

ACCEPTED VERSION

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Three-dimensional discrete element modeling of direct shear test for granular rubber-sand

Computers and Geotechnics, 2018; 97:204-216

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23 INTRODUCTION

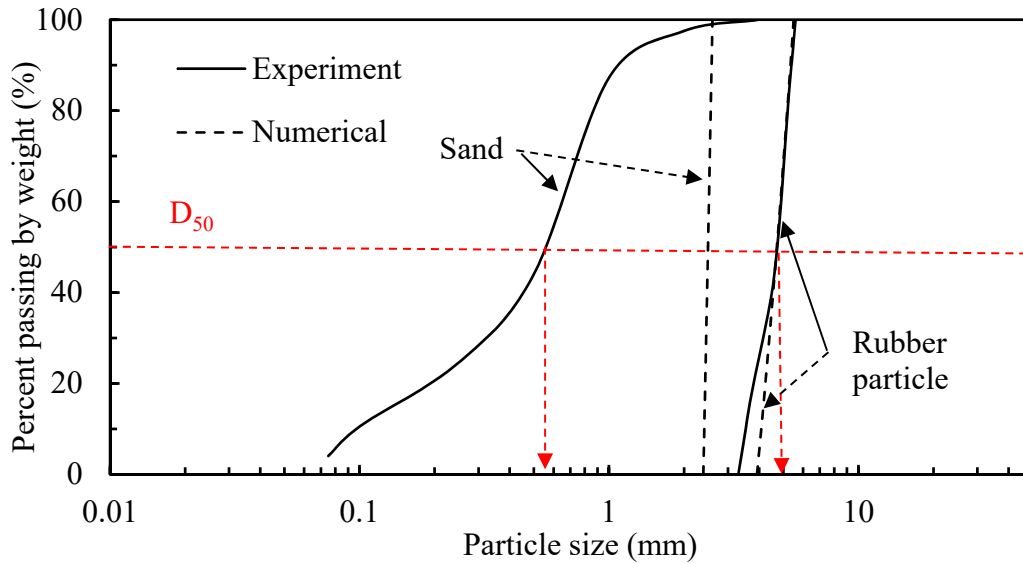
24 There are approximately 48 million tons of waste tires per year generated in Australia; a low
25 percentage is recycled or managed properly [1]. An important solution to increasing the
26 recycling rate is to process the wheels tire into a range of smaller pieces of rubber (e.g.,
27 shreds, chips, particles or fine powers) and incorporate the sliced rubber elements as
28 reinforcements into soils [2-4]. The formed mixtures outperform the soils in respect to
29 resilience, strength, ductility and damping [5-7]. The demonstrated advantages arises from
30 the rubber material's capacity of increasing inter-particle interactions which were confirmed
31 in triaxial [3, 5, 8-9], direct shear [10-13] and uniaxial pull-out tests [14].

32 Rubber particles can be mixed with sand into rubber-sand fill [11]. The fill exhibits
33 better workability than the shred- or chip-based mixtures [15]. For the same reason the
34 granular rubber-sand mixtures avoid segregation problems and aim at applications where
35 otherwise are difficult to access. Additional value lies in the rubber-sand being lighter in
36 weight by 20-40% than the sand backfill depending on the materials per cent used [16]. The
37 use of the lightweight material reduces loads acting on the surrounding infrastructures or
38 utilities (e.g., retaining walls or pipelines). Rubber-sand is also graded to facilitate water
39 percolation and drainage and thus avoid environment or climate related concerns such as frost
40 heave. Direct shear tests conducted on rubber-sand samples suggested that the material shear
41 strength remains similar in magnitude to that of sand, demonstrating a substitute for sand
42 backfills [3, 16-17]. To understand the shear behavior, discrete element modeling was

43 conducted on rubber–sand mixtures subjected to direct shear tests [3, 8, 12, 18-19]. These
44 studies gained insight into the inter-particle interactions and demonstrated the role of rubber
45 particles in changing the material fabrics and the material stiffness. Most of the discrete
46 element simulations were implemented in a two-dimensional plane which under-represents
47 the three-dimensional shape of the particles and neglects the boundaries associated with the
48 samples [20-22]. The purpose of this study is to conduct three-dimensional numerical
49 simulations on the rubber–sand subjected to direct shear tests. The discrete element method is
50 used to conduct the simulations. The simulations are validated against laboratory test results
51 and then deployed to examine how the rubber particles inclusion influences the material shear
52 behavior.

53 **MATERIALS AND METHOD**

54 The materials include sand and rubber particles. The respective gradation curves are shown in
55 Figure 1. The sand ($D_{50}=0.58$ mm) is well graded to fit into the pore space of the rubber
56 particles ($D_{50}=5$ mm). Define specific volume fraction χ = the rubber particle specific volume
57 over the total specific volume of the mixture. Design a series of samples with $\chi=0, 0.19, 0.34,$
58 $0.47, 0.58$ and 1 , respectively, where $\chi=0$ and $\chi=1$ define the pure sand and the pure rubber
59 particle samples, respectively. A mixture with $\chi>0.6$ was not viable due to particles
60 segregation [15, 23]. The corresponding weight fraction is $0, 0.1, 0.2, 0.3, 0.4$ and 1 ,
61 respectively. A mixer was used, following the steps shown in Ghazavi [11], to gain a
62 uniformly distributed mixture.



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Figure 1 Particle size distribution of sand and rubber particles.

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Standard direct shear tests were performed. The sample size measures $60W \times 60L \times 40D$ mm, which was chosen to satisfy the sample size vs. particle size criterion. Pour the sample into the shear boxes, and even and level the materials, enabling a uniform distribution. Prepare four identical samples for one fraction χ and subject the four samples to vertical load $\sigma_v = 100, 200, 300$ and 400 kPa, respectively. Shear the samples at a rate of 1 mm/minute until the occurrence of the greatest shear stress or 5 mm displacement, whichever occurs earlier.

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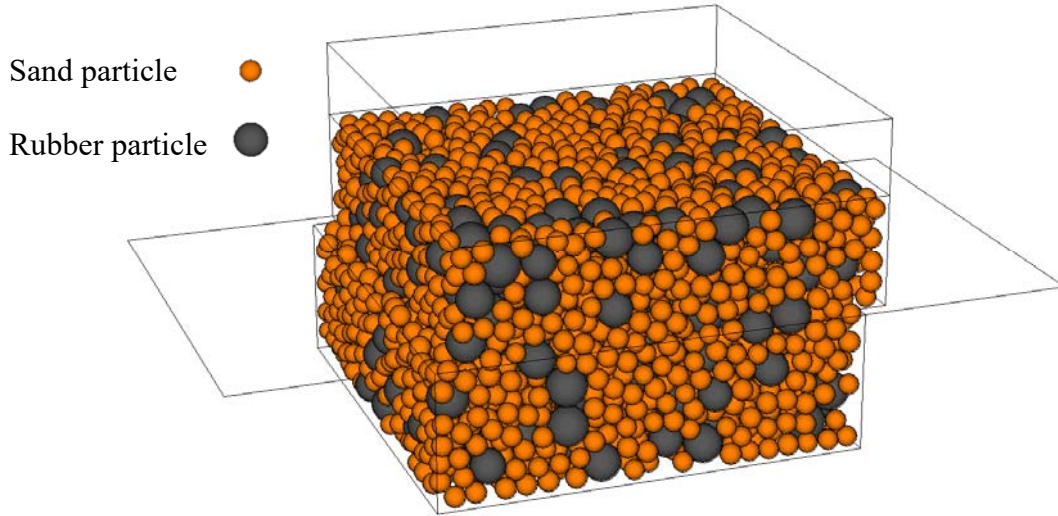
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Discrete element simulation was conducted using a commercially accessible software package Particle Flow Code (PFC) 3D. Assemble together ten pieces of wall (a PFC simulation object) to form a compartment, with respective dimensions representing the shear boxes, as shown in Figure 2. Inside the box compartment is the spherical particles assembly, with the particle sizes designed in agreement with main portions of rubber particles and sands, respectively. A mass scaling [19] was applied to the particle sizes, enabling a better computer simulation, as having been attained in other studies [8, 24]. The scaling results are provided in Figure 1. Depending on the mixture examined, there are about $6,000$ sand particles and $1,000$ rubber particles created to fill up the boxes space. The mixture in the shear boxes is

80 shown in Figure 2. After placing the particles inside the shear boxes, apply the servo-control
 81 method [25] to release excess sphere contact forces where there were.



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83 **Figure 2** Material assembly in direct shear boxes.

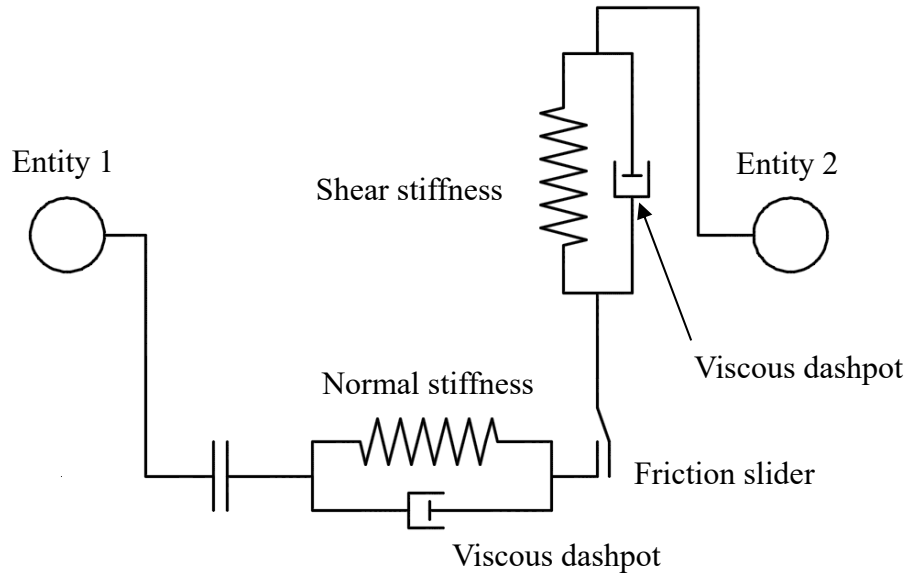
84 The linear elastic model of PFC3D was used to replicate the shear
 85 stress–displacement relations. The linear model outperforms the nonlinear Hertz model in
 86 respect to the use of the servo-control, which is a model in-built developed to maintain a load
 87 acting onto the material [25]. The linear model is illustrated in Figure 3. Two entities (or
 88 particles), 1 and 2, interact. The interaction is modeled through a set of physical units: springs,
 89 dashpots and a slider. The springs are used to create a linear elastic relation between relative
 90 displacement and contact force. The dashpots are applied to provide viscosity at shear and
 91 normal directions, respectively. The material properties for the simulation are summarized in
 92 Table 1. In the table, the inter-particle properties were determined by PFC3D using the
 93 following equations:

$$\frac{1}{\mathbf{k}_n} = \frac{1}{\mathbf{k}_{n,1}} + \frac{1}{\mathbf{k}_{n,2}} \quad (1)$$

$$\frac{1}{\mathbf{k}_s} = \frac{1}{\mathbf{k}_{s,1}} + \frac{1}{\mathbf{k}_{s,2}} \quad (2)$$

$$\mu = \min(\mu_1, \mu_2) \quad (3)$$

94 where k_n and k_s are normal and shear stiffness at contact; $k_{n,1}$ and $k_{n,2}$ are normal stiffness of
 95 entity 1 and 2, respectively; $k_{s,1}$ and $k_{s,2}$ are respective shear stiffness; μ is inter-particle
 96 friction coefficient; μ_1 and μ_2 are respective entity surface friction.



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Figure 3 Inter-particle linear contact model.

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Table 1 Micro- properties for discrete element simulation.

Property	Value		
	Sand particle	Rubber particle	Shear box
Contact normal stiffness, k_n (N/m)	5.9×10^7	8×10^5	1×10^8
Contact shear stiffness, k_s (N/m)	5.9×10^7	8×10^5	1×10^8
Particle diameter, d (mm)	2.4–2.6	4–5.5	N/A
Specific density of solid, G_s	2.65	1.2	N/A
Damping coefficient, ζ	0.7	0.7	N/A
Inter-particle friction coefficient, μ	0.55	0.60	0.20

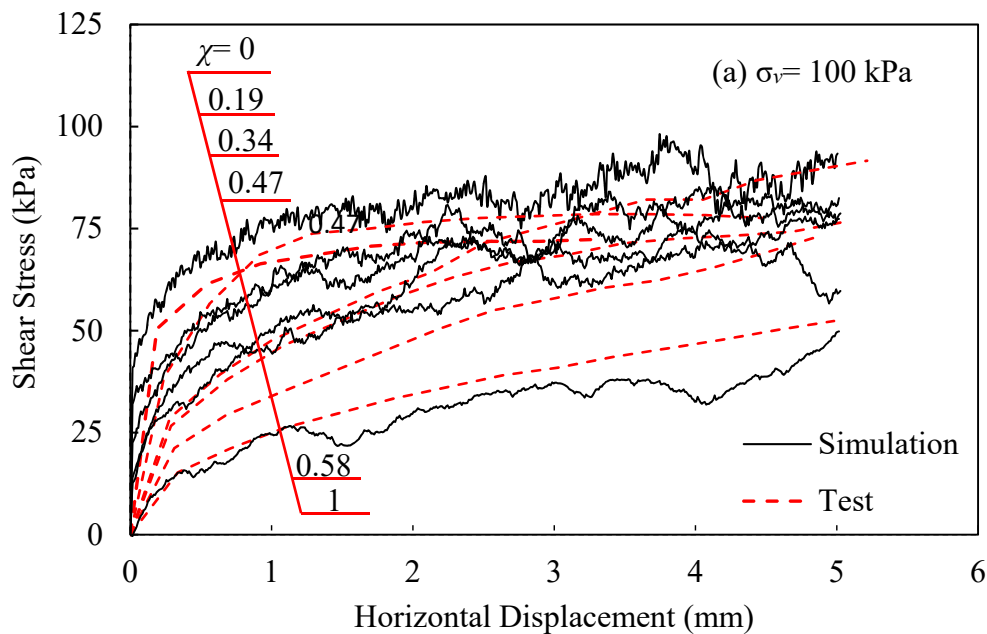
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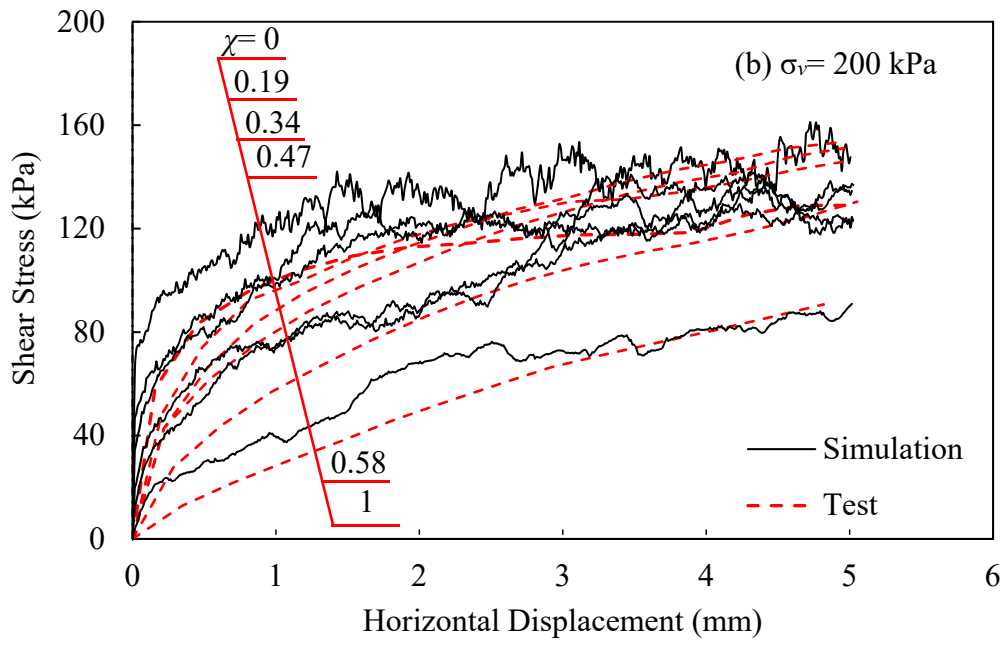
As suggested in previous studies [26-28], the quartz sand stiffness falls into the order of magnitude of $\times 10^7$ N/m. A lower order of magnitude of $\times 10^5$ N/m was suggested for rubber

103 material [29]. These values were taken as the points to depart and, as suggested in Coetzee
 104 and Els [30], plugged into numerical iterations of harmonizing the shear test results, aiming at
 105 obtaining the final stiffness and other micro-properties. The simulations are shown in Figure
 106 4. Excellent agreement is obtained between the test and simulation results for all series of
 107 tests. That means the material properties in Table 1 are verified as input values for the
 108 discrete element model to replicate the particles motion. All of the samples exhibit a strain-
 109 hardening relation where there is no clear occurrence of failure. The relationship agrees with
 110 the results provided in similar rubber–sand studies (e.g., [10]). The strain-hardening
 111 relationships become pronounced when the applied vertical load σ_v or rubber content χ
 112 increases. The strain-hardening curves suggest two aspects: *i*) the sand samples are loosely
 113 packed when sheared and there is no clear shear dilation; and *ii*) the rubber particles inclusion
 114 improves the material packing. The improved packing promotes the material strain-hardening
 115 characteristics as well as ductility, which is in favor of stability of backfilling works.



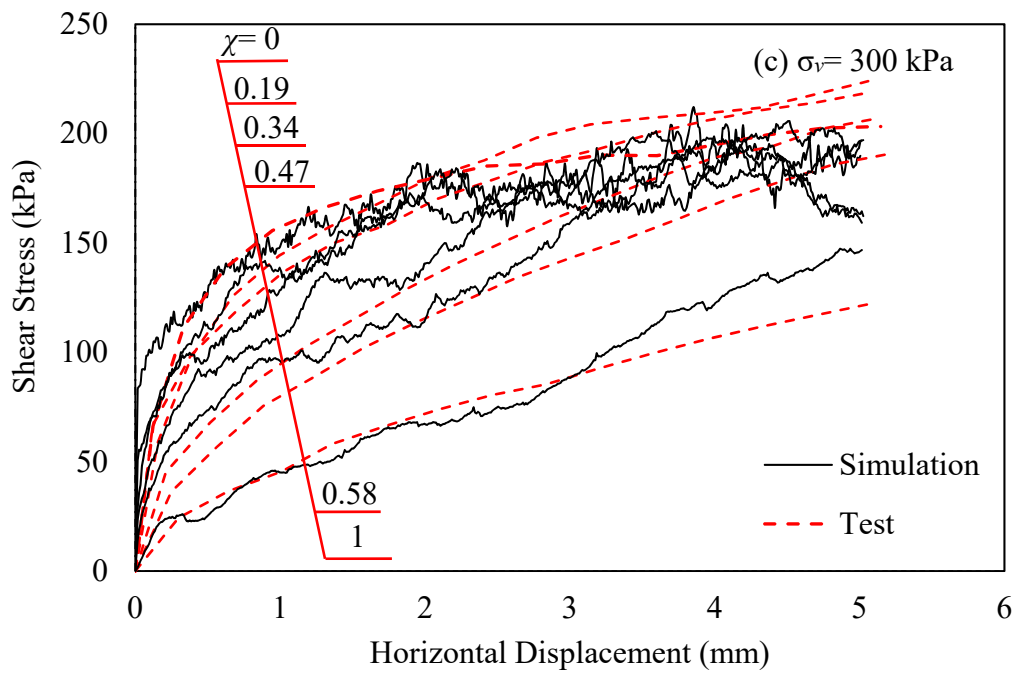
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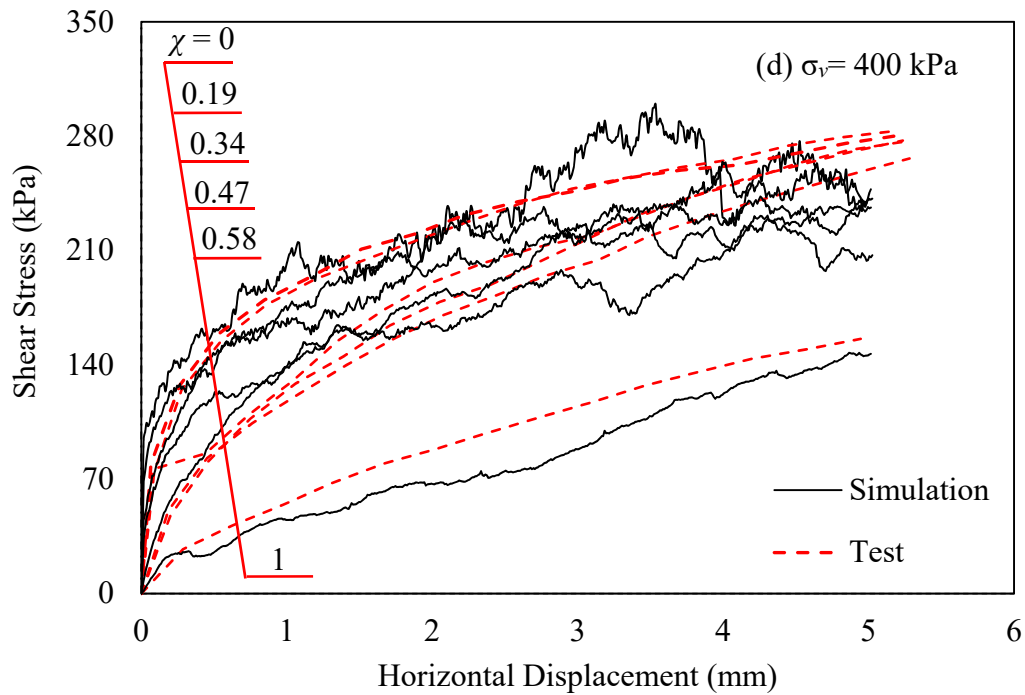


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122 **Figure 4** Shear stress–displacement curves for samples subjected to direct shear test with
 123 varying vertical loads.

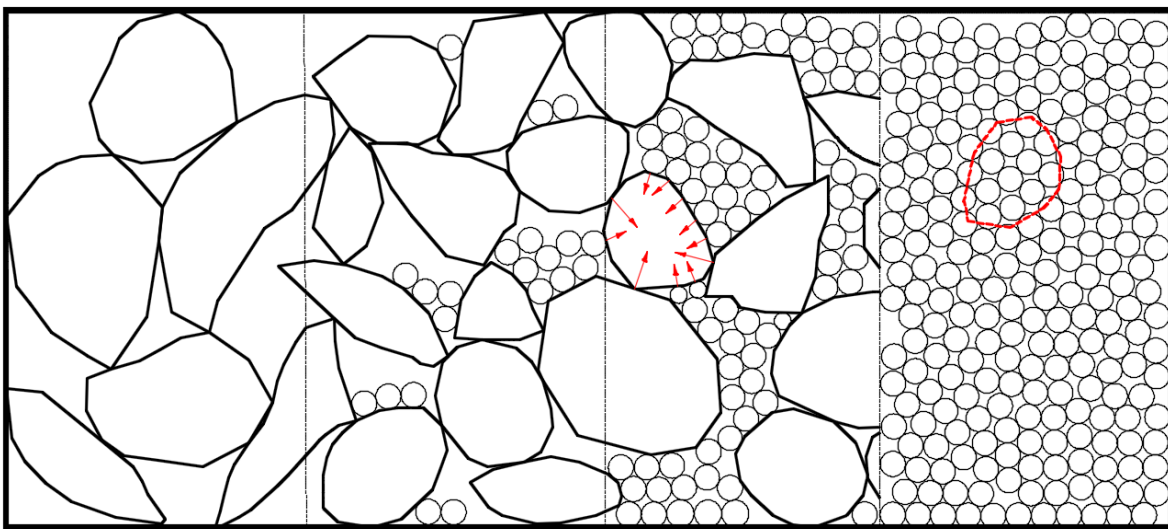
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125 **PARTICULATE-SCALE SIMULATION RESULTS**

126 **Packing**

127 The material packing is illustrated in Figure 5. Four assemblies are presented: rubber particles,
 128 loose-packing rubber–sand, dense-packing rubber–sand, and sand. The assemblies vary in
 129 mix fraction, leading to material porosity variation. The rubber particles assembly (i.e., the
 130 leftmost diagram) exhibits the greatest porosity. The porosity decreases with the sands
 131 inclusion, as the sand particles are finer enough to sit in the pore space formed by the rubber
 132 particles skeleton, i.e., the two middle diagrams. The trend, however, seems not to continue
 133 into the sand assembly; the sand assembly does not yield the least porosity. Plot one single
 134 presumed rubber particle in red in the sand assembly as shown in the rightmost diagram. The
 135 presumed rubber particle works better to reduce the pore space than the lot of the equivalent
 136 sand particles does. That is, there is a rubber fraction enabling packing optimization. To work

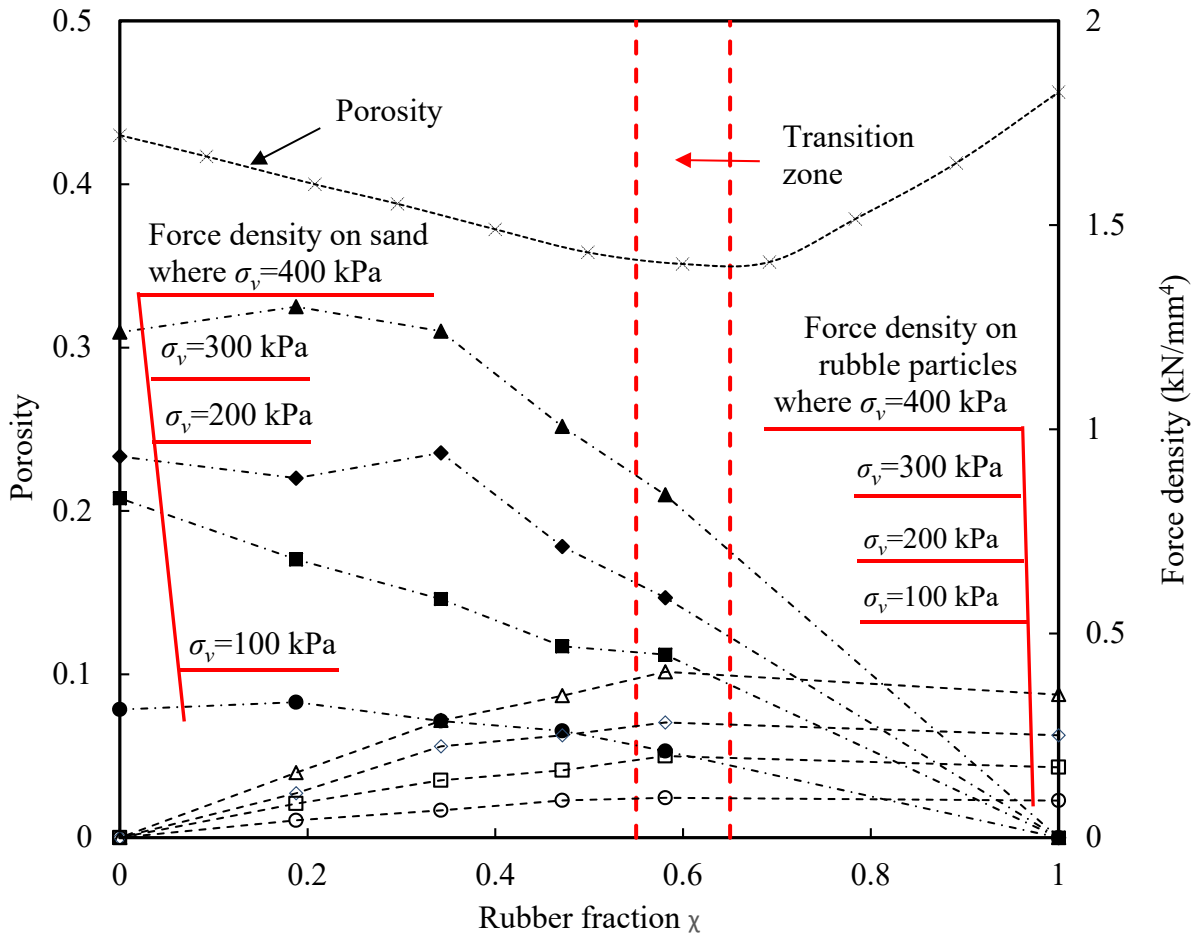
137 out the optimal fraction, a set of eleven assemblies of different mix fractions is packed
 138 through simulations, aiming at developing the porosity vs. mix fraction relationship. The
 139 relationship is shown in Figure 6 (i.e., the primary axis vs. the horizontal axis). It is shown
 140 that the porosity vs. rubber fraction relationship is not monotonic but concave. The transition
 141 sits on sample $\chi=0.6$, less than which the porosity decreases with χ ; otherwise the opposite.
 142 Therefore $\chi=0.6$ is identified as the optimal packing mix. Similar packing characteristics
 143 occur to other binary mixtures. Kim and Santamarina [23] examined packing of sand and
 144 rubber chips ($D_{50}=3.5$ mm) mixtures and recommended an optimal packing fraction of
 145 $\chi=0.67$. Mota *et al.* [31] assessed spherical glass beads (0.3 mm to 3.4 mm sizes) with 2 to 10
 146 size ratios and confirmed a similar optimal fraction $\chi=0.6$ to 0.7 for all series mixtures. All of
 147 these results suggest that packing is sensitive to particles size.



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 149 Rubber particles Rubber-sand Rubber-sand Sand particles
 150 (loose-packing) (dense-packing)

151 **Figure 5** Schematic of mixtures packing.

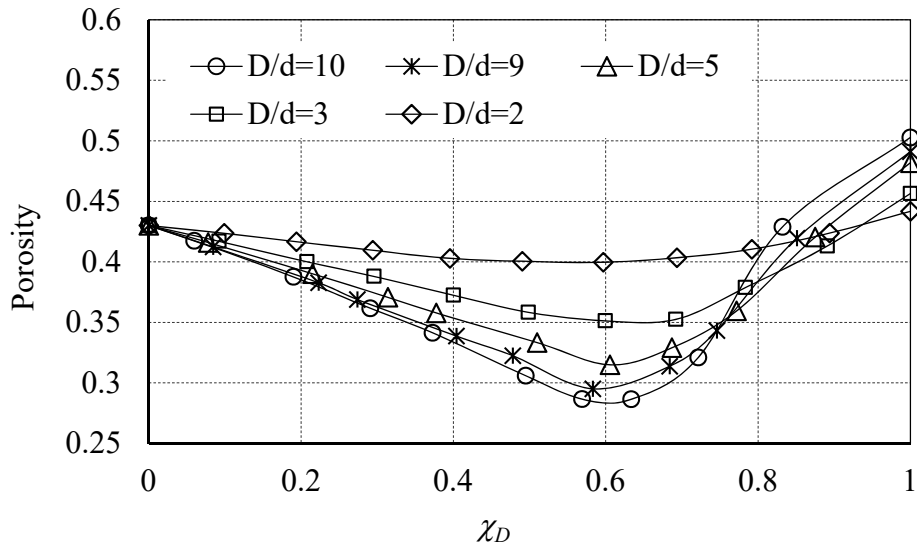
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154 **Figure 6** Porosity and force density for samples in direct shear simulations of varying vertical
 155 loads.

156 Additional mixtures of varying grading characteristics were examined. The simulation
 157 results are shown in Figure 7. Five mixtures are simulated, with a ratio of larger particle size,
 158 D , to smaller particle size, d , ranging from 10 to 2. An additional variant is the large particle
 159 fraction, χ_D , from 0 to 1, aiming at broadening the grading characteristics. The results suggest
 160 that the grading does influence the packing (i.e. porosity). The mixture becomes dense with
 161 D/d increase, meaning small particles infilling the pores of large particles. The infilling effect
 162 is optimal at $\chi_D \cong 0.6$, consistently across all of the five series of mixtures. This optimal value
 163 agrees with those test results provided in Mota *et al.* [31].



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Figure 7 Porosity changes due to varying mixture fraction and particle size.

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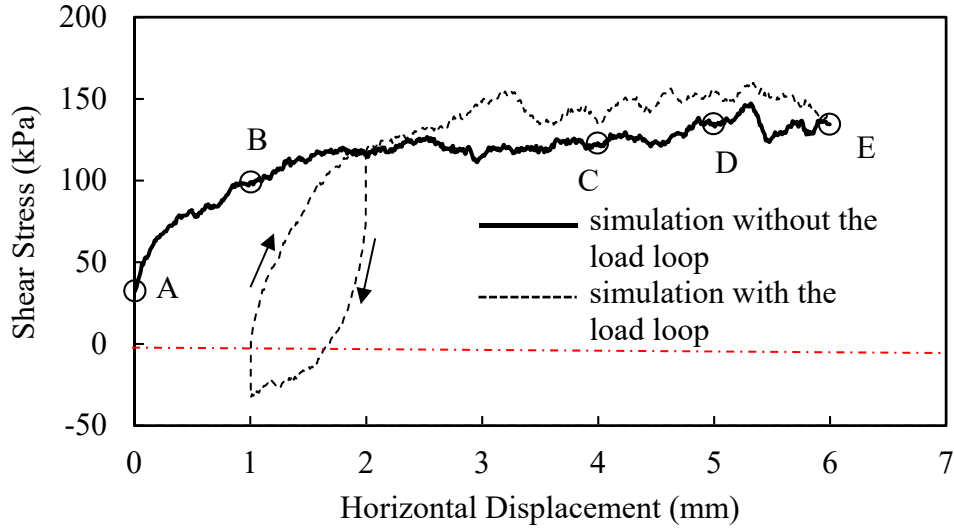
The secondary vertical axis of Figure 6 reads the force density for the samples examined. The force density is defined as the sum-of-force at contact, F , normalized to the sample volume, V , and mixture median diameter, D_{50} , i.e., $F/(V \times D_{50})$. For demonstration purpose, the forces at the contacts of a single particle are illustrated in the third diagram in Figure 5. The value of F is the sum of the forces at the contacts of interest, e.g., the rubber particle contacts. Where a particular portion of particles is examined, the force density measures the particles capacity of sharing the inter-particle force. Figure 6 shows the force density at rubber (and sand) contact vs. rubber fraction curves, each corresponding to one of the four vertical loads (i.e., 100, 200, 300 and 400 kPa). For each of the curves, the rubble content is the only variant, with the rest conditions remain the same. The purpose is to examine the rubber (or sand) contact force with respect to rubber content where the load is constant. All of the four curves are convex; and the transitions occur consistently at $\chi = 0.58$, at least for the rubber fractions examined. The transition points also agree with the optimal value $\chi = 0.6$ for packing. Define a transition zone $\chi = 0.55$ to 0.65 where the assembly works best in packing and load sharing: the rubber fraction develops into a skeleton where the sands largely infill the skeleton pore spaces and enable optimal packing; in the meantime the rubber

182 particles share the most significant portion of the loads and guarantee material strength
183 capacity.

184

185 **Inter-Particle Forces**

186 The inter-particle forces are examined on sample $\chi=0.34$ being sheared under the vertical load
187 $\sigma_v=200$ kPa as an example. To gauge the forces evolution, select five points of *A* to *E* on the
188 corresponding shear stress–displacement curve (Figure 8). The five points read displacement
189 values of $\delta=0, 1, 4, 5$ and 6 mm, respectively, aiming to span the complete shear process. In
190 addition a separate shear is simulated which conducts an unloading-reloading process in the
191 middle of shear, examining the damping behavior of the model. In the process, the boxes
192 reverse from $\delta=2$ mm to $\delta=1$ mm, then are re-sheared to $\delta=6$ mm. The unloading-reloading
193 process creates a hysteresis loop, demonstrating the elastic-plastic behavior of the shear
194 process. The unloading clearly and quickly releases the shear stress acting on the sample, and
195 meanwhile an opposite shear force occurs and grows. Upon re-loading, the curve moves back
196 to the point where unloaded, recovering the original shear stress released, and interestingly
197 continues in a new pathway. The new pathway rises above that without the load loop,
198 meaning the material stiffens. That is, the load loop helps compact the mixture and the
199 damping properties assigned to the model reflects the physical behavior of the sample.



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201 **Figure 8** Shear stress vs. displacement curve for sample $\chi=0.34$ sheared under $\sigma_v=200$ kPa.

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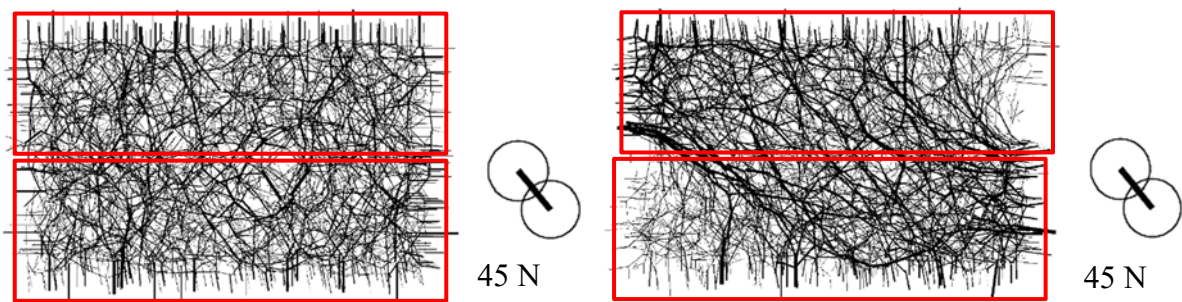
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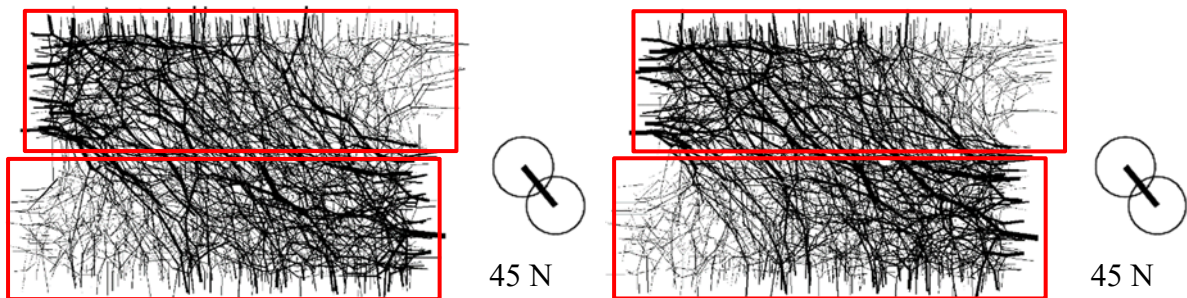
The inter-particle forces are plotted as solid lines with its thickness proportional to the force magnitude [25]. The lines connect up into a chain between particles, forming a force chain. The corresponding normal contact force chains that are captured from the front view, together with the illustrated shear boxes, are shown in Figure 9. The normal contact force, in relation to the shear force, gives a better picture of the particles overlap and motion. The force chains for the sand sample ($\chi = 0$) sheared to $\delta=6$ mm is also provided for comparison. It is clear that the contact forces progressively redistribute with the shear advance. The forces distribute evenly where there is no shear but the vertical load σ_v applied (Figure 9(a)). When the lower box advances to the left, a force concentration band evolves diagonally and becomes pronounced as shown in Figure 9(b–e), meaning greater normal contact forces oriented diagonally. When the shear advances, the force band becomes more diagonally oriented. Define a shear advance convention: it is a clockwise shear if the lower box displaces to the left, otherwise an anti-clockwise shear. The clockwise shear which is the case of Figure 9 leads to a force band oriented from the top–left corners to the bottom–right. It is plausible to infer that a top–right to bottom–left force band evolves if the shear acts anti-clockwise. Where sheared to the same displacement $\delta=6$ mm, the sand sample (Figure 9(f)) exhibits

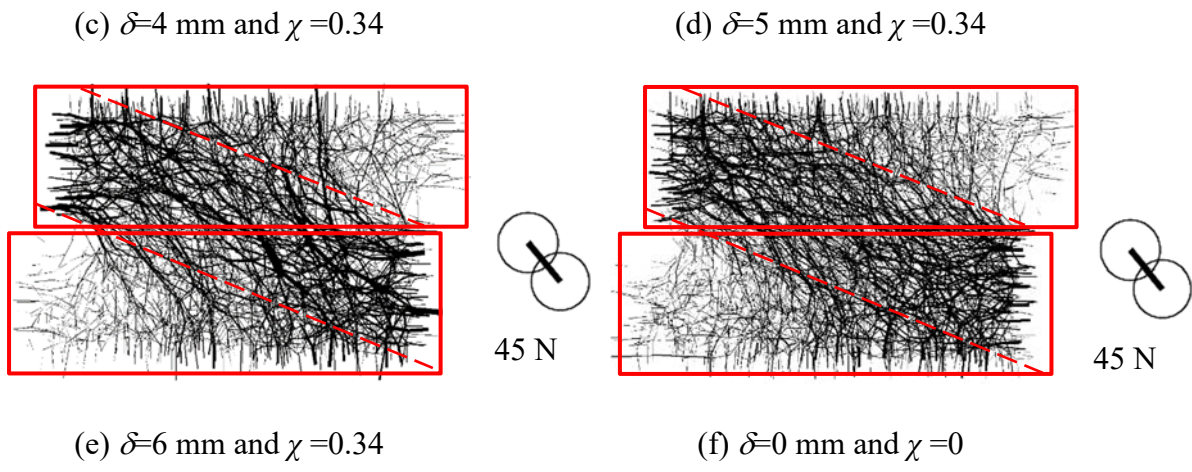
218 similar force band orientation, but finer force chains than the corresponding rubber–sand
 219 sample does (Figure 9(e)). This suggests the capacity of rubber materials in concentrating the
 220 contact forces. The rubber particles inclusion brings forth to the soil matrix two changes:
 221 particles stiffness reduction and particle size increase. Both contribute to the contact forces
 222 concentration in view of contact mechanics. The contributions can be illustrated in Figure 10.
 223 An assembly of discs is enclosed in a box. The line between two contacting discs represents a
 224 contact force where the line thickness is proportional to the force magnitude. In Figure 10(a),
 225 the presumed larger disc is equivalent in area to the six smaller discs. The substitute shown in
 226 Figure 10(b) eliminates the inter-particle contacts bounded by the larger disc, reducing the
 227 total number of contacts in the assembly and therefore the number of force chains. In addition
 228 the material stiffness also alters the force chain. Where the assemblies are compressed as
 229 shown in Figure 10(c–d), a larger overlap at contact is captured by the software as a greater
 230 contact force. In the meantime, the void around large particle surface provides room for the
 231 neighboring small discs to rearrange. The rearrangement helps release a portion of the force
 232 developed between the small discs.



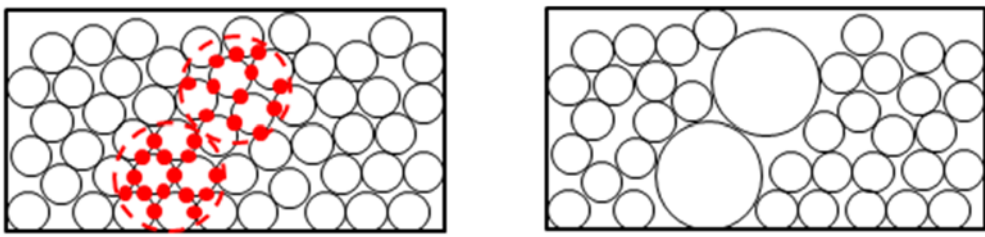
(a) $\delta=0$ mm and $\chi=0.34$

(b) $\delta=1$ mm and $\chi=0.34$

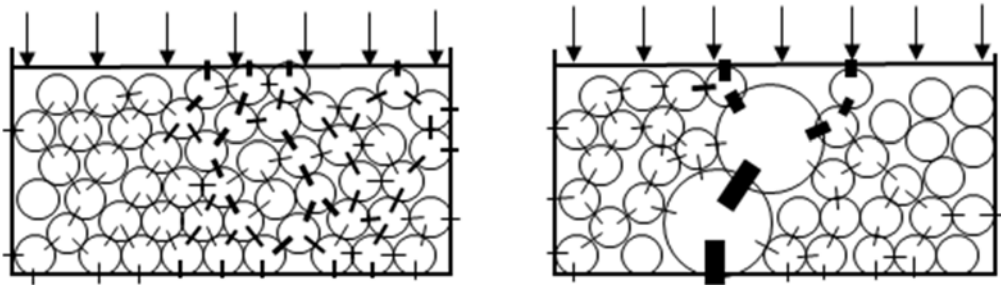




233 **Figure 9** Contact force chains drawn at the same scale for samples sheared under $\sigma_v=200$ kPa
 234 to different distances.
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 237 (a) Free-loading small discs assembly (b) Free-loading mixed discs assembly

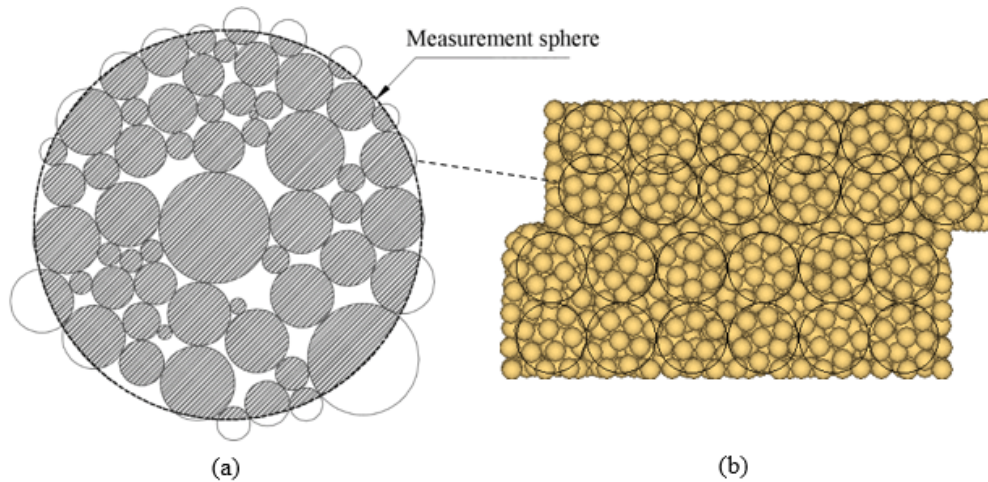


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 239 (c) Loaded small discs assembly (d) Loaded mixed discs assembly

240 **Figure 10** Schematic of disc contacts under different load conditions.

241 The contact force is represented by plotting stress contour lines, aiming at mapping
 242 the stress and refining the force band orientation. The measurement sphere approach [25] is
 243 used to plot the stress contours. The sphere is designed to capture the equivalent stress field
 244 bounded by the sphere. Figure 11(a) illustrates the enlarged view of one measurement sphere

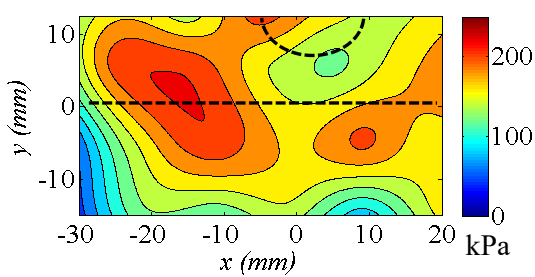
245 as well as the influenced particles. Figure 11 (b) shows the measurement spheres designed to
 246 the shear boxes. A grid of 4×6 measurement spheres is created in the shear boxes. All of the
 247 spheres are equal in size with a diameter of 10 mm, occupying the inner space of the box.
 248 Each of the spheres is at least two times larger in size than the particles examined in the direct
 249 shear test and can accommodate up to twenty particles depending on the particles size.



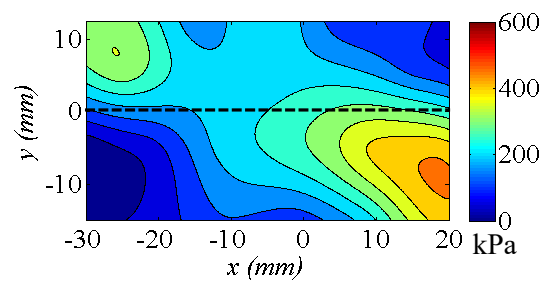
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 251 **Figure 11** Diagram of measurement sphere approach: (a) one measurement sphere and
 252 bounded particles, and (b) a grid of measurement spheres designed in the shear box.

253 The stress contour maps plotted for the sand sample before and after the shear test are
 254 shown in Figure 12. The shear as an example is conducted under the vertical load $\sigma_v=200$ kPa
 255 until the displacement $\delta=6$ mm. Plot the contours at three separate vertical planes: the front,
 256 middle and back, enabling a 3D view of the stress distribution. The set of contour lines is
 257 plotted by using the software package MATLAB to process the stress values captured by the
 258 measurement spheres. In a measurement sphere, the stress value is defined as the mean stress
 259 at contact, σ_m , which is expressed as $\sigma_m=(\sigma_{xx}+\sigma_{yy}+\sigma_{zz})/3$ where the dimensional stress σ_{xx} , σ_{yy}
 260 and σ_{zz} are provided by PFC 3D. It is noteworthy that the contour lines draw on the centers of
 261 measurement spheres; therefore the margins are not mapped. The stress contours in Figure
 262 12(a, c and e) show that the samples remain broadly even in contact stress before the shearing.
 263 At a few spots (e.g. the bottoms and corners) the stress values are relatively lower due to the

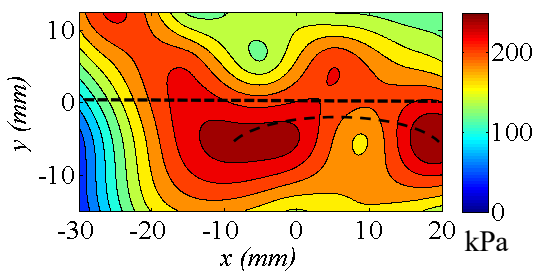
264 arching created as illustrated in the broken curves. The overall stress values on the map agree
 265 with the vertical load $\sigma_v = 200$ kPa. Where sheared, the sample develops new contour maps as
 266 shown in Figure 12(b, d and f). The changes include the contours orientation to the diagonal,
 267 stress concentrations in the upper-left and lower-right corners, and uneven stress distribution
 268 on the shear plane. These changes confirm the past research outcomes [32-33] that
 269 displacement (and shear stress) is not constant on the shear plane and the active and passive
 270 pressure zones evolve in the lower and upper boxes, respectively.



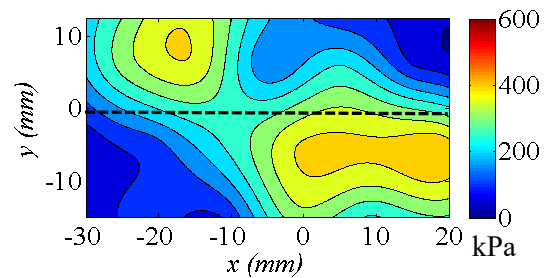
(a) $\delta = 0$ mm (front plane)



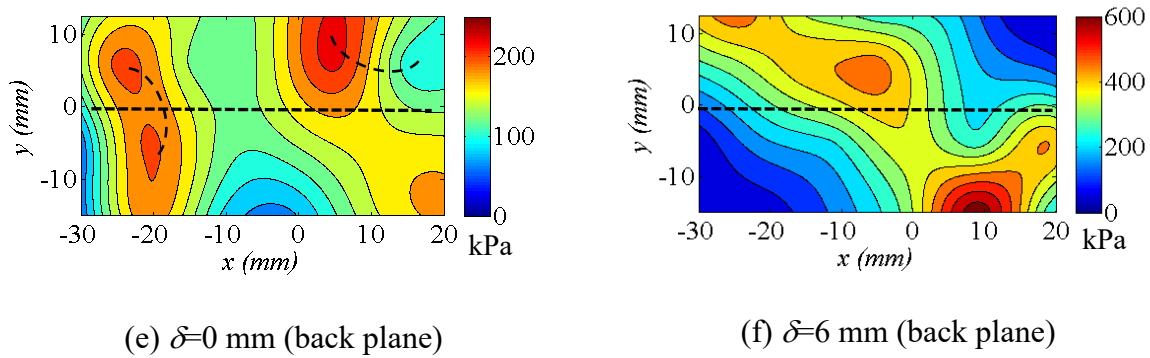
(b) $\delta = 6$ mm (front plane)



(c) $\delta = 0$ mm (middle plane)

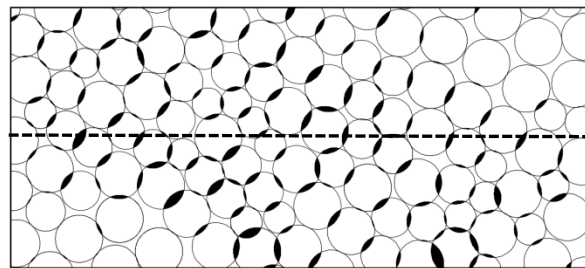


(d) $\delta = 6$ mm (middle plane)



271 **Figure 12** Stress contours drawn on vertical planes for sand sample before and after shear.

272 The contour maps shown in Figure 12 can be illustrated by plotting a diagram of
 273 particles contacts. A collection of discs of different sizes is gathered in the closed box as
 274 shown in Figure 13. The discs sitting on the diagonal band clearly overlap with respective
 275 neighboring discs. Based on the contact model defined in Cundall and Strack [34], these
 276 pronounced overlaps demonstrate greater levels of stressing developed at the contacts and
 277 thus add up the load shared by these discs. The discs in the remaining areas show less
 278 magnitude of overlap and thus are less effective in counteracting the shear.



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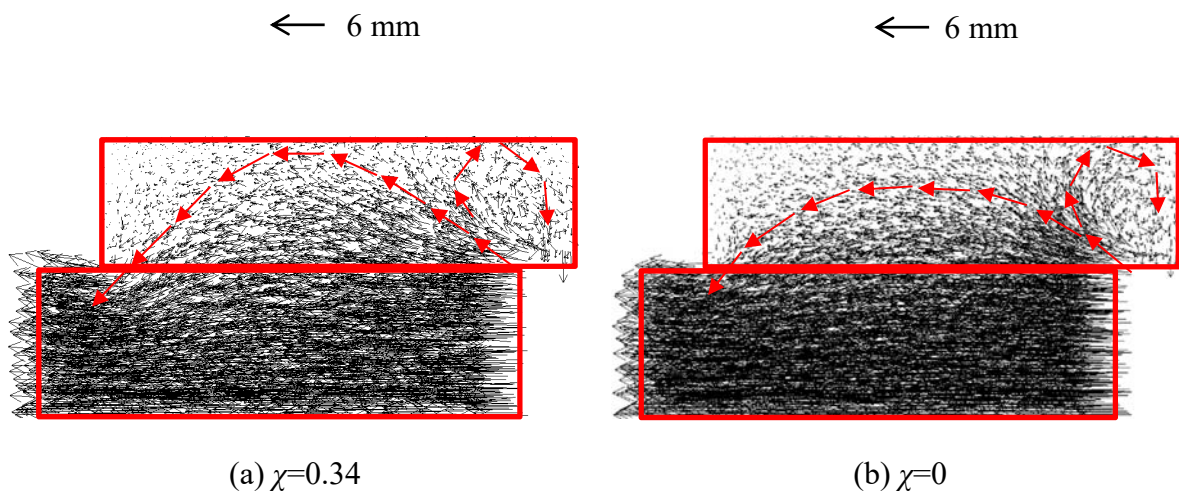
280 **Figure 13** Schematic of discs overlapping when sheared.

281

282 **Particles Displacement Vector**

283 Particle displacement vectors are provided in Figure 14. A vector, as illustrated by the legend,
 284 has two independent properties: the magnitude and acting direction. Each of the vectors
 285 represents the displacement of a particle, with vector's start (and end) corresponding to the
 286 initial (and final) position of the particle, and the length for the travel distance. Vectors are

287 drawn for two samples $\chi = 0.34$ and 0, respectively, both of which are sheared under $\sigma_v = 200$
 288 kPa to $\delta = 6$ mm. The two samples show similar particles displacement: significant leftward
 289 motions of particles in the lower box, and minor convex thrusts in the upper. The difference
 290 in displacement magnitude between the upper and lower boxes arises from the lower box
 291 advancing to the left which is picked up by the simulations. The convex thrusts shown in the
 292 upper box are caused due to the shear dilation [21-22, 35]. The convex thrusts are more
 293 pronounced in the rubber-sand sample (i.e. $\chi = 0.34$) than in the sand sample (i.e. $\chi = 0$) as
 294 illustrated by the vectors. Similar thrust difference was reported in Zhou et al. Zhou *et al.* [33]
 295 which concluded that large-size particles tend to generate a larger strain localization zone and
 296 result in stronger dilation. To the right of the convex thrust is a small-scale vortex zone as
 297 marked out. This is formed due to the shear strain evolution. As the shear advances, the
 298 particles in this zone undergo shear compression [36]. The particles in the vortex also fall into
 299 the less-overlap areas (Figure 13), and the loose inter-particle contacts are in favor of the
 300 particles rotations but interlocking or dilating.

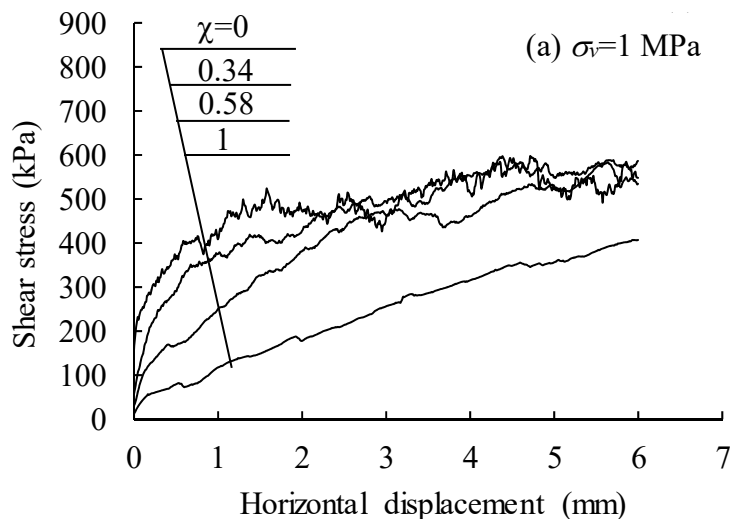


301 **Figure 14** Particle displacement vectors drawn at the same scale for two samples sheared
 302 under $\sigma_v = 200$ kPa to $\delta = 6$ mm.

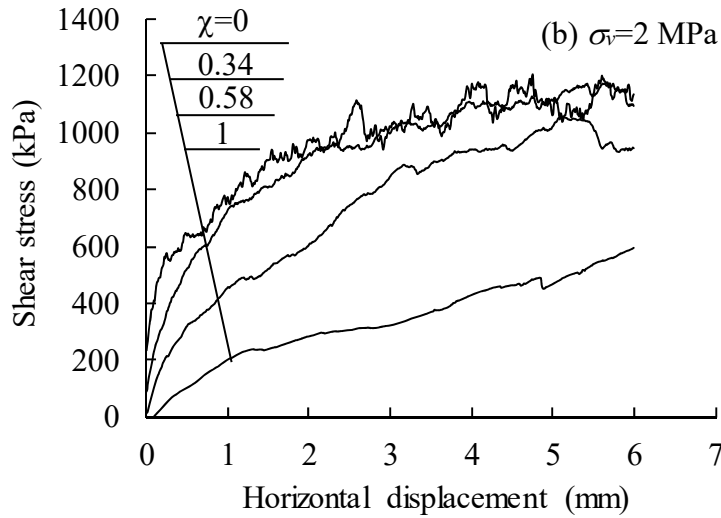
303

304 **Rubber Fraction Dependence**

305 The above test and simulation results exhibit the rubber fraction dependence of the shear
306 behavior. It is thus of importance to examine the dependence and develop a rubber fraction
307 suitable for applications. The approach is to plot the shear stress vs. rubber fraction
308 relationship for samples subjected to a set of high- to low vertical pressure σ_v . The pressure
309 σ_v is assessed as it influences the shear stress curves. In addition to the aforementioned low-
310 to medium pressures, two high pressures are examined: $\sigma_v=1$ and 2 MPa. The pressure values
311 are suitable for deep (e.g., 50 to 100 m) backfilling works, e.g., mining pit renovations. The
312 shear stress–displacement curves obtained from the developed discrete element model are
313 presented in Figure 15. Four rubber fractions are examined, i.e. $\chi=0, 0.34, 0.58$ and 1. It is
314 shown that samples $\chi=0$ and 0.34 show nearly tied curves under both pressures and the
315 curves sit noticeably above those of samples $\chi=0.58$ and 1. The curves difference suggests
316 that the fraction $\chi=0.34$ is in favor of the mixture gaining (or maintaining) shear stress; a
317 further higher fraction may likely lead to strength decrease. This trend agrees with the
318 strength development examined under the low- to medium pressure shear tests (Figure 4).



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320

321 **Figure 15** Shear stress–displacement curves for samples sheared under high pressures.

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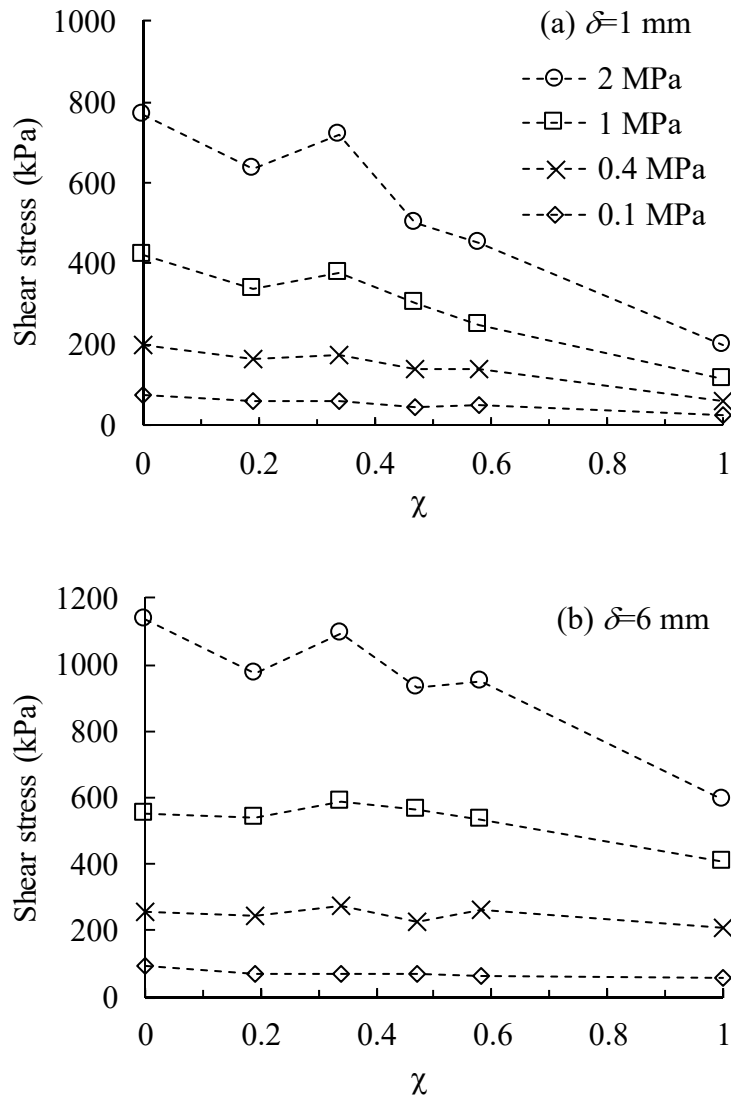
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Define two stress points, σ_1 , corresponding to the shear displacement $\delta=1$ mm, and σ_6 , to $\delta=6$ mm, as the measures assessing the material early- and late-stage shear strength, respectively. The shear strength vs. rubber fraction relationship obtained under a set of vertical pressures is provided in Figure 16. The pressures examined include 2, 1, 0.4 and 0.1 MPa. Under the high pressures (i.e., $\sigma_v=2$ and 1 MPa), rubber fraction $\chi=0.34$ is confirmed in favor of the shear strength development and deemed an optimal mixture. Where the vertical load reduces to 0.4 MPa or lower, the rubber inclusions exhibit marginal effect on the shear strength. That is, the rubber particles gain strength in a way similar to the sand particles where the mixtures are subjected to medium- to low loads, such as medium- to shallow-depth backfilling applications. When placed in a deep application, the mixture becomes sensitive in shear strength to the rubber content and a fraction $\chi=0.34$ is a preferred choice to gaining shear strength.



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Figure 16 Shear stress obtained at two different shear distances.

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Similar rubber content dependency occurs to other rubber chips or shreds based mixtures. Zornberg et al. [5] reported the optimal fraction $\chi=0.55$ where the rubber shreds (i.e., 20–30 mm by size) were mixed with sands. Rao and Dutta [37] found that a rubber chips fraction of $\chi<0.35$ shows strength improvement. The optimal content becomes $\chi=0.2-0.3$ for rubber particles based mixtures [11], which agrees with the outcomes of this current study. These past and current studies suggest that the optimal rubber content is dependent on the rubber particle size, or increases with the size. When the rubber inclusions become larger, they work more like continuous media or geomembrane materials in the

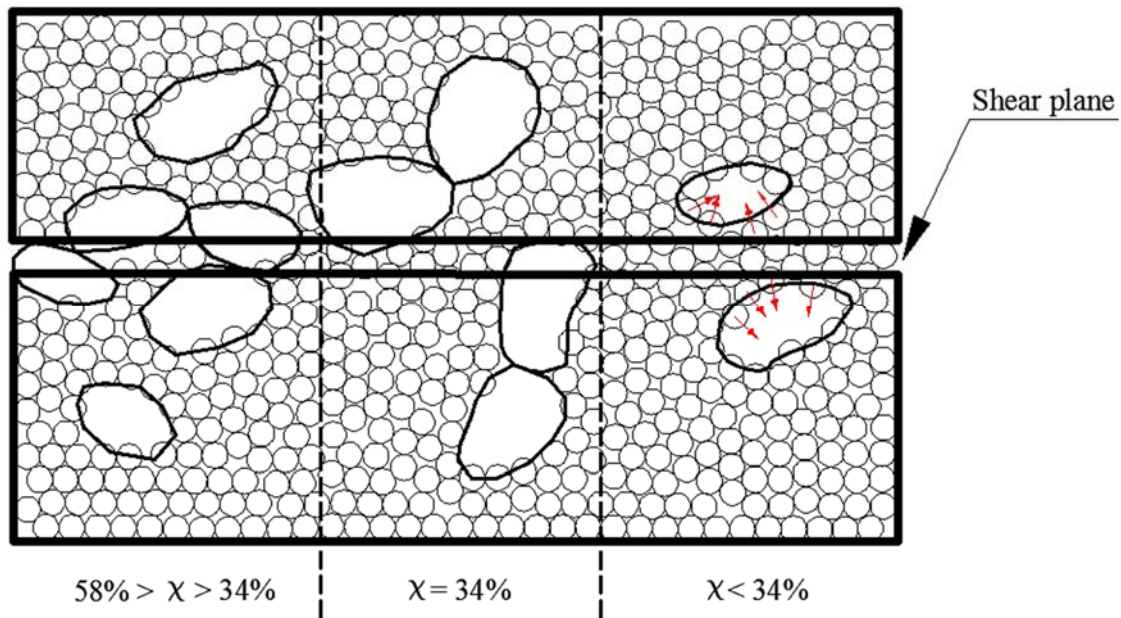
345 mixtures, enabling better particle–surface frictions. The frictions increase with the rubber
346 contents and help mixtures gain strength. Where the rubber contents exceed respective
347 optimal values, there are insufficient volumes of sands infilling the skeleton formed by the
348 rubber inclusions and the packing becomes loose. In this context, the shear strength reduces.

349 **Composite Micro-Structure**

350 It is worth cross-checking the shear strength development (Figure 16) against the mixture
351 packing results (Figure 6). Greater packing is obtained at $\chi=0.58$ where the pressure acted is
352 0.4 MPa or less. This χ value does not agree with the optimal fraction $\chi=0.34$ obtained for the
353 shear strength. That is, the packing and the shear strength correspond to different optimal
354 fractions. This finding disagrees with Ghazavi [11] associating the shear strength changes
355 exclusively to the mixture packing. In Ghazavi [11], the maximum shear strength occurs at
356 rubber volume fraction $\chi=0.2–0.3$. The explanation was the occurrence of greatest packing at
357 the same fraction, although the packing was not tested or simulated. The current study
358 suggests that the greatest packing and maximum shear strength may not coincide at the same
359 fraction. The packing is at $\chi=0.58$ and the strength at $\chi=0.34$. That means, the single
360 strength–porosity association seems not conclusive. There are underlying factors influencing
361 the shear strength development, one of which is the particles arrangement, in particular the
362 large size particles (rubber) orientation.

363 Figure 17 illustrates three mixtures of different χ values and thus varying particles
364 arrangement. From the left to the right, the mixtures decrease in χ values and thus bring forth
365 varying rubber particles arrangement. An important difference among the diagrams lies in the
366 chance of rubber particles crossing the shear plane and, if there is, the particles number. The
367 chance and number are high where χ is high, as shown in the leftmost diagram. The particles
368 cross the shear plane, forming a flocculated structure. Given the limited number of particle

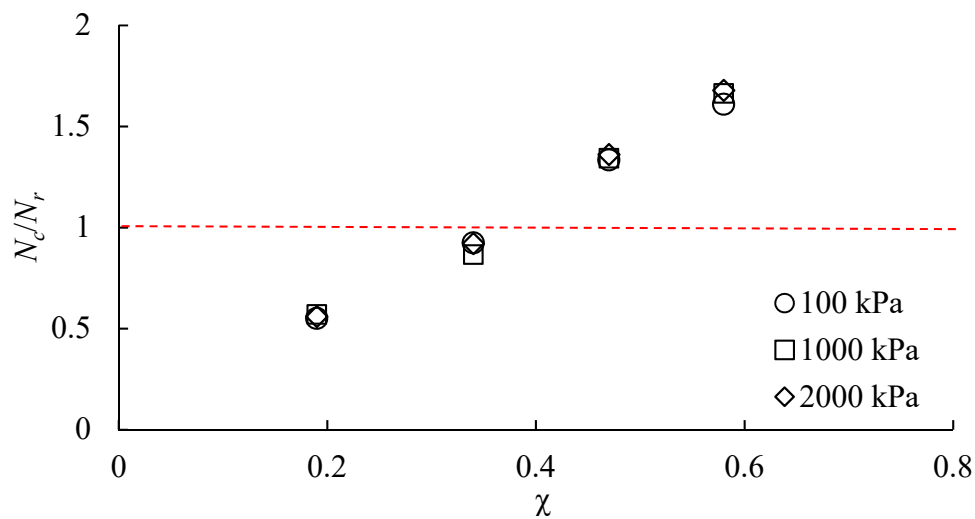
369 contacts on the shear plane, the force counteracting the shear is not significant. The force
 370 instead builds up where the rubber particles and the sands together sit on the shear plane, as
 371 shown in the middle diagram. The number of contacts increases, enabling better frictions and
 372 interlocking. Given the rubber particles crossing the plane, an additional component of shear
 373 resistance is gained. Where subjected to high pressures, the rubber particles help gain further
 374 resistance through the contact flattening mechanism [8]. These strength-gaining effects fade
 375 off and the shear resistance decreases if few rubber particles rest across the plane (i.e., the
 376 rightmost diagram), whereupon the sands but the rubber particles counteract the shear. Albeit
 377 the sand-contact number is significant, a portion of the on-the-plane sand finds room to
 378 relocate as illustrated (due to the rubber particles deforming) and fails to gain major shear
 379 strength from interlocking or dilating [10-11]. Given these understandings, the mixture
 380 particles arrangement is identified as an important factor influencing the shear strength
 381 development.



382
 383 **Figure 17** Schematic of mixtures arrangement as a function of rubber content.

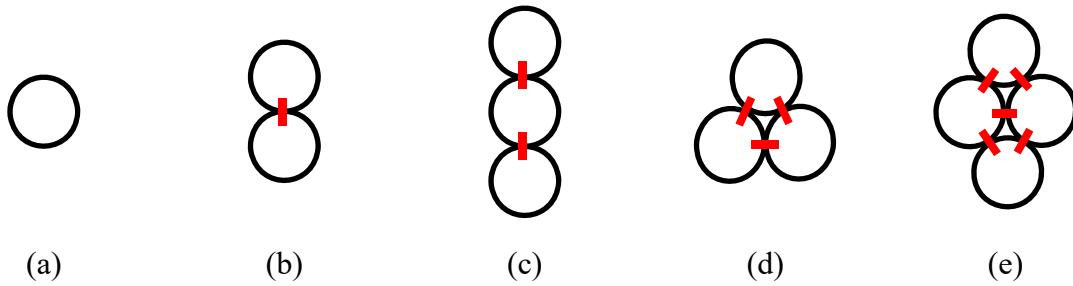
384

385 The above three particle arrangement models can be proven based on the rubber
 386 particles sitting on the shear plane. Count the number of rubber–rubber contacts, N_c , and
 387 rubber particles, N_r . The N_c/N_r value suggests how the rubber particles orient and to what
 388 extent. Plot the N_c/N_r vs. the rubber fraction χ , as shown in Figure 18. Three representative
 389 vertical loads are examined: $\sigma_v = 100, 1000$ and 2000 kPa. Despite the varying loads, $N_c/N_r \cong$
 390 1 where $\chi \cong 0.34$. Otherwise, N_c/N_r moves away from the unity. Where $N_c/N_r=1$, the particles
 391 tend to close up. This is illustrated in Figure 19. Five diagrams (*a–e*) are plotted, each with
 392 different particle numbers or orientations. Diagrams *b–c* align linearly, and Diagrams *d–e*
 393 close up. The orientation patterns influence the contacts number. For example, Diagram *c* has
 394 2 contacts; Diagram *d* has 3, although the particle numbers remain the same which is 3.
 395 Determine N_c/N_r values for the five scenarios. It is suggested that the N_c/N_r value is less than
 396 1 if particles align linearly, e.g., Diagrams *a–c*; and equal to or near 1 if closed up, e.g.,
 397 Diagrams *d–e*.



398
 399 **Figure 18** Rubber–rubber contacts to rubber particles vs. rubber content obtained
 400 under varying vertical loads.

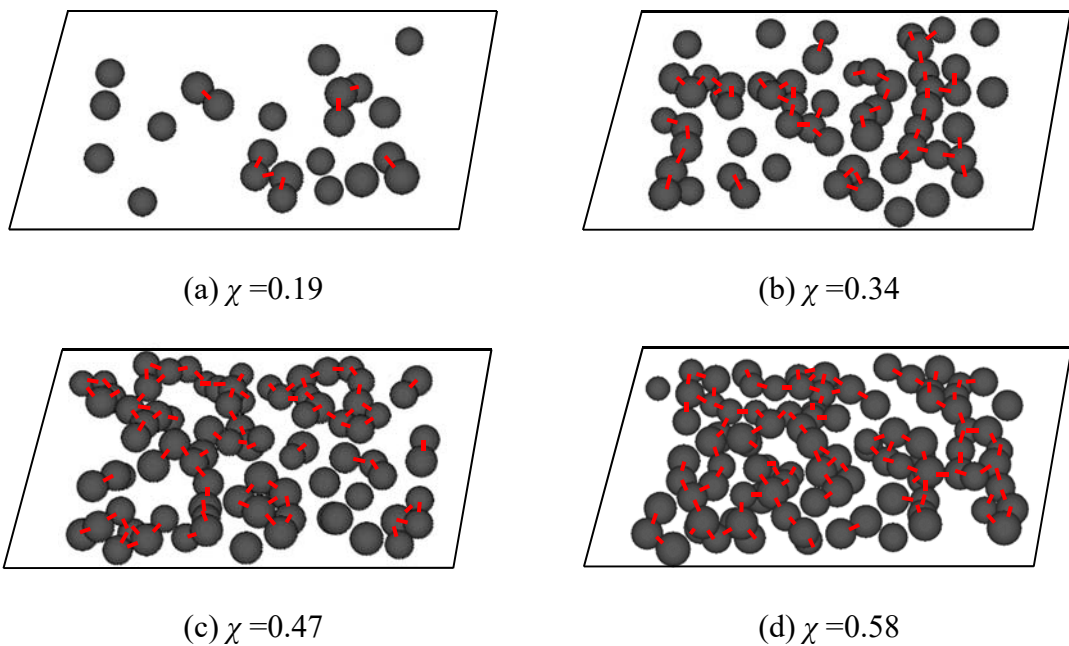
401



402 **Figure 19** Particles orientation diagrams.

403 Figure 19's results can be applied to the direct shear simulation results. Examine the
 404 rubber particle sitting on the shear plane. The rubber particles are illustrated in Figure 20.
 405 Where χ is small, e.g. Figure 20(a) and (b), the rubber particles align linearly or are chained.
 406 Where χ increases, as of Figure 20(c) and (d), the rubber particles close up, forming a mesh.
 407 The χ -dependent rubber particles arrangement is in support of the conceptual drawings shown
 408 in Figure 17. Specifically, where $\chi=0.34$, the rubber particles evolve a closed-up arrangement,
 409 providing room to accommodate sands. As sands and rubber particles are in balanced and
 410 well-contacted arrangements, sand-sand, sand-rubber and rubber-rubber interlocks grow;
 411 the shear strength builds up accordingly.

412



413 **Figure 20** On-shear-plane rubble particles in samples at varying rubber contents.

414

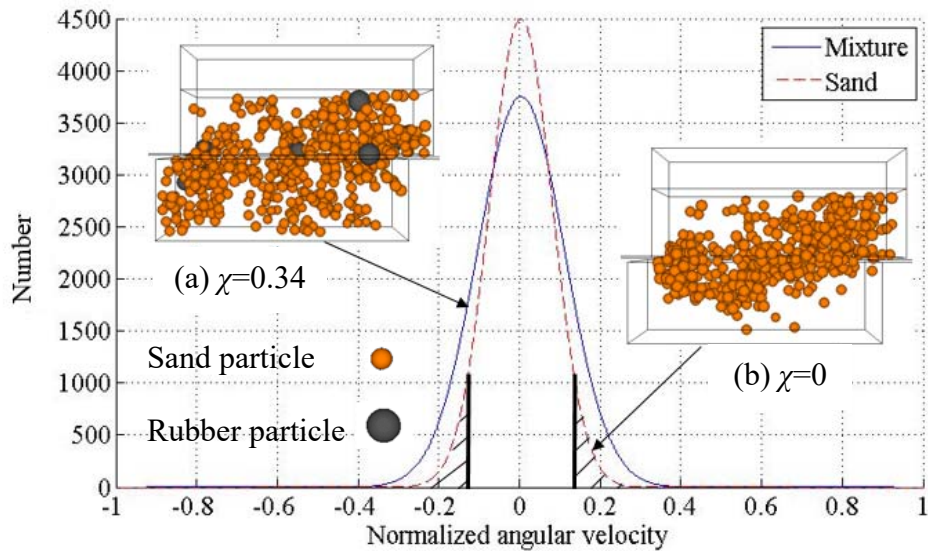
415 **Particles Rotation**

416 Particles rotate when sheared, and the rotations are crucial to material shear behavior [38].
417 The rotation is assessed by examining the angular velocity of the particles of interest. As the
418 assembly of particles exhibit varying angular velocity values, it helps the assessment if there
419 is a solution to normalizing the values and mapping out the values for the particles of interest.
420 Figure 21 shows the normalized values and mapping results for two samples $\chi=0.34$ and 0,
421 both of which are sheared under $\sigma_v=200$ kPa to $\delta=6$ mm. Both samples exhibit a normal
422 distribution of angular velocity, suggesting equal portions of clockwise and anti-clockwise
423 rotations. The distributions also suggest that particles rotate at varying speed. The majority is
424 at rest or rotates at a slow speed; a small portion (i.e., the tails) rotates faster. The particles
425 falling into the 10% percentile as shaded are mapped out in Figure 21(a) for sample $\chi=0.34$
426 and Figure 21(b) for sample $\chi=0$, respectively. As reported in Zhang and Thornton [20], these
427 fast-rotation particles largely sit on the diagonal band of top–right to bottom–left, conjugated
428 with the force chains bands (Figure 9). The study [20] however does not provide details
429 explaining the conjugation. The conjugation occurs partially due to the mechanism of inter-
430 particle shear (i.e. the Coulomb’s law of shear strength) which is illustrated in Figure 22. Two
431 discs contact each other and, at the contact, are subjected to the normal pressure σ . The discs
432 opt for relative displacement due to the shear force τ acting at the contact, which is expressed
433 as:

$$\tau = \sigma \tan \phi + c \quad (4)$$

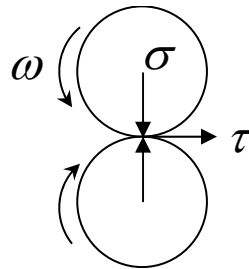
434 where ϕ and c are the inter-particle constants. On the top–right to bottom–left diagonal band
435 (Figure 9), the particles are subjected to less normal pressures and, based on Eq. 4, less shear
436 forces to rotate. That means the threshold to rotating is low, whereby the particles tend to spin

437 faster if subjected to a driving force. The opposite occurs to the particles sitting on the
 438 top–left to bottom–right diagonal band where high-pressure contacts occur.



439

440 **Figure 21** Particles angular velocity distribution and fast-spin particles mapping.



441

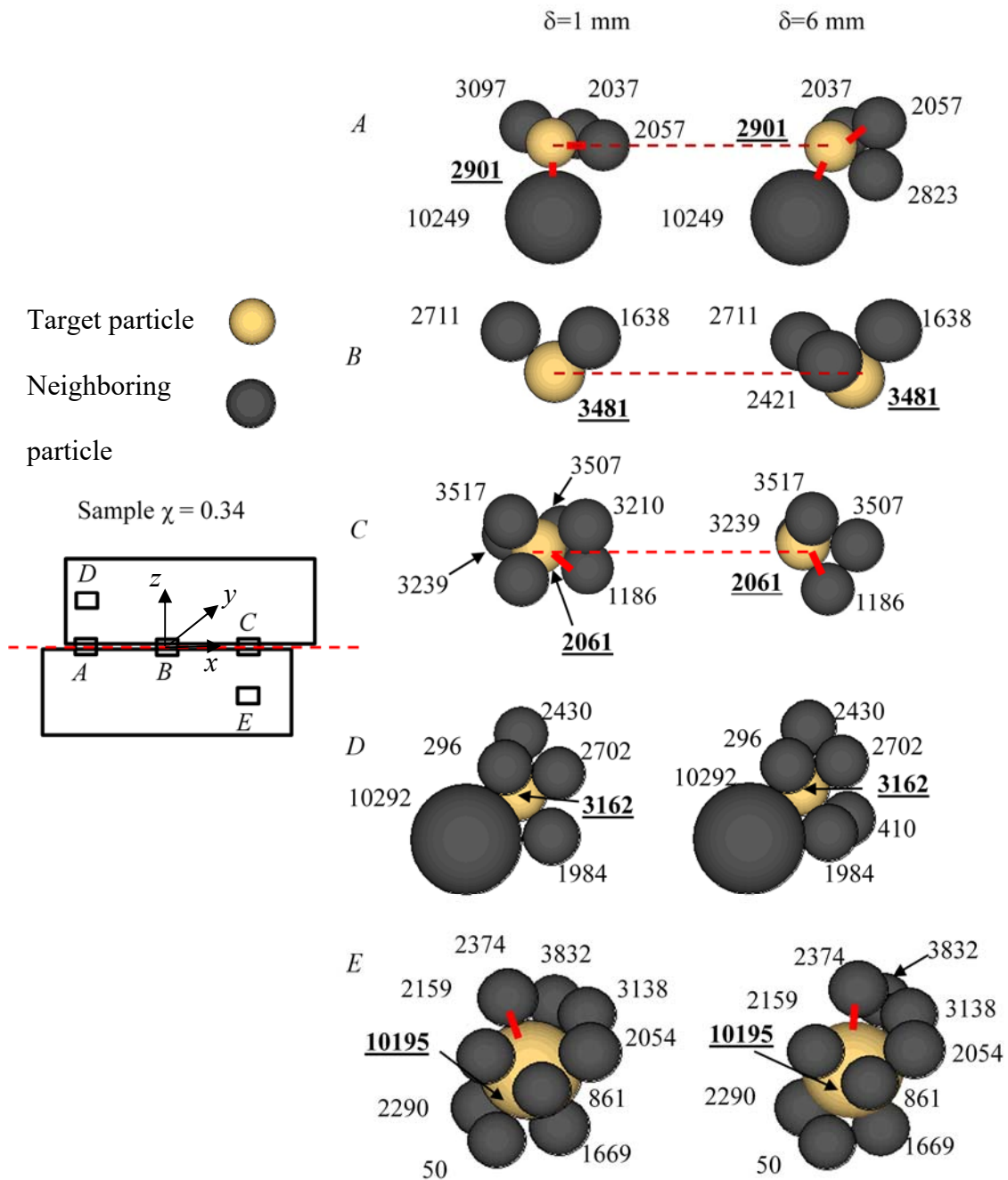
442 **Figure 22** Inter-particle shear and rotation.

443

444 **Particles Relocation**

445 The particles relocation is examined by tracking particles motion occurred at five points: *A* to
 446 *E*, as shown in Figure 23. All of the five points originate from sample $\chi=0.34$ being sheared
 447 under $\sigma_v=200$ kPa. The five points sit on critical places: points *A* to *C* on the shear plane
 448 separately, and points *D* and *E* in the upper and lower boxes, respectively. An accurate
 449 positioning is attained by defining the points in a 3D coordinate system (x, y, z) as illustrated.
 450 Develop the coordinate system inside the shear boxes and set the origin over the inside center.

451 The points *A* to *E* are positioned, through a target particle, to coordinate $(x, y, z) = (-20, 0, 0)$,
452 $(0, 0, 0)$, $(20, 0, 0)$, $(-20, 0, 10)$ and $(20, 0, -10)$ mm, respectively. Then, around the target
453 particle, search all neighboring particles. That is, each of the five points encompasses one
454 target particle and its neighboring particles. The neighboring particles count from 2 to 9
455 depending on the point of interest. The target particles are marked out in the simulation as
456 Nos. 2901, 3481, 3239, 3162 and 10195, respectively. Similar identity marking is provided
457 on the neighboring particles, enabling a complete track of particles. Each of the five points
458 comes with a pair of diagrams illustrating the particles arrangement at shear displacement
459 $\delta=1$ and 6 mm, respectively. It is shown that the particles on the shear plane (i.e., points *A*, *B*
460 and *C*) relocate more clearly than the particles inside the boxes (i.e., points *D* and *E*) do. For
461 instance, at point *A*, particle 10249 clearly moves to the left when the shear travels from 1
462 mm to 6 mm; in the meantime, particle 2823 joins up the target particle and particle 3097
463 detaches from it. Similar changes occur to points *B* and *C*. At point *D* (and *E*), however, the
464 particles assembly remain similar in number and arrangement when the shear advances.
465 Although the particles on the shear plane relocate noticeably, it is not clear to confirm a
466 relocation law—either the front relocates more than the rear, or vice versa. However, the
467 particles relocation pattern on the shear plane helps shed light on the process of shear dilation.
468 At point *A*, particle 2823 pushes up particle 2507 and gradually takes over the new position.
469 Similar replacement occurs at point *C* where particle 1186 moves leftward and squeezes into
470 the position of particle 2061; particle 2061 relocates upward.



471

472

Figure 23 Particles relocations on shear plane and inside shear boxes.

473

474 **CONCLUSIONS**

475 Three-dimensional discrete element simulations on the direct shear of the rubber–sand

476 mixtures are presented. The discrete element method enables assessing mixtures shear

477 behavior at a particulate scale. The simulations account for the mixtures fraction, particle

478 stiffness, grading characteristics and normal pressure changes. The simulation results include
479 the mixture packing characteristics, shear stress–displacement relationship, particles contact
480 force chain and force contour maps, particles displacement vector and rotations. The
481 following conclusions are drawn.

482 A rubber volume fraction of $\chi=0.55$ to 0.65 offers greater packing for the mixtures
483 examined in this study. The greater packing enables the rubber particles sharing greater
484 contact force. The improved packing promotes the material strain-hardening characteristics
485 and shear ductility. A rubber volume fraction of $\chi=0.34$ yields greater shear strength when
486 sheared under 1 to 2 MPa pressures. Where sheared under lower pressures, the rubber-
487 fraction dependence of shear strength is not significant. The contact forces orient diagonally.
488 The force orientation becomes pronounced with the shear advance. Rubber particles inclusion
489 is able to harmonize in magnitude the force band by reducing particle contacts and stiffness.
490 The particles rotate in varying speed and the speed values follow a normal distribution. The
491 fast-spin particles line up diagonally and in conjugation with the force chains. The particles
492 on the shear plane relocate more noticeably than the particles away from the plane. On the
493 plane, the particle relocations are largely consistent.

494

495 **ACKNOWLEDGEMENT**

496 This research was funded by the Australian Government through the Australian Research
497 Council.

498 **NOTATIONS**

499	d	particle diameter
500	D_{50}	50% pass particle size
501	F	sum of normal force at contact
502	G_s	specific density of solid
503	k_n	normal stiffness at contact
504	$k_{n,1}$	normal stiffness of entity 1
505	$k_{n,2}$	normal stiffness of entity 2
506	k_s	shear stiffness at contact
507	$k_{s,1}$	shear stiffness of entity 1
508	$k_{s,2}$	shear stiffness of entity 2
509	N_c	number of rubber–rubber contacts on shear plane
510	N_r	number of rubber particles on shear plane
511	V	sample volume
512	χ	specific volume fraction
513	δ	shear displacement
514	μ	inter-particle friction coefficient
515	μ_1	surface friction of entity 1
516	μ_2	surface friction of entity 2
517	σ_m	mean stress at contact

518 σ_v vertical or normal load

519 ζ damping coefficient

520

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