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Rabiah A. Rahmata, Melissa A. Humphries, Jeremy J. Austin, Adrian M.T. Linacre, Mark Raven, Peter Self

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Integrating spectrophotometric and XRD analyses in the investigation of burned dental remains

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Corresponding Author:	Rabiah Al-Adawiyah Rahmat, G.Dip.For.Odont., B.D.S. The University of Adelaide Adelaide, South Australia AUSTRALIA
First Author:	Rabiah Al-Adawiyah Rahmat, G.Dip.For.Odont., B.D.S.
Order of Authors:	Rabiah Al-Adawiyah Rahmat, G.Dip.For.Odont., B.D.S. Melissa A Humphries, Ph.D Jeremy J. Austin, Ph.D Adrian M. T. Linacre, Ph.D Mark MSc Raven Peter Self, Ph.D
Abstract:	Heat alters colour and crystallinity of teeth by destruction of the organic content and inducing hydroxyapatite crystal growth. The colour and crystallite changes can be quantified using spectrophotometric and x-ray diffraction analyses, however these analyses are not commonly used in combination to evaluate burned dental remains. In this study, thirty-nine teeth were incinerated at 300 – 1000°C for 15 and 30 minutes and then measured using a spectrophotometer and an x-ray diffractometer. Response variables used were lightness, L*, and chromaticity a* and b* and luminance (whiteness and yellowness) for colour, and crystal size for crystallinity. Statistical analysis to determine the attribution of these variables revealed yellowness and crystal size were significantly affected by temperature (p < 0.05), whilst duration of heat-exposure showed no significant effect. This study suggests the inclusion of both spectrophotometric and x-ray diffraction in investigating thermal-heated teeth is useful to accurately estimate the temperature teeth are exposed to.

Research article

Integrating spectrophotometric and XRD analyses in the investigation of burned dental remains

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Integrating spectrophotometric and XRD analyses in the investigation of burned dental remains

Author names and affiliations.

Rabiah A. Rahmat^{a,*}, **Melissa A. Humphries**^c, **Jeremy J. Austin**^b, **Adrian M. T. Linacre**^d, **Mark Raven**^e, **Peter Self**^e

^a *School of Biological Sciences, University of Adelaide, Adelaide, South Australia 5006 Australia*

^b *Australian Centre for Ancient DNA, School of Biological Sciences, University of Adelaide, Adelaide, South Australia 5006 Australia*

^c *Faculty of Engineering, Computer and Mathematical Sciences, University of Adelaide, Adelaide, South Australia 5006, Australia*

^d *College of Science and Engineering, Flinders University, Adelaide, South Australia 5042 Australia*

^e *CSIRO, Land and Water, Locked Bag 2, Glen Osmond, South Australia 5064, Australia*

^f *Department of Oro-Maxillofacial Surgical and Medical Sciences, Faculty of Dentistry, University of Malaya, Kuala Lumpur 50603, Malaysia*

Email addresses:

Melissa Humphries

melissa.humphries@adelaide.edu.au

Jeremy J. Austin

jeremy.austin@adelaide.edu.au

Adrian M. T. Linacre

adrian.linacre@flinders.edu.au

Mark Raven

Mark.Raven@csiro.au

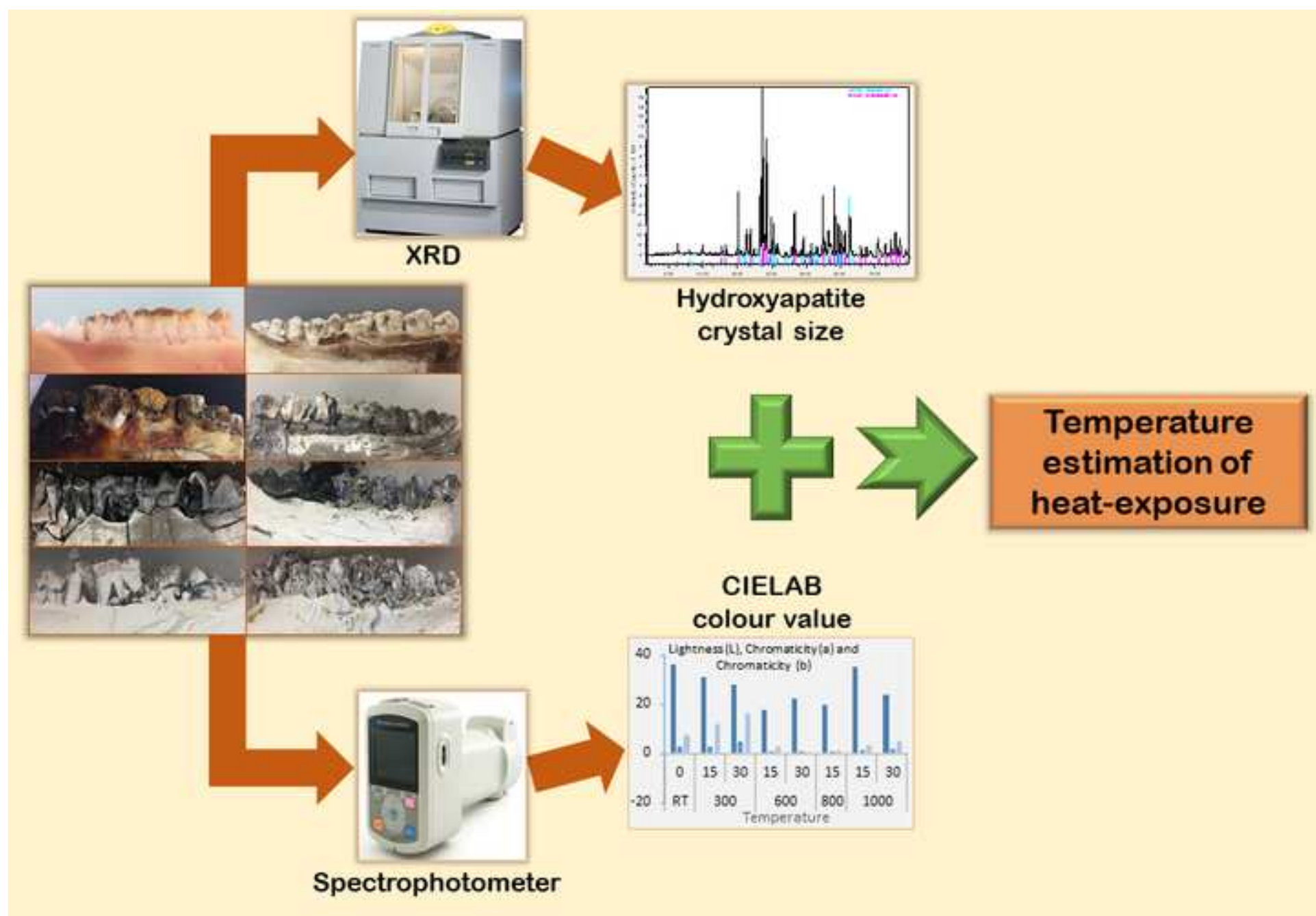
Peter Self

Peter.Self@csiro.au

*Corresponding author. School of Biological Sciences, University of Adelaide, Adelaide, South Australia 5006 Australia.

Permanent address: Department of Oro-Maxillofacial Surgical and Medical Sciences, Faculty of Dentistry, University of Malaya, Kuala Lumpur 50603, Malaysia

E-mail address: drrabiahadaw@gmail.com (R. A. Rahmat).



Integrating spectrophotometric and XRD analyses in the investigation of burned dental remains

Highlights

- Colour and crystallinity changes in heat-treated teeth can be measured using spectrophotometric and XRD analyses.
- Both analyses provide numerical data for colour and hydroxyapatite crystallite size.
- Results demonstrate crystal size and yellowness were significantly affected by the rise of heating temperature.
- The integration of both analyses can generate a reliable and accurate estimation of temperature exposure for burned dental remains.

1 **Research article**

2 **Integrating spectrophotometric and XRD analyses in the investigation** 3 **of burned dental remains**

4 **Abstract**

5 Heat alters colour and crystallinity of teeth by destruction of the organic content and inducing
6 hydroxyapatite crystal growth. The colour and crystallite changes can be quantified using
7 spectrophotometric and x-ray diffraction analyses, however these analyses are not commonly
8 used in combination to evaluate burned dental remains. In this study, thirty-nine teeth were
9 incinerated at 300–1000°C for 15 and 30 minutes and then measured using a
10 spectrophotometer and an x-ray diffractometer. Response variables used were lightness, L^* ,
11 and chromaticity a^* and b^* and luminance (whiteness and yellowness) for colour, and crystal
12 size for crystallinity. Statistical analysis to determine the attribution of these variables revealed
13 yellowness and crystal size were significantly affected by temperature ($p < 0.05$), whilst
14 duration of heat-exposure showed no significant effect. This study suggests the inclusion of
15 both spectrophotometric and x-ray diffraction in investigating thermal-heated teeth is useful to
16 accurately estimate the temperature teeth are exposed to.

17 **Keywords:** Burned teeth; Heat treatment; Colour measurement; Hydroxyapatite;
18 Spectrophotometer; X-ray Diffraction

19 **1. Introduction**

20 The resilient structure of teeth to withstand the test of time and adverse environmental
21 conditions means that teeth are frequently recovered from archaeological settings. Through
22 burned dental remains, archaeology experts can analyse and interpret the demography, cultural
23 practice and past ritual ceremony. Teeth also can be crucial evidence for human identification
24 after a fire. Analysis of temperature-dependent characteristics of teeth including the alterations
25 of colour and crystal size can provide contextual information about a fire's condition such as
26 fire temperature, and eventually facilitates an investigation of burned dental remains (1-4). For
27 this reason, the investigation of burned dental remains is of ongoing interest in forensic science
28 and archaeology. Teeth are the hardest structure of the human body and the heat insulation
29 gained from the surrounding musculoskeletal structures, usually survive high temperatures and
30 are the least of all body parts affected by the fire (5, 6). Burned dental remains found in

31 archaeological sites (e.g. cultural cremation practice), and forensic cases (e.g. aircraft
32 accidents, vehicle and house fires, and bushfire) are commonly fragmented (7). Fragmented
33 tooth crowns are usually recovered at a fire scene because they tend to break and fall apart due
34 to direct heat exposure (8). These dental fragments are suitable to be used for
35 spectrophotometric and x-ray diffraction (XRD) analyses.

36 Teeth are made up of inorganic and organic components in various proportions. For
37 example: enamel is composed of 97 wt.% of inorganic matter (hydroxyapatite) and 3 wt.% of
38 organic matter (collagen, proteins and lipids); and dentin is composed of 70 wt.% of
39 hydroxyapatite and 30% of organic matter (9). The organic matter in teeth is present in an
40 aqueous-gel environment where collagen, proteins and lipids are kept hydrated (10). On
41 heating, teeth undergo extensive structural changes and the ratio of mineral-organic contents is
42 gradually altered. From 110 – 260°C, dehydration occurs in which hydroxyl bonds break and
43 eventually teeth lose any water molecules (11). During this time, collagen gradually degrades
44 (12). When the temperature is increased up to 500°C, combustion of the organic matter begins
45 to occur especially in collagen-rich dentin (13, 14). The depletion of organic matter decreases
46 the pH inside the tooth and leaves voids allowing the growth of hydroxyapatite (HA) crystals
47 to fill up the interspaces further reducing the environmental pH to less than pH 4.2 (15). HA is
48 at its the most stable at neutral pH, therefore in an acidic environment HA crystals become
49 unstable (16). With an excess of Mg^{2+} ions, the growth of HA is halted and HA transformed
50 into a more stable form of calcium phosphate known as whitlockite $[Ca_9Mg(HPO_4)(PO_4)_6]$
51 (17). Above 1100°C, HA crystals melt and coalesce to each other (18). This process can be
52 reflected through alterations in the outward appearance of teeth including colour and
53 crystallinity (18).

54 *1.1. Colour analysis*

55 The colour of teeth is said to be influenced by factors including lighting conditions,
56 translucency, opacity, light scattering and gloss (19). As the intensity of heating increases, tooth
57 colour progressively changes. In general, the sequence of colour changes is from its neutral
58 colour (yellowish white), to brown, black, blue-grey, and finally chalky white (8, 20, 21).
59 Factors affecting these changes are temperature, duration, oxygen availability and other related
60 influences (10, 22). Traditionally, many studies have analysed colour changes of incinerated
61 teeth visually and compared the observation to a colour guide such as the Munsell colour
62 system (1, 2, 10, 20, 21). Despite the claim made by Shipman *et al.* (10) that the Munsell colour
63 chart system offers a standardised, reproducible interpretation of quantified colours, the

64 reliability of such colour chart can be argued because the interpretation process is subjected to
65 individual perceptions and variations among observers.

66 More generally, the interpretation of colour based on visual observation is subjective
67 because this method entirely depends on an individual's perception (19, 21). Due to this
68 subjectivity, the ability of individuals to describe colour is inconsistent from time-to-time (23).
69 Hence, it is not surprising that colour descriptions of heat-treated teeth vary from one study to
70 another (1, 10, 20). The lack of objectivity in visual observation method has led to a suggestion
71 to use a quantitative analysis to measure colour changes (21). A study by Rubio *et al.* (24) has
72 evaluated colour of heat-treated teeth using a spectrophotometer. According to their study, the
73 application of spectrophotometry using a Commission Internationale de l'Eclairage
74 lightness (L^*) and chromaticity (a^* and b^*) (CIELAB) colour system is reliable as it provides
75 objective colour data with high accuracy. CIELAB was developed by the International
76 Commission on Illumination. Colour values revolve within CIELAB space are lightness (L^*)
77 and chromaticity (a^* and b^*). Spectrophotometry also provides tristimulus values (X, Y and Z)
78 that can be used to calculate whiteness and yellowness (luminance) of teeth.

79 1.2. Crystallinity analysis

80 Crystallinity is an attribute of conformational order within a crystal lattice (25). Teeth
81 exhibit small size of HA crystals around 22 nm with irregularities in the lattice (4). Crystallinity
82 measurement has been used to indicate thermal modification of HA minerals in teeth and bones
83 (25). The growth of HA crystals can be measured using an x-ray diffraction (XRD) analysis,
84 where the output is a diffraction pattern consisting of a set of diffraction peaks. Sharpening of
85 the diffraction peaks in XRD patterns is associated with increased temperature of thermal
86 treatment and increased HA crystallite size (10, 26). Piga *et al.* (4) has indicated the use of
87 XRD to estimate burning temperatures ranging from 200–1000 °C.

88 1.3. Aims of the study

89 Temperature and duration of heat-exposure are known to influence the degree of
90 structural alterations in teeth including colour, crystallinity and texture (27). This study aimed
91 to quantitatively evaluate effects of temperature and heat-exposure duration on colours and
92 crystallinity of teeth. CIELAB colour space ($L^*a^*b^*$), luminance (WI and YI), and HA
93 crystallite size were selected as the response variables against a range of temperatures and
94 durations of exposure. We hypothesised that all response variables should change as both
95 temperature and duration change. We also hypothesise that the profile of the observed changes

96 should be the same across all variables. This study also aimed to evaluate the potential of colour
97 and crystallinity of teeth as indicators to estimate temperature of fire.

98 **2. Methods**

99 *2.1. Sample preparation*

100 Mandibular jaws from domestic pigs (*Sus scrofa domesticus*) were obtained from a
101 local abattoir. The pigs were adults of average two years age in which teeth development was
102 completed. Only mandibular bones with fully developed, sound and intact premolars and
103 molars were selected. Mandibles with posterior teeth that had carious lesions were excluded.

104 Four mandibles were selected. Each mandible was cut into two segments, making a
105 total of eight segments. Each segment had the first premolar as the anterior border and had the
106 second molar as the posterior border. All the attached muscles and fat were completely
107 removed from the bone surfaces using sterile surgical blades. The surgical blades were changed
108 for every mandibular segment. The mandibular segments were cleaned with distilled water.
109 The number of samples are 48 teeth (n = 48). Five teeth from a mandibular segment were
110 removed and kept as control samples at room temperature.

111 *2.2. Furnace incineration*

112 The incineration process of the samples was performed in a controlled condition using
113 an electrical furnace (Ward, Serial No: 12098, South Australia) at a laboratory operated by
114 CSIRO Land and Water Division, Urrbrae, South Australia. Mandibular segments were
115 incinerated at each of the following temperature/time combinations: 300°C/30 min, 600°C/30
116 min, 1000°C/30 min, 300°C/15 min, 600°C/15 min, 800°C/15 min and 1000°C/15 min. Each
117 mandibular segment was placed in a crucible with the buccal surface facing upward. It was
118 then positioned in the centre of the pre-heated furnace for the assigned duration.

119 *2.3. Visual examination*

120 Post-incineration, changes in the teeth were examined by visual observation and were
121 recorded as photographic images in the following formatting: Tagged Image File Format
122 (TIFF) and Joint Photographic Experts Group (JPEG). Feature changes including colour,
123 fracture, and any damage seen in teeth were interpreted subjectively.

124 2.4. Spectrophotometric analysis

125 Colour was measured using a portable handheld spectrophotometer (CM-700d, Konica
126 Minolta Sensing Americas, Inc., U.S.A). An 8-mm target mask with plate was attached at the
127 lens to switch the illumination area. Colour data software (SpectraMagic™ NX CM-S100w)
128 was used to operate the instrument from the computer, record measurements, process the data
129 and for file management.

130 The spectrophotometer was calibrated twice prior to usage. The first calibration was a
131 zero calibration using a zero calibration box. The second calibration was a white calibration
132 using a white calibration cap with calibration data. The parameters of the spectrophotometer
133 were set to a uniform colour space as recommended by CIE (28), the observer angle was 2°
134 and the illuminant condition was D65. The data collected were the CIELAB L*a*b* values
135 and the luminance values that are X, Y and Z. L* value indicates the lightness of an object's
136 colour on a scale from 0 (black) to 1 (white), and a* and b* are the chromaticity of an object's
137 colour. The value of positive a* is for the redness and negative a* is for greenness. The value
138 of positive b* is for the yellowness and negative b* is for blueness. Measured values of a* and
139 b* near to zero indicates an object of neutral colour, either white or grey.

140 The whiteness index (WI) and yellowness index (YI) were calculated from the
141 luminance values to analyse the whiteness and yellowness of the teeth. The calculations were
142 performed using the formulae proposed by ASTM Method 313 (29):

143 $WI = Y + 800(0.3127 - X) + 1700(0.3290 - Y)$

144 $YI = 100 \frac{[100(1.2985X - 1.1335Z)]}{Y}$

145

146 The buccal surface of the tooth crown was the target area to measure the colour. Five
147 measurements were recorded at the same target area and the average of these measurements
148 were calculated to obtain the final measurement.

149 2.5. XRD analysis

150 Forty-eight teeth were examined at the X-ray Diffraction Laboratory, CSIRO Land and
151 Water Division, Urrbrae, South Australia, to measure the crystal size of HA. The tooth crowns
152 were removed and manually ground into a powder form in an agate mortar and pestle. Fine
153 powder (~50 mg) was sprinkled onto Si low background holders for XRD analysis. XRD
154 patterns were recorded with a PANalytical X'Pert Pro Multi-purpose Diffractometer using Fe-
155 filtered Co K α radiation, automatic divergence slit, 2° anti-scatter slit and fast X'Celerator Si
156 strip detector. The diffraction patterns were recorded at a scan rate of 2.43°C two theta per
157 minute giving an overall counting time of approximately 30 minutes. Phase identification was
158 performed on the XRD data using in-house software (XPLOT) and HighScore Plus (from
159 PANalytical) search/match software. Calculations of crystallite size were performed using the
160 TOPAS refinement parameter “Cry Size L” that is suggested in the TOPAS-Academic
161 technical reference (30).

162 2.6. Statistical analysis

163 All statistical analyses were completed using the statistical software R (31).
164 Correlations between response variables were analysed using the built-in ‘cor’ function. This
165 was necessary to ascertain if multivariate analysis was required. Response variables which are
166 theoretically related and moderately correlated should be fit in a multivariate model. If response
167 variables are weakly correlated than separate univariate analyses can be completed.
168 Alternately, extremely high correlations between response variables suggest redundancy and
169 the number of response variables can be reduced to a representative set.

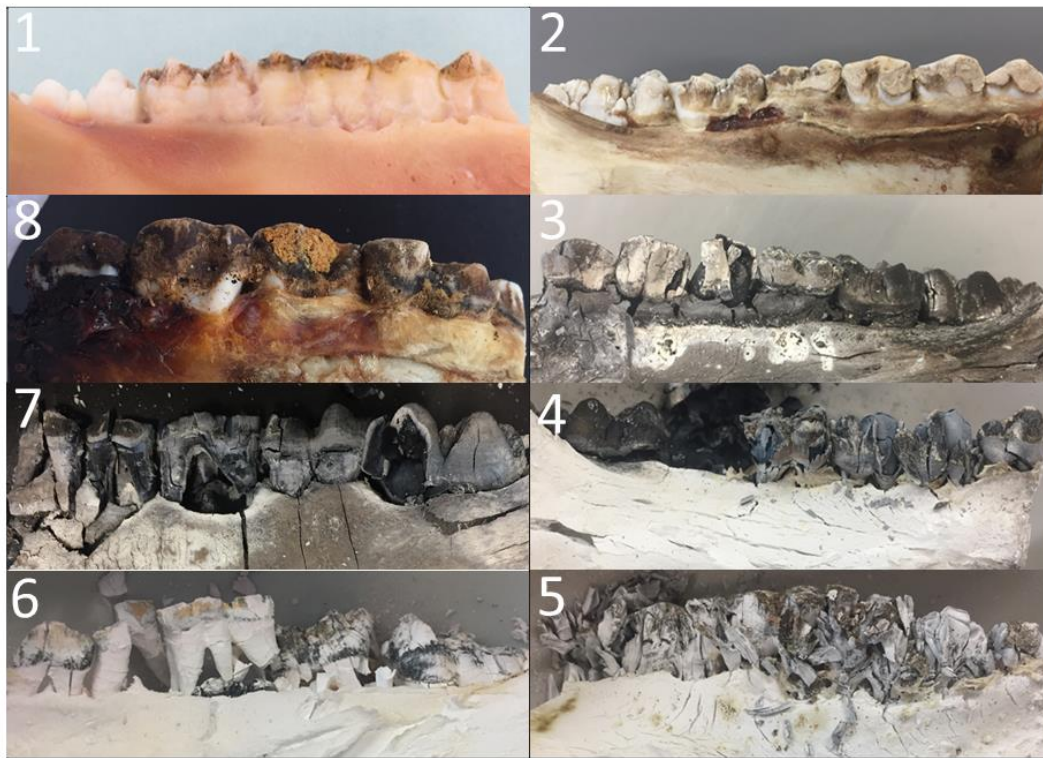
170 Linear models were fit to the chosen response variables using the ‘lm’ function.
171 Possible variable transformations were explored using the boxcox function of the MASS
172 package and all post hoc analyses were completed using a Tukey HSD correction to control
173 the family-wise error rate.

174 3. Results

175 3.1. Visual inspection

176 Due to the bony protection afforded to the roots, the colour changes primarily focused
177 on the coronal portions of the teeth (Figure 1). For 15-minute heating, teeth colour changed
178 from neutral white-yellowish to opaque white with no signs of crack lines or fracture at 300°C.
179 At 600°C, the tooth crown turned light grey in colour and the crowns begun to noticeably crack.

180 Visible fracture lines formed through enamel. Premolars became metallic grey and molars
 181 turned into black in colour at 800°C, with fragmentation dramatically increased and fracture
 182 lines intensified all over the crowns. At 1000°C, the teeth ultimately turned white with
 183 complete disintegration of the tooth crowns. There was loss of bone, making extended fracture
 184 lines on the roots visible. For 30-minute heating, the observation contrasts with the 15-minute
 185 heating at 600°C where tooth crowns became greyish black and disintegrated whilst the roots
 186 were dislodged as bone cracked. Teeth became chalky white at 1000°C and horizontal fracture
 187 lines were noted on the roots.



188

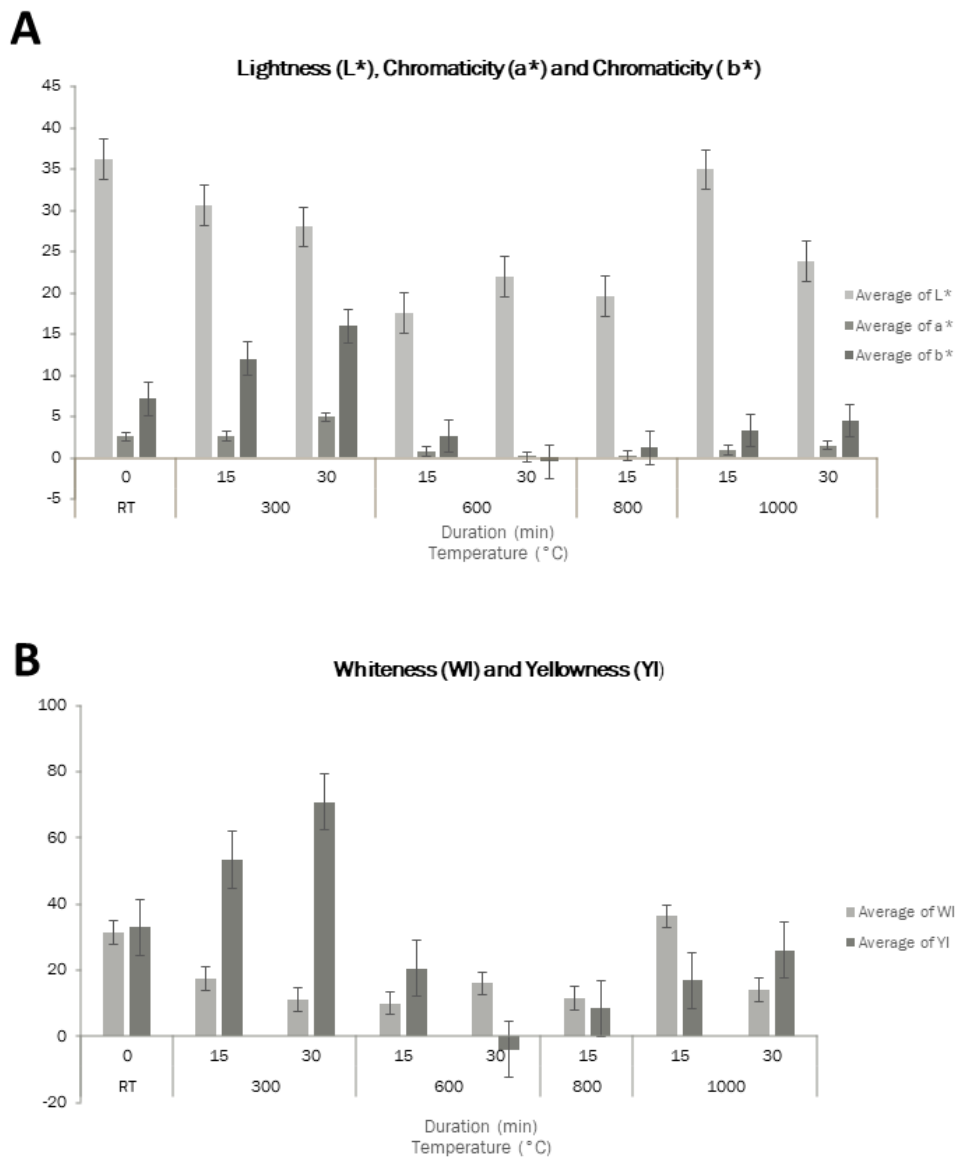
189 Figure 1—Clockwise from top left: 1) Unheated teeth 2) Teeth heated at 300°C for 15-minute 3) Teeth heated at 600°C for
 190 15-minute 4) Teeth heated at 800°C for 15-minute 5) Teeth heated at 1000°C for 15-minute 6) Teeth heated at 1000°C for 30-
 191 minute 7) Teeth heated at 600°C for 30-minute 8) Teeth heated at 300°C for 30-minute.
 192

193 *3.2. Spectrophotometric data*

194 The spectrophotometric data for the average values of L*, a*, b*, WI and YI of tooth
 195 groups at every temperature, separated by duration, are shown in Figure 2. L* values decreased
 196 between control group and 800°C. At 1000°C, L* value increased, with the L* value of 15-
 197 minute group similar to the control group. L* of the 15-minute group were higher than L*
 198 values of 30-minute group at 300° and 1000°C. No apparent changes were observed between
 199 chromaticity a* for control teeth with the a* of 15-minute group at 300°C but a twofold-
 200 increase of a* for the 30-minute group. At 600°C, a* of the 15- and 30-minute group reduced

201 markedly approaching zero for white colour. The 800°C 15-minute group shows similar values
202 to the 600°C 30-minute group. a^* slightly increased from 800°C to 1000°C with a^* value of
203 the 30-minute group almost twice the a^* value of the 15-minute group. Chromaticity b^*
204 increased from the control group to 300°C, with double-hike for 30-minute group and half-
205 increment for 15-minute group, changing for a more saturated yellow colour. A sudden drop
206 of b^* value at 600°C for both durations with the yellow of 15-minute group less saturated and
207 the 30-minute group changed towards blue colour. At 800°C, b^* was lessened and becoming
208 more neutral yellow. b^* was increased by threefold from 800°C to 1000°C, but the yellow
209 saturation for 15- and 30-minute groups were lower than the control group.

210 WI decreased from the control group to 300°C for both time durations. WI kept on
211 declining till 600°C for the 15-minute group. WI increased for the 600°C 30-minute group and
212 only increased at 800°C for the 15-minute group. However, WI for the 1000 °C 15-minute
213 group rised markedly, in stark contrast to the 30-minute group where the increase was minor.
214 The highest value of YI is manifested in the 300°C 30-minute group before it significantly
215 decreased at 600°C with the lowest value seen in the 30-minute group. A increase of YI is
216 observed from 800° to 1000°C



218
219 Figure 2—Mean values for (A) lightness (L*), chromaticity (a*) and chromaticity (b*) and (B)whiteness (WI) and yellowness
220 (YI) in tooth groups exposed to room temperature (RT), 300 °, 600 °, 800 ° and 1000 °C for 15- and 30- min.(N = 48).

221

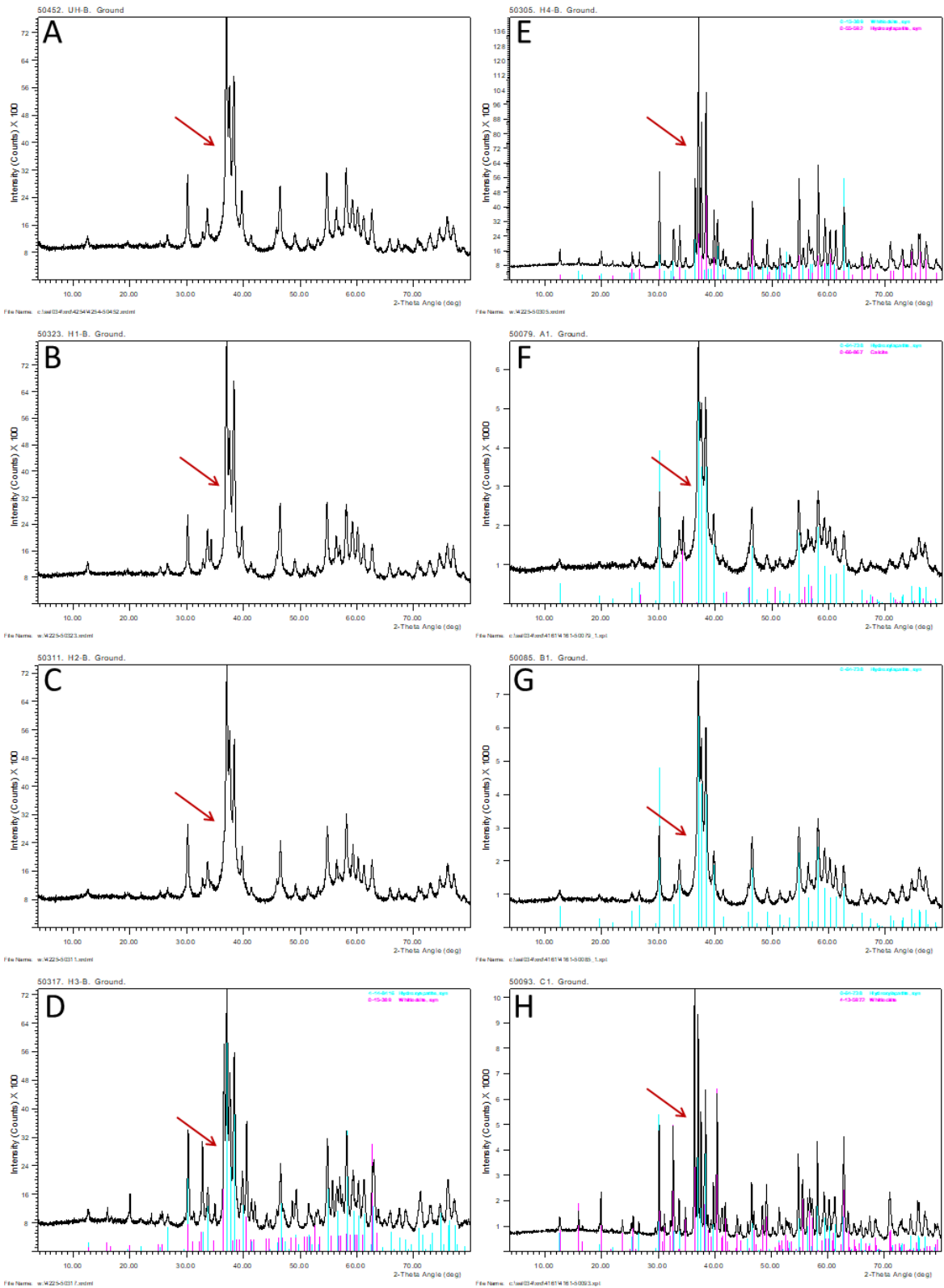
222 3.3. XRD data

223 Table 1 lists the average crystallite size of unheated and heated tooth samples. Control
224 samples have an average crystallite size of 63.8nm. Hydroxyapatite crystals gradually shrank
225 when teeth were heated at 300° and 600°C for 15 minutes. However, the 300°C 30-minute
226 group shows dramatic size reduction but a minor decrease in size at 600°C. The crystallite
227 size was then doubled after heating at 800°C for 15 minutes. A dramatic increase, with more
228 than 25-fold augmentation of crystallite size, was observed in teeth heated at 1000°C for both
229 durations.

230 Table 1— Average crystallite sizes of hydroxyapatites in teeth left at room temperature (RT) and teeth heated from 300 to
 231 1000°C for 15 and 30 minutes (min) were calculated from (002) peak diffraction pattern. In this study, crystallite size was
 232 measured in nanometer (nm). 1 nanometer (nm) = 10 Å (Angstrom)

Temperature (°C)	Duration (min)	Mean Crystallite Size (nm)
RT	0	63.8
300	15	51.1
300	30	39.7
600	15	34.4
600	30	31.4
800	15	73.5
1000	15	1940.0
1000	30	1999.0

233
 234 Diffraction patterns of control and heated teeth are presented in Figure 3. The
 235 diffraction (002) peaks corresponding to hydroxyapatite crystallite and used for crystallite size
 236 calculation are marked in Figure 3. In general, the patterns denote a trend concerning the
 237 crystallite growth of samples heated for both 15- and 30-minute durations: (i) no notable peak
 238 narrowing for control teeth and teeth heated up to 800°C; (ii) Peak narrowed dramatically at
 239 1000°C. Peak widths of teeth heated for 30 minutes appearing similar those heated for 15
 240 minutes. Interestingly, calcite or calcium carbonate (CaCO₃) was only detected in samples
 241 heated at 300°C for 30 minutes. As expected, the formation of whitlockite was identified in
 242 tooth samples heated at 800°C and 1000°C.



243
 244 Figure 3—Diffraction patterns of first premolars tooth samples: (A) Unheated (B) Heated at 300°C, 15mins (C) Heated at
 245 600°C, 15mins (D) Heated at 800°C, 15mins (E) Heated at 1000°C, 15mins (F) Heated at 300°C, 30mins (G) Heated at 600°C,
 246 30mins (H) Heated at 1000°C, 30mins. The diffraction (002) peak of each sample pattern is pointed with an arrow. Sample
 247 heated at 300 °C shows the presence of calcite. Samples heated at 800°C and 1000°C for 15- and 30-minutes (A, D, E, and H)
 248 reveal the addition of whitlockite.

249

250

251 3.4. Statistical analysis

252 The correlation between response variables was first tested to inform whether
253 multivariate analysis techniques were required. Based on correlation coefficients (for details
254 see Table 2 in supplementary data), strong correlations were found between a^* and b^* with YI
255 ($p > 0.01$) and between L^* and WI ($p > 0.01$), thus a^* , b^* and L^* were omitted from further
256 analysis with YI and WI forming the representative set of response variables. The correlations
257 shown between CS, WI and YI were all weak, justifying the use of separate, univariate analyses
258 rather than a multivariate technique. The predictors for each of these response variables are
259 temperature and duration.

260 Two-way ANOVAs were then conducted considering both the main effects and
261 interactions between temperature and duration for each of the three response variables, CS, WI
262 and YI. Both CS and WI required log transformations to adhere to the assumptions of
263 homoscedasticity.

264 3.5. Crystallite Size (CS)

265 Two-way ANOVA revealed a significant effect of temperature on CS ($F(4,31)=199.45$,
266 $p<.001$) and failed to find a significant main effect of duration ($F(1,31)=0.17$, $p=.683$) or
267 interaction between duration and temperature ($F(2,31)=1.25$, $p=.302$). Follow-up post-hoc
268 Tukey HSD pairwise comparisons revealed that the CS of teeth incinerated at 1000°C was
269 between 15.8 and 48.5 higher than the controls, 25.8 and 64.9 higher than 300°C, 35.1 and 88.2
270 higher than 600°C and 14.1 and 43.2 higher than 800°C (95% confidence intervals), regardless
271 of duration. The only other observable differences were higher CS at 800°C than 600°C (1.3 –
272 3.9) and higher CS at 600°C than at room temperature (0.3 – 0.9) but these differences are both
273 relatively small compared to those observed at the highest temperature.

274 3.6. Whiteness (WI)

275 There were no significant main effects or interactions observed for the two-way
276 ANOVA of temperature and duration on WI (Temperature: ($F(4,31)=1.14$, $p=.059$), Duration:
277 ($F(1,31)=0.60$, $p=.597$), Temperature*Duration: ($F(2,31)=2.45$, $p=.103$)). However there was
278 a trend toward significance for the duration variable. Further investigation with a larger data
279 set may be able to explore this difference further.

280 3.7. Yellowness (YI)

281 The two-way ANOVA of temperature and duration on YI revealed the most complex

282 results in the analysis with a significant interaction between the two predictor variables
283 ($F(2,31)=15.50, p<.001$). Pairwise comparisons with a Tukey HSD correction (for details
284 please see Table 3 in supplementary data) found the following:

- 285 1. YI peaked at 300 °C. YI was significantly higher at 300 °C than any other temperature,
286 regardless of duration.
- 287 2. At 600 °C, YI was between 6.2 and 42.6 higher after 15 minutes, than after 30 minutes.
288 This was the only significant difference based solely on duration.
- 289 3. Teeth exposed to 600°C for 30 minutes were between 18.6 and 55.1 higher in YI than
290 the room temperature teeth and between 6.4 and 42.8 higher than teeth exposed to
291 800°C for 15 minutes. However, teeth incinerated at 600°C for 30 minutes were
292 significantly lower in YI than teeth incinerated at 1000°C for 15 minutes (2.6-39.0) and
293 for 30 minutes (10.6-49.2).

294

295 **4. Discussion**

296 This paper presents findings from spectrophotometric and XRD analyses of heat-treated
297 teeth, and discusses the relationship between colorimetric variables, L^* , a^* , b^* , WI, YI and HA
298 crystal size. Two durations of heat-exposure, 15 and 30 minutes were analysed to investigate
299 the effect of duration on colour changes and crystallite size. Both durations were specifically
300 chosen because previous research has shown colour does not change in teeth after 30 minutes
301 of incineration (20).

302 4.1. *Spectrophotometric analysis*

303 Despite the visual observation of teeth heated for 15-minutes and 30-minutes being
304 different, our spectrophotometric results were generally unable to find a significant effect of
305 duration on colour variables or crystal size ($p < 0.05$). This finding is in stark contrast with a
306 previous study in which duration of heat-exposure was shown to affect tooth colour changes
307 (21) . Our results also showed that the lightness, L^* value, is not affected by temperature. This
308 finding contradicts the findings in a study by Rubio *et al.* (24) that L^* is a valuable determinant
309 to estimate the incineration temperature. Our findings are interesting considering that
310 dehydration was shown to have significant effect on the lightness of teeth (32). However, the
311 dehydration process is only accountable for the heating up to 500°C (8, 10). In our study, the
312 measure of lightness decreased from the room temperature to 600°C, a range where teeth were
313 losing the water component due to dehydration. Enamel was mainly affected as it is entirely

314 made up of inorganic components and therefore, it became progressively porous. This finding
315 is supported by studies in which the lightness of tooth was found to be proportionate porosity
316 of enamel and decreased when they are dehydrated (33, 34). In addition, a major advantage of
317 using the CIELAB colour system is that the difference between a standard and the measured
318 colour can be quantified (35).

319 4.2. XRD analysis

320 In general, the most significant transformation of HA crystallite phase occurs after
321 800°C. Reduction in crystallite size in 300°C to 600°C sample groups could be due to the
322 dehydration process that altered the chemical structure of HA. By 800°C, HA crystallites
323 progressively expanded to fill up the interspaces resulted from the combustion of organic
324 matters. The sudden and striking growth of the crystallite size noted in teeth heated at 1000°C
325 is consistent to a previous study by Piga *et. al.* (4).

326 The observed narrowing of the diffraction peaks is related to changes in the crystallite
327 size that increases with heating temperature. Unheated teeth show relatively broader peaks than
328 heated which reflect a poor crystalline apatite. Diffraction peaks became narrower and sharper,
329 corresponding to an increase in the crystal size of hydroxyapatite and towards a more orderly
330 crystalline arrangement in lattice plane, are observed as the temperature increased up to
331 1000°C. These results are consistent with the most significant structural changes of the bone
332 mineral occurring between 600°C and 800°C, as previously reported (10, 25, 36).

333 Interestingly, calcite only appeared in samples heated to 300°C for 30-minute. No
334 calcite was detected in specimens of the 300°C 15-minute group. It was also absent in
335 specimens of the 600°C group. During heat treatment, calcium carbonate is formed when
336 hydroxyl ions (OH^-) of hydroxyapatite are replaced by carbonate ions CO_3 (37). This might
337 explain why there is a marked decrease in size for the 300°C 30-minute group. Calcite is the
338 most stable form of calcium carbonate (CaCO_3) (38). It was reported that in bone, calcium
339 carbonate formed after a complete combustion of organic matter around 600°C and it
340 disappeared after heating at 900°C (39). Contrasting to that, other studies have claimed that
341 complete loss organic matters was at 350°C, with carbonate ions decreasing around 400-700°C
342 (40, 41). By far, findings on the temperature at which calcite is formed and loss are greatly
343 varied and mostly inconsistent. Being the third most abundant component of skeletal minerals
344 (42), accidental carbonate contamination by adsorption on the surface of apatite crystals cannot
345 be ruled out. The mechanism of calcite formation exclusively in the 300°C 30-minute samples

346 is still obscure. Thus, further studies on the occurrence of calcite in burned dental remains are
347 needed.

348 We found that at temperatures over 800°C whitlockite formed. Whitlockite is a product
349 of thermally-heated hydroxyapatite where over 800°C, HA crystals coalesce to each other (43,
350 44). This finding corresponds to the whitlockite seen on the XRD pattern in human teeth heated
351 after 750°C (45, 46). The claim that no definite temperature at which transformation of
352 bioapatite to whitlockite occur is therefore arguable (45). The evidence of hydroxyapatite
353 becoming whitlockite can aid in the estimation of temperature. The results presented here
354 suggest that identification of whitlockite in burned teeth means the teeth have been heated at
355 no less than 750°C. This is an important indicator since the temperature of house fires, motor-
356 vehicle accidents and fire disasters are 750°C and above (7, 47). Also, whitlockite was
357 consistently identified in all teeth heated to more than 800°C, a finding that supports a study
358 by Piga *et al.* of which whitlockite formed systematically in teeth heated at 750°C (45). It is
359 worth noting that whitlockite can be formed as a result of other conditions in biological human
360 systems such as pathological calcifications which include dental calculus, salivary stones, soft-
361 tissue deposits and arthritic cartilage (48).

362 There was, however, a dramatic increase in crystallite size at 1000°C suggesting that
363 the HA crystallites may have recrystallised and expanded drastically to fill the voids created
364 by dehydration and organic matter destruction. Dehydration or loss of water in the enamel and
365 dentin occur in between 100 – 400°C where hydroxyl bonds break during the process and water
366 molecules evaporated, followed by the loss of organic matter after 400°C (9). Sudden increase
367 of HA crystal size is noticeably consistent for all teeth heated at 1000°C. This finding could be
368 a useful feature to indicate if a dental remain has been subjected to temperatures higher than
369 800°C. Our results correspond to a previous study where a marked increase in crystallinity with
370 more ordered crystal lattice has been found in teeth heated above 700°C (4).

371 4.3. Recommendations

372 We recommend the integration of spectrophotometric and XRD analyses on burned
373 teeth to estimate the maximum heating temperature with greater accuracy. The credibility of
374 spectrophotometric and XRD analyses when used individually to recognise burned skeletal
375 remains and to estimate the maximum heating temperature have been proven in some studies
376 (10, 49, 50). Yet, the conclusions from the individual use of spectrophotometric or XRD
377 analysis alone provide weak evidence of incineration for three reasons. Firstly, any change of
378 colour seen in tooth does not necessarily means that it has been burned. The colour change can

379 be influenced by multiple factors such as taphonomic effects (skeletal weathering and
380 decomposition)(51) and lifestyle (diet, nicotine staining or fluorosis)(19). Secondly, the growth
381 of hydroxyapatite increased in a diagenetically altered teeth as seen in bone (52). Diagenesis is
382 a process that starts after the vertebrates die in which taphonomic factors such as elements of
383 burial soil and the presence microorganisms influenced the alteration of the chemical structure
384 and induced recrystallization in teeth and bones (53, 54). The increased of crystallinity was
385 found to be inversely correlated with the collagen contents (55). Thirdly, as an increase of
386 crystal size is notable only from teeth heated more than 700 °C, the sole use of XRD is not very
387 useful for estimating low temperatures.

388 Since the application of spectrophotometric and XRD analyses on real archaeological
389 or forensic scenarios are still uncommon, we also recommend both analyses to be incorporated
390 in the future research investigating heat-treated teeth. Combining analytical techniques to
391 investigate burned skeletal remains has been suggested in a previous archaeology research to
392 improve the robustness and accuracy of the interpretation (56). Measuring the colour and
393 crystallinity of heat-treated teeth using both analyses have enabled us to obtain quantitative
394 data with precision and accuracy. Both analyses are also cost-effective, require small amount
395 of sample (0.5 mg) and the procedure is relatively simple. Indeed, instrument analyses offers
396 objective, quantifiable, repeatable and quick measurement readings (57). In this study, the
397 spectrophotometer was calibrated to a white reference prior to measuring the teeth. The white
398 calibration step allows the normalisation of the spectrophotometer to correctly obtain correct
399 transmittance or reflectance factors throughout spectrum (58). The application of
400 spectrophotometer also can minimise interpretation errors (59). XRD on the other hand has
401 been claimed to be the ideal mean to express the crystallinity of a bioinorganic phase (45).

402 **5. Conclusion**

403 The longevity of burned dental remains has greatly benefited studies of archaeological and
404 forensic sciences. This study recommends the integration of spectrophotometry and XRD
405 analyses to be a routine practice in casework upon the discovery of burned dental remains.
406 Simultaneous application of both analyses has proved to be able to accurately estimate
407 maximum heating temperature of teeth. Also, the inclusion of both analyses in the investigation
408 of burned dental remains could potentially amplify the strength of evidence in forensic
409 identification and archaeological casework.

410 **Conflict of interest**

411 The authors declare no conflict of interest with this research.

412 **Ethics**

413 The handling of the animal remains in this study was done in line with the University of
414 Adelaide Animal Ethics.

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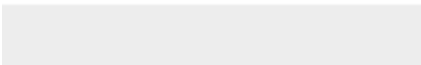

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Rabiah A. Rahmat: Project administration; methodology; investigation; data curation; visualization; writing - original draft preparation. **Melissa A. Humphries:** Formal analysis; writing - original draft preparation; visualization. **Jeremy J. Austin:** Conceptualization; resources; Supervision; Writing - Reviewing and Editing; funding acquisition. **Adrian M. T. Linacre:** Supervision; Writing- Reviewing and Editing.: **Peter Self:** Resources; investigation; formal analysis.: **Mark Raven:** Resources; formal analysis.