of goodness?). And I think it should be clear that to show definist theories of naturalism to be false will not be to show that account theories are false unless it is true that for two terms to refer to the one and the same property the terms must be synonymous.

Our discussion of Moore's Open Question Argument will be divided into two sections. The first will concern itself with the question of whether the Open Question Argument successfully shows that 'good' is not identical in meaning to 'F'; I will argue that it does not. In the second section I will argue that even if the Open Question Argument (or some such similar

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1. Ethics, Penguin, 1977, pages 19-20. We are drawing a distinction which possibly Nakhnikian also had in mind when he argues that Moore has successfully shown that "elucidatory analysis, in the 'linguistic' manner, will not yield a naturalistic definition of 'good'. But so far we have no reason to despair of giving a naturalistic account of goodness". 'On the Naturalistic Fallacy' in Studies in the Philosophy of G.E. Moore, (ed.) Klemke, E.D., Quadrangle Books, 1969, pages 67-68.

argument) has shown that 'good' is not identical in meaning to 'F' this does not show that the terms 'good' and 'F' do not refer to the one and the same property; that is to say, even if the Open Question Argument has shown definist theories of naturalism to be false this does not show that account theories of naturalism are false.

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Moore's Open Question Argument can be put succinctly as follows.<sup>2</sup> Where 'F' is some naturalistic expression, if naturalism were true then questions of the form 'Are F's good?' would be equivalent to 'Are F's F?' (because 'good' just means 'F' according to a definist naturalistic theory). But to ask 'Are F's good?' is not the same as asking 'Are F's F?', because the former, but not the latter, is a significant question. That is, the former, but not the latter, is the open question. Therefore, naturalism is false.

In an excellent discussion of the Open Question Argument, Roger Hancock<sup>3</sup> paraphrases Moore's argument in the form of the following reductio:

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2. Cf., Principia Ethica, Chapter 1, especially pages 15-16.

3. 'The Refutation of Naturalism in Moore and Hare', The Journal of Philosophy, 1969, pages 326-334, and cf., his Twentieth Century Ethics, pages 28-32.

- (1) If naturalism is true, then some sentence of the form 'Whatever is F is good' is analytic, where 'F' is replaceable by a non-ethical (what we have called, 'naturalistic') expression.
- (2) If ethical sentences of the form 'Whatever is F is good' are analytic, then we cannot significantly ask, 'Are F's good?'.
- (3) But we can significantly ask 'Are F's good?'
- (4) Therefore, no sentence of the form 'Whatever is F is good' is analytic, and hence naturalism is false.

As Hancock notes, the argument is valid but difficulties arise when we consider the notion of 'significance' which is clearly integral to the argument. Hancock then proceeds to rule out several trivial interpretations of the notion and suggests that what must be meant by saying that we can significantly ask 'Are F's good?' is that it is not contradictory to deny such sentences. But this is only another way of saying that sentences of the form 'Whatever is F is good' are not analytic. Now if in maintaining that we can significantly ask 'Are F's good?' (the contention of premise (3)) we mean simply that sentences like 'Whatever is F is good' are not analytic, we are, in affirming (3), simply denying the truth of the consequent of (1), and so the argument begs the question against naturalism. As Hancock remarks:

The hedonist, for example, will surely have no trouble with Moore's argument; having



defined 'good' as 'pleasant' and holding that 'Whatever is pleasant is good' is analytic, he will simply reply that in point of fact it is self-contradictory to say that something is pleasant and yet not good. Moore would have no answer; at the very most his argument only pushes the dispute back a step, without doing anything to settle it.<sup>4</sup>

(The emphasis that I have given to Hancock's last comment should be noted as it will be important in our latter discussion.)

But one might feel that anti-naturalists have employed the Open Question Argument in not quite this question begging fashion. That is to say, they have believed that there are independent grounds for affirming (3) such that one can affirm (3) without simply assuming naturalism false. What might these independent grounds be? While it is true that Moore himself did not regard the Open Question Argument as a linguistic test and that there is textual support in Principia Ethica for Hancock's contention that Moore employs the Open Question Argument in the question begging fashion we have just explicated, it is equally true that philosophers after Moore have treated the argument as some sort of test of proposed naturalistic definitions against our ordinary linguistic intuitions. Maybe even for Moore the plausibility of the argument rested, albeit unconsciously, on such a view.

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4. Ibid, page 329.

Frank Snare,<sup>5</sup> in commenting on Hancock's paper, makes this very point. By making recourse to Ryle's distinction between 'knowing-how' and 'knowing-that',<sup>6</sup> Snare maintains that we can know some such proposition as 'Are F's good?' is an open question without assuming the truth of a meta-ethic to the effect that 'good' does not mean 'F'; that is, we can affirm (3) without assuming that naturalism is false. Says Snare:

The speaker of English knows how to use the word but it doesn't follow that he knows certain propositions which mention the word 'good' are true or even that he is aware of any such propositional claims.<sup>7</sup>

Now Snare wisely notes that he does not wish to make any spectacular claims for this test, but one might wonder just how much one can claim for it, especially in the light of Brandt's well-known distinction between overt and covert synonymy.<sup>8</sup> The basic thrust of Brandt's distinction I take to be that we cannot fully trust our linguistic intuitions. For Brandt, of terms that are overtly synonymous it is

true that the person, for whose usage they are overtly synonymous, thinks after the briefest reflection (if the question is

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5. 'The Open Question as a Linguistic Test', Ratio, 1975 pages 122-129.
  6. Ryle, G., The Concept of Mind, Penguin, 1973, pages 26-60.
  7. Op.cit., page 126
  8. Ethical Theory, Prentice-Hall, 1959, pages 163-166.

put to him) that the two terms are merely different verbal devices for saying the same thing; he recognizes them intuitively as alternate, freely interchangeable expressions .... This is not true if two terms are only covertly synonymous.<sup>9</sup>

And Brandt contends that naturalistic definitions of 'good' cannot plausibly be said to be overtly synonymous, but "the only serious question is whether any naturalistic definition really qualifies as being covertly synonymous with some ethical term".<sup>10</sup>

I do not believe that Brandt has adequately explicated the notion of covert synonymy and the same can be said for the definition that I offer below. But this is immaterial to the major thrust of my argument which only requires that we have some immediately plausible device that shows that the Open Question Argument does not of itself prove naturalism false, but at best 'pushes the dispute back a step' - in this case back to questions of synonymy, which pretty clearly the Open Question Argument itself will not be able to settle. We may adopt this plausible device:

Two terms P and Q are covertly synonymous  
= df A question of the form 'Is P Q?'  
appears open yet P and Q are synonymous.

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9. Ibid, page 163.

10. Ibid, page 164.

(We might say that according to our 'knowing-how' knowledge when we use the terms P and Q in some such question as 'Is P Q?' the question appears open, but that according to our 'knowing-that' knowledge, say according to some meta-ethical theory, P and Q are synonymous.)

In the course of his argument against the Open Question Argument Brandt makes two points.<sup>11</sup> Firstly, two terms might be synonymous and yet a question of the form 'Is P Q?' might not appear closed to a competent speaker of English, because the terms P and Q are covertly synonymous. Secondly, two terms might not be synonymous and yet a question of the form 'Is P Q?' appear closed. Pretty clearly the second point does not rely on the distinction between overt and covert synonymy (it seems to rely on the correlative distinction between overt and covert non-synonymy) and in any case is of little interest as regards the Open Question Argument. At most this point would show that we cannot claim that it follows if we have an apparently closed question of the form 'Is P Q?' (ie., the question is non-significant) then the terms P and Q are synonymous. But in order to affirm (3) and draw the conclusion (4) of the Open Question Argument we need not claim that a closed question of the form 'Is P Q?' is a sufficient condition of synonymy. All (3) claims is that a question of the form 'Are F's good?' is a

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11. Ibid, page 165

significant question, ie., that it is an open question, from which it follows that 'F' and 'good' are not synonymous. That is to say, the Open Question Argument only requires that an open question of the form 'Is P Q?' is a sufficient condition of non-synonymy.

Now it is against the contention that an open question is a sufficient condition of non-synonymy that Brandt's claim that naturalistic definitions of 'good' provide a definiens which is only covertly synonymous with the definiendum is relevant. For Brandt's claim is in effect this: we formulate a question of the form 'Is P Q?' to test against our linguistic intuitions whether indeed P is synonymous with Q; and if the question 'Is P Q?' is not closed then we conclude that P and Q are not synonymous; but we might (in effect) be mistaken in our claim that 'Is P Q?' is not closed because the terms P and Q really are (covertly) synonymous - the question only appears to be not closed.

However, Kai Nielsen<sup>12</sup> has argued that Moore's argument is still effective, even if we grant that terms may be covertly synonymous. The thrust of his argument seems to be contained in the following passage:

Yet the open-question argument ... remains effective if it justifiably makes the following negative points: (1) only if

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12. 'Covert and Overt Synonymity : Brandt and Moore and the "Naturalistic Fallacy"', Philosophical Studies, 1974, pages 51-56.

our question is not actually an open question can it be the case that the expressions have the same meaning, and (2) only if we have grounds for believing the question to be a closed one ... can we have grounds for asserting that the expressions in question have the same meaning. Moore could then go on to assert that for any of the naturalistic definitions offered we have no grounds for believing that a question so formed by the use of them is a closed question. All such questions seem, even after careful examination, to be open questions. Since this is so, we have good, though not absolutely conclusive, grounds for rejecting them as adequate definitions of good.<sup>13</sup>

But it does not seem to me that this will do as a defence for the Open Question Argument. Let us consider the first point which Neilsen thinks the argument justifiably makes. This point states that a closed question of the form 'Is P Q?' is a necessary condition of synonymy; ie., an open question is a sufficient condition of non-synonymy. Now it does not seem to me that Brandt has denied that a closed question of the form 'Is P Q?' is a necessary condition of synonymy; indeed, given that by a closed question we simply mean that it is a question formed

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13. Ibid, page 53, (first two emphases mine)

from a proposition that it is not self-contradictory to deny, then it must be the case that a closed question is a necessary condition of synonymy. But Brandt's point is that a question of the form 'Is PQ?' might appear (to competent speakers of English) not to be closed, when in fact it is, because the relevant terms are covertly synonymous (this is just a statement of the definition of covert synonymy and a closed question). Now if the Open Question Argument could justifiably show that a question of the form 'Is P Q?' is actually not a closed question, and not just that it appears not to be closed, then, of course, it must follow that naturalism is false. But the very point of Brandt's notion of covert synonymy is that the Open Question Argument cannot justifiably assert that a question of the form 'Are F's good?' is not actually closed, although it might appear that it is not closed, but from this we cannot conclude that the expressions 'F' and 'good' are not synonymous given the notion of covert synonymy.

Neilsen's second point seems to be this: if we have no grounds for believing a question of the form 'Is P Q?' is closed then we have no grounds for asserting that expressions P and Q are synonymous, and that as regards 'good' and 'F' we have no grounds for believing that a question of the form 'Are F's good?' is closed and therefore we have no grounds for asserting that 'F' and 'good' are synonymous. However, I think that a naturalist (who was advancing a defintist theory such that 'good' and 'F' are only covertly synonymous) would readily accept if we have no

grounds for believing a question of the form 'Are F's good?' is (actually) closed, then we have no grounds for asserting that 'F' and 'good' are synonymous. But such a naturalist would go on to say that equally, if we have no grounds for believing that a question of the form 'Are F's good?' is not closed, we have to that extent no grounds for asserting that 'F' and 'good' are not synonymous (given that 'F' and 'good' are covertly synonymous). I suppose it might be replied that surely we do have some grounds for believing that a question of the form 'Are F's good?' is not (actually) closed, viz., it appears not to be closed to ordinary speakers of English. But the whole point of introducing the plausible notion of covert synonymy is to deny that when a question of the form 'Are F's good?' appears not to be closed that this provides grounds for believing that it is not closed. What seems to be implicit in Neilsen's argument is that the only grounds one could have for asserting that 'F' and 'good' are synonymous is that the question 'Are F's good?' appears closed; but by the very definition of covert synonymy the naturalist will, of course, deny this. Still one might ask what grounds does the naturalist have for claiming that 'good' and 'F' are synonymous (after-all, if the terms are only covertly synonymous then they will not be obviously synonymous). We should immediately note that once again we have reached a stage where the Open Question Argument has only succeeded in pushing the dispute back a step; the argument has not succeeded in showing that



naturalism is false but we find ourselves having to consider whether indeed a naturalist does have grounds for claiming that 'F' and 'good' are synonymous. That is, we must turn to the works of various naturalists to discover if they do indeed provide sufficient grounds for believing that 'good' is (covertly) synonymous with 'F'. The Open Question Argument is not an argument which provides us with the grounds for believing such theories to be mistaken in advance of a careful examination of those theories.

Thus I would maintain that the Open Question Argument has not shown that naturalism is false: it either begs the question or at best merely pushes the dispute back a step without doing anything to settle the issue.

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Thus far we have discussed Moore's Open Question Argument as if his only concern was to show that 'good' was not identical in meaning to 'F'. But while I think it is true that this was at least part of his concern it was not his only concern. Moore did not simply view the naturalist fallacy as a fallacy of attempting to define 'good' naturalistically, but as a fallacy of misidentifying the property good with some naturalistic property. Thus Moore says in the summary of his argument:

'Good', then, denotes one unique object of thought among innumerable others; but this object has very commonly been identified with some other - a fallacy which may be called 'the naturalistic fallacy'.<sup>14</sup>

And in the body of his work he remarks that he is not concerned with "verbal questions" about 'good', but rather his

business is solely with the object or idea, which I hold, rightly or wrongly, that the word is generally used to stand for. What I want to discover is the nature of that object or idea ...<sup>15</sup>

It would seem that in Principia Ethica when Moore asks for a definition he is interested in a real definition, ie., a

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14. Op.cit., page xiii. There are two facets of Moore's argument, both implicit in this quotation, that we will ignore: 1) his contention that 'good' denotes a simple object, and 2) that 'good' denotes an object of thought, ie., a non-existent universal. Herbert Hochberg, 'Moore's Ontology and Nonnatural Properties', in Studies in the Philosophy of G.E. Moore, (ed) Klemke, E.D., Quadrangle Books, 1969, pages 95-127, has argued that Moore's distinction between natural and non-natural properties stems from an ontology Moore held at the time of writing Principia Ethica. Non-natural properties are construed by Moore as non-existent universals (although, as they are entities, they have being) while natural properties are existent, substantial parts of particular objects.

15. Principia Ethica, page 6.

definition where the definiendum is an object or idea, rather than a nominal definition where the definiendum is a word, in this case the word 'good'.<sup>16</sup> This concern of Moore's with the property goodness and whether or not it is identical with some naturalistic property can be found throughout Moore's text and I will not attempt any further to establish that concern: whether this was Moore's concern or not, it is, in any case, an interesting question in its own right.<sup>17</sup>

Now the Open Question Argument seems pretty clearly an attempt to show that propositions of the form, 'Whatever is F is good' are not analytic, ie., that 'good' and 'F' are not synonymous. Let us suppose that the Open Question Argument (or some such similar argument) has successfully shown that propositions of the form 'Whatever is F is good' are not analytic; does this show, as Moore evidently believed it did, that 'F' and 'good' do not refer to the same property? Or as we might put it, even if the Open Question Argument has shown that definist theories of naturalism are false, does this show

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16. cf., ibid, page 8.

17. The interpretation of Moore that I am giving here has also been given by, for example, H.J. Paton, 'The Alleged Independence of Goodness', in (ed) Schlipp, P.A., The Philosophy of G.E. Moore, Library of Living Philosophers, 1952, especially page 133.

that account theories are false? To maintain that in showing a definist theory to be false we have shown that an account theory is false we must assume that it is not possible for there to be contingent property identity statements.

By a contingent property identity statement we will mean a statement which is true, where the terms flanking the identity sign are non-synonymous or are not logically equivalent, and where the terms refer to or express properties. We will not discuss the view of Kripke,<sup>18</sup> et. al., that all statements of identity are, if true and if the terms flanking the identity sign are 'rigid designators', necessary. Finally, we will assume, as we must if we are to take problems of property identity seriously, that we should be realists, in some sense, about properties.

The denial that there are contingent property identity statements may be appropriately called 'the traditional view'. Now the traditional view seems to be readily controverted by a consideration of examples of property identity statements like the following:

- (1) The colour property common to ripe tomatoes  
= the colour property common to pillar boxes.
- (2) The property John is thinking about = the  
property Peter is thinking about.

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18. 'Identity and Necessity', in (ed) Munitz, M.K., Identity and Individuation, New York University Press, 1971. pages 135-164.

- (3) Yellow = the emission of light waves of such and such a wavelength.

The above statements are true (or we may at least assume that they are true) and they are contingent. They are contingent in at least three possibly related senses of that word: the terms flanking the identity sign are non-synonymous; we can readily imagine the statements to be false; and we establish their truth not simply by an examination of the meaning of the terms flanking the identity sign - we engage in some sort of empirical exercise.

However, to regard (1) - (3) as counter-instances to the traditional view might be to misconstrue the traditional view. Quine, for example who has stated the traditional view, has also argued that synonymy or logical equivalence of singular terms as a requirement of identity of reference is too strong: where 'A' is any singular term

$$(ix) (x = A.p) = A$$

is true just when 'p' is true (and 'p' is contingent), and yet clearly the left hand side is not logically equivalent to the right hand side.<sup>19</sup> So if we allow that there are singular terms which refer to properties and that the singular terms which refer to properties behave in a logically similar way to

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19. 'Reference and Modality', in From a Logical Point of View, 2nd edition, Harper and Row, 1961, pages 152-153

other singular terms, then there will be contingent property identity statements (viz., those identity statements where the terms flanking the identity sign are singular terms). But Quine has also argued that

What is ... required for sameness of attributes is synonymy, in some sense, of the open sentences which determine those attributes.<sup>20</sup>

Or, as we might put it, reading 'property' for 'attribute' and 'predicate' for 'open sentence', the criterion for property identity is predicate synonymy: ie., two predicates express the same property if and only if they are synonymous. Thus (1) - (3) will not be counter-instances to the traditional view: statements (1) - (3) are identity statements where the terms flanking the identity sign are (complex) singular terms.

But this argument, as G.A. Malinas has pointed out,

assumes that predicates which determine properties and singular terms used as property designators are logically independent.<sup>21</sup>

Are we justified in making this assumption? There are two ways that we may proceed to argue here. Firstly, we may note with Malinas that the names of properties are typically formed by

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20. Philosophy of Logic, Prentice Hall, 1970, page 67.

21. 'Physical Properties', Philosophia, 1973, page 19.

nominalizations of the predicates which express the properties referred to by the names. Secondly, and not unrelatedly, we may note that we may form predicates by the 'predicativization' of the names or singular terms which refer to the properties expressed by the predicates. Thus we might say, from any predicate 'P' we may form, given the nominalization operator  $\underline{n}$ , the name for the property expressed by 'P', ' $\underline{n}(P)$ '. And for any name (of a property) 'N' we may form, given the predicativization operator  $\underline{p}$ , the predicate for the property named by 'N', ' $\underline{p}(N)$ '. For example, from the predicate 'is red' we may form the name 'redness' or 'being red' which names the property expressed by 'is red'. And, for example, from the name 'The colour property common to ripe tomatoes' we may form the predicate 'has the colour property common to ripe tomatoes' which expresses the property named by 'The colour property common to ripe tomatoes'.<sup>22</sup>

Let us turn to our first line of argument. Now while I am not sure of the significance that Malinas places on his grammatical point, one thing I think his discussion makes clear

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22. This last example may not be strictly correct insofar as it could be maintained that the predicate 'has the colour property common to ripe tomatoes' does not express the same property named by 'The colour property common to ripe tomatoes'. The predicate expresses a relational property whereas the name does not. I acknowledge this point but it does not affect my later argument as we shall see.

is one of the forms a counter-example to the traditional view must take. According to the traditional view, where 'P' and 'P<sup>1</sup>' are non-synonymous predicates, then ' $\underline{n}(P) \neq \underline{n}(P^1)$ '. Thus a counter-example to the traditional view would be of the form ' $\underline{n}(P) = \underline{n}(P^1)$ '. Is it possible to present counter-examples of this form to the traditional view? Consider the two predicates, 'has the colour property common to ripe tomatoes' and 'has the colour property common to pillar boxes' - these predicates are not synonymous. Furthermore,

(I)  $\underline{n}$  (has the colour property common to ripe tomatoes) =  
 $\underline{n}$  (has the colour property common to pillar boxes)

is true (although only contingently true). (I) should not be confused with statements like,

(II)  $\underline{n}$  (is red) =  $\underline{n}$  (has the colour property common to  
ripe tomatoes)

which are, arguably, false because ' $\underline{n}$  (is red)' refers to an intrinsic property whereas ' $\underline{n}$  (has the colour property common to ripe tomatoes)' refers to a relational property. In (I) both the left-hand and right-hand side refer to relational properties. (I) should not be confused with statements like,

(III)  $\underline{n}$  (is red) =  $\underline{n}$  (is the colour property common to  
ripe tomatoes)

which are, arguably, false because the contained predicates differ



in extension and hence do not, on any view, ascribe the same property. The extension of 'is red' contains red particulars, whereas 'is the colour property common to ripe tomatoes' contains a property. But in (I) the extension of both predicates contain particulars.<sup>23</sup>

We may now turn to our second line of argument which employed the notion that from the name of a property 'N' we may form the predicate 'p(N)'. Now we have previously argued that we may have contingent property identity statements where the terms flanking the identity sign are singular terms. Let 'M' and 'N' be singular terms which refer to properties and which are non-synonymous such that the statement 'M=N' is true. Now if 'M' and 'N' refer to the same property then presumably the predicates 'p(M)' and 'p(N)' also express the same property (if not the property named by 'M' and 'N'). But if, ex hypothesi 'M' and 'N' are not synonymous then so too are 'p(M)' and 'p(N)'. For example:

- (2)       The property John is thinking about = the property  
          Peter is thinking about

we will assume is true. And as we have seen the traditional view does not deny that identity statements where the terms flanking the identity sign are (complex) singular terms are contingent. Now from the name 'The property John is thinking about' we may form the predicate 'has the property John is thinking about' which expresses the property referred to by

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23. My discussion of a possible counter-example to the traditional view on the form 'p(P)=p(P')' benefits from my reading of an unpublished paper by Frank Jackson, 'On Property Identity'.

the name. And similarly with the right-hand side of (2). Even if the predicates do not express the properties referred to by their respective names they surely express the same property.<sup>24</sup>

Although we have only touched the surface of the problems that surround questions of property identity I think we have sufficient reason to believe that there may be contingent property identity statements and hence that even if the Open Question Argument (or some such similar argument) has shown a defnivist theory of naturalism false this does not show that an account theory is false. The question that remains, of course, is whether an account theory is plausible in the sense that, how would we go about establishing a contingent identity between the property good and some naturalistic property F?

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24. A similar argument has been presented by Chris Mortenson in his Doctoral Thesis, Mental Predicates, University of Adelaide, 1976. I should note that I am aware of an important objection raised by Jackson which may be raised against both of these attempts to show that the traditional view can be compromised by counter-example. Firstly, consider example (I). If (I) is true then it is difficult to explain how in a world devoid of tomatoes an object might (de re) have the colour property common to pillar boxes but could not have the colour property common to ripe tomatoes. Secondly, consider our second line of argument. While 'M=N' may be contingently true it is not clear that 'p(M)' and 'p(N)' describe the same property. For once again, in a possible world it may be the case that an object has the property John is thinking about but cannot have the property Peter is thinking about. To fully answer this objection would take us too far afield into an explication of a view of properties and, in particular, their conditions for identity which would be consistent with a contingency theory of property identity.

In a way the question we have just posed is not the question I will answer: I do not intend to supply a complete and detailed account of how we would go about establishing a contingent identity between good and F, and I certainly will not provide the requisite criteria that would enable us to claim that we have correctly established such an identity; others have attempted to do so although their discussions do not take place within the context of ethical theory.<sup>25</sup> Rather, I wish to focus on one particular issue which I believe is the most important issue for the account theorist. And the issue is that if we are to claim that good is identical to F then we must have some means of establishing that good is identical to F which does not involve the claim that 'good' is identical in meaning to 'F' and which does not assume that F is identical to good in order to establish that identity.

At first glance this might seem not too much of a problem for the account theorist; he might point out that to establish the truth of the statement 'Good = F' is parallel to establishing the truth of the statement 'Yellowness = the emission of lightwaves at such and such a wavelength'. That is to say, we would establish the former in much the same empirical fashion as we would establish the latter. But as Gauthier has pointed

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25. Cf., Putman, H., 'On Properties', in Essays on Honor of Carl G. Hempel, (ed.) Rescher, N., Reidel, Achinstein, P., 'The Identity of Properties', American Philosophical Quarterly, 1974. pages 257-275.

out, to apply the techniques appropriate to the establishment of the identity between a colour and some physical state of affairs to the establishment of an identity between good and F requires that we "first know what things are good, just as we first know what things are coloured ... But how do we know what things are good?"<sup>26</sup>.

What will not do here is to assume that there is some natural property F which is identical to good (so that those things which are good are just those things which are F). Of course, one might use this assumption as a hypothesis but not as the only grounds for claiming that good is identical to F. That is to say, we might hypothesise that F is identical to good and then proceed to test that hypothesis, but in order to test that hypothesis we must first be sure that we have correctly identified the property goodness.

Well, might we not plausibly maintain that we identify certain objects or events as instantiations of goodness in much the same way as we identify instantiations of yellowness? Our first reaction might be to say that surely this is not the case: for we can just see what things are yellow, whereas we cannot just see what things are good.<sup>27</sup> But I am not sure about this.

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26. 'Moore's Naturalistic Fallacy' Gauthier, D.P., page 320. in American Philosophical Quarterly, 1967.

27. Cf., Chapter III.

In an ordinary, perhaps loose, sense of 'see' it does seem to me that we just see what things are good. Would we not agree with the ordinarily acceptable statement that 'I see that this is a good typewriter' or agree that we very often settle whether this is a good typewriter by having a look at it. Or, to take admitted examples of 'evaluative terms', don't we just see that this car is damaged or that this water is clean?<sup>28</sup> It might be replied that it is true that we may speak in such a way but that there is an essential difference here between seeing that this is an instance of yellow and (say) that this car is damaged. In the case of yellow we, as it were, directly perceive that this is an instance of yellow and that no judgemental factor enters into the enterprise. But this is surely mistaken: when I say that I see the professor at his desk (a descriptive or factual statement if ever there was one) do I see (in the amended sense) a professor sitting at his desk, or do I see a human organism sitting behind a wooden construction, or do I see such and such a shape of such and such a colour positioned thus and so in relation to another shape of another colour? We may be reminded here of Wittgenstein's discussion of two senses of 'see'; we may see an object or event and we may "interpret it, and see it as we interpret it".<sup>29</sup> Of course, this is not to deny that in

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28. Cf., Hare, R.M., The Language of Morals, pages 138 and 139. Hare also believes that 'dangerous' is an evaluative expression, and so too, apparently, are 'likely' and 'probable' as in 'It is likely or probable that P', ibid, page 60. cf., Chapter IV.

29. Philosophical Investigations, page 193<sup>e</sup>.

one sense what I 'see' is just a pattern of light on my retina, but as Hanson has so elegantly put it "There is more to seeing than meets the eyeball".<sup>30</sup> It is now a common place to argue that there are no pure observations, or, to put the point linguistically, that there are no pure observation statements. All observations are 'theory laden'. Thus if we imagine Tycho and Kepler watching the dawn they will both have the same 'raw experience' but Tycho will see the sun rising and Kepler will see the horizon dipping relative to the fixed sun. Wittgenstein's point may be expressed by saying that all observations are 'conceptually mediated':<sup>31</sup> according to Wittgenstein when we see an object as something, we must first have mastered the concept of what we are seeing it as, and this requires that we have mastered the practice or technique of applying the concept. Imagine then that I am at a dinner where one of the guests calls the host an idiot and buffoon and thereby offends the host. I see the action of the guest as a rude action, but someone else, say a young child does not. And yet we have both experienced the same 'raw experience'; I see a rude action but the child, who has not mastered the concept of rudeness, does not. For the child to have observed what I observed required that the child had mastered the concept of rudeness. Now it should be emphasized that I am not claiming that in making an observation we have a raw experience upon which we place a certain interpretation and

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30. Patterns of Discovery, Cambridge University Press, 1969, page 7

31. Cf. chapter III.

thereby conclude that I see such-and-such. This is an artificial and misleading abstraction. When I see the professor at his desk I do not conclude that I see the professor at his desk. Rather I have a certain kind of experience, I observe that the professor is at his desk. I do not, as the word 'interpretation' might suggest, form an hypothesis and test this against my 'raw feels' and thereby conclude that the professor is at his desk.

All this might be agreed but still it might be thought that there is some difference between yellowness and goodness such that we could not (as we might for yellowness) establish a contingent identity for goodness. For example, Harman has agreed that when you see a group of hoodlums pour petrol over a cat and ignite it you do not need to conclude that their action is wrong, you do not have to figure anything out, you can see that their action is wrong.<sup>32</sup> Furthermore, he agrees that what you see depends to some extent on your conceptual knowledge: to really see the hoodlums pouring petrol over the cat and setting it alight you must know what a hoodlum is, what flesh and blood animals are, what life is, and so on. Harman agrees that "There are no pure observations. Observations are always 'theory laden'. ... Observation depends on theory because perception involves forming a belief as a fairly direct result of observing

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32. The Nature of Morality, Oxford University Press, 1977, page 4. This should be contrasted with the view of Hare and others that we arrive at our moral conclusions by way of syllogism.

something; you can form a belief only if you understand the relevant concepts and a concept is what is in virtue of its role in some theory or system of beliefs."<sup>33</sup> However, argues Harman,

observation plays a role in science that it does not seem to play on ethics. The difference is that you need to make assumptions about certain physical facts to explain the occurrence of the observations ... but you do not seem to need to make assumptions about any moral facts to explain the occurrence of ... moral observations... In the moral case, it would seem that you need only make assumptions about the psychology or moral sensibility of the person making the moral observation.<sup>34</sup>

But this will not do as an argument against an account theorist. I do not wish to deny that to explain the occurrence of certain observations in science assumptions must be made about certain physical facts, and that no such assumptions have to be made to explain moral observations. However, I do believe that this distinction does not show the impossibility of an account theory. Consider a parallel case. To explain the occurrence of such observations as 'He is in pain' we do not have to assume that the person about whom the observation is

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33. Ibid, pages 4-5.

34. Ibid, page 6.



made is in a certain brain state. Indeed it is very unlikely that we (although possibly neurophysiologists will do so in the future) make such observations on the grounds that someone is in a certain brainstate. It may only be that to explain the occurrence of pain observations we must assume that the criterion of someone being in pain is that they exhibit certain behaviour. This would not be to say that pain is behaviour of a certain sort nor that the word 'pain' means 'behaviour of a certain sort': a person may be in pain and yet not evince that behaviour and a person may evince that behaviour and not be in pain. Nevertheless it may be correct that the criterion of pain is certain behaviour.<sup>35</sup> But, all the same, it will remain an open question whether pain is a certain brainstate irrespective of what assumptions we make in order to explain the occurrence of pain observations.<sup>36</sup> Similarly in order to explain the occurrence of moral observations like 'X is good' it may not be necessary to assume that X has a certain physical (or naturalistic) property. Indeed, it may be very unlikely that we (although possibly account theorists will do so in the future) make such observations on the grounds that X has a certain naturalistic

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35. Cf., Wittgenstein, L., The Blue and Brown Books, Blackwell, 2nd edition, 1969, pages 24-25. For an account of Wittgenstein's notion of a criterion different to mine see Alloriton, R., 'On Wittgenstein's Use of the Term "Criterion"', in (ed.) Pitcher, G., Wittgenstein, Macmillan, 1966, pages 231-250. For a view closer to mine cf., Hamlyn D., The Theory of Knowledge, 1970, pages 69-71.

36. I do not mean to imply that this is Wittgenstein's view. But it does seem to me that we may concern ourselves on the one hand with how it is that we use a word, and on the other with what the object is that the word refers to. In fact it is the essential point of this chapter.

property. It may only be that to explain the occurrence of moral observations we must assume that the person making such an observation has a certain psychological 'set'. But it will remain an open question whether goodness is a certain naturalistic property irrespective of what assumptions we make in order to explain the occurrence of moral observations.

However, we are closer to an objection to the plausibility of an account theory and it is an objection which may underlie Harman's argument. Surely, when a scientist observes a proton passing through a cloud chamber we assume that there really was, 'out there', a proton passing through the cloud chamber. But when someone observes that X is good do we assume that there really is, 'out there', the property goodness which X has? Surely not. But if this is Harman's objection then I think he is guilty of regarding as the only plausible cognitivist theory a straight out objectivist theory. For reasons of ontological parsimony we might find it difficult to admit that in addition to a set of naturalistic properties there are also, 'out there', properties like the non-naturalistic property goodness. Similarly for various reasons, we may not wish to admit as a non-physicalist property the property pain into our ontology. Consequently we may agree that when someone observes that X is good or that Y is in pain we do not assume that there are the properties goodness or pain over and above naturalistic or physicalist properties; although we would deny that there is not something out there which

is identical to goodness or pain, or to which goodness and pain are somehow reducible.<sup>37</sup>

Finally it may be objected that it would be impossible to establish a contingent identity for goodness because there is much disagreement in our moral judgements. Obviously there are also disagreements in our factual judgements, but, it may be claimed, disagreement in morality is of a far more fundamental kind. There are four replies that I think are available to us here:

- (1) there is no fundamental disagreement in morality;
- (2) the difference in disagreement between morality and science is contingent and is not a function of the nature of morality;
- (3) that there is no corresponding difference between morality and science as regards strict logical proof, and
- (4) that the disagreements in our moral judgements are a function of our inadequate knowledge of ourselves and the world.

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37. Although I have used a mind/brain identity theory to illuminate my argument we could take any physicalist program as an analogue.

Some authors<sup>38</sup> have argued that there is no fundamental moral disagreement. If by this claim it is simply meant that there is in fact no disagreement between people as to the actual moral judgements they make, then this claim seems to be pretty clearly false. However, there is a rendering of this claim which makes it far more plausible and we will consider this rendition when we come to examine point (4). But certainly one might be struck when reading Hume, or, as examples of authors in the early part of this century, Moore and Prichard,<sup>39</sup> that these writers seemed to take it for granted that there was general agreement in morality. Now one might interpret this situation by saying that these otherwise percipient philosophers were simply blind to the moral disagreement that surrounded them, or we could take it that they accurately reflected a fairly widespread agreement in morality at least as regards the social milieu within which they moved. This prompts the second point I have listed above.<sup>40</sup>

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38. Cf., for example, Asch, S., 'A Critique of the Psychology of Cultural Relativism', in (ed.) Brandt, R.B., Values and Obligation, Harcourt, 1961, especially page 483. For general discussion which concludes that there is some ultimate ethical disagreement cf., Brandt, R.B., Ethical Theory, Prentice-Hall, 1959, pages 92-104.

39. 'Does Moral Philosophy Rest on a Mistake?', Mind, 1912, especially pages 29-30.

40. The argument that follows owes much to an argument made by J.J. Kupperman, Ethical Knowledge, George Allen and Urwin, 1970, pages 57-60.

Imagine the case where Jones claims that he sees an elephant on the other side of this room. We cannot see the elephant. There is a disagreement between us and Jones on this point. Now let us further imagine that the disagreement cannot be explained in a number of obvious ways: eg., Jones is not drunk, he is not under hypnosis, and so on. Of course Jones may be mentally deranged but his only symptom of insanity is this seeing of an elephant on the other side of the room. How might we go about getting Jones to agree with us?<sup>2</sup> We might bring in other people, all of whom say that there is no elephant there. But even if the criterion of objectivity is intersubjective agreement (which I doubt) it does not follow that if everyone agrees that such-and-such is the case that such-and-such is the case. Suppose that we all go to where the putative elephant is and do not feel an elephant; Jones, however, still claims to feel the elephant. We might photograph the area where Jones says the elephant is standing and produce a blank print to show Jones; but Jones claims to see an elephant on what the rest of us regard as a blank. And so on.<sup>41</sup> There is no logical absurdity in supposing that a situation like that with Jones and the elephant may arise. Indeed it would seem that such situations

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41. Cf., Hampshire, S., 'Fallacies in Moral Philosophy', Mind, 1949, footnote, page 476, where he says:

The word 'fact', here as always is treacherous, involving the old confusion between the actual situation and the description of it; the situation is given, but not 'the facts of the situation'; to state the facts is to analyse and interpret the situation.

have arisen: consider the case of those who claim that there are poltergeists. It does not appear inconceivable that there should be a whole world full of Jones. Thus it would appear that there is agreement on scientific data is contingent.

Conversely, it is contingent that there is much moral disagreement. One could imagine a world composed of people with quite considerable agreement in their moral judgements, say, a society of evangelical Baptists in a world of plenty. Once again there does not appear to be any logical absurdity in such an idea.

The third point that I mentioned can also be illustrated by Jones and the elephant. There is no deductive logical proof which can prove that Jones is wrong. Any such proof would have to involve premises which were simply derivable from experience, but as we have argued there are no such premises. There are no pure observation statements, there is no judgementally free appeal to the facts.<sup>42</sup>

Let us now consider our fourth point which was that the disagreements in our moral judgements are a function of our inadequate knowledge of ourselves and the world. In making this point I do not deny that the actual moral judgements that people make quite often appear to be contrary; eg., the Eskimos think

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42. Cf., footnote 41 above.

it right, in general, to kill the old whereas the Romans seemed to consider the killing of the old, especially ones mother or father, as the most heinous of crimes.<sup>43</sup> But there are two points to be noted. Firstly, there seems to be quite widespread (although not total - but the same holds for questions of fact) agreement on quite general matters. For example, very few groups of people, indeed, if any, think that it is right to kill an adult member of their group who is healthy, who has committed no crime and whose death would not benefit the general welfare of the group. Marriage within the immediate family or sexual intercourse with members of the immediate family is not approved by any society. What these armchair anthropological considerations might suggest is that the criteria for the picking out of an instance of goodness are very general and complex. The second point to make is that it is not clear just how much the disagreements that arise are a function of the conditions that people find themselves in, or, at least, the conditions that people suppose themselves to be in. For example, maybe the Eskimos see the killing of ones mother or father as the merciful cutting short of a life of pain, hunger and misery, the ridding of a life that threatens the very welfare of the group. And possibly the aged themselves, in the vast majority of cases, desire that their life should be ended, seeing their death in the light that the young see it. On the other hand, maybe the Romans saw the act of parricide within the context of an affluent and non-migratory society where the aged could, in general, be adequately

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43. In the comparison of moral judgements across cultures the problem of translation also arises, but we will ignore this problem.

and comfortably supported. The aged did not desire to be killed and people in general saw the act of parricide as simply an attempt to acquire the property of the aged. Likewise when I read Colin Turnbull's account of the Ik of Uganda<sup>44</sup> I was at first struck by the disparity between their moral judgements and mine. But at the conclusion of the book, and after some reflection, I thought that if I were an Ik in their situation I think the moral judgements I would make would be substantially similar to theirs.<sup>45</sup> But these are complex matters and any conclusive answer would require, as Warnock puts it:

that one is driven to look to features of people and of their circumstances that are themselves pretty universal and unapt to change. Thus one seems to be led,

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44. The Mountain People, Picador, 1974. For an account of a people with whom we would be in substantial moral agreement and whose physical and social condition is quite distinct from that of the Ik, cf., Turnbull's, The Forest People, Picador, 1976.
45. For the sort of point I have raised cf., Brandt, R.B., Ethical Theory, pages 92-104, and Ewing, A.C., The Definition of Good, as reprinted in (eds) Edwards, P., and Pap, A., A Modern Introduction to Philosophy, Free Press, 3rd edition, 1973, pages 314-317.



perhaps to one's own astonishment, to the formulation, in a style that may seem positively archaic, of vast generalizations about humans and the human predicament - to what may look alarmingly like a kind of arm-chair anthropology. One is led to deal, as philosophers feel professionally uneasy in doing, in empirical assertions, and even in bald, first-order judgements of value.<sup>46</sup>

But while I am under no illusions that I have conclusively shown that an account theory is correct, I hope that I have sufficiently shown that an account theory is at least a plausible theory; that it is a theory worth persevering with. I also trust that I have made clear that the plausibility of an account theory rests on at least two things:

- (a) a theory of knowledge, and
- (b) certain contingent facts.

I do not think that an account theory is logically impossible. And whether we can actually formulate such a theory seems to depend on whether we will actually take the plunge and engage in those 'vast generalizations about humans and the human predicament' which have proved so unpopular in recent times.

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46. The Object of Morality, Methuen, 1971, page IX.

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