Horticultural Farming and Development Outcomes: Examining Human and Social Capital Investment Among Horticultural Households in Rural Indonesia

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Declaration

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Abstract

Increasing demand for fruits and vegetables among the Indonesian population has transformed the agri-food industry of Indonesia to move beyond traditional staple crop farming. Relative to traditional staple food crops, horticultural crop production usually generates higher returns to land, creates more on-farm and off-farm employment and leads to higher real wages in local economies. This thesis aims to examine human capital and social capital investment among households focusing on horticultural crop production in rural Indonesia.

The first analytical chapter aims to examine to what extent households cultivating horticultural crops as their main crops have better child education outcomes than households with traditional staple crops as their main crops. This study assesses the socioeconomic impacts of horticultural farming on household education investment among agricultural households in rural Indonesia. The study utilised a comprehensive household survey from Eastern Indonesia (IFLS EAST 2012) and applied econometric modelling that controls for the possible endogeneity of households' horticultural farming participation with instrumental variable estimation. The sample in the current study consists of 1,246 children from 791 households. It is found that horticultural crop farming has a positive association with child education spending of the household, especially for boys and primary school children. It is also associated with increased amount of time spent in school for certain subgroups of children, namely girls and junior high school students.

The second analytical chapter explores the risk preference among households having horticultural crops as their main crops and how it is associated with diversification toward non-farm income and education spending. The study conducted an empirical investigation using three-stages least squares regression (3SLS) to estimate simultaneous equations and further employed GMM 3SLS which extends the 3SLS estimator by allowing for heteroskedasticity. Moreover, the study also incorporates gender perspectives as it analyses both husbands' and

wives' risk preference which have heterogeneous effects. The sample in the current study consists of 392 children from 284 horticultural farming households in East Java, Indonesia. The survey and framed risk experiment were conducted in September 2017 among 500 households of citrus cultivators located in Malang, Jembre, and Banyuwangi districts. It is found that wives' lower risk aversion leads to higher non-farm income which is positively associated with child education spending. Moreover, non-farm income is found to have a larger positive association with education spending than income from citrus farming and other crops. These results, therefore, imply that the risks associated with horticultural farming could influence education spending through increasing income diversification toward non-farm source.

The third analytical chapter aims to examine social capital investment among horticultural farmers through understanding the relationship between different types of agricultural social networks and farm productivity through technical efficiency analysis. Social capital accumulation is an important mechanism to overcome production and marketing constraints commonly faced by farmers. The study employed stochastic production frontier (SPF) analysis which accounts for productivity shifts due to induced changes in efficiency. The sample of the current study is 408 small-scale citrus farmers in rural Indonesia from a recent survey in September 2017. The survey covers one of the main citrus growing areas in Indonesia, including Malang, Jembre, and Banyuwangi districts. The findings are consistent with the previous research documenting positive effects of cooperative membership and farmer group membership on technical efficiency among smallholder farmers. However, there is no effect from having direct access to government authority for production-related information. The probit estimation suggests that, unlike cooperatives, farmer groups appeal to farmers regardless of their education and citrus farming experience. These results can, therefore, assist

policy and program design to further promote agricultural social networks among rural households that help achieve higher agricultural productivity and rural development outcomes.

Table of Contents

Abstract	iii
Chapter 1: Introduction	1
1.1 Background and motivation	1
1.2 Research objectives	5
1.3 Key literature	6
1.4 Methods and research approach	.12
1.5 References	.15
Chapter 2: Statement of authorship	.18
Chapter 2: Examining the role of horticultural farming in child education outcomes:	
Evidence from rural households in Indonesia	.19
2.1 Abstract	.19
2.2 Introduction	.20
2.3 Literature review	.21
2.4 Methodology	.23
2.5 Data and descriptive statistics	.26
2.6 Results and discussion	.31
2.7 Conclusion	.41
2.8 References	.43
2.9 Appendices	.46
Chapter 3: Statement of authorship	.47
Chapter 3: Risk preference, non-farm income, and child education spending: A case stud	dy
of citrus farmers in Indonesia	48
3.1 Abstract	.48

3.2 Introduction	49
3.3 Literature review	51
3.4 Methodology	53
3.5 Data and descriptive statistics	55
3.6 Results and discussion	60
3.7 Conclusion	70
3.8 References	72
3.9 Appendices	76
Chapter 4: Statement of authorship	82
Chapter 4: Agricultural social networks and farm tech	nical efficiency: A case study of
citrus farmers in Indonesia	83
4.1 Abstract	83
4.2 Introduction	84
4.3 Literature review	85
4.4 Methodology	88
4.5 Data and descriptive statistics	91
4.6 Results and discussion	95
4.7 Conclusion	105
4.8 References	107
Chapter 5: Conclusions and Policy Implications	109
5.1 References	114
Annandiaas	115

Chapter 1: Introduction

1.1 Background and motivation

With the population of more than 250 million people, Indonesia is one of the most populated countries in the world. Dietary patterns in Indonesia continue to shift due to lifestyle changes, rapidly growing per capita incomes and increasing tastes and preferences for protein, fruits and vegetables. As the domestic supply cannot match the domestic demand, Indonesia still imports many fruits and vegetables which are otherwise easily produced in the country. In 2018, Indonesia imports citrus valued at more than 135 million USD, 39% of the import is from Pakistan, 29% from China and 12% from Australia (ITC, 2018). These demand-driven factors are transforming the country's agri-food industry. To help support expanding consumption for fruits and vegetables, and to offset rapidly rising imports, Indonesia's Ministry of Agriculture prioritised and addressed horticultural crops as strategic commodities. The Indonesian Center for Horticulture Research and Development provides support and research to help improve citrus production in Indonesia. Citrus production areas cover approximately 70,000 ha with an estimated production of 1.5 million tons annually. Government plant researcher developed a virus free-citrus seedlings certification program and produced over 10 million viruses free- citrus seedlings to help boost the production.

However, as the Indonesian government continues to focus on domestic rice production to achieve self-sufficiency in rice supply, less attention has been paid toward supporting farmers' adoption of horticultural crops relative to staple crops in the past decades. For smallholder producers, the economic benefits of shifting from stable crops to higher value, more profitable horticultural crops are many. Past research consistently documents how horticultural crop adoption is associated with important welfare outcomes, including higher farm income, better nutrition outcomes, and improved food security (Ali & Abedullah, 2002;

Barrón & Rello, 2000; Hichaambwa, Chamberlin, & Kabwe, 2015; Minot & Roy, 2007; Weinberger & Lumpkin, 2007). However, much less literature focuses on other important welfare indicators of farm household adoption of horticultural crops. In particular, the literature neglects how the adoption of higher value crops influences human capital and social capital investment among horticultural households.

Human capital investment through education is one of the most sustainable pathways to reduce poverty, increase the wellbeing of households, and act as a key driver for economic development (Becker, Murphy, & Tamura, 1990; Psacharopoulos & Schultz, 1984; Schultz, 1992). Similarly, social capital investment through agricultural social networks also benefits farmers in reducing farm constraints and increasing yield particularly among smallholder farmers who face a higher barrier to the market and constraints of production (Abebaw & Haile, 2013; Ainembabazi et al., 2017; Ito, Bao, & Su, 2012; Ma, Renwick, Yuan, & Ratna, 2018; Mojo, Fischer, & Degefa, 2017; Wossen et al., 2017).

This thesis primarily aims to examine household welfare outcomes among agricultural households with horticultural crops as the main crops, particularly on human capital and social capital investment. We hypothesise that households involved in horticultural farming as the main activity gain more income and related benefits from producing higher value crops. The higher returns per unit of land could improve the wellbeing of households by allowing more cash to spend more on child education The study specifically focuses on farm households in rural Indonesia which is a unique case study to understand how horticultural crop production helps shift households' behaviour toward more education investments for children.

The Indonesian government has implemented substantial education reform since the mid-2000s; examples include school system decentralisation, teaching standards improvement and a higher share of education spending in the national budget with more than 18% of government spending resulted in education spending. The government also imposed

regulations requiring children to enrol in primary and junior high school (age 7-15). However, despite the substantial government efforts, Indonesia still has not achieved universal enrolment. Possible explanations might be that there is still inconsistent implementation of free education policies across provinces. Even though the formal fees for primary education have been prohibited since 1977 and the formal fees for junior secondary schools have been prohibited since 1994, there are still other fees commonly charged by schools (Rosser & Joshi, 2013). For example, schools charge fees to cover uniforms, teaching materials, building renovations, and other activities, thus, basic free education policies are not actually enforced (Widoyoko, 2010). The remaining costs associated with education are not much burden for parents with professional jobs, however, they are especially difficult for households with lower or inconsistent income, especially agricultural households. As most households involved in agriculture are located in rural areas, many farmers are bearing the extra transportation and boarding costs of sending their children to study in urban areas. Moreover, agricultural households face higher opportunity costs once children become teenagers; as they become reliable source of farm labour, however, they are no longer able to help in farming activities if they are in school.

Apart from human capital investment, this thesis aims to explore social capital investment among horticultural producers, as new technology adoption generally encourages participation in various types of agricultural social networks which helps reduce information asymmetry and support farmers to overcome production and marketing constraints. In addition to human capital accumulation, the livelihoods of agricultural households also depend on social capital accumulation. Social capital investment is an important factor in success and achievement during one's lifetime (Woolcock & Narayan, 2000). The benefits of social capital investment also extend beyond individuals toward the collective members of the society;

communities with diverse and strong social networks are found to be in a better position when facing with poverty and vulnerability (Moser, 1996).

Agricultural social networks play a significant role in enhancing farmers' capacity to increase farm profitability. In Indonesia, agricultural social networks are predominantly in the form of farmer groups and agricultural cooperatives. Each of these social networks has its own advantages and disadvantages. The Indonesian Ministry of Agriculture supports all these forms of agricultural social networks to boost crop production and productivity and to increase efficiency through economies of scale.

Cooperatives are one of the predominant forms of agricultural social networks in Indonesia. They are developed according to the principles of Law 25/1992 on cooperatives which states that cooperatives are intended to boost economies of scale, enhance production efficiency and improve bargaining positions of members. Cooperatives usually provide credits and help farmers purchase inputs. The law requires that a cooperative must be established with at least 20 individuals who contribute a certain amount of assets to the initial capital of the cooperative. The agreement of cooperative establishment must be drawn up by a notary and legalised by the Indonesian Ministry of Cooperatives and Small and Medium Enterprise. Income generated by cooperatives is required to be equally distributed among all members. Cooperatives are legal entities with entitlement to increase their assets or capital by acquiring loans from other sources. Therefore, cooperatives usually have more assets and capital more than other types of farmer organisations.

Farmer groups are another prevalent type of agricultural social networks which farmers can receive government support such as farm equipment, and input and also participate in government programs. Farmer groups are initiated by the government in 1979 aiming to facilitate the distribution of government aid to farmers. They are regulated by the Ministry of agriculture according to the Law 82/2013 on farmer group. As stated in the ministry's

regulation, a farmer group is a group of farmers formed based on mutual interest, the similarity in commodities, and geographic proximity. Farmer groups average around 30 members who live in the same village.

Farmer groups are intended for boosting cooperation among members, facilitating training and organising distribution of farming equipment, inputs, and credit. Furthermore, cooperation among farmers within the group potentially leads to economies of scale and improved yield quality. It additionally provides members with shared access to equipment. Participation of smallholder farmers, the village leader, community leaders, and agricultural extension officers are required to form a farmer group. A formal agreement needs to be developed and signed by representatives of the different member groups. Farmers are not required to contribute individual assets to a farmer group; however, some financial contributions are required. A farmer group is a non-legal entity; therefore, it largely depends on government support to increase its assets and capital.

Investing in social capital through engaging in these agricultural social networks has a significant role in supporting farmers with horticultural crops to increase farm yields and farm economic performance. Higher farm income would be beneficial for these farm households to use it for education investments which generate human capital accumulation in the long run.

1.2 Research objectives

This study examines how horticultural households invest in their human capital and social capital in rural Indonesia. Specific objectives of this study are to:

- investigate to what extent households cultivating horticultural crops as their main crops
 have better child education outcomes than households with traditional staple crops;
- 2. understand horticultural households' risk preference and how it is associated with diversification toward non-farm income and education spending; and

3. examine different types of agricultural social networks among horticultural households and how it contributes to production efficiency.

This study is novel in offering an analysis of human capital and social capital investment among horticultural households in rural Indonesia.

1.3 Key literature

The agricultural development literature documents the pathways from adoption of horticultural crop production to improvements in farm household members' nutritional status, per capita income and expanded employment for agriculture and non-agriculture sectors (Ali & Abedullah, 2002; Barrón & Rello, 2000; Hichaambwa, Chamberlin, & Kabwe, 2015; Minot & Roy, 2007). Horticultural producers demand more labour relative to staple crops for input applications, weeding and harvesting activities, creating more jobs within the community and potentially driving up real wages (Weinberger & Lumpkin, 2007).

Crop diversification toward horticulture crops can be especially beneficial for smallholders. Horticultural crops including fruits, vegetables, spices and flowers generate higher net returns per unit of land than staple crops. Although horticultural crops in general result in higher average returns per hectare than staple crops, profits vary over time and across farmers depending on farm size, environmental conditions, labour availability, land and soil quality, farmers' skills and market infrastructure. Moreover, the competitiveness of smallholder farmers relative to large holder farmers also varies over time depending on physical, human, or social capital (Minot & Roy, 2007).

Smallholders and lower income farm households are often excluded from participating in horticultural value chains that require scale to meet the quality and quantities demanded from modernising retail markets and export chains, as they often have lower resources and production capacities compared to large farms. Consistent patterns observed in Asia and Africa

show that the profitability of horticultural crop production is high compared to staple crop production in terms of cropping days and cropped area. Moreover, economies of scale are less important for profit generation than staple crop production. Smallholders usually generate higher profits per unit of land from growing high-value horticultural crops (Weinberger & Lumpkin, 2007). Horticultural crop production has a comparative advantage under conditions where arable land is scarce, and labour is abundant.

An important reason why many smallholders who diversify into horticultural crops move out of poverty is related to the inverse size-productivity relationship for horticultural crops when producers match the proportion of area dedicated to horticulture with their labour availability. In lower income rural areas with abundant labour, horticultural producers tend to rely on hiring labour over capital equipment investments or renting machinery (Birthal, Roy, & Negi, 2015).

In addition to increasing income and expanding local community employment opportunities, the evidence suggests that horticultural crops adoption leads to better food security outcomes for household members. A study in Nepal indicates more than 50% of the surveyed households overcame food shortages after adopting and earning income from selling vegetables (Tiwari, Nyborg, Sitaula, & Paudel, 2008). Another study finds that the increase in vegetable production is significantly associated with a lower probability of stunting in children younger than 24 months; and the increase in root vegetable production is associated with a lower probability of stunting in children older than 24 months (Shively & Sununtnasuk, 2015). Diversification into horticulture is found to be associated with HAZ scores and lower probability of stunting in children over the age of 24 months among semi-subsistence households in Zambia (Kumar, Harris, & Rawat, 2015).

1.3.1 Horticultural crop production and education investment

One potentially important welfare contribution to farm households that shift to higher value horticultural production, which is still neglected in the literature, is educational outcomes. Several pathways of this significant welfare contribution are possible.

First, child education spending could result from greater profits earned from producing higher value crops, as these crops usually generate higher returns per unit of land. Second, it could be through the incentives to use hired labour instead of family labour induced by the intensive farming nature of horticultural production, thus, allowing families to keep their children in school for longer periods and even enrolling more of their children.

A few studies explore how changes in agricultural policies or programs influence child education outcomes in developing countries. However, the literature appears to ignore the contributions of producing or adopting higher value crops like horticulture. A study in Burkina Faso investigates how cotton adoption affects child school enrolment using pooled cross-sectional data (Kazianga & Makamu, 2016). The study finds that the expansion of cotton adoption from the cotton policy reform increased school enrolment and lowered involvement as farm labour for girls. The study explains that as girls are less productive on cotton farms compared to boys, they benefit from the lower demand for their labour in cotton farms. Consequently, girls' enrolment rates and years of educational attainment are found to be higher.

A study in Indonesia examines how the rice intensification program (SRI) affects child school enrolment (Takahashi & Barrett, 2013). The authors hypothesised that the increased labour demand from SRI would increase child labour hours and affect child educational progress. The effects on child school enrolment were measured between SRI users and non-users, and the results indicate no significant effects of SRI's labour demands on child school enrolment. The proportion of children enrolled in school is not statistically different between SRI users and non-users for both genders. It is plausible that the income effects of increased

productivity offset the substitution effects caused by the increasing opportunity cost of child time due to improved labour productivity from SRI.

Both the cotton and rice studies show how incentives work in both directions. In some cases, households may wish to keep their children out of school and on the farm for their labour contributions. In other cases, incentives lead to an increase in education investment of their children. There is a need for research to identify these outcomes for horticultural crop production. As horticultural crops are labour intensive, it is still unclear and resulting in mixed signals concerning how parents allocate farming and school time for their children. Moreover, horticultural crops usually generate higher profit per hectare for households, thus, there are increased opportunity costs for children to remain in school especially once children are old enough to be relied upon as family labour.

1.3.2 Horticultural crop production and risk management

High-value horticultural crops tend to be riskier than traditional staple crops. In many emerging and middle-income economies, for example, staple food prices are controlled through government programs. Horticultural crop prices are also more volatile than staple crops due to the yield variation that leads to market supply fluctuations (Key & Runsten, 1999).

Farmers rarely completely abandon staple crops to produce horticultural crops. A more common outcome is to combine staple food crops with horticultural crops and concentrate their resources on the more profitable crops (Minot & Roy, 2007). Risk coping strategies remain an essential part of farm management, not only the risks associated with horticultural crops, but the inherent risks associated with agriculture in general. Production and price uncertainty are the two main risks as yields are subject to unpredictable weather, pests, and diseases (Musser & Patrick, 2002). Farmers need to rely on risk managing strategies to overcome the uncertainty between the production and sale of their crops. Earlier research finds that particularly in developing countries, farmers lack access to crop insurance and consumption credit, therefore

farmers tend to rely on off-farm income diversification to cope with risks (Barrett, Reardon, & Webb, 2001; Reardon, Delgado, & Matlon, 1992).

In addition to diversification toward non-farm income, social networks have a vital role in enhancing farmers' capacities to manage risk. Previous research consistently documents how agricultural social networks help farmers overcome production constraints and support marketing activities. Social networks are found to improve farm productivity through increasing production efficiency among farmers (Abdul-Rahaman & Abdulai, 2018; Gedara, Wilson, Pascoe, & Robinson, 2012; Ma et al., 2018). Moreover, social networks improve farm productivity through the adoption of productivity-enhancing technologies (Francesconi & Heerink, 2011; Spielman, Byerlee, Alemu, & Kelemework, 2010).

Evidence suggests agricultural social networks are involved in distributing farm inputs such as chemical fertilisers (Matsumoto & Yamano, 2010). Agricultural social networks help reduce financial constraints by providing credit services (Tefera, Bijman, & Slingerland, 2017). They also help reduce transaction costs and information asymmetries by enhancing the bargaining power of farmers (Hellin, Lundy, & Meijer, 2009; Trebbin, 2014). Apart from production enhancing activities, agricultural social networks also help promote commercialisation behavior among smallholder farmers (Bernard & Spielman, 2009) which leads to better farm productivity and farm economic performance.

Positive effects of agricultural social networks are found among many types of crops such as staple, cash crop, and horticultural crops. For traditional food crops such as rice, one study evaluates the determinants of technical efficiency among rice farmers in village reservoir irrigation systems in Sri Lanka (Gedara et al., 2012). Research finds that farmer organisation membership positively contributes to agricultural technical efficiency. A recent research investigating the impacts of farmer groups on-farm yield and technical efficiency among rice farmers in northern Ghana found that farmer group participation contributes to higher yields

and technical efficiency, and the estimated impacts are larger when possible selection bias is accounted for (Abdul-Rahaman & Abdulai, 2018).

A study focusing on understanding the determinants of production efficiency among coffee farmers in Costa Rica suggests one of the most important factors influencing farm-specific efficiency level is cooperative membership (Wollni & Brümmer, 2012). The effects of agricultural social networks on farm technical efficiency are also found among horticultural crop producers. One recent study examining how agricultural cooperative membership affects the technical efficiency of apple farmers in China indicates that the average technical efficiency is higher among cooperative members than non-members. The estimated impacts are larger when accounted for selection bias, suggesting the positive impact of cooperative membership on efficient usage of production inputs (Ma et al., 2018).

Research also demonstrates how agricultural social networks benefit farm income and enhance poverty reduction. A study examining the impact of agricultural cooperatives on poverty reduction among rural households in Rwanda suggests cooperative membership has a positive effect on income and reduce poverty, where the impacts are largest among larger farms and in remote areas (Verhofstadt & Maertens, 2014). Another important study focusing on watermelon farmers in China demonstrates the agricultural cooperative's effects on farm income are substantially large and heterogeneous, the greatest impacts are found among small-scale farms. On the other hand, government extension services only have a slight effect on farm income (Ito et al., 2012).

The main contributions of the thesis are both in academic and applied contributions.

The academic contribution includes contributing to the existing literature by addressing welfare implications of horticultural crops adoption particularly for human and social capital investment. The applied contribution is that our study provides an empirical evidence and

recommendations for supporting policy and program design to enhance agricultural households' human and social capital investment in Indonesia.

1.4 Methods and research approach

To address the research objectives, this thesis utilises both primary and secondary data to conduct empirical research. In addition to using a comprehensive dataset of the Indonesian Family Life Survey Eastern Indonesia to understand the implications of horticultural farming for rural households in remote areas, a field survey of 500 horticultural households was conducted to further understand this mechanism. Prior to the questionnaire design, in-depth interviews and focus group discussion were conducted among citrus farmers in Indonesia to gain the first-hand information which is helpful for understanding human and social capital investment among horticultural households.

Our first empirical study provides an analysis of the first objective which is to explore the extent to which households with horticultural crops have better child education outcomes than traditional staple crop households. The study assesses the socioeconomic impacts of horticultural farming on household education investments among agricultural households in the remote rural areas of Indonesia.

It is hypothesised that horticultural farming can affect child education in two conflicting ways. On the one hand, it may encourage education investments due to its positive income effects. On the other hand, substitutional effects may also exist as horticultural farming is more labour-intensive, and this may crowd out the school time of children due to farm labour needs. Our study uses econometric modeling to carefully control for the possible endogeneity of household horticultural farming participation with instrumental variable estimation. The empirical analysis uses secondary data from the Indonesian Family Life Survey Eastern Indonesia (IFLS EAST 2012), which surveyed remote geographical areas of the Eastern part

of Indonesia. The data were collected through the cooperation between SurveyMETER, RAND Corporation and the Australian Agency for International Development (AusAid). Our study analyses a sample of 1,246 children from 791 households. The survey covers seven provinces in Eastern Indonesia including East Kalimantan, Southeast Sulawesi, East Nusa Tengara, Maluku, North Maluku, Papua, and West Papua.

The second empirical study provides a robust analysis of the second objective. The purpose of this study is to examine how risk preference influences income diversification toward nonfarm activities and to what extent the increasing amount of non-farm income helps with child education spending compared to agricultural income. Our study employs three-stage least squares regression (3SLS) to estimate simultaneous equations. The study analyses a sample of 392 children from 284 citrus farming households in East Java, Indonesia. This study uses primary data from a recent survey covering one of the main citrus growing areas in East Java province in Indonesia, including Malang, Jembre, and Banyuwangi districts. The survey was conducted in September 2017 with the help of 11 Indonesian enumerators. The survey period is approximately one month; the enumerators took approximately 3 hours with each household. The team utilised tablets with the CommCare software application to gather response from the households (Please refer to appendices for the survey questionnaire.) Apart from the household survey, the study also conducted a framed risk experiment to elicit risk preference among farmers and their spouses. Both husbands' and wives' risk preferences were analysed to understand the relationship between risk preference and income-generating activities to uncover heterogeneous effects.

The third empirical study provides an analysis of the third objective. The purpose of this study is to examine the role of agricultural social networks in increasing agricultural productivity in rural Indonesia. It is hypothesised that agricultural social networks potentially lead to higher farm productivity. Specifically, the study focuses on technical efficiency

outcome which measures the optimal use of farm input. This study uses a recent household survey of small-scale citrus farmers in rural Indonesia. The agricultural social network is measured by several complementing indicators, including farmer group membership, cooperative membership, and having direct access to government officials to enquire about citrus information. The study analyses a sample of 408 small-scale citrus farmers in East Java, the main citrus production area of Indonesia. It employed stochastic production frontier (SPF) analysis which accounts for productivity shifts due to induced changes in technical efficiency. Our findings are intended for improving policy and program design to further promote agricultural social networks among rural households to achieve higher agricultural productivity and rural development outcomes.

The thesis is organised into five chapters. Chapter 2 presents the analysis of the role of horticultural farming in child education outcomes among rural households in Indonesia. Chapter 3 examines the relationship of risk preference, diversification toward non-farm income and education spending among horticultural households. Chapter 4 discusses the role of social capital investment through different types of agricultural social networks in increasing farm productivity. Chapter 5 presents the conclusions and policy implications of our research.

Chapter 2: The role of horticultural farming in child education outcomes: Evidence from rural households in Indonesia

Chapter 3: Risk preference, non-farm income, and child education spending:

A case study of citrus farmers in Indonesia

Chapter 4: Agricultural social networks and farm technical efficiency: A case study of citrus farmers in Indonesia

Chapter 5: Conclusions and policy implications

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Chapter 2: Examining the role of horticultural farming in child education

outcomes: Evidence from rural households in Indonesia

2.1 Abstract

Promoting horticultural farming is a widespread rural development strategy in agrarian

economies. Previous research indicates horticultural farming leverages income for farmers,

generates employment and efficiently utilises farm resources. However, whether and how

shifting to higher value crops influences child education investments relative to lower value

staple producing households are less well investigated. The current study aims to examine the

relationship between horticultural farming and child education outcomes compared to

traditional staple crop farming. Child education outcomes are measured by household child

education spending, grade repetition, and the number of hours spent in school. Possible

endogeneity of horticultural farming is accounted for using instrumental variable regressions.

Empirical results consistently suggest a positive relationship between horticultural farming and

child education spending, especially for boys and primary school children. It is also positively

associated with the amount of time spent in school for certain subgroups of children, including

girls and junior high school students. The positive effects on household education investment

complements the existing literature on income augmentation and provides evidence for the

positive welfare impacts of horticultural farming in another dimension. Findings from our

research potentially address policy implications to further promote horticultural crop adoption

as a sustainable development strategy with favourable long-term socioeconomic impacts of

human capital accumulation.

Keywords: crop choice; child education; rural Indonesia; horticulture

19

2.2 Introduction

Human capital accumulation through education is considered a key driver of economic development (Becker, Murphy, & Tamura, 1990; Psacharopoulos & Schultz, 1984; Schultz, 1992). In most developing countries, promoting education is a major strategy used to alleviate poverty and increase household welfare in the long run. However, rural-urban education gaps are widely observed (Hill, Resosudarmo, & Vidyattama*, 2008; Sahn & Stifel, 2003; Sicular, Ximing, Gustafsson, & Shi, 2007). Too often, rural children are precluded from completing basic education, inequalities largely intertwined with the socioeconomic disadvantages of rural areas, especially poverty (Engle & Black, 2008). A common rural development strategy includes policy and program interventions that encourage small farmers to shift from staples to higher value crops. The aim is to accelerate and sustain rural economic growth in ways that contribute to food security, poverty reduction, wealth creation and higher spending on children's education.

Relative to traditional, staple food agricultural systems, horticultural farming usually generates higher returns to land, creates more on-farm and off-farm employment and leads to higher real wages in local economies (Weinberger & Lumpkin, 2007). The empirical literature consistently documents the income-generating impacts related to the adoption of horticultural crops (Mubarik Ali & Abedullah, 2002; Barrón & Rello, 2000; Hichaambwa, Chamberlin, & Kabwe, 2015; Minot & Roy, 2007; Weinberger & Lumpkin, 2007). However, whether and how shifting to higher value crops influences child education investments relative to lower value staple producing households are less well investigated.

This study assesses the socioeconomic impacts of horticultural farming on household education investments among agricultural households in rural Indonesia. We hypothesise that horticultural farming can influence child education in two conflicting ways. On the one hand, it may encourage education investments due to its positive income effects. On the other hand,

substitutional effects may also exist as horticultural farming is more labour-intensive (Birthal, Joshi, Roy, & Thorat, 2013; Joshi, Joshi, & Birthal, 2006; Minot & Roy, 2007) and this may crowd out the school time of children due to farm labour needs. The current study therefore aims to test these conflicting mechanisms and examine the relationship between horticultural farming and child education investment. Facilitated by a comprehensive household survey of the remote areas of Eastern Indonesia, we apply rigorous econometric modelling that controls for the possible endogeneity of households' horticultural farming participation with instrumental variable estimation. It is found that horticultural crop farming has a positive association with child education spending of the household, especially for boys and primary school children. It also increases the amount of time spent in school for certain subgroups of children, including girls and junior high school students.

2.3 Literature review

Previous research indicates that horticultural farming leverages income for farmers, generates employment and better utilises farm resources (M Ali, 2002; Barrón & Rello, 2000; Hichaambwa et al., 2015; Minot & Roy, 2007; Weinberger & Lumpkin, 2007). Horticultural producers demand more labour than staple crops in input application, weeding, and harvesting activities (Mubarik Ali & Abedullah, 2002), thereby increasing rural employment opportunities. Crop diversification toward horticulture crops can be especially beneficial for smallholders. Horticultural crops such as fruits, vegetables, spices, and flowers usually generate higher net returns per unit of land than staple crops such as rice or maize (Weinberger & Lumpkin, 2007). Moreover, economies of scale are less of a vital factor in profit generation as they are to staple crop farming. Smallholders can usually generate higher profits per unit of land from growing high-value horticultural crops. Birthal, Roy & Negi (2015) find the biggest

impact of horticultural farming on poverty is found among smallholders with land no more than two hectares.

Considerable research examines factors affecting child education in developing countries to understand household decision making regarding child education investment (Al-Samarrai & Reilly, 2000; Dancer & Rammohan, 2007; Glick & Sahn, 2000; Kabubo-mariara & Mwabu, 2007). One of the most important determinants of child schooling is household wealth and income (Filmer & Pritchett, 1999; Gibson & Sear, 2010). As horticultural farming generally contributes to higher household income, it may have an impact on education investment. On the other hand, since horticultural farming is more profitable and is labour-intensive (Weinberger & Lumpkin, 2007), it might also increase the opportunity cost of children's time in school and cause children to spend more time doing farm work other than staying in school. Neither of these possible impacts, however, has been investigated in recent literature, yet understanding such linkages is important to assist the design of interventions that aim to maximize the welfare impacts of horticultural farming.

Recent research also assesses the possible impacts of farming on child education outcome. For instance, an investigation of rice intensification systems indicates no significant impact on child school enrolment in either gender (Takahashi & Barrett, 2013). In terms of cash crops, one recent article studies the impacts of cotton farming on child school enrolment in Burkina Faso using pooled cross-sectional data (Kazianga & Makamu, 2016). The results show that cotton farming induces an increase in school enrolment for girls but no significant impact on boys, as girls are less suitable to perform labour intensive tasks in cotton farms. In terms of horticultural farming, a positive impact is found on primary school enrolment through female wage employment in the horticultural export industry in Senegal (Maertens & Verhofstadt, 2013). Admittedly, a binary school enrolment indicator, though important, may not be able to effectively capture education outcomes due to limited data variation. This is

specifically the case among younger children in a country like Indonesia for whom dropping out of school at early stages is relatively rare.

These mixed findings are inconclusive in what direction horticultural farming affects child education outcomes. Moreover, alternative education outcome measures are needed to capture finer-scale data variations and meaningfully assess the true impacts of horticultural farming.

2.4 Methodology

To examine the relationship between horticultural farming and child education outcomes, horticultural farmers in this study are defined as households who grow vegetable/fruit/spice as their most or second most valuable crop. We further define staple households as households who grow staple crops as their most valuable crop and do not have horticultural crops as their second most valuable crop.

To overcome the limitations of school enrolment measure, the current study uses three alternative education outcome measures: 1) household child education spending in the past academic year, 2) the number of hours a child spent in school in the previous week, and 3) whether a child has repeated a grade. The first two measures are continuous, aiming to evaluate education outcomes from two different aspects and at finer scales. The last measure is dichotomous, which further reflects education quality that can be easily overlooked. In the empirical analysis, the main regression equation of our interest can be specified as below:

$$y_{ij} = \beta_0 + HORT_j\beta_1 + X_{ij}\beta_2 + HH_j\beta_3 + \epsilon_{ij}$$
(1)

where y_{ij} denotes the educational outcome of child i in household j; $HORT_j$ is a dummy variable which takes a value of either one if the household is classified as a horticultural farmer according to our criteria outlined above, or zero otherwise; X_{ij} is a vector of child

characteristics; HH_j is a vector of household characteristics; β_0 , β_1 , β_2 and β_3 are coefficients to be estimated, and ϵ_{ij} is the random disturbance.

While equation (1) can be easily estimated with ordinary least squares (OLS, for continuous education outcomes) or logit regression (for discrete education outcomes), the estimates could be inconsistent given the potential endogeneity of $HORT_j$, a self-made decision on horticultural farming participation. Therefore, instrumental variable regression is employed as our main identification strategy. Specifically, horticultural farming decision is specified as a function of all covariates (X_{ij} and HH_j) plus the excluded instrument, Z_j where u_{ij} is the random disturbance:

$$HORT_j = X_{ij}\gamma_1 + HH_j\gamma_2 + Z_j\gamma_3 + u_{ij}$$
(2)

Equations (1) and (2) therefore can be estimated with two-stage least squares (2SLS). To compare and contrast the relationship between horticultural farming and education outcomes, we would like to further understand the child education outcomes among staple farmers - households growing staple crop as their most valuable crop and do not have horticultural crop as their second most valuable crop. Similar to the specification above, the empirical model is specified as:

$$y_{ij} = \beta_0 + STAPLE_j\beta_1 + X_{ij}\beta_2 + HH_j\beta_3 + \epsilon_{ij}$$
(3)

$$STAPLE_j = X_{ij}\gamma_1 + HH_j\gamma_2 + Z_j\gamma_3 + u_{ij}$$
(4)

where y_{ij} again denotes the educational outcome of child i in household j; $STAPLE_j$ is a dummy variable which takes a value of one if the household is a staple farmer (that grows staple crop as their most valuable crop and does not have horticultural crop as their second most valuable crop), or zero otherwise; X_{ij} is a vector of child characteristics; HH_j is a vector of household characteristics and Z_j is the excluded instrument.

The child characteristics include child information regarding gender, age, academic performance, education level, work, health, birth order, aid and book received, the type of

school. Household features include the age, gender, education level, marital status of the household head, the number of household member in each age group as well as household income, assets, borrowing. The number of primary and junior high school inside the village are also incorporated as indicators of education supply. Province fixed effects are finally included to capture any unobserved regional-level heterogeneity.

In both models, Z_i is a binary variable which takes a value of one if the village has horticultural crop as their main production, or zero otherwise. The variable is obtained from the separate village questionnaire that has the information of the main crops cultivated in the village in the last 12 months. On the one hand, it should be correlated with the endogenous explanatory variable given the likely existence of peer effects and learning in crop choices among villagers. On the other hand, it should not have a direct causal relationship with the child education outcome variables except through horticultural farming participation decision. The possibility that the instrumental variable might affect education outcomes through its correlation with the error term such as characteristics of the village is partially captured though the use of province fixed effects. The Pearson correlation shows a minor correlation between the instrumental variable and the education outcome variables; 0.0936 for education spending, 0.0731 for school hours, and -0.0455 for grade repetition (see Table A1 for details). Moreover, the instrument has sufficient explanatory power of the household level horticultural decision. The first stage regression shows that it is correlated with the endogenous variables, F-statistic = 20.32; p < 0.01 in equation (2), and F-statistic = 35.64; p < 0.01 in equation (4) (see Table A3 for details). Hence, concerns over possible weakness of the instrument should be minimized.

In the empirical analysis, 2SLS estimation is implemented with the multiple child education outcomes measures discussed above (child education spending in the past academic year, the number of hours a child spent in school in the previous week, and whether a child has

repeated a grade). The application of 2SLS with continuous outcomes is intuitive, while we also opt to estimate a linear probability model with grade repetition as a binary outcome. Although one may consider instrumental variable probit model, linear probability model estimation with 2SLS has a clear advantage as the latter is robust against possible first-stage misspecification that can challenge the distributional assumption of the former and result in inconsistent estimates (Joshua D. Angrist, 2001; Lewbel, Dong, & Yang, 2012). Moreover, from an empirical perspective, linear probability model generally yields very similar estimates with those from instrumental variable probit model, where, the difference in terms of marginal effects is usually indistinguishable (Joshua D Angrist & Pischke, 2009). This is also our case as seen from backstage estimation of the instrumental variable probit model and comparison of marginal effects. Therefore, 2SLS estimation of the linear probability is suitable in our analysis and is therefore implemented and reported below.

2.5 Data and descriptive statistics

This study is facilitated by the Indonesian Family Life Survey Eastern Indonesia (IFLS East 2012) which surveyed remote geographical area of Eastern part of Indonesia. The data were collected through the cooperation between SurveyMETER, RAND Corporation and the Australian Agency for International Development (AusAid). IFLS East 2012 is the first wave of the household longitudinal surveys conducted particularly in Eastern Indonesia, as there exist no comparable data available in this region (Bondan, Witoelar, Strauss, Meijer, & Suriastini, 2013). Multi-stage sampling strategy was used. Seven provinces in Eastern Indonesia were selected in the first stage, including East Kalimantan, Southeast Sulawesi, East Nusa Tengara, Maluku, North Maluku, Papua, and West Papua. In the next stage, 14 villages (Kelurahan) from each province were randomly drawn with equal probability and without replacement. A few villages were dropped by the survey team due to safety issues, and a total

of 99 villages were included in the survey. Subsequently, the enumerators visited the 99 villages and developed the smallest local area unit (satuan lingkungan setempat or SLS) to obtain representative samples of households from each village. Finally, 20 to 30 households were selected out of 100 to 150 households in each SLS with simple random sampling. Consequently, 2,547 out of 3,159 selected households participated in the survey, suggesting an overall response rate of 80.6%.

The sample in the current study consists of 1,246 children from 791 households selected using the following criteria: (1) their households own farm business; (2) they are enrolled in primary school or junior high school; and (3) they are up to 15 years old. We intentionally focus on younger children because senior high school enrolment rate in rural area are generally low in Indonesia (Suryadarma & Jones, 2013), as youngsters are becoming adults at this age and the opportunity cost of education becomes clear with emerging employment opportunities.

Table 1 reports the descriptive statistics of the key variables. The average child education spending of horticultural households (670.411 thousand Indonesian Rupiah) is higher than that of other types of households (585.451 thousand Indonesian Rupiah). The amount of time spent in school for children from horticultural households is also slightly higher than that of other types of households. Moreover, grade repetition rate is lower among children from horticultural households (22.6%) as compared with that among staple households (28.4%). It is also observed that child work participation rates are roughly the same across all types of household, with 18.0% and 18.8% for horticultural households and staple households, respectively. There are no meaningful differences in terms of household head education and household head age. However, income, assets and borrowing of horticultural households are higher than those of other types of households, whereas those of staple households are substantially lower than other farm households. Finally, the number of primary and junior high

schools in the village is roughly the same across all farm households: approximately between one and two schools in each village.

Table 1 Descriptive statistics of dependent and independent variables

	Children of all farm households (n = 1,246)	Children of horticulture households (n = 450)	Children of non- horticulture households (n = 796)	Children of staple farming households (n = 419)	Children of non- staple farming households (n = 827)
Education spending per child (thousand IDR)	616.1 (824.699)	670.411 (689.295)*	585.451 (670.411)*	486.06(565.09)***	682.03 (922.28)***
Time spent in school last week (hours)	23.996 (7.452)	24.528 (7.473)*	23.695 (7.428)*	23.596 (6.924)	24.199(7.701)
Grade repetition (yes=1; no=0)	.245 (.430)	.226 (.419)	.256(.436)	.284 (.451)	.226 (.418)
School level (primary=0; junior high=1)	.182 (.386)	0.180 (.384)	.183 (.387)	.181 (.385)	.182 (.386)
Gender (male=1; female=0)	.501 (.500)	.486 (.500)	.510 (.500)	.503 (.500)	0.500 (.500)
Age	10.364 (2.233)	10.111 (2.243)***	10.507 (2.216)***	10.525 (2.220)*	10.282 (2.237)*
Child work (yes=1; no=0)	0.178 (.383)	.18 (.384)	.178 (.383)	.188 (.391)	.174 (.379)
Child health (good=1; other=0)	.997 (.049)	.995 (.066)	.998 (.035)	1 (0)	.996 (.060)
Firstborn (yes=1; no=0)	.343 (.475)	.328 (.470)	.351 (.477)	.377 (.485)*	.326 (.469)*
Lastborn (yes=1; no=0)	.148 (.355)	.164 (.371)	.139 (.346)	.140 (.348)	0.152 (.359)
Only child (yes=1; no=0)	.073 (.261)	.068 (.253)	.076 (.266)	.083 (.277)	.068 (.253)
Aid received (yes=1; no=0)	.882 (.322)	.928 (.257)***	.855 (.351)***	.847 (.360)***	.899 (.300)***
Book received (yes=1; no=0)	.616 (.486)	.602 (.489)	.624 (.484)	.630 (.483)	.609 (.488)
Religious school (yes=1; no=0)	.053 (.225)	.088 (.284)***	.033 (.181)***	.007 (.084)***	.077 (.267)***
Household head education (years)	6.963 (3.927)	7.097 (4.042)	6.886 (3.862)	6.474 (3.648)***	7.210 (4.041)***
Household head age	44.398 (10.500)	44.231 (10.602)	44.492 (10.447)	44.291 (10.378)	44.452 (10.567)
Household head divorced/separated/widowed (yes=1; no=0)	.073 (.260)	.073 (.260)	.072 (.260)	.076 (.265)	.071 (.257)
Female Household head	.112 (.315)	0.104 (.306)	0.116 (.321)	.162 (.369)***	.087 (.282)***
Mother education (years)	6.654 (4.221)	6.911 (4.376)	6.510 (4.127)	6.145 (4.069)***	6.912 (4.276)***
Mother living at home (yes=1; no=0)	.886 (.316)	.875 (.330)	.893 (.309)	.904 (.294)	.877 (.327)
Number of household member aged 66 and over	.130 (.382)	.122 (.366)	.135 (.390)	.143(.395)	.124 (.375)
No. of household member aged 18-65	2.405 (1.046)	2.400 (.983)	2.408 (1.081)	2.372 (1.042)	2.422 (1.049)
No. of household member aged 13-17	.757 (.847)	.731 (.918)	.772 (.805)	.718 (.749)	.777 (.893)
No. of household member aged 6-12	1.821(.987)	1.811(.984)	1.826 (.989)	1.770 (.978)	1.846 (.991)
No. of household member aged 0-5	.760 (.826)	.751 (.847)	.766 (.815)	.763 (.820)	.759 (.830)
Household income (million IDR)	19.991 (32.714)	23.298 (43.057)***	18.122 (24.884)***	15.09 (22.39)***	22.475 (36.621)***
Household assets (million IDR)	125.97 (183.11)	140.336(178.593)**	117.851(185.234)**	103.04(157.17)***	137.59 (193.1)***
Household borrowing (million IDR)	1.656 (18.343)	2.034 (24.172)	1.443 (14.028)	.573 (3.806)	2.205 (22.336)
No. of primary schools in the village	1.724 (1.343)	1.724 (1.208)	1.724 (1.414)	1.914 (1.587)***	1.628 (1.190)***
No. of junior high schools in the village	1.714 (.948)	1.886 (1.097)***	1.616 (.837)***	1.467 (.681)***	1.839 (1.036)***
Horticultural crops as the village's main crops(yes=1; no=0)	.510 (.500)	.702 (.457)***	.402 (.490)***	.269 (.444)***	.632 (.482)***

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

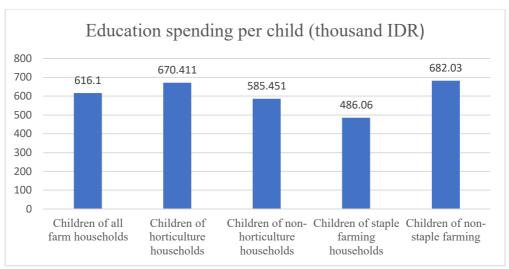


Figure 1 Average education spending per child (thousand IDR)

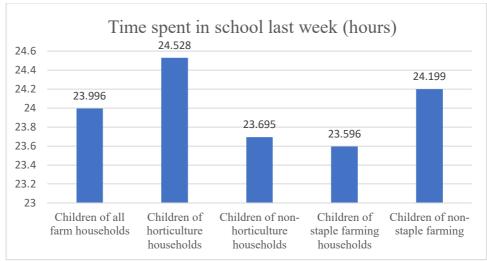


Figure 2 Average time spent in school for children (hours)

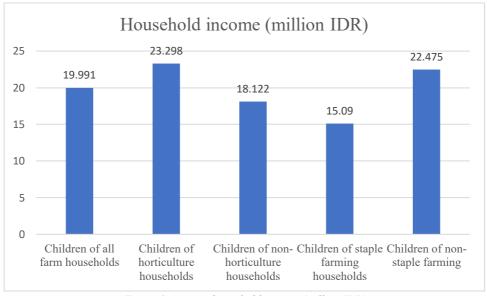


Figure 3 Average household income (million IDR)

2.6 Results and discussion

Table 2 reports the estimation of the relationship between horticultural/staple farming and child education spending. The 2SLS results suggest that horticultural farming is positively associated with child education spending of the household. The effect is noticeable (1288.812 thousand Indonesia Rupiah, or 141.77 US dollars as evaluated using daily average exchange rate of 2012), which equals 6.4% of the yearly income of an average household, or 5.5% of the yearly income of an average horticultural household. In other words, 24.9% of the income difference of 5,176 thousand Indonesia Rupiah between horticultural and non-horticultural households have been spent on child education by the former. Moreover, positive selection is observed as the OLS estimate is of a much smaller magnitude and is statistically insignificant. It is therefore implied that certain unobservable factors that discourage child education investment would have masked the true effects of horticultural farming were such endogeneity not appropriately accounted for. While this direction of selection is not typically assumed in literature, it is consistent with the data pattern that the observed difference in child education spending between horticultural and non-horticultural households (84.96 thousand Indonesia Rupiah, computed from Table 1) is much smaller than the estimate. Hence, the effect of horticultural farming on child education spending is more than enough to have offset the negative effects from unobservable factors, which has rich policy meaning for interventions that aim to improve child education and build human capital in rural Indonesia.

This positive relationship between horticultural farming as the main crops and education spending might be explained through an increased household income from horticultural farming, which echoes the estimated positive income effects of horticultural farming (Mubarik Ali & Abedullah, 2002; Barrón & Rello, 2000; Hichaambwa et al., 2015; Minot & Roy, 2007; Weinberger & Lumpkin, 2007). In contrast, staple farming is found to be negatively associated with child education spending, estimated as 1108.251 thousand

Indonesian Rupiah (or 121.91 US dollars). The negative relationship between staple crop farming and child education spending could be possibly explained by the lower income associated with staple farming. Comparison of the OLS and 2SLS estimates further suggest that certain unobservables tend to partly compensate the lacked child education spending of staple households, suggesting that true negative effect of staple farming on child education spending is even larger.

Among the covariates, most coefficient estimates have expected signs. More child education spending is seen among children who are in junior high school, older, having better educated household head, having higher household income and household borrowing, and having more household members aged 66 and over. It is lower among those who have failed a grade, have participated in farm work and have received aid. The disadvantages of girls and those engaged in farm work may be meaningful, while most of these significant correlations are relatively small as compared with the effect of horticultural farming.

Table 2. Horticultural/staple farming and education spending (n=1,246)

	Horticultur	al farming	Staple f	arming
	OLS	2SLS	OLS	2SLS
Treatment effect (thousand IDR)	68.974 (47.763)	1288.812 (454.677)***	-138.024 (53.968) **	-1108.251(354.107)***
School level (primary=0; junior high=1)	374.549 (72.389)***	327.138 (90.217)***	383.031 (72.268)***	423.814 (81.497)***
Gender (male=1; female=0)	101.806 (42.803)**	131.990 (53.505)**	100.148 (42.708)**	100.486 (47.376)**
Age	18.896 (13.531)	44.592 (19.075)**	17.774 (13.469)	20.104 (14.964)
Ever fail (yes=1; no=0)	-85.184 (52.759)	-141.105 (67.731)**	-85.903 (52.639)	-113.183 (59.210)*
Child work (yes=1; no=0)	-155.986 (58.740)***	-191.843 (73.025)***	-160.140 (58.666) ***	-203.595 (66.927)***
Child health (good=1; other=0)	-22.380 (433.162)	456.314 (558.336)	21.247 (432.847)	518.189 (512.344)
Firstborn (yes=1; no=0)	27.180 (61.061)	34.972 (74.705)	30.374 (60.965)	55.922 (68.248)
Lastborn (yes=1; no=0)	-4.972 (70.424)	-18.095 (86.232)	657 (70.307)	24.452 (78.512)
Only child (yes=1; no=0)	-46.649 (98.545)	31.707 (123.908)	-45.038 (98.345)	-2.570 (110.157)
Aid received (yes=1; no=0)	-99.422 (67.769)	-203.469 (91.342)**	-97.473 (67.540)	-125.131 (75.579)*
Book received (yes=1; no=0)	3.311 (45.529)	85.532 (63.418)	2.425 (45.356)	28.875 (51.204)
Religious school (yes=1; no=0)	-98.876 (102.021)	-228.411 (133.599)*	-97.808 (101.738)	-141.783 (113.958)
Household head education (years)	14.539 (6.531)**	18.118 (8.094)**	14.101 (6.518)**	12.448 (7.255)*
Household head age	-3.988 (2.455)	-1.955 (3.094)	-4.031 (2.449)	-3.526 (2.723)
Household head divorced/separated/widowed (yes=1; no=0)	146.175 (107.399)	125.727 (131.516)	116.390 (107.879)	-101.106 (142.974)
Female Household head	-101.415 (89.377)	-101.781 (109.267)	-68.709 (90.124)	161.047 (129.712)
Mother education (years)	10.552 (5.996)*	8.090 (7.387)	9.860 (5.993)	4.020 (6.972)
Mother living at home (yes=1; no=0)	17.311 (77.588)	59.855 (96.149)	19.659 (77.450)	53.076 (86.751)
Number of household member aged 66 and over	204.317 (59.526)***	227.728 (73.285)***	202.511 (59.410)***	199.119 (65.914)***
No. of household member aged 18-65	1.311 (23.488)	26.488 (30.185)	4.054 (23.481)	33.342 (28.097)
No. of household member aged 13-17	41.978 (30.447)	60.673 (37.859)	38.226 (30.401)	19.285 (34.404)
No. of household member aged 6-12	-61.115 (25.445)**	-21.246 (34.422)	-59.870 (25.387)**	-35.274 (29.519)
No. of household member aged 0-5	-48.511 (29.654)	-18.373 (37.926)	-48.218 (29.586)	-34.181 (33.206)
Household income (million IDR)	4.245 (.922)***	3.569 (1.155)***	4.312 (.920)***	4.513 (1.023)***
Household assets (million IDR)	393 (.139)***	716 (.208)***	416 (.139)***	712 (.188)***
Household borrowing (million IDR)	8.679 (1.517)***	10.191 (1.937)***	8.660 (1.513)***	9.127 (1.687)***
No. of primary schools in the village	16.667 (18.180)	8.389 (22.436)	19.414 (18.166)	35.434 (20.959)*
No. of junior high schools in the village	-5.449 (26.803)	-36.058 (34.666)	-16.611 (27.198)	-107.239 (44.419)**
Provincial fixed effects	yes	yes	yes	yes
Constant	479.976 (490.482)	-868.490 (779.755)	513.676 (487.022)	214.592 (550.851)
F (35, 1210)	9.68		9.84	
Wald χ2(35)		233.25		284.36
First-stage F statistic		20.291		35.601

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

The association between better education outcomes and horticultural farming reported in Table 2 adds to the welfare implication of horticultural farming from another aspect, yet the possible cost of horticultural farming in this regard needs to be prudently investigated. One possibility is that, given that horticultural cultivation is more labour-intensive (Birthal et al., 2013; Joshi et al., 2006), a child's participation in farm work may crowd out his/her time available/spent in school. In the extreme, the delayed education progress may even lead to grade repetition. To formally test this hypothesis, we proceed to estimate similarly specified regression models with alternative education outcome measures.

Table 3 reports the full estimation results where the outcome variable is the child's hours spent in school in the past week. It is seen that horticultural farming does not have any significant negative effect on hours spent in school, thereby minimizing the concern over possible substitutional effect between schooling and farm work participation associated with horticultural cropping. Possible explanations may include that hired labour could be more efficient than child labour, and that parents could be well aware of the importance of education throughout the child's lifetime. On the other hand, neither is the effect of staple farming on hours spent in school significant. Meaningful findings among the covariates include that older children and those who have siblings close in age (6-12) spend more time in school, while those who were the last born in the family spend less. These patterns are consistent among horticultural, non-horticultural, staple and non-staple households.

Table 4 further reports the estimation of horticultural/staple farming effects on grade repetition. Again, there is no significant effects from either. Rather, the probability of grade repetition is more frequent among primary schoolchildren, yet it increases with age. It is further seen to be positively associated with grandparent presence and number of primary schools in the village, and negatively associated with mother's education and the number of junior high schools in the village. It is further seen that boys are more likely to repeat a grade than girls.

The relations between grade repetition and school numbers are interesting. It could have been speculated that more primary schools might offer a more flexible schooling environment at early stages which could have lowered the opportunity cost of grade repetition, yet older children might be more likely competing for better junior high schools and in general less likely to repeat a grade.

Table 3. Horticultural/staple farming and hours spent in school (n=1,246)

	Horticultural farming		Staple farn	ning
	OLS	2SLS	OLS	2SLS
Treatment effect (time spent in school in the past week)	.818 (.444)*	3.768 (3.470)	070 (.503)	-3.240 (2.983)
School level (primary=0; junior high=1)	.550 (.673)	.436 (.688)	.585 (.674)	.718 (.686)
Gender (male=1; female=0)	.228 (.398)	.301 (.408)	.208 (.398)	.209 (.399)
Age	1.023 (.125)***	1.085 (.145)***	1.006 (.125)***	1.013 (.126)***
Ever fail (yes=1; no=0)	758 (.490)	893 (.517)*	722 (.491)	811 (.498)
Child work (yes=1; no=0)	377 (.546)	464 (.557)	356 (.547)	498 (.563)
Child health (good=1; other=0)	4.455 (4.029)	5.612 (4.262)	4.169 (4.039)	5.793 (4.316)
Firstborn (yes=1; no=0)	.260 (.567)	.278 (.570)	.256 (.568)	.340 (.574)
Lastborn (yes=1; no=0)	-1.312 (.655)**	-1.344 (.658)**	-1.301 (.656)**	-1.219 (.661)*
Only child (yes=1; no=0)	-1.397 (.916)	-1.208 (.945)	-1.447 (.917)	-1.308 (.928)
Aid received (yes=1; no=0)	.947 (.630)	.695 (.697)	1.015 (.630)	.924 (.636)
Book received (yes=1; no=0)	.391 (.423)	.589 (.484)	.337 (.423)	.424 (.431)
Religious school (yes=1; no=0)	-1.291 (.949)	-1.604 (1.019)	-1.207 (.949)	-1.351 (.960)
Household head education (years)	.106 (.060)*	.115 (.061)*	.103 (.060)*	.098 (.061)
Household head age	.019 (.022)	.023 (.023)	.017 (.022)	.019 (.022)
Household head divorced/separated/widowed (yes=1; no=0)	.339 (.999)	.289(1.003)	.337 (1.006)	373 (1.204)
Female Household head	753 (.831)	754 (.834)	736 (.841)	.013 (1.092)
Mother education (years)	.048 (.055)	.042 (.056)	.049 (.055)	.030 (.058)
Mother living at home (yes=1; no=0)	205 (.721)	102 (.733)	231 (.722)	122 (.730)
Number of household member aged 66 and over	.721(.553)	.778 (.559)	.705 (.554)	.694 (.555)
No. of household member aged 18-65	058 (.218)	.002 (.230)	073 (.219)	.022 (.236)
No. of household member aged 13-17	314 (.283)	269 (.288)	328 (.283)	390 (.289)
No. of household member aged 6-12	.532 (.236)**	.288 (.262)**	.507 (.236)**	.587 (.248)**
No. of household member aged 0-5	235 (.275)	.262 (.289)	254 (.276)	208 (.279)
Household income (million IDR)	.004 (.008)	.003 (.008)	.005 (.008)	.005 (.008)
Household assets (million IDR)	000 (.001)	001 (.001)	000 (.001)	001 (.001)
Household borrowing (million IDR)	.004 (.014)	.008 (.014)	.003 (.014)	.005 (.014)
No. of primary schools in the village	312 (.169)*	332 (.171)*	305 (.169)*	253 (.176)*
No. of junior high schools in the village	.361 (.249)	.287 (.264)	.375 (.253)	.079 (.374)
Provincial fixed effects	yes	yes	yes	yes
Constant	4.360 (4.562)	1.099 (5.952)	5.244 (4.544)	4.266 (4.640)
F (35, 1210)	7.18	. ,	7.07	. ,
Wald χ ₂ (35)		247.61		247.80
First-stage F statistic		20.291		35.601

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Table 4. Horticultural/staple farming and grade repetition (n=1,246)

	Horticultural farming		Staple farn	ning
-	Logit	2SLS	Logit	2SLS
	(marginal effects)		(marginal effects)	
Treatment effect (grade repetition)	.032 (.027)	.022 (.199)	031 (.028)	019 (.171)
School level (primary=0; junior high=1)	148 (.024)***	209 (.038)***	147(.024)***	207 (.039)***
Gender (male=1; female=0)	.097 (.023)***	.099 (.023)***	.097 (.023)***	.099 (.022)***
Age	.050 (.007)***	.050 (.008)***	.050 (.007) ***	.050 (.007)***
Child work (yes=1; no=0)	.020 (.031)	.027 (.032)	.019 (.031)	.027 (.032)
Child health (good=1; other=0)	025 (.259)	044 (.245)	017 (.256)	043 (.248)
Firstborn (yes=1; no=0)	022 (.032)	021 (.032)	022 (.032)	021 (.033)
Lastborn (yes=1; no=0)	013 (.039)	013 (.037)	011 (.039)	013 (.038)
Only child (yes=1; no=0)	.045 (.062)	.042 (.054)	.043 (.062)	.041 (.053)
Aid received (yes=1; no=0)	025 (.038)	036 (.039)	023 (.037)	034 (.036)
Book received (yes=1; no=0)	.017 (.025)	.020 (.027)	.015 (.025)	.019 (.024)
Religious school (yes=1; no=0)	002 (.069)	.012 (.058)	000 (.069)	.014 (.055)
Household head education (years)	.000 (.003)	.000 (.003)	.000 (.003)	000 (.003)
Household head age	.000 (.001)	.000 (.001)	.000 (.001)	.000 (.001)
Household head divorced/separated/widowed (yes=1; no=0)	016 (.057)	021 (.057)	022 (.056)	025 (.069)
Female Household head	.005 (.049)	.011 (.047)	.013 (.051)	.016 (.062)
Mother education (years)	010 (.003)***	009 (.003)***	010 (.003)***	009 (.003)***
Mother living at home (yes=1; no=0)	.022 (.039)	.022 (.042)	.023 (.039)	.022 (.042)
Number of household member aged 66 and over	.083 (.030)***	.087 (.032)***	.082 (.030)***	.086 (.031)***
No. of household member aged 18-65	002 (.013)	003 (.013)	001 (.013)	003 (.013)
No. of household member aged 13-17	.011 (.016)	.014 (.016)	.010 (.016)	.014 (.016)
No. of household member aged 6-12	.028 (.013)**	.023 (.015)	.028 (.013)**	.023 (.014)*
No. of household member aged 0-5	.021 (.015)	.020 (.016)	.021 (.015)	.020 (.016)
Household income (million IDR)	000 (.000)	000 (.000)	000 (.000)	000 (.000)
Household assets (million IDR)	000 (.000)	000 (.000)	000 (.000)	000 (.000)
Household borrowing (million IDR)	003 (.003)	000 (.000)	003 (.003)	000 (.000)
No. of primary schools in the village	.028 (.009)***	.025 (.009)***	.029 (.009)***	.026 (.010)***
No. of junior high schools in the village	047 (.016)***	040 (.015)***	050 (.016)***	041 (.021)*
Provincial fixed effects	yes	yes	yes	yes
Constant	- -	250 (.340)	-	231 (.266)
LR χ ₂ (34)	198.62	, ,	198.37	, ,
Wald χ ₂ (34)		216.20		216.05
First-stage F statistic		20.315		35.640

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively

The results suggest that horticultural farming is positively associated with child education spending, and even though horticultural farming is labour-intensive, there is no negative relationship between horticultural farming and hours spent in school or grade repetition. As our study uses instrumental variable estimation, the estimates are only the local average treatment effect of the compliers; horticultural households living in the village cultivating horticultural crop as the main crop. In our sample, 70.5% of the children living in households with horticulture as the main crops comes from the villages with horticultural crops as the main crops.

Empirical findings further point to possible gender and school level disparities in child education spending as well as grade repetition. Therefore, we further analyse subsamples broken down by gender and school level to test this possibility. Again, inference is based on 2SLS estimates and provincial fixed effects are included in all models.

Table 5 reports the results that instrumental variable regression indicates a positive relationship between horticultural farming and education spending for both genders. However, the effects on boys (2170.5 thousand Indonesian Rupiah) is fourfold that felt by girls (559.4 thousand Indonesia Rupiah). It therefore appears that horticultural households prioritise investing in boys' schooling than girls. Gender as the covariate also shows households tend to spend more if the child is a boy (Table 2). On the other hand, the negative relationship between staple farming and education spending is also much larger in magnitude for boys than for girls. In other words, boys' human capital accumulation is more income-elastic than girls'. An increase or decrease in income leads to a larger effect on spending changes of boys' schooling than girls. The gender difference in the amount of spending could be explained by the value placed toward boys in a patriarchal society. It implies that boys are the primary beneficiaries of horticultural farming in terms of education spending, and it also points to the need to place

an increased focus on improving girls' education spending accordingly in hope of building up human capital gender-equally and realising women's empowerment in the long run.

School level is another interesting perspective to understand the relationship between crop choice on human capital investment, as primary school children and junior high school children tend to bear different opportunity cost of schooling. Older children could have higher opportunity cost due to higher physical strength and capability of farm labour. The subsample results suggest a positive relationship between horticultural farming and education spending only among primary school children but not junior high school children. On the other hand, results suggest cultivating staple crops without horticulture has a negative association with education spending among both primary and junior high school children. Moreover, the negative coefficient of junior high school children's education spending is approximately 4 times higher than primary school children though it is only marginally significant. Referring to the descriptive statistics in Table 1, staple crop households generate less income, and obtain less amount of borrowing which is vital for financing child education (Chandrasekhar & Mukhopadhyay, 2006). The findings also indicate junior high school children from staple crop households appear to spend less amount of time in school than other types of households. It is plausible that staple crop farming households potentially rely on older children's labour and time to maintain the livelihood of the households. Especially once children reach the age of junior high school students, they are more productive and capable of assisting with household income through farm labour and off-farm work. This negative effect may also demand appropriate policy attention.

Table 5. Subsample results; horticultural farming and child education

Subsample	Child education outcome	Horticultu	ral farming	Staple 1	farming
		OLS/Logit	2SLS	OLS/Logit	2SLS
	Education spending (thousand IDR)	40.692 (84.398)	2108.091(1020.281)**	-171.122(92.864)*	-1639.952(665.126)**
Boys	Hours spent in school in the past week	.152 (.627)	4.731 (5.579)	.725 (.691)	-3.680(4.293)
(n=625)	Grade repetition (yes=1; no=0)	.028 (.042)	.274 (.337)	051(.043)	213(.257)
Cid	Education spending (thousand IDR)	83.550(46.770)*	561.634(293.930)*	-76.669 (53.886)	-598.598(311.152)*
Girls	Hours spent in school in the past week	1.624(.657)**	3.703(3.838)	-1.133(.759)	-3.947(4.117)
(n=621)	Grade repetition (yes=1; no=0)	.030 (.035)	034(.210)	.011(.040)	.037(.223)
D' 1 11'11	Education spending (thousand IDR)	52.916(32.786)	636.758(267.515)**	-70.546(37.575)*	-636.972(258.077)**
Primary schoolchildren	Hours spent in school in the past week	.654(.487)	.513(3.456)	.325(.558)	514(3.464)
(n=1,019)	Grade repetition (yes=1; no=0)	.032 (.030)	078(.210)	020 (.033)	.078(.209)
7 ' 1' 1 1 1 11'11	Education spending (thousand IDR)	-206.923(243.188)	7488.721(8141.852)	-194.090 (257.025)	-2697.952(1440.687)*
Junior high schoolchildren	Hours spent in school in the past week	2.718(1.189)**	48.304(46.971)	-2.895(1.256)**	-17.402(7.496)**
(n=227)	Grade repetition (yes=1; no=0)	.033 (.059)	.902(1.186)	077 (.048)	324(.315)

OLS estimation is implemented with education spending and hours spent in school in the past week, while logit estimation is used with grade repetition. Provincial fixed effects are included in all regressions. Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

2.7 Conclusion

Our study provides understanding of how having horticultural crops as the main crop influences household welfare and human capital investment. Findings from instrumental variable regression indicate a positive effect of horticultural farming particularly on education spending for both genders, while the effect is much larger on boys. Even though horticultural farming is labour-intensive, results show no effects of horticultural effect on hours spent in school or grade repetition. On the other hand, we found a consistent negative effect of staple crop farming without horticulture on education spending on both genders as well as negative effect on hours spent in school for junior high school children.

Understanding the effect of crop choice on household education investment could provide policy recommendation to address the implications of horticultural crop adoption in Indonesia. This research analyses the potential benefits of having horticultural crops as the main crop that it would not only increase household income but also household education spending. These implications are associated with the long-term impact of poverty reduction and human capital development. As results indicate consistent negative impacts on child education outcomes among staple crop farming households, further attention and policy implementation should be directed to support these types of households, particularly rice farming which are a significant part of rural economy (McCulloch & Peter Timmer, 2008). Furthermore, these results would potentially address the socio-economic implications of heavily promoting rice production in Indonesia, for instance, the self-sufficiency policy (Simatupang & Peter Timmer, 2008).

One of the limitations of this study is the use of cross-sectional data which limits the ability to infer causality. The research is based on observational data in Eastern part of Indonesia and it still has limitation in terms of external validity in different settings. The use of survey which gathers information reported by households might suffer some bias, as the respondents might not have accurate information due to the lack of proper records. Nevertheless, we did provide some first evidence on the positive effect of horticultural farming on child education spending, and further break down the

heterogeneity from both gender and school level perspectives. Further research may utilize better data once they become available to test the external validity of our study and therefore strengthen inferred causality.

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2.9 Appendices

Table A1 Pearson correlation between the instrumental variable and outcome variables

Variables		Village having horticultural crop as the main crop	Education spending	School hours	Grade repetition
Village having horticultural crop as the main crop	Pearson correlation	1	0.0936	0.0731	-0.0455
	Sig.		0.0009	0.0099	0.1086

Table A2 Pearson correlation between the instrumental variable and the endogenous variable

Variables		Village having horticultural crop as the main crop	Household having horticultural crop as the main crop
Village having horticultural crop as the main crop	Pearson correlation	1	0.2885
	Sig.		0.0000

Table A3 First stage test of Instrumental variable (village having horticultural crop as the main crop)

Variables	R-Square	F-statistics	Prob>F
HORT (education spending)	0.1801	20.291	0.000
STAPLE (education spending)	0.3467	35.601	0.000
HORT (school hours)	0.1801	20.291	0.000
STAPLE (school hours)	0.3467	35.601	0.000
HORT (grade repetition)	0.1787	20.315	0.000
STAPLE (grade repetition)	0.3461	35.640	0.000

Statement of Authorship

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Principal Author

Name of Principal Author (Candidate)	Phassara Khamthara		
Contribution to the Paper	Formulated research plan and methodology, conducted data collection, data analysis and wrote manuscript		
Overall percentage (%)	65%		
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.		
Signature	Date 24/02/20		

Co-Author Contributions

By signing the Statement of Authorship, each author certifies that:

- i. the candidate's stated contribution to the publication is accurate (as detailed above);
- ii. permission is granted for the candidate in include the publication in the thesis; and
- iii. the sum of all co-author contributions is equal to 100% less the candidate's stated contribution.

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Chapter 3: Risk preference, non-farm income, and child education

spending: A case study of citrus farmers in Indonesia

3.1 Abstract

High-value horticultural crops can generate higher returns but with increased risks.

Consequently, income diversification toward non-farm source is often used by farmers to cope

with risks. While it is evident how off-farm employment increases agricultural production by

removing capital constraints, enhances food security, and helps households cope with

environmental changes, little is known how it may affect child education spending. This,

however, is crucial to rural development in terms of long-term human capital accumulation.

We aim to narrow this knowledge gap through an empirical investigation of how risk

preference influences income diversification toward non-farm source, and how it translates into

education spending among citrus farm households in Indonesia. We also incorporate gender

perspectives as we analyze both husbands and wives' risk preference which may have

heterogeneous impacts. It is found that wives' lower risk aversion leads to higher off-farm

income which is positively associated with child education spending. Moreover, off-farm

income is found to have a larger positive effect on education spending than income from citrus

farming and other crops. These results therefore imply that risks associated with horticultural

farming could influence education spending through increasing off-farm diversification.

Keywords: Risk preference; Non-farm income; Education; Horticulture; Rural development;

Indonesia

48

3.2 Introduction

Promoting high-value horticultural crop farming is an increasingly common development strategy used to promote livelihoods among agricultural households in developing countries (Sonko, Njue et al. 2005, Ali 2008, Maertens, Minten et al. 2012, Pingali 2015). Existing empirical research provides strong evidence that links adoption of horticultural crops with improved income, diet and related welfare improvements (Barron and Rello 2000, Ali and Abedullah 2002, Minot and Roy 2007, Weinberger and Lumpkin 2007, Hichaambwa, Chamberlin et al. 2015, Pingali 2015).

Potential barriers to adopting higher-value crops include additional risks related to higher production costs, credit access and the necessary specific value-chain knowledge (Key and Runsten 1999, Ali and Hau 2001, Ali 2002). Prices for horticultural crops are usually more volatile than staples, due to the yield variations that fluctuate market supply (Key and Runsten 1999) and lack of support programs that influence production costs and output prices like staple crops'. For these reasons, horticultural crops generally involve higher risks than staples (Weinberger and Lumpkin 2007). This is especially true for perennial horticultural crops that require several years of intensive labour and investment until production begins.

The purpose of this study is to examine how risk preference influences income diversification toward non-farm activities and whether the increasing amount of non-farm income helps with child education spending among rural households. Diversifying income sources of both on- and off-farm activities has long been recognized as a common household strategy to cope with risks (Reardon, Delgado et al. 1992, Dercon 1996). Previous research demonstrates how diversification enhances food security, increases agricultural production by removing capital constraints and helps households cope with negative environmental changes (Barrett, Reardon et al. 2001, Liu, Golding et al. 2008, Babatunde and Qaim 2010, Bezu, Barrett et al. 2012, Hoang, Pham et al. 2014).

However, little is known about how non-farm income impacts education spending among farm households. An important reason to address this knowledge gap is because numerous studies demonstrate that human capital accumulation through education provides a long-lasting impact on household income growth, poverty reduction and broader development benefits for rural communities (Psacharopoulos and Schultz 1984, Becker, Murphy et al. 1990, Schultz 1992, Paul Schultz 2002). It also improves financial security after retirement, as it is easier for better educated children to find waged employment with stable income to support parents and the education of younger siblings (Edmondson 1992).

Risk preference is a key factor affecting farmers' coping strategies and income generating activities (Meraner and Finger 2017). Previous research shows how risk preference affects the probability of farmers' undertaking off-farm risk management strategies (de Mey, Wauters et al. 2016). Specifically, risk aversion is found to have a positive relationship with off-farm employment (van Winsen, de Mey et al. 2016).

This study tests the hypothesis that the higher risks associated with horticultural farming results in greater non-farm income diversification which leads to higher educational spending. In addition to understanding the relationship between risk preference and non-agricultural income, this paper explores how this pathway translates into education spending by farm households. The empirical analysis draws on a recent household survey of citrus farmers in Indonesia. The findings are intended for improving policy and program design to further stimulate horticultural crop adoption as a sustainable development strategy with favourable long-term socioeconomic impacts including human capital accumulation through child education spending.

The remainder of this paper is organized as follows. The subsequent section discusses the previous studies relevant to our research question. This is followed by presentation of the empirical strategy of the analysis. Subsequently, we describe the data and their observed

patterns. Empirical results are then presented and discussed in the following section. We finally conclude the paper with policy implications.

3.3 Literature review

Risk coping strategies remain an essential part of farm management, as agriculture is inherently exposed to various types of risk. Production and price uncertainty are two of the main risks as yields are subject to unpredictable weather, pests and diseases (Musser and Patrick 2002). Particularly in developing countries, where farmers lack access to crop insurance and consumption credit, off-farm income diversification strategies plays an important role in risk management (Reardon, Delgado et al. 1992, Barrett, Reardon et al. 2001).

Among the factors that influence diversification towards non-farm activities identified in previous research includes households exposed to higher financial risks (de Mey, Wauters et al. 2016). Households with relatively larger farms also demonstrate a positive relationship with wealth, a greater ability to tolerate risks and are more specialised, resulting in larger farm households focusing more on on-farm risk management strategies rather than off-farm diversification (Velandia, Rejesus et al. 2009). Larger household size also has a positive relationship with on-farm diversification strategies, as large household size usually implies more availability of farm labour (Benjamin and Kimhi 2006, Meraner, Heijman et al. 2015).

Individual farmer characteristics also plays a significant role in non-farm income diversification. Risk aversion is positively correlated with diversification toward non-farm income (van Winsen, de Mey et al. 2016). Farmers with higher levels of education tend to have more opportunities to work off-farm as education increases employment opportunities (De Janvry and Sadoulet 2001, Velandia, Rejesus et al. 2009). Other evidence suggest that higher education levels influence individuals to become less risk averse (Dohmen, Falk et al. 2011). Increasing age is negatively associated with the share of non-farm diversification income as

older farmers tend to have less non-farm employment opportunities (de Mey, Wauters et al. 2016).

Existing studies indicate how income diversification towards non-farm source benefit household well-being in a number of ways. For example, non-farm income is an important source of funding for agricultural inputs (Ruben 2001). Non-farm income supports food consumption and generates stable income for households in the long run (Reardon, Delgado et al. 1992, Block and Webb 2001). Households with higher shares of non-farm income tend to have higher purchasing power (Anderson 2002). Moreover, it also helps farm households to cope better with environmental uncertainty (Liu, Golding et al. 2008). Even though, non-farm work is found to reduce hours of farm work, it does not negatively affect agricultural income (Hoang, Pham et al. 2014).

This study complements this literature by investigating the relationship between individual farmer risk preference, non-farm income diversification and child education spending. The paper analyses households cultivating citrus, a perennial horticultural crop which is one of the priortitised horticultural crops by The Indonesian Ministry of Agriculture. The paper aims to contribute to the existing research exploring the welfare impacts of off-farm risk management strategies among rural households using a crop requiring a long-term investment.

3.4 Methodology

As we seek to understand the relationship between risk preference, non- farm income, and education spending among horticultural households, three-stages least squares regression (3SLS) is used to estimate simultaneous equations. 3SLS is appropriate to analyse the mechanism which risk preference is associated with non-farm income, and how non-farm income influences education spending in regard to other types of income. 3SLS estimates are asymptotically more efficient than estimates from single equation estimators as it accounts for correlated errors across equations.

The main regression equations can be specified as:

$$OFFFARM_{i} = \beta_{0} + RISKHEAD_{i}\beta_{1} + RISKSPOUSE_{i}\beta_{2} + X_{i}\beta_{3} + \epsilon_{i}$$
 (1)
$$EDUSPENDING_{ij} = \gamma_{0} + OFFFARM_{i}\gamma_{1} + CITRUS_{i}\gamma_{2} + OTHERAGRI_{i}\gamma_{3} + Z_{ij}\gamma_{4} + u_{ij}$$
 (2)

In equation (1), $OFFFARM_i$ denotes the non-farm income level of household i; $RISKHEAD_i$ is the risk preference of household head i; $RISKSPOUSE_i$ is the risk preference of spouse; X_i is a vector of covariates; β_0 , β_1 , β_2 and β_3 are coefficients to be estimated, and ϵ_{ij} is the disturbance. In equation (2), $EDUSPENDING_{ij}$ is the education spending outcome in the past academic year of child j in household i; $OFFFARM_i$ denotes non-farm income level of household i; $CITRUS_i$ denotes citrus farming income level of household i; $OTHERAGRI_i$ is farming income from other crops; Z_i is a vector of covariates; γ_0 , γ_1 , γ_2 , γ_3 and γ_4 are coefficients to be estimated, and u_{ij} is the disturbance. Equations (1) and (2) therefore are jointly estimated with three-stage least squares (3SLS).

As compared to literature that usually uses child enrolment as the measure of education outcome, our use of education spending is a better measure as it captures finer effects of education investment. Even though Indonesia has a 9-year compulsory education and school fees were formally abolished, households still have to cover multiple other costs associated

with schooling (Kristiansen 2006). In this case, a binary child enrolment indicator cannot capture the variation of education spending among households.

Equation (1) aims to assess the relationship between risk preference of husbands and wives and their non-farm income. Risk preference possibly plays an important role in determining income diversification as a risk-coping mechanism. We simultaneously estimate the impacts of non-farm income level on education spending as well as those from citrus farming income and other agricultural income.

In equation (1), we included household characteristics that likely affect non-farm income; house value, mobile and computer ownership, internet access, motorcycle and car/truck ownership, household head's age and education level, number of household member in each age group. We also incorporated variables related to farming including citrus farming experience, citrus training and extension participation, government assistance, credit/borrowing for citrus farming, number of productive citrus trees and non-productive citrus trees, farm size, and farmland selling into the model.

In equation (2), covariates include only variables which directly influence decision of households' education spending; number of household member in each age group, household head and spouse risk preference, household head age and education, spouse's education, child gender, child education level, education financial aid, and whether a child is involved in citrus farming, and whether household sold farmland in the past 5 years. For each equation we selected the covariates which directly influence that particular outcome.

To check the robustness of our model, we further employed GMM 3SLS estimator which extends the 3SLS estimator by allowing for heteroskedasticity (Wooldridge 2010). The homoskedasticity assumption can be relaxed by considering different weight matrices. In this case, we obtain a weight matrix that allows for heteroskedasticity.

3.5 Data and descriptive statistics

We analyse a sample of 392 children from 284 horticultural farming households in East Java, Indonesia. The survey and framed risk experiment were conducted among 500 households of citrus cultivators in September 2017. Citrus is an appropriate choice for this study as citrus farmers are exposed to risks such as diseases and price volatility. Also, citrus trees demand intensive use of input, capital, and labour and takes around 3-5 years for the trees to bear fruits for harvesting.

The survey covers one of the main citrus growing areas in Indonesia, including Malang, Jembre, and Banyuwangi districts. Citrus farming households in this study refer to households which grow citrus for commercial purposes. The 500 citrus farming households were surveyed based on a multi-stage sampling method. In the first stage, sub-districts were drawn from three strata which are the largest citrus production districts in East Java Province based on production volume in 2015. Banyuwangi, Jember and Malang districts exhibit differences in citrus farming methods, agro-ecosystems and infrastructure. Subsequently, we ranked all sub-districts from the three chosen districts based on production volume. We selected the sub-districts which accounts for more than 5% of district production volume. Two sub-districts were selected in Malang; nine sub-districts were selected in Banyuwangi, and four sub-districts were selected in Jember. In the second stage, we randomly drew 14 villages from each district. The enumerators conducted a census of citrus farming households in each village and 12 citrus farming households were randomly drawn from each village.

Table 1 Number of households surveyed from each district

Malang	Jembre	Banyuwangi
166	166	168

Data collected contain information on household farming practices, marketing channels, agricultural technology adoption, climate risks perception and a specific module focusing on child education. Apart from the household survey, we also conducted a framed risk experiment to elicit risk preference among farmers and their spouses. The risk experiment employed a multiple price list method adjusted from "The Preference Survey Module: A Validated Instrument for Measuring Risk, Time, and Social Preferences" (Falk, Becker et al. 2016). The risk elicitation experiment procedure is detailed in appendices.

The descriptive statistics of variables are reported in Table 1. Our sample consists of 392 children from 284 citrus farming households. Household heads in our sample have an average of eight years of education which equals to junior high school and have an average age of 49. In terms of risk preference, most household heads and spouses in our sample are highly risk averse. The average citrus farming experience of the household head is approximately 15 years, showing that the majority of our surveyed household are experienced citrus farmers. We further report descriptive statistics by median household income (refer to Table 1). In terms of education spending, it shows that households within the high-income group (higher than the median, N=196) spend on education approximately 47% more than the low-income group (lower than the median, N=196). Data indicate higher years of education among household heads in the high-income group (approximately 10 years for the high-income group and 7 years for the low-income group). The average experience of citrus farming across both income groups is also similar (approximately between 14-15 years), while households in the high-income group has a larger farm size on average (1.7 hectare for the high-income group and 0.7 hectare for the low-income group).

In terms of income, households in the high-income group have approximately 5 times higher off-farm income level than the low-income group. As of citrus income, the high-income group has approximately 4 times higher of citrus income level than the low-income group. In

addition, the high-income group has significantly higher amount of other agricultural income, approximately 8 times more income than the low-income group, which may be due to larger landholdings.

Table 1 Descriptive statistics

	Mean $(N = 392)$	Lower income group (lower than the median,	Higher income group (higher than the median,
		N=196)	N = 196)
Total Household income (million IDR)	74.491 (78.981)	24.844 (12.079)	124.139 (86.064)
Education spending per child (thousand IDR)	6938.1 (8156.024)	5595.65 (6537.95)	8280.54 (9328.18)
Head HH risk preference	2.459 (2.694)	2.357 (2.584)	2.561 (2.803)
(1 = extremely risk averse; 11 = extremely risk tolerant)			
Spouse risk preference	2.339 (2.612)	2.326 (2.620)	2.352 (2.610)
(1 = extremely risk averse; 11 = extremely risk tolerant)			
Mobile ownership (quantity)	2.466 (1.143)	2.112 (1.006)	2.821 (1.165)
Internet access (yes=1; no=0)	.770 (.421)	.709 (.455)	.831 (.375)
Computer (quantity)	.461 (.745)	.260 (.562)	.663 (.846)
Motorcycle (quantity)	2.214 (.993)	1.954 (.818)	.178 (.445)
Car/truck (quantity)	.354 (.622)	.178 (.445)	.530 (.719)
Head HH education (years)	8.867 (4.013)	7.596 (3.561)	10.137 (4.045)
Head HH age (years)	49.678 (9.2765)	50.852 (10.004)	48.505 (8.348)
Spouse education (years)	8.943 (3.448)	7.984 (3.090)	9.903 (3.526)
Number of household member aged 0-5	.278 (.492)	.316 (.528)	.239 (.451)
Number of household member aged 6-12	.7040 (.654)	.673 (.668)	.734 (.641)
Number of household member aged 13-17	.446 (.537)	.408 (.532)	.484 (.540)
Number of household member aged 18-65	2.647 (.833)	2.617 (.8111)	2.678 (.855)
Number of household member aged 66 and over	.178 (.421)	.209 (.466)	.147 (.370)
Citrus farming experience (years)	15.056 (9.805)	15.545 (9.647)	14.566 (9.961)
Citrus training participation in the 5 years (quantity)	.377 (2.139)	.448 (2.700)	.306 (1.369)
Citrus extension participation in the past 5 years (quantity)	1.660 (6.262)	1.214 (4.409)	2.107 (7.667)
Government assistance for farming (million IDR)	.055 (.322)	.047 (.219)	.062 (.399)
Credit/borrowing for citrus farming	.278 (.448)	.229 (.421)	.326 (.470)
Productive citrus trees (quantity)	293.885 (316.780)	222.137 (187.338)	365.632 (394.677)
Non-productive citrus trees (quantity)	107.221(200.150)	106.816 (220.879)	107.627 (177.588)
Farm size (hectare)	1.246 (2.689)	.743 (.869)	1.749 (3.638)
Sell farmland in the past 5 years (yes=1; no =0)	.053 (.225)	.030 (.172)	.076 (.266)
House value (million IDR)	353.747 (357.216)	263.040 (229.941)	444.454 (431.797)
Non-farm income (million IDR)	9.301(19.784)	3.596 (7.207)	15.006 (25.835)
Citrus farming income (million IDR)	19.369 (31.900)	8.482 (10.155)	30.256 (41.220)
Other agricultural income (million IDR)	17.704 (47.557)	3.950 (6.825)	31.457 (64.094)
Child gender (female=1; male=0)	.525 (.499)	.540 (.499)	.510 (.501)
Child age	12.933 (4.740)	12.790 (4.643)	13.076 (4.843)
Child working in citrus farming	.066 (.249)	.091 (.289)	.040 (.198)
Secondary education level (yes=1; no=0)	.380 (.486)	.397 (.490)	.362 (.481)
Tertiary education level (yes=1; no=0)	.119 (.325)	.096 (.296)	.142 (.350)
Education aid (thousand IDR)	171.364 (750.258)	223.469 (918.505)	119.260 (528.728)

Standard deviations are in parentheses for means.

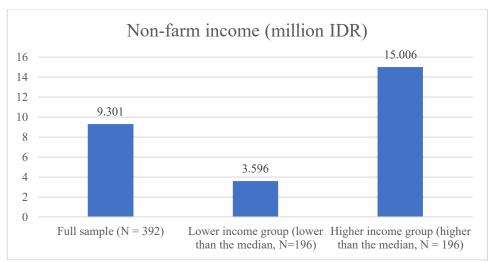


Figure 1 Average non-farm income (million IDR)

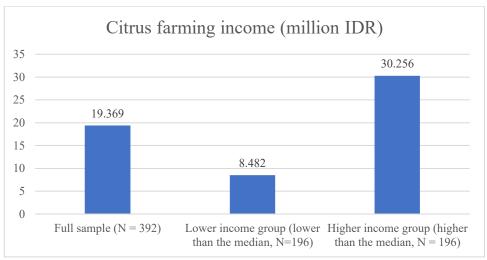


Figure 2 Average citrus farming income (million IDR)

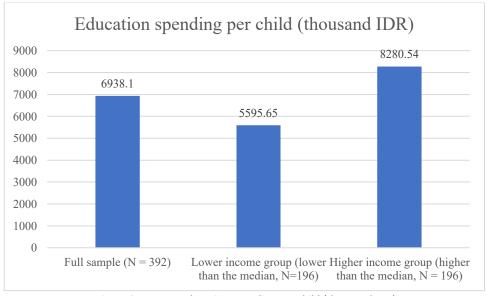


Figure 3 Average education spending per child (thousand IDR)

3.6 Results and discussion

Table 2 reports the baseline estimation results. One of the most interesting finding is the perplexing results of how husbands and wives' risk preference are having conflicting effects on non-farm income level. Specifically, husbands' risk aversion is positively associated with non-farm income level, whereas wives' risk aversion is negatively associated with non-farm income level. It is plausible that risk preference between husbands and wives play different roles in determining income diversification toward non-farm source. Husbands' higher risk tolerance could induce more concentration of family labour in citrus and other crops to maximise farm profit rather than diverting labour to non-farm income. This is consistent with previous research that also shows risk aversion has a positive relationship with income diversification toward non-farm source (van Winsen, de Mey et al. 2016). Husbands who are more risk averse would seek to diversify their income to non-farm source to cope with risks from citrus farming. Within crop portfolio, citrus is a long-term growth investment that demands intensive use of capital, labour and needs 3-5 years to first harvest. Moreover, if citrus trees experience disease or environmental damages, it would mean a significant loss of an investment over the years. Given the positively correlated profits and risks, it is plausible that risk seeking husbands could tolerate the loss better and are less likely to seek non-farm employment.

In contrast, wives' higher risk tolerance induces more income diversification toward non-farm source. It is plausible that risk-seeking wives tend to earn off-farm income themselves as studies in the past decades consistently indicate women's off-farm work is used as a household livelihood strategy (Fuller 1984, Blekesaune, Haney et al. 1993, Gasson and Errington 1993, Blekesaune 1997). Another possibility could be that they can cope well with household member(s) working off-farm. In rural areas, working off-farm might be taking up projects such as construction work. Getting skilled non-farm wage employment tend to have a

high barrier of entry due to education constraints among farmers (De Janvry and Sadoulet 2001). Moreover, risk seeking wives tend to cope better with uncertainty and changes. Having a higher share of non-farm income means more cash for households to spend on a regular basis. On the contrary, risk-averse wives might be reluctant to diversify their income to non-farm source. They would prefer to concentrate their household labour on farming, as they generally have lower ability to tolerate risks and changes that might come with household member(s) working off-farm.

Economic theory suggests households' decision making tends to maximize their utility. However, according to prospect theory, human decision-making is context-specific, where losing money has a larger amount of impact on people than gaining potential money (Tversky and Kahneman 1992). Husbands and wives' decision makings are based on different contexts, as they have different roles in the livelihood system, where men and women tend to deal with risk differently (Niehof 2004). A risk-averse wife might think that income diversification towards non-farm source might lead to loss of efficiency in their farm management, leading to losing profit, whereas risk averse husbands think non-farm income can help add liquidity to their household and make their income more secure.

In terms of education spending, non-farm income level is positively associated with higher spending on children's education. More cash income on a regular basis means households have more liquidity in education spending. Citrus income and other farm income also have a similar effect, households tend to spend more on education when they gain more income. Results show that out of one million IDR (75.08 USD)¹ gain from off-farm income, households tend to spend averagely 134,289 IDR (10.08 USD) more on education. For every million IDR (75.08 USD) from citrus income, households tend to spend averagely 24,769 IDR (1.86 USD) more. For income from other crops, households tend to spend averagely 10,354

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¹ The IDR/USD exchange rate during the data collection (Sep 2017)

IDR (0.78 USD) more for every million IDR (75.08 USD). It seems that income from non-farm source has a larger effect on education spending than other two sources. Putting in the context of conventional investment, non-farm employment is similar to cash whereas citrus and other types of crop farming are more like equity. There is higher uncertainty involved in income from farming, farmers cannot know the exact amounts of money they will make from their farm produce as it is dependent on multiple factors such as the price at the time of selling as well as the quality and the quantity of their produce. Decision making in terms of education spending, therefore, needs to be put in the context that their income is uncertain. They need to weigh between spending and saving their money to make sure they have enough liquidity to sustain household livelihoods. Results also indicate that income from citrus farming also has a larger effect on education spending than that from other crops. As farmers in this sample grow citrus as their main crops and grow other crops to diversify their income, it is plausible that income generated from citrus is more reliable for them.

Table 2 Estimated regression coefficients (N=392)

	Non-farm income (million IDR)	Education spending (thousand IDR)
R-sq	0.2826	0.6133
Household head risk preference	688(.331)**	-44.638 (106.043)
(1 = extremely risk averse; 11 = extremely risk tolerant)		
Spouse risk preference	1.084(.344)***	-62.573 (119.073)
(1 = extremely risk averse; 11 = extremely risk tolerant)		
Non-farm income (million IDR)	-	134.289 (50.913)***
Citrus farming income (million IDR)	-	24.769 (7.811)***
Other agricultural income (million IDR)	-	10.354 (5.602)*
Head HH education (years)	1.446 (.303)***	-162.218 (125.257)
Head HH age (years)	.194 (.115)*	-44.513 (36.013)
Spouse education (years)	.094 (.356)	27.967 (106.371)
Number of household member aged 0-5	243 (1.848)	-518.670 (569.296)
Number of household member aged 6-12	.229 (1.425)	-395.909 (487.959)
Number of household member aged 13-17	-1.857 (1.867)	-1511.52 (551.84)***
Number of household member aged 18-65	.904 (1.235)	-383.652 (359.010)
Number of household member aged 66 and over	-5.837 (2.188)***	1192.51 (711.587)*
Sell farmland in the past 5 years (yes=1; no=0)	4.468 (4.317)	2352.20 (1223.176)*
Mobile ownership (quantity)	.943 (.984)	-
Internet access (yes=1; no=0)	-1.927 (2.173)	-
Computer (quantity)	4.727 (1.387)***	-
Motorcycle (quantity)	2.124 (1.062)**	-
Car/truck (quantity)	-1.543 (1.491)	-
Farm size (hectare)	862 (.367)**	-
House value (million IDR)	.005 (.002)**	-
Citrus farming experience (years)	.056 (.089)	-
Citrus training participation in the past 5 years (quantity)	117 (.393)	-
Citrus extension participation in the past 5 years (quantity)	055 (.136)	-
Government assistance (million IDR)	5.234 (2.645)	-
Credit/borrowing for citrus farming (yes=1; no=0)	-2.399 (1.931)	-
Productive citrus trees (quantity)	.000 (.002)	-
Non- productive citrus trees (quantity)	.005 (.004)	
Child gender (female=1; male=0)	· · · · · · · · · · · · · · · · · · ·	-56.880 (508.766)
Child working in citrus farming (yes=1; no=0)	-	-1284.12 (1088.37)
Secondary education level (yes=1; no=0)	-	5627.567 (616.889)***
Tertiary education level (yes=1; no=0)	-	20007.7 (913.81)***
Education aid (thousand IDR)	-	-1.296 (.341)***
Constant	-24.891 (7.765)***	6255.72 (2764.37)**

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Furthermore, we used the same procedure to analyse two subsamples grouped by income to further uncover any possible impact heterogeneity (Table 3). The first group consists of lower income group (lower than the median, N=196), and the second group consists of higher income group (higher than the median, N=196). Husbands' risk seeking characteristics is found to have a negative association with non-farm income only among the higher income group. We found no statistically significant relationship between the husbands' risk preference and non-farm income level among the lower income group. Wives' risk seeking characteristics is positively associated with more non-farm income level in both groups, on average 420,000 IDR (31.54 USD) more for lower income group, and 1.417 million IDR (106.4 USD) more for the higher income group.

In terms of education spending, we found no statistically significant relationship between non-farm income level and education spending. The conflicting results with the full-sample might be due to an insufficient variation of non-farm income level within each subsample. However, we found conflicting relationship between citrus farming income and education spending between income groups. For the lower income group, results show a negative association of citrus farming income and education spending. It implies that farmers in this income group tend to spend averagely 67,740 IDR (5.09 USD) less on education spending for every million IDR (75.08 USD) they gain from citrus farming. Contrastingly, for the higher income group, results show a positive association of citrus farming income and education spending, with 29,794 IDR more (2.24 USD) for every million (75.08 USD) gained from citrus farming.

These contrasting results show a different pattern of household spending on child education. Lower-income group seems to invest less in child education if they gain more money from citrus income. It is plausible that farmers with lower income place less value on education investment, as they can gain a reasonable amount of income from citrus farming.

Hence, farmers in this income group prefer to divert family labour to citrus farming, as descriptive statistics show the highest percentage of children working in citrus farming is in the lower income group.

This, however, does not imply that farmers from the lower income group are worse parents than the higher income group. Their decision making is based on different contexts. Poorer households tend to have high time discount rate than wealthier households (Hausman 1979, Lawrance 1991, Harrison, Lau et al. 2002); they place a higher value on having money now to help increase their liquidity than to invest in education which takes decades to generate payoff. When they see citrus farming as a way of improving their socioeconomic welfare, they prefer to invest their resources into citrus farming and train their children these money-making skills. In the case of wealthier households (higher income group), the more they earn from citrus farming, the more they are spending on education. It shows that farmers in better socioeconomic status place a higher value on education. It is plausible that when financial constraint is less of a hurdle, they have higher financial liquidity to invest in their children's education. Wealthier households are also associated with lower time discounting rate (Hausman 1979, Lawrance 1991, Harrison, Lau et al. 2002); which means they are willing to wait for their investment to pay off. Education is such a long- term investment that it needs more patience and lower time discount rate. Moreover, it is also plausible that these farm households are fully aware of the uncertainty which farm income possesses; pest and disease, price volatility, and environmental stress could influence their income. Therefore, they might prefer to invest more in child education to increase the opportunity of their children to get into skilled-wage sectors.

Among the covariates, results show government assistance payment has a positive association with higher non-farm income level for the lower income group, 5.246 million IDR (393.89 USD) higher for every million IDR (75.08 USD) of payment. On the contrary,

formal credit or borrowing for citrus farming has a negative association with non-farm income level for the lower income group. If households use formal credit for citrus farming, results indicate they earn averagely 3.333 million IDR (250.26 USD) less from non-farm source. It is plausible that having formal credit makes farmers concentrate their labour in farming and less rely on non-farm income source.

Moreover, the higher quantity of non-productive citrus trees is positively associated with higher non-farm income among the lower income group, with 8,000 IDR (0.6 USD) more for every non-productive citrus tree owned. It is plausible that poorer households need to seek non-farm income to sustain their livelihood and care for non-productive citrus trees. Farm size is found to have a negative association with non-farm income level among the higher income group, with 1.190 million IDR (89.35 USD) less for every hectare. It is plausible that a higher amount of labour and time is required to manage a larger farm or non-farm income is less required once farmers gain a competitive advantage in farming. Previous research indicates larger farm size is positively correlated with greater wealth, resulting in more risk-bearing capacity on the farm and lower necessity to divert resource toward non-farm diversification (Velandia, Rejesus et al. 2009). Moreover, some households among the higher income group depends on selling farmland to fund their children's education. Results within this income group indicate if the child lives in a household that sold farmland in the past 5 years, households tend to spend on average 4.231 million IDR (317.68 USD) more on education spending.

In terms of robustness testing, the estimation by GMM 3SLS estimator which allows for heteroskedasticity (Table 4) shows consistent results with the estimation by 3SLS estimator. However, the magnitude of the effects is slightly different. The non-farm income effect on education spending is found to have lower estimates compared to the estimates of 3SLS; 115.881 thousand IDR (8.7 USD) for every million IDR (75.08 USD). On the other

hand, the effect of citrus farming income on education spending is found to have higher estimates compared to the estimates of 3SLS; 45.562 thousand IDR (3.42 USD) for every million IDR (75.08 USD).

Table 3 Subsample results by income groups

	Lower incom	e group (N = 196)	Higher income group (N= 196)		
	Non-farm income (million IDR)	Education spending (thousand IDR)	Non-farm income (million IDR)	Education spending (thousand IDR)	
R-sq	0.2896	0.6889	0.3252	0.6509	
Household head risk preference	080 (.202)	-124.468 (116.288)	955 (.580)	-99.028 (167.001)	
(1 = extremely risk averse; 11 = extremely risk tolerant)					
Spouse risk preference	.420** (.195)	48.658(120.185)	1.417** (.661)	84.866 (189.969)	
$(\hat{1} = \text{extremely risk averse}; 11 = \text{extremely risk tolerant})$					
Non-farm income (million IDR)	-	-79.704 86.365)	-	86.853 (61.206)	
Citrus farming income (million IDR)	-	-67.74** (29.007)	-	29.794*** (9.934)	
Other agricultural income (million IDR)	-	-13.296 (44.417)	-	9.374 (7.482)	
Head HH education (years)	.442** (.179)	14.757 (113.462)	1.974 (.530)	-110.023 (183.039)	
Head HH age (years)	095 (.062)	-47.402 (34.671)	.260 (.224)	29.559 (60.809)	
Spouse education (years)	467** (.205)	123.144 (123.410)	.189 (.665)	-98.518 (163.833)	
Number of household member aged 0-5	080 (.937)	28.891 (556.402)	-1.798 (3.721)	-1600.817 (983.729)	
Number of household member aged 6-12	.064 (.817)	282.856 (539.674)	2.358 (2.683)	-465.096 (817.742)	
Number of household member aged 13-17	210 (1.069)	-1113.52* (630.837)	-3.542 (3.688)	-2074.42** (900.012)	
Number of household member aged 18-65	1.875** (.750)	-341.656 (421.979)	492 (2.243)	-266.586 (511.664)	
Number of household member aged 66 and over	-1.659 (1.173)	664.348 (654.957)	-5.698 (4.884)	1416.63 (1300.782)	
Sell farmland in the past 5 years (yes=1; no=0)	5.584* (2.890)	789.087 (1638.036)	4.231 (7.292)	4685.05*** (1635.09)	
Mobile ownership (quantity)	1.916*** (.676)	-	1.218 (1.744)	-	
Internet access (yes=1; no=0)	3.694*** (1.129)	-	-9.023 (5.022)	-	
Computer (quantity)	694 (.927)	-	5.478** (2.498)	-	
Motorcycle (quantity)	781 (.701)	-	3.669* (1.946)	-	
Car/truck (quantity)	-3.784*** (1.134)	-	-2.422 (2.419)	-	
Farm size (hectare)	-1.386* (.792)	-	-1.190** (.566)	-	
House value (million IDR)	003 (.002)	-	.004 (.004)	-	
Citrus farming experience (years)	.030 (.053)	-	.204 (.170)	-	
Citrus training participation in the past 5 years (quantity)	213 (.198)	-	319 (1.181)	-	
Citrus extension participation in the past 5 years (quantity)	.123 (.124)	-	102 (.207)	-	
Government assistance (million IDR)	5.246** (2.096)	-	5.682 (4.197)	-	
Credit/borrowing for citrus farming (yes=1; no=0)	-3.333*** (1.188)	-	-1.002 (3.584)	-	
Productive citrus trees (quantity)	.003 (.003)	-	001 (.004)	-	
Non- productive citrus trees (quantity)	.008*** (.003)	-	.003 (.011)	-	
Child gender (female=1; male=0)	-	-585.482 (540.938)	-	536.289 (945.499)	
Child working in citrus farming (yes=1; no=0)	-	-880.895 (1035.002)	-	-1698.91 (2101.05)	
Secondary education level (yes=1; no=0)	-	5128.32*** (669.187)	-	6142.90*** (1031.43)	
Tertiary education level (yes=1; no=0)	-	19367.8*** (1100.61)	-	20288.3*** (1418.34)	
Education aid (thousand IDR)	-	926*** (.309)	-	-1.544* (.869)	
Constant	652 (4.434)	5677.10** (2457.03)	-27.924* (14.587)	3225.303 (4218.66)	

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Table 4 Robustness check by GMM 3SLS (N=392)

	Non-farm income (million IDR)	Education spending (thousand IDR)
GMM weight matrix: Robust	, ,	, ,
Household head risk preference	762(.321)**	-93.804(92.251)
(1 = extremely risk averse; 11 = extremely risk tolerant)	· · ·	, ,
Spouse risk preference	.844(.399)**	78.680(153.966)
$(\hat{1} = \text{extremely risk averse}; 11 = \text{extremely risk tolerant})$		
Non-farm income (million IDR)	-	115.881(69.211)*
Citrus farming income (million IDR)	-	45.562(15.779)***
Other agricultural income (million IDR)	-	-3.556(9.927)
Head HH education (years)	1.387(.346)***	-196.385(135.902)
Head HH age (years)	.148(.103)	-51.055(32.377)
Spouse education (years)	279(.643)	97.645(120.747)
Number of household member aged 0-5	469(1.584)	-701.781(470.691)
Number of household member aged 6-12	2.927(3.120)	-850.197(542.764)
Number of household member aged 13-17	.220(4.998)	-1692.50(500.23)***
Number of household member aged 18-65	.784(1.206)	-218.738(304.005)
Number of household member aged 66 and over	-6.208(2.363)***	1158.988(581.779)**
Sell farmland in the past 5 years (yes=1; no=0)	9.303(4.980)*	2317.8(1615.72)
Mobile ownership (quantity)	1.115(2.180)	-
Internet access (yes=1; no=0)	-1.199(2.724)	-
Computer (quantity)	4.479(1.727)**	-
Motorcycle (quantity)	1.227(1.337)	-
Car/truck (quantity)	-2.380(1.792)	-
Farm size (hectare)	755(.294)**	-
House value (million IDR)	.005(.004)	-
Citrus farming experience (years)	.028(.084)	-
Citrus training participation in the past 5 years (quantity)	246(.538)	-
Citrus extension participation in the past 5 years (quantity)	.026(.329)	-
Government assistance (million IDR)	-8.550(36.639)	-
Credit/borrowing for citrus farming (yes=1; no=0)	-2.397(1.585)	-
Productive citrus trees (quantity)	003(.004)	-
Non- productive citrus trees (quantity)	.006(.003)*	-
Child gender (female=1; male=0)	-	286.145(507.893)
Child working in citrus farming (yes=1; no=0)	-	-953.738(1128.392)
Secondary education level (yes=1; no=0)	-	5684.353(561.529)***
Tertiary education level (yes=1; no=0)	-	20306.11(2046.799)***
Education aid (thousand IDR)	-	-3.047(2.127)
Constant	-17.992(14.568)	6124.052(2620.399)**

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

3.7 Conclusion

Our study provides an understanding of how risk preference could influence non-farm diversification and education spending among citrus farmers in Indonesia by analysing data from a recent household survey. It shows how risk preference of husbands and wives could lead to different risk-coping income diversification strategy. Results indicate wives' risk seeking characteristics leads to higher non-farm income level which is positively associated with child education spending. Citrus farming income also plays an important role in funding child education especially among households in the higher income group. Further policy attention should be directed toward giving more education aid to help farmers in the lower income group invest in their children's education.

Moreover, as wives' higher risk tolerance leads to more non-farm income and education spending, further policy attention should be directed toward women's empowerment such as promoting education and training not only to male farmers but also their wives, as past research suggests that more education is associated with higher risk tolerance (Shapiro and Brorsen 1988, Velandia, Rejesus et al. 2009). Equipping women with more education and training to earn more non-farm income or manage the farm could support them to become more independent and risk tolerant, potentially leading to higher non-farm income for households. Consequently, non-farm income would help provide cash income on a regular basis which increases education spending, promoting education investment among farm households.

The research is based on observational data from citrus farming households in East Java, Indonesia, and it still has limitations in terms of external validity in different settings. One of the limitations of this study is the use of cross-sectional data which limits the ability to infer causality. The use of survey which records information reported by households might suffer some bias, as the respondents might not have accurate information due to the lack of proper records. However, our empirical research provides useful policy implications regarding

horticultural farming and its influence on human capital investment, uncovering the mechanism of risk preference and non-farm diversification among high-value horticultural farmers. Further research may utilize better data once they become available to test the external validity of our findings.

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3.9 Appendices

A. Risk Preference Experiment Procedure

The elicitation of farmers' risk preference employed staircase procedure that contains a sequence of choices of hypothetical financial situation. The available choices in the experiment consist of safe and risky options. The selection of each alternative will determine the next question in the sequence. We emphasised to the respondents that this experiment was not a gambling activity, but a scientific method to measure farmers' risk preference. There was no real money used in the experiment. Respondents were instructed as follows:

Please have a look at the following situation: You can choose between option A and option B envelopes. Option A envelope contains a sure certain amount of hypothetical money as shown in the poster. However, option B consists of 2 envelopes which one of them is empty and another one contains a sure certain amount of hypothetical money as shown in the poster. You do not know which envelope in Option B has money. So, your possibility to receive money in option B is 50 per cent. Now, imagine you have to choose between option A and B. We will present you with a number of situations. The value of money in Option A is different in each situation, but Option B will be the same.

1. Please have a look poster at poster number 6 (see Figure 1). Which option would you choose: Option A which has an envelope with IDR 100,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 1. Poster for question number 6

- If respondent choose option A \rightarrow go to question number 3
- If respondent choose option B \rightarrow go to question number 9
- 2. Question number 3. Please have a look poster number 3 (see Figure 2). Which option would you choose: Option A which has an envelope with IDR 40,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 2. Poster for question number 3

- If respondent choose option A \rightarrow go to question number 2
- If respondent choose option B \rightarrow go to question number 4
- 3. Question number 9. Please have a look poster number 9 (see Figure 3). Which option would you choose: Option A which has an envelope with IDR 160,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 3. Poster for question number 9

- If respondent choose option A \rightarrow go to question number 8
- If respondent choose option B \rightarrow go to question number 10
- 4. Question number 2. Please have a look poster number 2 (see Figure 4). Which option would you choose: Option A which has an envelope with IDR 20,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 4. Poster for question number 2

- If respondent choose option A \rightarrow go to question number 1
- If respondent choose option B → STOP, put the respondent answers as STEP
- 5. Question number 4. Please have a look poster number 4 (see Figure 5). Which option would you choose: Option A which has an envelope with IDR 60,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 5. Poster for question number 4

- If respondent choose option A → STOP, put the respondent answers as STEP
 3
- If respondent choose option B, go to question number 5
- 6. Question number 8. Please have a look poster number 8 (see Figure 6). Which option would you choose: Option A which has an envelope with IDR 140,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 6. Poster for question number 8

- If respondent choose option A → go to question number 7
- If respondent choose option B → STOP, put the respondent answers as STEP
- 7. Question number 10. Please have a look poster number 10 (see Figure 7). Which option would you choose: Option A which has an envelope with IDR 180,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 7. Poster for question number 10

- If respondent choose option A → STOP, put the respondent answers as STEP
- If respondent choose option B \rightarrow to go to question number 11
- 8. Question number 1. Please have a look poster number 1 (see Figure 8). Which option would you choose: Option A which has an envelope with IDR 0.- as a sure

payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 8. Poster for question number 1

- If respondent choose option A → STOP, put the respondent answers as ALWAYS A
- If respondent choose option B → STOP, put the respondent answers as STEP 1
- 9. Question number 5. Please have a look poster number 5 (see Figure 9). Which option would you choose: Option A which has an envelope with IDR 80,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.

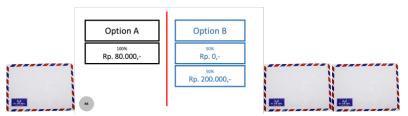


Figure 9. Poster for question number 5

- If respondent choose option A → STOP, put the respondent answers as STEP 4
- If respondent choose option B → STOP, put the respondent answers as STEP 5
- 10. Question number 7. Please have a look poster number 7 (see Figure 10). Which option would you choose: Option A which has an envelope with IDR 120,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 10. Poster for question number 7

- If respondent choose option A → STOP, put the respondent answers as STEP 6

- If respondent choose option B → STOP, put the respondent answers as STEP 7
- 11. Question number 11. Please have a look poster number 11 (see Figure 11). Which option would you choose: Option A which has an envelope with IDR 200,000.- as a sure payment, or option B which has a 50 per cent chance to win IDR 200,000.-, at the same time, there is a 50 per cent chance to receive nothing.



Figure 11. Poster for question number 11

- If respondent choose option A → STOP, put the respondent answers as STEP 10
- If respondent choose option B → STOP, put the respondent answers as ALWAYS B

The staircase procedure for risk preference is illustrated in Figure 1

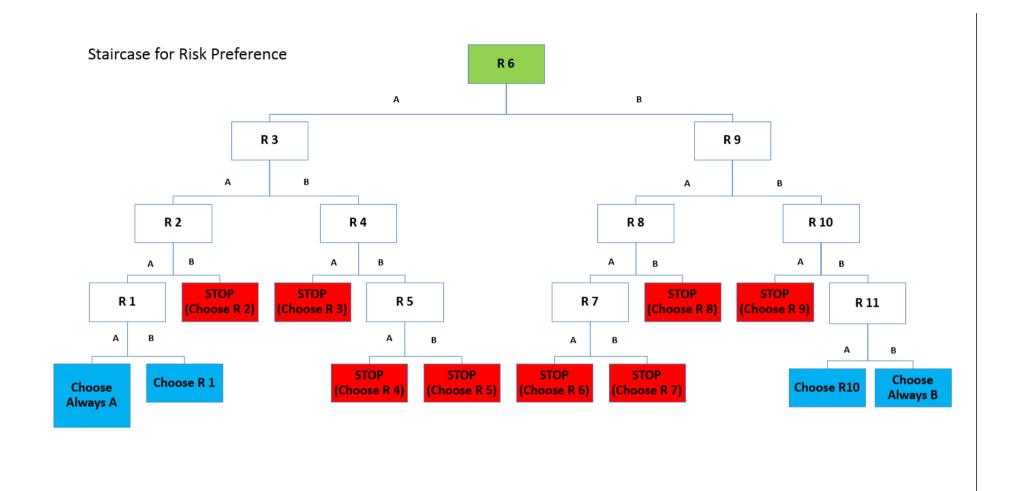


Figure 12. Staircase procedure for risk preference

Statement of Authorship

Title of Paper	Agricultural social networks and farm technical efficiency: a case study of citrus farmers in Indonesia			
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Principal Author

Name of Principal Author (Candidate)	Phassara Khamthara					
Contribution to the Paper	Formulated research plan and methodology, conducted data collection, data analysis and wrote manuscript					
Overall percentage (%)	70%					
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.					
Signature	Date 84/02/26					

Co-Author Contributions

By signing the Statement of Authorship, each author certifies that:

- the candidate's stated contribution to the publication is accurate (as detailed above);
- ii. permission is granted for the candidate in include the publication in the thesis; and
- iii. the sum of all co-author contributions is equal to 100% less the candidate's stated contribution.

Name of Co-Author	Dr. Di Zeng				
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Contribution to the Paper	Supervised the development of research project, evaluated and edited the manuscript
Signature	Date 24/02/2020

Chapter 4: Agricultural social networks and farm technical efficiency: A

case study of citrus farmers in Indonesia

4.1 Abstract

Social capital accumulation is an important mechanism to overcome production and marketing

constraints commonly faced by farmers. Previous research indicates social networks such as

agricultural cooperative and farmer organisation can not only stimulate agricultural

technologies adoption but also increase farm productivity. The current study examines the role

of agricultural social networks in farm productivity among small-scale farmers in rural

Indonesia. We hypothesise that agricultural social networks increase farm productivity through

better access to agricultural technologies, inputs and information. Empirical analysis employs

stochastic production frontier (SPF) to estimate technical efficiency in small-scale citrus

farming in rural Indonesia. The findings are consistent with previous research documenting

positive effects of cooperative membership and farmer group membership on technical

efficiency among smallholders. However, there is no effect from having direct access to

government authority for production-related information. These results can therefore assist

policy and program design to further promote agricultural social networks among rural

households that help achieve higher agricultural productivity and rural development outcomes.

Keywords: Agricultural social networks; Technical efficiency; Horticulture; Indonesia; Rural

development

83

4.2 Introduction

Agricultural productivity plays a significant role in improving rural livelihoods and reducing poverty. It is found that higher agricultural productivity increases income for agricultural rural households and creates the demand for goods and services produced by non-farm households (Mellor 1999), thereby increasing employment in non-farm sectors (Hanmer and Naschold 2003). It also lowers urban poverty by slowing down migration to urban areas (Mellor 1999). Moreover, increased farm income from higher productivity potentially contributes to better nutritional outcomes and higher investment in health and education (Timmer 1995). Increasing agricultural productivity is therefore at the centre of policy considerations that aim at rural welfare improvement (Irz, Lin et al. 2001).

While agricultural production usually faces constraints in developing countries, social capital accumulation through increased interactions between farmers and other agents in the agricultural supply chain is an important mechanism to overcome production and marketing constraints. Previous research indicates agricultural social networks in terms of agricultural cooperative and farmer organisation contributes to not only agricultural technologies adoption but also farm economic performance regarding farm productivity and income (Ito, Bao et al. 2012, Abebaw and Haile 2013, Ainembabazi, van Asten et al. 2017, Mojo, Fischer et al. 2017, Wossen, Abdoulaye et al. 2017, Ma, Renwick et al. 2018). Agricultural social network helps facilitate access to inputs and contribute to higher adoption of input-enhancing technologies such as chemicals and fertilisers (Abebaw and Haile 2013). It also promotes efficient use of production input to achieve higher yields (González-Flores, Bravo-Ureta et al. 2014).

The purpose of our study is to examine the role of agricultural social networks on agricultural productivity in rural Indonesia. We hypothesise that agricultural social networks potentially lead to higher farm productivity. Specifically, we focus on technical efficiency outcome which measures optimal use of farm input. The empirical analysis draws on a recent

household survey of small-scale citrus farmers in rural Indonesia. Agricultural social network is measured by several complementing indicators, including farmer group membership, cooperative membership, and having direct access to government officer to enquire about citrus information. Our findings are intended for improving policy and program design to further promote agricultural social networks among rural households to achieve higher agricultural productivity and rural development outcomes.

Citrus farmers in Indonesia are chosen to be the sample of our study, as citrus is a very important commodity for Indonesian agriculture. Currently, citrus is one of the prioritised strategic horticultural commodities identified by the government along with chilli, onion, and garlic. The Indonesian Ministry of Agriculture aims to promote domestic citrus production for export orientation and import substitution, as currently there is still a large gap between domestic supply and demand (Ministry of Agriculture of Indonesia 2015).

The remainder of this paper is organized as follows. The subsequent section discusses previous studies relevant to our research question. This is followed by presentation of the empirical strategy of the analysis. Subsequently, we describe the data and their observed patterns. Empirical results are then presented and discussed in the next section. We finally conclude the paper with policy implications.

4.3 Literature review

Existing research consistently documents how joining organisations and programs such as agricultural cooperatives and farmer organisations positively affects farm economic performance and productivity. A study evaluating the impacts of cooperative membership among rural households in Rwanda found that cooperative membership in general has a positive effect on farm performance by promoting the adoption of modern inputs, increasing intensification, higher commercialisation of farm produce and increased revenue (Verhofstadt

and Maertens 2014). Another research evaluates the impact of agricultural cooperative on smallholders' technical efficiency using data from Ethiopia (Abate, Francesconi et al. 2014). Results suggest cooperative membership increases technical efficiency among smallholders, potentially by facilitating access to productive inputs and extension services.

Positive effects of agricultural social networks are found among many types of crops such as staple, cash crop and horticultural crops. One study evaluates the determinants of technical efficiency among rice farmers in village reservoir irrigation systems in Sri Lanka (Gedara, Wilson et al. 2012). It is found that farmer organisation membership positively contributes to agricultural technical efficiency. A recent research investigating the impacts of farmer groups on farm yield and technical efficiency among rice farmers in northern Ghana found farmer group participation contributes to higher yield and technical efficiency, and the estimated impacts are larger when possible selection bias is accounted for (Abdul-Rahaman and Abdulai 2018).

In terms of cash crop, a study focusing on understanding the determinants of production efficiency among coffee farmers in Costa Rica suggests one of most important factors influencing farm-specific efficiency level is cooperative membership (Wollni and Brümmer 2012). The effects of agricultural social network on farm technical efficiency are also found among horticultural crops producers; recent research examining how agricultural cooperative membership affects technical efficiency of apple farmers in China indicates that the average technical efficiency is higher among cooperative members than non-members. The estimated impacts are larger when accounted for selection bias, suggesting the positive impact of cooperative membership on efficient usage of production inputs (Ma, Renwick et al. 2018).

Not only farm economic performance and productivity, research also demonstrates how agricultural social networks benefit farm income and enhance poverty reduction. A study looking at the impact of agricultural cooperatives on poverty reduction among rural households

in Rwanda suggests cooperative membership has a positive effect on income and reduce poverty, where the impacts are largest among larger farms and in remote areas (Verhofstadt and Maertens 2014). Another important study focusing on watermelon farmers in China also suggests the agricultural cooperative's effects on farm income are substantially large and heterogeneous, the greatest impacts are found among small-scale farms. On the other hand, government extension services only have a slight effect on farm income (Ito, Bao et al. 2012).

Agricultural social networks are also found to have positive impacts toward adoption of technologies and practices. A study evaluates the impacts of extension access and cooperative membership on technology adoption and household welfare (Wossen, Abdoulaye et al. 2017). Results show a positive impact of extension access and cooperative membership on technology adoption. Both also enhances asset ownership and welfare among rural household in Nigeria. The impacts are particularly larger among smallholders with access to formal credits. Another important study investigates social network effects on the adoption of sustainable natural resource management practices in Ethiopia (Wossen, Berger et al. 2013). It is found that extension provision is one of the crucial factors enhancing adoption of resource management practices.

While important research has been done to study the effects of agricultural social networks on household welfare and farm productivity in various cases, there is generally a lack of focus on horticultural farmers in Indonesia. With population over 250 million, Indonesia is one of the largest markets in the world; the country still needs to import fruits such as citrus from other countries as the domestic supply cannot meet the increasing domestic demand (Ministry of Agriculture of Indonesia 2015). Moreover, our study also uses multiple social network measures which is different from other previous research where generally one social network measure is included. The advantages of having multiple social network measures is that we can compare and contrast the available social networks in the sample and see the real

effects of each social network while controlling for other social network measures in the econometric model.

4.4 Methodology

In the present study, we define social capital investment as having agricultural social networks associated with citrus farming. Three social capital investment indicators are used, including farmer group membership, cooperative membership, and having direct access to government authority to enquire about citrus farming. We assume that farmers make binary decision on whether to invest in their social capital through gaining agricultural social networks by comparing the expected utility gain. Based on the utility maximization framework, we assume that a farmer will decide to join a farmer group, approach government authority to enquire about citrus farming, or join an agricultural cooperative if the utility obtained from having these social networks are larger than utility without them. The decision to gain these social networks can be partially explained by demographic and social characteristics. The sample selection model for the social capital investment decision above can be expressed as:

$$S_i^* = \gamma' Z_i + \varepsilon_i, S_i = \begin{cases} 1 & \text{if } U_i^S - U_i^N > 0 \\ 0 & \text{if } U_i^S - U_i^N \le 0 \end{cases}$$
 (1)

where S_i^* is a latent variable representing the propensity that a farmer (i) decides to gain agricultural social network; S_i is the observed outcome variable which is farmers' having ($S_i = 1$); or not having agricultural social networks ($S_i = 0$); U_i^S is the expected utility gain of a farmer having agricultural social networks and U_i^N represents the expected utility from not having the agricultural social networks; Z_i is a vector of demographic and social characteristics explaining the decision of farmers to gain social networks; γ' are parameters to be estimated and ε_i is an error term assumed to have zero mean and normal distribution.

The purpose of our study aims to measure the impact of having agricultural social networks on agricultural technical efficiency. We employed stochastic production frontier

(SPF) analysis which accounts for productivity shifts due to induced changes in technical efficiency. The stochastic production frontier is specified as:

$$Y_i = f(X_i) + v_i - u_i, u_i \ge 0 (2)$$

where Y_i is the yield output of a farmer (i); X_i is a vector of input variables; ε_i represents an error term, consisting of v_i which is a stochastic term capturing statistical noise that are separate from efficiency shocks and u_i which is a stochastic term that accounts for inefficiency in production. The effects of variables influencing output (apart from input variables) are captured in v_i and u_i .

Empirical estimation is facilitated by taking the natural logarithm of the equation (2) and specify the deterministic part of the model:

$$Y_{i} = \ln(L_{i}K_{i}) + v_{i} - u_{i}, u_{i} \ge 0$$
(3)

For the deterministic part of the production function $ln(L_iK_i)$, we evaluated both Cobb-Douglas and translog models which are the most common used parametric models. A likelihood ratio test led to the rejection of the Cobb-Douglas in favour of the translog functional form at 5% level of significance. The input variables include labour (L_i) which is the number of labour days per tree and capital (K_i) which includes fertilisers, chemical, irrigation and other input costs per tree. The output variable is citrus yield per tree (Y_i) . All the measures are per tree instead of controlling for land, because it is possible to measure tree crop production regarding the use of input and the amount of output per tree.

We specify half-normal distribution for the inefficiency term and estimate the normal-half-normal model. The translog production function (Christensen, Jorgenson et al. 1973) takes the following form in our models:

$$lnY_{i} = \beta_{0} + \beta_{1} ln(L_{i}) + \beta_{2} ln(K_{i}) + \beta_{3} ln(L_{i}L_{i}) + \beta_{4} ln(K_{i}K_{i}) + \beta_{5} ln(L_{i}K_{i}) + v_{i} - u_{i}, u_{i} \ge 0$$

$$(4)$$

The alternative to the translog specification is the Cobb-Douglas specification (Douglas and Cobb 1928) which takes the following form:

$$lnY_i = \beta_0 + \beta_1 ln(L_i) + \beta_2 ln(K_i) + v_i - u_i, u_i \ge 0$$
 (5)

The effects of factors other than input variables (L_i, K_i) on productivity are captured in u_i and v_i . Household and other observed characteristics as well as agricultural social network variables are included in the inefficiency variance equation (σ_u^2) , which is expected to affect productivity through efficiency change. We assume heteroscedasticity among error variances which is common among the existing studies (Reifschneider and Stevenson 1991, Hadri, Guermat et al. 2003, Xu, Amacher et al. 2017). The inefficiency variance equation (σ_u^2) can be expressed as:

$$\sigma_{\nu}^{2} = exp(\gamma_{1i}E_{\nu} + \gamma_{2i}S) \tag{6}$$

Where S represents agricultural social network dummies (farmer group membership, cooperative membership, and having direct access to government authority to enquire about citrus farming), E_u includes households and other observable characteristics; household head age, gender and years of education, household size, citrus farming experience, off-farm income, internet access, and a dummy variable indicating whether a farmer having other farmers/neighbours as the main source of citrus information or not. γ_{1i} and γ_{2i} are the parameters to be estimated.

Second, the stochastic variance equation (σ_v^2) is expected to affect productivity though unobserved random disturbances. The previous literature suggests a set of dummies that capture systematic differences across time and space (Hadri, Guermat et al. 2003). The stochastic variance equation (σ_v^2) can be expressed as:

$$\sigma_v^2 = \exp(\delta_i E_v) \tag{7}$$

Where E_v includes two district dummies (Banyuwangi and Jembre), and δ_i is the parameter to be estimated.

4.5 Data and descriptive statistics

Our study examines the relationship between agricultural social networks and technical efficiency using a sample of 408 small-scale citrus farmers in rural Indonesia from a recent survey conducted among 500 households of citrus producers in September 2017. The survey covers one of the main citrus growing areas in Indonesia, including Malang, Jembre, and Banyuwangi districts. Citrus farming households in this study refer to households which grow citrus for commercial purposes. The 500 citrus farming households were surveyed based on a multi-stage stratified sampling method. In the first stage, sub-districts were drawn from three strata which are the largest citrus production districts in East Java Province based on production volume in 2015. Banyuwangi, Jember and Malang districts exhibit differences in citrus farming methods, agro-ecosystems and infrastructure. Subsequently, we ranked all subdistricts from the three chosen districts based on production volume. We selected the subdistricts which accounts for more than 5% of district production volume. Two sub-districts were selected in Malang; nine sub-districts were selected in Banyuwangi, and four sub-districts were selected in Jember. In the second stage, we randomly drew 14 villages from each district. The enumerators conducted a census of citrus farming households in each village and 12 citrus farming households were randomly drawn from each village.

The final sample used in our study is only citrus farmers who have citrus yield in the past year. The remaining 92 citrus farming households have been excluded from our analysis as they have young citrus trees which still do not produce citrus fruits. Citrus is an appropriate choice for our study, as it involves long-term investment and is exposed to risks such as pest and disease. Intuitively, this will lead farmers to gain more knowledge and expertise potentially through joining agricultural social networks. We further analysed sub-sample by citrus plot area to understand the heterogeneity between "smallholders "(lower than the median) and

"large holders" (higher than the median). We divided farmers by the size of citrus plot area because it also accounts for the size of land which is an important asset in Indonesia.

Table 1 reports descriptive statistics of our sample including 408 farmers and our subsample which are divided by citrus plot areas. The average citrus plot area for large holders (1.77 ha) are approximately 5 times larger than the smallholders (.337 ha). The average yield in the past year of the smallholder group is approximately 32% higher than the large holder group. The majority of farmers in our sample (74.5%) have neighbours or other farmers as their main source of citrus information; higher proportion of farmers from smallholder group (78.4%) depends on neighbours/other farmers for information compared to farmers from large holder group (70.5%). Higher proportion of farmers with larger citrus plots are members of citrus farmer group, cooperative, and having direct access to government authority than the smallholder group. Among the three social capital investment indicators in our study, having direct access to government authority to enquire about citrus information is the most popular form of social capital investment, with 23.5% compared to 15.6% for farmer group and 6.1% for cooperative membership. Farmers in our sample have an average of 15-16 years of citrus farming experience, the number of years is similar among both groups. Household head from the large holder group has slightly higher years of education with 8 years compared to around 7 years for smallholder group. Both groups have similar level of non-farm income in the past year, which means there is no significant difference in non-farm income diversification. In terms of input use, farmers from smallholder group spend more on fertilisers and chemical per tree approximately 3% higher than the large holder group, smallholders also spend 26% more on irrigation cost per tree. Both groups primarily use family labour as the main source of labour, however smallholders spend approximately twice more days in the farm than the larger holder group, whereas large holder farmers hired additional labour to spend approximately twice more time on the farm than the smallholders' hired labour.

Table 1 Descriptive statistics (N=408)

	Mean (N= 408)	Smallholders (having citrus plot area lower than the median, N=204)	Large holders (having citrus plot area higher than the median, N = 204)
Citrus plot area (Hectares)	1.056 (2.214)	.337 (.149)	1.774 (2.962)
Yield per tree (kg)	17.100 (16.036)	19.483 (18.470)	14.716 (12.768)
Citrus Farmer group membership (yes=1; no=0)	.156 (.364)	.142 (.350)	.171 (.377)
Having direct access to government authority to enquire	.235 (.424)	.196 (.398)	.274 (.447)
about citrus (yes=1; no=0)	1200 (1.12.1)	1150 (1850)	, (,
Cooperative membership (yes=1; no=0)	.061 (.240)	.044 (.205)	.078 (.269)
Head HH age (years)	53.144 (10.837)	52.740 (10.656)	53.549 (11.026)
Head HH education (years)	7.696 (4.074)	7.230 (3.774)	8.161 (4.313)
Head HH gender (male =1; female =0)	.980(.138)	.980(.138)	.980(.138)
HHsize (person)	3.833 (1.379)	3.735 (1.270)	3.931 (1.477)
Non-farm income (million IDR)	7.601 (16.169)	7.574 (14.927)	7.628 (17.360)
Citrus farming experience (years)	16.041 (9.468)	15.916 (9.177)	16.166 (9.771)
Having other farmers/neighbours as the main source of	.745 (.436)	.784(.412)	.705(.456)
citrus technology information (yes=1; no=0)	, ,	, ,	, ,
Internet access (yes=1; no=0)	.671 (.470)	.632 (.483)	.710 (.454)
Having formal citrus credit (yes=1; no=0)	.289(.453)	.220(.415)	.357(.480)
Having extension services in the past 5 years	.223(.416)	.205(.405)	.240(.428)
(yes=1; no=0)		, ,	
Tractor (quantity)	.029(.183)	.019(.138)	.039(.218)
Computer (quantity)	.294(.583)	.156(.415)	431(.687)
Fertilisers and chemicals per tree (thousand IDR)	36.447 (27.247)	37.014 (25.739)	35.880 (28.729)
Other input per tree (thousand IDR)	4.405 (6.783)	4.363 (6.679)	4.447 (6.902)
Irrigation per tree (thousand IDR)	.541 (.824)	.604 (.953)	.479 (.666)
Family labour per tree (labour days)	1.159 (1.384)	1.636 (1.661)	.681 (.789)
Hired labour per tree (labour days)	.086 (.277)	.046 (.094)	.127 (.377)
Banyuwangi	.372 (.484)	.431 (.496)	.313 (.465)
Jember	.370 (.483)	.323 (.468)	.416 (.494)

Standard deviations are in parentheses for means

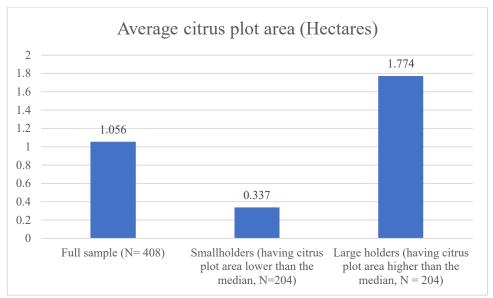


Figure 1 Average citrus plot area (Hectares)

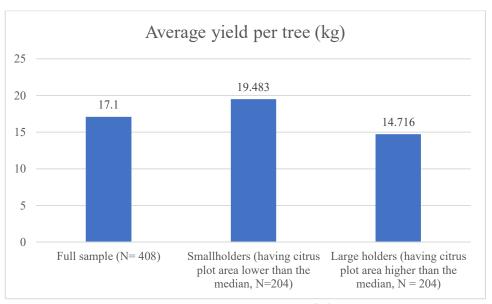


Figure 2 Average yield per tree (kg)

4.6 Results and discussion

Table 2 presents the probit model estimates of factors influencing social capital investment outcomes among the full sample (N=408). Additional year of household head education is associated with approximately 0.5% higher probability of joining cooperative membership and 2.1% higher probability of having direct access to government authority. However, household head education has no statistical relationship with farmer group participation, suggesting farmer group does not exclusively appeal to farmers with higher education. This means there is no educational barrier preventing farmers with low education from accessing farmer group membership.

Furthermore, citrus plot size has no statistically significant relationship with the three social capital investment outcomes. On the other hand, having formal credit for citrus farming is associated with about 7.3% higher probability of being a member of farmer group, as also found in existing research (Abdul-Rahaman and Abdulai 2018). Extension service participation is associated with 40.6% higher probability of being in a farmer group and 12.6% higher probability of having direct access to government authority but not cooperative membership. It is plausible that farmers obtain information about farmer group and government authority through participating in extension services. Citrus farming experience is associated with 0.2% higher probability of joining cooperative membership but not with farmer group membership and having direct access to government authority. The results suggest farmers with more experience is likely agricultural cooperative members than those of other social networks. Having other farmers/neighbours as the main source of citrus information is associated with 9.4% lower probability of gaining access to government authority for citrus information. It is plausible that farmers lacking access to government authority tend to rely on neighbours or other farmers for information.

Table 2 Probit model estimates of factors influencing social capital investment (N=408)

	Farmer group membership (N= 408)	Marginal effects	Cooperative membership (N=408)	Marginal effects	Direct access to gov authority (N=408)	Marginal effects
Head HH age (years)	.015(.010)	.002(.001)	.015(.012)	.001(.000)	.009(.008)	.002(.002)
Head HH education (years)	.019(.028)	.003(.004)	.088(.035)**	.005(.002)**	.073(.022)***	.021(.006)***
Citrus plot area (hectares)	139(.125)	021(.018)	141(.128)	009(.008)	.006(.032)	.001(.009)
Off-farm income (million IDR)	003(.006)	000(.000)	.010(.005)*	.000(.000)	.000(.004)	.000(.001)
Computer (quantity)	.137(.191)	.021(.029)	.372(.185)**	.024(.012)*	.047(.133)	.013(.039)
Tractor (quantity)	133(.465)	020(.072)	243(.541)	015(.035)	118(.383)	034(.112)
Internet access (yes=1; no=0)	.130(.232)	.019(.034)	066(.312)	004(.021)	.248(.178)	.070(.048)
Having formal citrus credit (yes=1; no=0)	.417(.206)**	.073(.040)*	.269(.261)	.019(.021)	.059(.16)	.017(.048)
Having extension services in the past 5 years	1.599(.193)***	.406(.059)***	.097(.266)	.006(.019)	.399(.168)**	.126(.056)**
(yes=1; no=0)						
Citrus farming experience (years)	.015(.011)	.002(.001)	.040(.014)***	.002(.000)***	011(.008)	003(.002)
Having other farmers/neighbours as the main	385(.206)*	068(.041)	164(.266)	011 (.020)	305(.167)*	094(.054)*
source of citrus technology information (yes=1;						
no=0)						
Banyuwangi	696(.253)***	097(.033)***	126(.322)	007(.019)	.196(.199)	.059(.060)
Jember	799(.258)***	110(.034)***	325(.325)	019(.018)	.052(.204)	.015(.060)
Constant	-2.195(.715)***		-3.870(.923)***		-1.795(.541)***	

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Table 3 reports the stochastic production frontier estimates of the whole sample using translog specification (N = 408). Models (1) and (4) indicate no statistically significant relationship between farmer group participation and technical efficiency among the full sample. Neither do Models (2) and (4) suggest a relationship between cooperative membership and technical efficiency. It implies the impact of farmer group and cooperative membership does not apply to the full sample. However, we found that having direct access to government authority is associated with higher inefficiency variance in model (3), and in model (4) where all measures are included. The results suggest a negative relationship between having direct access to government authority to enquire about citrus farming and technical efficiency. It is plausible that farmers with lower technical efficiency tend to enquire about citrus farming from government authority. We subsequently found from the sub-sample analysis in Table 4 that the effects come from the large holder farmer group (having citrus plot area higher than the median).

Among linear input variables, results show no statistically significant effect on technical efficiency. However, squared capital and squared labour coefficient are positively correlated with technical efficiency suggesting increasing returns to scale. Among the covariates, household head education displays a consistent positive relationship with technical efficiency. Moreover, a number of years involved in citrus farming is also associated with lower inefficiency variance across four models, suggesting citrus farming experience contributes to efficient usage of production inputs. Higher non-farm income level shows no effects on inefficiency variance term, indicating non-farm income doesn't negatively affect farm technical efficiency. In terms of information source, having internet access is not associated with lower inefficiency variance. Having other neighbours or farmers as the main source of citrus information also does not affect technical efficiency. The mean technical efficiency among the full sample is between 0.472 - 0.484.

Table 3 Stochastic production frontier estimation (Translog specification, N=408)

Citrus yield per tree (ln)	Farmer group	Cooperative membership	Direct access to gov	All
	membership		authority	4.0
	(1)	(2)	(3)	(4)
In(L)	.780(1.50)	.859(1.500)	.799(1.493)	.672(1.497)
ln(K)	329(.242)	348(.241)	321(.231)	293(.232)
$ln(L) \times ln(L)$.297(.141)**	.295(.141)**	.280(.140)**	.280(.141)**
$ln(K) \times ln(K)$.043(.016)***	.044(.016)***	.043(.015)***	.040(.015)***
$ln(L) \times ln(K)$	109(.151)	116(.151)	107(.150)	095(.150)
Constant	2.280(.960)**	2.313(.960)**	2.157(.923)**	2.106(.921)**
Inefficiency variance equation: $ln(\sigma_u^2)$				
Citrus Farmer group membership (yes=1; no=0)	174(.250)			302(.274)
Cooperative membership (yes=1; no=0)		.024(.356)		.001(.385)
Having direct access to government authority to enquire about			.542(.212)**	.582(.217)***
citrus (yes=1; no=0)				
Head HH age (years)	.004(.009)	.004(.009)	.004(.009)	.004(.009)
Head HH education (years)	046(.024)*	047(.024)*	064(.025)**	063(.025)**
Head HH gender (male =1; female =0)	.576(.620)	.578(.619)	.449(.631)	.437(.632)
HHsize (person)	024(.069)	032(.068)	019(.070)	005(.072)
Citrus farming experience (years)	017(.009)*	017(.009)**	018(.009)*	017(.009)*
Non-farm income (million IDR)	002(.005)	001(.005)	001(.005)	002(.005)
Internet access (yes=1; no=0)	.267(.214)	.264(.213)	.212(.220)	.216(.222)
Having other farmers/neighbours as the main source of citrus	133(.199)	106(.195)	051(.204)	094(.209)
technology information (yes=1; no=0)	, ,	,	,	,
Constant	.224(.833)	.268(.833)	.269(.854)	.222(.861
Stochastic variance equation: $ln(\sigma_v^2)$ base = Malang				
Banyuwangi	-1.081(.523)**	-1.068(.530)**	-1.186(.465)**	-1.202(.460)***
Jember	-1.176(.510)**	-1.161(.516)**	-1.288(.464)***	-1.304(.459)***
Constant	734(.488)	748(.498)	584(.427)	568(.421)
Mean Technical Efficiency (std.)	.473(.214)	.472(.214)	.483(.210)	.484(.210)

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Table 4 presents the stochastic production frontier estimates of the sub-sample. As we further divided farmers into two groups by the size of citrus plot area, we found heterogeneity impacts among two groups. Results for smallholder farmers indicate farmer group and cooperative membership are associated with higher technical efficiency. Models (1) and (4) suggest farmer group participation is associated with higher technical efficiency among smallholder farmers group but not in the large holder farmers group. Similarly, models (2) and (4) indicate that cooperative membership has a positive relationship with technical efficiency among smallholder farmer group. We found that the magnitude of cooperative membership effects is larger than of farmer group membership. The results suggest the impacts of farmer group and cooperative membership are found primarily among smallholder citrus farmers in our sample.

Among linear input variables, we found a positive relationship between labour and technical efficiency among smallholder farmers, however only with marginal significance in models (2) and (4). Squared labour shows no statistically significant relationship with technical efficiency among both groups. Capital is found to be associated with lower technical efficiency among smallholder farmers, suggesting overuse of input such as chemicals and fertilisers or potentially higher costs of input among smallholder citrus producers.

On the other hand, labour has no significant statistical relationship with technical efficiency among larger farms and capital is associated with higher technical efficiency across the four models. However, squared capital displays a statistically significant negative relationship with technical efficiency suggesting decreasing returns to scale. For smallholder farmers, labour is an important factor for farm productivity because small farms generally use family labour unlike larger farms where hired labour are used. The yield would depend on the amount of time family labour spent in the farm which might be inconsistent. On the other hand, capital is shown to be associated with higher technical efficiency for larger farms. It is plausible that large farms

usually have sufficient amount of hired labour and the yield would depend on how much input such as chemical and fertilisers are used, and larger farms might also benefit from the economy of scale.

Among farmers with larger citrus plots, the only social capital measure which has a statistically significant coefficient is having direct access to government authority to enquire about citrus farming. It is found to be negatively correlated with technical efficiency in models (3) and (4). It is unlikely that having direct access to government authority causes lower farm productivity. However, it is plausible that large holder citrus producers who have problems affecting farm productivity such as pests and diseases tend to seek support from government authority, which the results suggest the negative association with technical efficiency. Among the covariates, household head education and citrus farming experience are found to help with technical efficiency only among smallholder farmers across four models. The absence of farmer characteristics effects on efficiency among large holder farmers could result from the dominant effects of economy of scale that large farms have. Other covariates show no statistically significant relationship with technical efficiency in both groups. The mean technical efficiency among smallholder farmers is between 0.460 and 0.483. Citrus farmers in the larger holders show higher technical efficiency with the mean ranging between 0.483 and 0.500.

Overall, the estimates produced from our stochastic production frontier estimation are in alignment with the previous research documenting the positive effects of cooperative membership and farmer group membership on technical efficiency, particularly among smallholders. We found no statistically significant positive effects from having direct access to government authority on improving production efficiency.

For robustness testing, we further report stochastic production frontier estimation using Cobb-Douglas functional form (Table 5 and 6). The estimation produces similar results to the

translog functional form regarding farmer group membership, as it is found to be positively associated with lower inefficiency variance among smallholder farmers subsample. Results also consistently indicate the negative relationship between direct access to government authority and technical efficiency. However, the effects of cooperative membership and technical efficiency becomes statistically insignificant in Cobb-Douglas model. As the likelihood ratio test suggests the translog specification is more appropriate than the Cobb-Douglas at 5% significance, therefore, we decided to present the main results with the translog functional form.

Table 4 Subsample results by citrus plot area (Translog specification, N=408)

	Smallholder far		ing citrus plot area; N=204)	a lower than the	Large holder farmers group (having citrus plot area higher than the median; N= 204)			
Citrus yield per tree (ln)	Farmer group	Cooperative	Direct access	All	Farmer group	Cooperative	Direct access	All
	membership	membership	to gov		membership	membership	to gov	
	(1)	(2)	authority (3)	(4)	(1)	(2)	authority (3)	(4)
In(L)	2.739(1.910)	3.616(1.902)*	2.954(1.93)	3.321(1.886)*	.964(3.064)	.867(2.998)	1.190(3.001)	1.072(3.023)
ln(K)	751(.307)**	910(.303)***	768(.311)**	839(.306)***	5.089(1.92)***	5.054(1.91)***	4.582(2.00)**	4.611(2.001)**
$ln(L) \times ln(L)$.261(.185)	.302(.186)	.271(.188)	.276(.183)	125(.299)	139(.297)	131(.302)	134(.304)
$ln(K) \times ln(K)$.072(.023)***	.085(.023)***	.074(.023)***	.079(.023)***	220(.094)**	218(.093)**	195(.097)**	196(.097)**
$ln(L) \times ln(K)$	276(.196)	366(.198)*	298(.199)	332(.196)*	114(.289)	102(.283)	132(.284)	120(.286)
Constant	3.400(.852)***	3.679(.816)***	3.399(.852)***	3.527(.837)***	-25.47(9.85)**	-25.30(9.78)**	-22.99(10.2)**	-23.11(10.2)**
Inefficiency variance equation: $ln(\sigma_n^2)$								
Citrus Farmer group membership (yes=1; no=0)	869(.363)**			777(.369)**	.033(.350)			083(.377)
Cooperative membership (yes=1; no=0)		-1.982(.898)**		-1.761(.892)**		.280(.450)		.154(.487)
Having direct access to government authority to enquire about citrus			.240(.277)	.295(.286)			.548(.316)*	.535(.322)*
(yes=1; no=0) Head HH age (years)	.000(.012)	001(.012)	001(.012)	000(.012)	.001(.012)	.000(.013)	.002(.013)	.001(.013)
Head HH education (years)	087(.037)**	085(.037)**	098(.037)***	083(.037)**	009(.034)	010(.034)	027(.036)	027(.036)
Head HH gender (male =1; female =0)	1.390(.971)	1.506(.997)	1.365(.965)	1.378(.988)	.001(.837)	003(.836)	214(.864)	209(.863)
HH (size (person)	096(`.097)	114(.096)	099(.096)	092(.098)	.053(.100)	.056(.098)	.065(.102)	.071(.104)
Citrus farming experience (years)	033(.012)***	029(.012)**	031(.012)**	030(.012)**	009(.013)	011(.013)	008(.014)	009(.014)
Non-farm income (million IDR)	009(.008)	006(.009)	009(.008)	007(.009)	004(.007)	005(.008)	005(.008)	005(.008)
Internet access (yes=1; no=0) Having other farmers/neighbours as the	.433(.273)	.438(.272)	.385(.272)	.410(.278)	024(.329)	026(.328)	.025(.347)	.025(.346)
main source of citrus technology information (yes=1; no=0)	360(.302)	205(.285)	133(.279)	428(.305)	.135(.289)	.127(.284)	.266(.323)	.250(.324)
Constant	.723(1.238)	.481(1.262)	.636(1.227)	.695(1.250)	.184(1.126)	.245(1.130)	.045(1.173)	.079(1.178)
Stochastic variance equation: $ln(\sigma_v^2)$ base = Malang								
Banyuwangi	051(.773)	.123(.711)	055(.782)	.035(.727)	-1.550(.684)**	-1.527(.674)**	-1.656(.58)***	-1.637(.59)***
Jember	638(.853)	485(.817)	675(.897)	564(.811)	-1.490(.651)**	-1.462(.647)**	-1.654(.56)***	-1.626(.57)***
Constant	-1.94(.727)***	-2.103(.64)***	-1.969(.73)***	-2.026(.66)***	478(.584)	494(.568)	262(.469)	289(.490)
Mean Technical Efficiency (std.)	.466(.243)	.468(.247)	.460(.243)	.472(.247)	.483(.209)	.484(.209)	.500(.201)	.499(.202)

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively

Table 5 Stochastic production frontier estimation (Cobb Douglas specification, N=408)

Citrus yield per tree (ln)	Farmer group	Cooperative membership	Direct access to gov	All
	membership		authority	
	(1)	(2)	(3)	(4)
In(L)	.190(.088)**	.186(.088)**	.193(.087)**	.199(.087)**
ln(K)	.359 (.052)***	.365(.053)**	.372(.053)***	.366(.053)***
Constant	411(.552)	466(.554)	569(.556)	510(.555)
Inefficiency variance equation: $ln(\sigma_u^2)$				
Citrus Farmer group membership (yes=1; no=0)	280(.271)			435(.299)
Cooperative membership (yes=1; no=0)		.053(.376)		.082(.405)
Having direct access to government authority to enquire about			.597(.222)***	.645(.229)***
citrus (yes=1; no=0)				
Head HH age (years)	.007(.009)	.006(.009)	.006(.009)	.007(.010)
Head HH education (years)	043(.025)*	045(.026)*	064(.027)**	063(.027)**
Head HH gender (male =1; female =0)	.556(.630)	.555(.628)	.414(.641)	.400(.645)
HHsize (person)	027(.073)	037(.072)	018(.074)	003(.075)
Citrus farming experience (years)	018(.009)*	019(.009)*	018(.009)*	018(.010)*
Non-farm income (million IDR)	003(.006)	003(.006)	003(.006)	004(.006)
Internet access (yes=1; no=0)	.176(.221)	.169(.220)	.109(.226)	.118(.228)
Having other farmers/neighbours as the main source of citrus	091(.213)	050(.208)	.008(.216)	046(.222)
technology information (yes=1; no=0) Constant	.040(.875)	.092(.874)	.074(.896)	.040(.905)
Stochastic variance equation: $ln(\sigma_v^2)$ base = Malang				
Banyuwangi	-1.566(.376)***	-1.573(.376)***	-1.597(.357)***	-1.598(.355)***
Jember	-1.484(.397)***	-1.488(.397)***	-1.546(.383)***	-1.544(.381)***
Constant	205(.286)	201(.287)	131(.267)	127(.265)
Mean Technical Efficiency (std.)	.488(.200)	.487(.200)	.498(.198)	.501(.198)

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

Table 6 Stochastic production frontier estimation (Cobb Douglas specification, N=408)

	Smallholder fari	ng citrus plot area N=204)	a lower than the	Large holder farmers group (having citrus plot area higher than the median; N= 204)				
Citrus yield per tree (ln)	Farmer group	Cooperative	Direct access	All	Farmer group	Cooperative	Direct access	All
	membership	membership	to gov		membership	membership	to gov	
	(1)	(2)	authority (3)	(4)	(1)	(2)	authority (3)	(4)
In(L)	.375(.115)***	.395(.118)***	.386(.120)***	.391(.115)***	386(.158)**	388 (.157)**	350(.160)**	350(.161)**
ln(K)	.299(.067)***	.284(.062)***	.284(.065)***	.298(.065)***	.496(.095)***	.497(.093)***	.502(.091)***	.504(.093)***
Constant	.087(.719)	.281(.664)	.292(.697)	.083(.704)	-1.575(1.005)	-1.589(.988)	-1.701(.971)*	-1.714(.993)*
Inefficiency variance equation: $ln(\sigma_u^2)$								
Citrus Farmer group membership	-1.350(.670)**			-1.275(.637)**	.119(.346)			030(.374)
(yes=1; no=0)								
Cooperative membership		-1.664(1.073)		-1.397(1.115)		.305(.446)		.133(.483)
(yes=1; no=0)								
Having direct access to government			.409(.307)	.505(.333)			.647(.314)**	.634(.320)**
authority to enquire about citrus								
(yes=1; no=0)								
Head HH age (years)	001(.015)	003(.013	004(.013)	002(.014)	.001(.012)	.000(.013)	.003(.013)	.002(.013)
Head HH education (years)	100(.047)**	096(.043)**	112(.044)**	106(.048)**	011(.034)	012(.034)	031(.036)	032(.036)
Head HH gender (male =1; female =0)	1.121(1.041)	1.213(.972)	1.067(.967)	1.003(1.043)	050(.841)	053(.841)	286(.869)	284(.868)
HH size (person)	134(.111)	139(.104)	112(.104)	115(.111)	.034(.100)	.043(.098)	.054(.102)	.056(.105)
Citrus farming experience (years)	023(.015)	023(.014)	023(.015)	018(.016)	007(.012)	009(.013)	007(.013)	008(.013)
Non-farm income (million IDR)	009(.010)	004(.010)	008(.009)	006(.010)	002(.007)	003(.008)	003(.008)	003(.008)
Internet access (yes=1; no=0)	.316(.296)	.297(.279)	.206(.288)	.224(.305)	.081(.327)	.079(.326)	.139(.346)	.137(.346)
Having other farmers/neighbours as the	338(.355)	156(.312)	132(.306)	395(.354)	.035(.276)	.026(.273)	.177(.308)	.170(.310)
main source of citrus technology								
information (yes=1; no=0)								
Constant	1.008(1.413)	.869(1.303)	132(.306)	1.069(1.411)	.273(1.139)	.337(1.144)	.099(1.193)	.138(1.201)
Stochastic variance equation: $\ln(\sigma_v^2)$								
base = Malang								
Banyuwangi	-1.505(.56)***	-1.222(.641)*	-1.338(.668)**	-1.507(.57)***	-1.481(.680)**	-1.461(.678)**	-1.58(.577)***	-1.57(.579)***
Jember	-1.529(.654)**	-1.365(.739)*	-1.438(.782)*	-1.572(.668)**	-1.423(.638)**	-1.398(.639)**	-1.60(.554)***	-1.59(.559)***
Constant	373(.538)	708(.583)	614 (.621)	385(.538)	491(.551)	510(.548)	271(.443)	279(.450)
Mean Technical Efficiency (std.)	.504 (.218)	.484(.226)	.481(.222)	.509(.222)	.477(.210)	.477(.210)	.496(.202)	.496(.202)

Standard errors are in parentheses. *, ** and *** indicate statistical significance at 10%, 5% and 1% levels, respectively.

4.7 Conclusion

The findings of this study suggest a positive relationship of farmer group and cooperative membership on technical efficiency among smallholder citrus producers. The findings of our research align with the previous studies suggesting the contribution of farmer group and cooperative membership toward farm productivity. It shows how investing in agricultural social networks leverage efficient usage of production inputs among farmers with smaller farms who do not have the benefit of economy of scale. Social networks in the rural areas are found to be a source of support when farmers face with agricultural uncertainty. Particularly among citrus farmers with horticultural crop which requires long term investment of resources, agricultural social networks would be a vital platform for farmers to exchange knowledge and discuss solutions to increase productivity.

In terms of policy implications, the results of our research suggest promoting farmer group and agricultural cooperative membership especially among smallholder farmers. Even though our stochastic production frontier estimation indicates farmer group membership has lower magnitude on technical efficiency than cooperative membership among smallholders, farmer group participation still remains an important platform for farmers regardless of their education levels as suggested by our probit estimation. On the other hand, cooperative membership tends to be the choice for farmers with higher education and more years of citrus farming experience. Therefore, farmer group membership is a better tool to help increasing farm productivity among smallholder citrus farmers, as it will benefit farmers who have lower education and less citrus farming experience.

The limitation of our study is the ability to infer causality, as the results are observed from cross-sectional data. The use of survey which gathers information reported by households might suffer some bias, as the respondents might not have accurate information due to the lack of proper records. It also has limitations in terms of external validity, as we draw conclusions

exclusively from a sample of citrus farmer in East Java, Indonesia. Moreover, our analysis might be affected by selection bias. Our results should be rigorously treated as suggestive.

4.8 References

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Chapter 5: Conclusions and Policy Implications

Relative to traditional staple crops, horticultural farming not only benefits farm economic performance due to its higher return to land but also improve households' socioeconomic welfare. The findings from our first analytical suggest households having horticultural crops as their main crops are found to be better off in terms of farm income and they tend to invest more in education spending than households having staple crops as the main crops. However, as the study further examined in the second analytical chapter, it was found that increased education investments among horticultural households were not only through increased income from horticultural crops. The increased education spending is also potentially from the increased non-farm income, which is stimulated by farmers using off-farm diversification as a risk management strategy to mitigate risks from horticultural farming. As farmers usually rely on income diversification toward non-farm source to help mitigate their risks, non-farm income also benefits farm households as it increases cash and liquidity which helps in everyday expenses such as child education. The findings of our study suggest that higher non-farm income is associated with higher education spending. This aligns with the previous studies documenting the benefit of non-farm income activities, as additional income outside the farm is found to improve households' socioeconomic welfare, such as food security, and helps smoothing income constraints from farming (Babatunde & Qaim, 2010; De Janvry & Sadoulet, 2001; Hoang, Pham, & Ulubaşoğlu, 2014).

In addition to risk-mitigation strategy by farmers such as income diversification toward non-farm source, government could help farmers manage risks associated with horticultural crops and increase farm economic performance by supporting farmers through agricultural social networks. The third analytical chapter demonstrates findings which are consistent with the previous studies that cooperative and farmer group membership contribute to higher technical efficiency among smallholders (Abate, Francesconi, & Getnet, 2014; Abdul-

Rahaman & Abdulai, 2018; Ma, Renwick, Yuan, & Ratna, 2018). These agricultural social networks are found to help farmers in adoption of horticultural crops and removing constraints from market access and information asymmetry. As horticultural farmers face increased risks from price fluctuation and higher demand for intensive use of input, capital and labour, agricultural social networks are vital platforms for farmers to access support from government and learn from other farmers to achieve higher farm productivity. Increased farm productivity often leads to higher income for agricultural households (Mellor, 1999), which potentially induces better nutritional outcomes and higher investment in health and education (Timmer, 1995). Accordingly, higher education investments among agricultural households could be achieved through promoting horticultural crop adoption, supporting households to diversify their income toward non-farm source, and encouraging participation in agricultural social networks to increase agricultural productivity.

Specifically, the first analytical chapter consistently indicates a positive association between households growing horticultural crops as the main crops and child education spending especially for boys and primary school children. However, our study found consistent negative relationship between having staple crops as the main crops and education spending as well as time spent in school especially for junior high school students. It is plausible that households with staple crops as the main crops usually have lower income than horticultural households. Even though horticultural crops are more labour intensive than staple crops, it does not induce more farm work for children. It is plausible that households with horticultural crops as their main crops tend to rely on hired labour to maintain their crops more than family labour and there is no substitutional effect between child education and farm work participation. However, results suggest households with staple crops as their main crops are still lagged behind in terms of education investments. At present, Indonesia is trying to achieve self-sufficiency for rice production by reducing imports from other countries and promoting the use

of land for rice production. As households with rice production as their main activity might not have the same level of child education investments compared to households with horticultural crops as their main crops, more policy attention should be directed toward households with traditional staple crop farming to promote child education investments and help reduce barriers toward horticultural crop adoption. Furthermore, our study indicates the magnitude of education spending is much larger on boys among horticultural households. It is plausible that in our sample, boys are more favourable in terms of education investments. Accordingly, further policy attention should be directed toward encouraging girls' education investments to improve gender equity.

Our second analytical chapter further suggests that apart from increased farm income among horticultural households, higher non-farm income is also associated with more education spending for children. As we incorporated both husband and wife risk preference to understand its effects on non-farm income, our results suggest that wives' lower risk aversion is associated with higher non-farm income level. However, for husbands, lower risk aversion is associated with lower non-farm income level and this is consistent with the previous findings that risk aversion is found to have a positive relationship with off-farm employment (van Winsen et al., 2016). Moreover, non-farm income is found to have a larger magnitude of positive association with education spending than income from citrus farming and other crops. Our empirical results suggest that risks associated with horticultural farming could potentially influence education spending through increasing income diversification toward non-farm source. This implies that horticultural households with higher non-farm income level would spend more on education as they potentially have more liquidity and constant cash flow. As our study suggests wives' risk seeking characteristics leads to higher non-farm income level which is positively associated with child education spending, further policy attention should be directed toward women's empowerment such as promoting education and training not only

to male farmers but also their wives. Results from our analysis show that citrus farming income is also associated with more child education spending among households in the higher income group. However, it was found that citrus farmers in the lower income group tend to spend less on child education when they receive higher income from citrus production. Accordingly, further policy attention should be directed toward encouraging child education investments or providing education aid among citrus farmers with lower farm income.

The findings from the third analytical chapter suggest important pathways to help horticultural farmers increase their farm yield and productivity through promoting social capital investment through agricultural social networks. Our study adds to the existing literature that it analyses multiple agricultural social networks among high-value perennial horticultural farmers. The research specifically provides a case study of small-scale citrus producers in rural Indonesia. The findings suggest farmer groups should be prioritised as it appeals to farmers regardless their education and citrus farming experience. Farmer group participation is shown to have no barrier of entry for farmers with low education and less citrus farming experience. On the other hand, cooperative membership tends to appeal to farmers with more education and citrus farming experience. Moreover, the study found no positive relationship between having direct access to government authority for production-related information and farm productivity. Moreover, farmers who lack access to government authority tend to rely on neighbours or other farmers as the main source of information which is not found to help increase production efficiency. Accordingly, the most effective pathway for the government to enhance farm productivity and provide citrus farmers with information and support is through cooperative and farmer groups. These social networks could potentially help reduce market barriers and increase production efficiency particularly among smallholders who do not have the benefit of economies of scale.

Overall, our study suggests human and social capital investment among horticultural farmers are increasing and bringing better welfare outcomes for households. Using horticultural crop adoption as a rural development strategy is a vital pathway to improve human capital and social capital accumulation among rural households. Horticultural crops often generate higher income than traditional staple crops which also benefits education spending through various pathways. Moreover, horticultural farming also constantly needs training and information to improve yield and productivity, therefore, it also encourages farmers to seek participation in agricultural social networks, which enhances farmers' position in the agricultural value chains and increases farm productivity.

The first analytical chapter of our thesis is based on observational data from agricultural households in East Kalimantan, Southeast Sulawesi, East Nusa Tengara, Maluku, North Maluku, Papua, and West Papua. The second and third analytical chapters of our thesis are based on citrus farming households in East Java, Indonesia. Therefore, our empirical analysis still has limitations in terms of external validity in different settings. Further research may utilise better data once they become available to test the external validity of our findings in other parts of Indonesia. Another limitation of this study is the use of cross-sectional data which limits the ability to infer causality. Therefore, our results should be interpreted as suggestive. Further research may use panel data to test the causality of our findings. The use of survey which records information reported by households might suffer some bias, as the respondents might not have accurate information due to the lack of proper records. Also, the challenge of the survey is that the information relies only from the perspectives of the respondents which may be subjective. However, our empirical research provides useful policy implications regarding horticultural farming and the implications for human and social capital investment among horticultural households.

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Appendices

- 1. Indonesian Family Life Survey EAST questionnaire Book K
- 2. Indonesian Family Life Survey EAST questionnaire Book 2
- 3. Indonesian Family Life Survey EAST questionnaire Book 3A
- 4. Indonesian Family Life Survey EAST questionnaire Book 5
- 5. Survey questionnaire of citrus producers in East Java Indonesia

EDITOR	:				
	(IDIV	wr) INDONESI	A FAMILY LIFE SU	JRVEY EAST 2012	
			BOOK SECTIONS: SC, AR, KRK,	IK, FP, CP	
NAME OF RES	•	a HH Member 18 Years or	•	able About Characteristics of Househ	
COV 1: RESF		.1. HEAD OF HOUSEHOLD 2. SPOUSE OF HEAD OF HOUSEH 3. OTHER HOUSEHOLD MEMBER 4. NON-HOUSEHOLD MEMBER			00. Indonesian 01. Javanese 02. Sundanese 03. Balinese
			R2. CODE OF FINAL INTERVII 1. COMPLETED ALL BOOK 2. COMPLETED SOME OF 4. BOOK K ONLY, REASON	04. Batak 05. Bugis 06. Chinese 07. Maduranese 08. Sasak 09. Minang 10. Banjar 11. Bima	
INTERVIEW	1	2	3	CK1. Interview was entirely/mostly conducted in	12. Makassar 13. Nias
DATE:		DAY/MONTH/YEAR	DAY/MONTH/YEAR	what language?	14. Palembang 15. Sumbawa 16. Toraja 17. Lahat
TIME STARTED:	HOUR/MINUTE	HOUR/MINUTE	HOUR/MINUTE	CK2. Other language used (if any):	18. Other South Sumatra 19. Betawi 20. Lampung 96. NONE
TIME END:	LL/ LL HOUR/MINUTE	L/ L HOUR/MINUTE	L_L/ L HOUR/MINUTE	Other:	95. Other
RESULT O	OF BOOK K INTERVIEWER	REA	SON	EDIT_CK. REVIEW BY EDITOR	SUP. LOCAL SUPERVISOR MONITORING
Completed→EDIT_CK Partially completed Not completed		1. Respondent was not at home/not available 2. Respondent was seriously ill 3. Respondent refused (to be interviewed) 5. Other:		Entered, no corrections necessary Entered AND corrected Manual edit without CAFÉ Entered, but not corrected.	Yes No a. Observed 1 3 b. Edited 1 3

CONFIDENTIAL

INTERVIEWER:

c. Verified

3. Entered, but not corrected,

explain:__

HHID: -----

Survey Participation Consent INDONESIA FAMILY LIFE SURVEY EAST 2012 (SAKERTIM)

	. ,	, .
	morning/afternoor	1/AVANINA
aooa	month in the second	VCVCIIIII.

My name is ... and my colleague ... We are from Survey Meter, a research institution with its head office in Yogyakarta. We are presently carrying out a study on Aspects of Family Life in the Eastren part of Indonesia. We would like to interview you and other members of your household about your health and family life.

The purpose of this study is to measure the living conditions in easern part of Indonesia, how they are changing over time for different people. We are also concerned with knowing how to improve education, health care services and other aspect of family well being in the area.

Your household is one of the many households selected in in this study randomly and we are going to ask a number of questions to every member of your household. The questions relate to education, income, occupation, migration and other aspects of family life. If you have children less than 25 years old and are living with you in the same household, we would also like to have them take a cognitive test.

Later on our health team of 2 nurses will also visit. If you agree, they will measure your height, weight, take your waist and hip circumference (if you are over 40 years old), take your blood pressure and pulse and take a small blood sample from your fingers using a finger prick to know whether you or any member of your family suffered from anemia or high cholesterol.

We must assure you that the data is mainly for research purposes and not related to politics, taxation or other purposes, which will be detrimental to you or your family. Your identity and the identity of any member of your family will be kept secret and we will use the information given to us strictly in accordance with the purpose of this study. We will release data for Indonesian and other scientists to analyze, but these data will not have your names, address or any other information that could be used to identify you. All private identifying information will be removed from the data before it is released to the scientific community. In this way, people will be able to learn about the way government policies work here, but not what you personally said. You do not have to answer any questions you do not want to answer, or participate in any test in which you do not want to participate.

Will you allow my colleague and I to interview you and member	ers of your household?
Thank you	
Enumerator Signature	 Date

BOOK K - i IFLS-East 2012

SECTION SC (SAMPLING DESCRIPTION AND ENUMERATION NOTES)

	SAMPLING INFORMATION	CODE
SC01.	PROVINCE:	
SC02.	DISTRICT/KABUPATEN:	
SC03.	SUBDISTRICT (KECAMATAN):	
SC04.	VILLAGE (DESA/KELURAHAN/NAGARI/KAMPUNG)	
SC05.	AREA: 1. URBAN 2. RURAL	LI
SC10.	NAME OF FIELD COORDINATOR:	
SC12.	NAME OF LOCAL SUPERVISOR :	
SC14. SC15.	NAME OF INTERVIEWER 1:	
0010.	TVIME OF INTERVIEWENZ.	
SC17. SC18.	Number of Householders IFLS EAST 2012 Name of Head of the Household:	
SC19.	Household Address:	
SC19a.	RW	
SC19b.	TELEPHONE NUMBER	A. Home
SC20.		B. Cellphone L L L L L L L , belongs to W. NA Y. DK
-	Special notes on household address/location (distance, building on the same street):	

BOOK K - 3 IFLS-East 2012

SECTION SC (SAMPLING DESCRIPTION AND ENUMERATION NOTES)

SC22.	. INTERVIEWER NOTES	, ROUTE TO THE LOCATIO	N AND SKETCH OF LOCAT	TION			
					н	HID LILL LILL LILL	
		:l			KECAMATAN/SUBDISTRICT VILLAGE/KELURAHAN/KAMPUNG	:	
	NORTH						
ROUT	TE TO THE LOCATION :						

BOOK K - 3 IFLS-East 2012

SECTION AR (LIST OF HOUSEHOLD MEMBERS)

HOUSEHOLD (RT):	is a person or group of persons who occupy a part of or an entire building and who usually live together and eat from the same kitchen. What is meant by eating from one kitchen is that the arrangement to fulfill daily necessities is jointly managed.
HEAD OF THE HOUSEHOLD (KRT):	is a person among the group of householders who is responsible for satisfying daily necessities of the household or a person who is regarded/assigned as the head of the household.
HOUSEHOLDER (ART):	is anyone who usually lives in the household, whether she/he is at home during the survey or is temporarily absent. A householder who has been away for 6 or more months, and a householder who has been away for less than 6 months but plans to move out/be away for 6 or more months is not regarded as a householder. A guest who has stayed in the household for 6 or more months or a guest who has stayed in the household for less than 6 months but plans to stay for 6 or more months is regarded as a householder. (THE NAME OF A HOUSEHOLDER IS TO BE WRITTEN ON ONE LINE ONLY.)

AR00b. I would like to know the names of all the people who live in this household. Please list all the people that stay here, eat and cook together in the household.

(NOTE WITH REFERENCE TO THE ROSTER: THE NAMES THAT ARE RECORDED HERE ARE ONLY THE PEOPLE WHO USUALLY STAY IN THIS HOUSEHOLD: ADULTS, CHILDREN, AND INFANTS. LIST THE HOUSEHOLD HEAD, THE SPOUSE (HUSBAND OR WIFE) OF THE HOUSEHOLD HEAD, THEIR CHILDREN (BIRTH, STEP, ADOPTED), PARENTS, IN-LAWS, SIBLINGS, SIBLINGS IN-LAW, GRANDCHILDREN, GRANDPARENTS, AUNTS AND UNCLES, NIECES AND NEPHEWS, COUSINS, BOARDERS, AND SERVANTS (NON-FAMILY MEMBERS).

AR03.	Is there any child/infant who lives here who has not been listed?	Yes1→ PUT IN ROSTER, AR01
		No3
AR04.	Is there any other person like a servant, friend or boarder who has not been listed?	Yes1→ PUT IN ROSTER, AR01
		No3
AR05.	Is there another person who usually lives here, but is away for less than 6 months?	Yes1→ PUT IN ROSTER, AR01
		No3
AR06.	Is there another person who has stayed for at least 6 months or less than 6 months	Yes1→ PUT IN ROSTER, AR01
	but intended to stay here for at least 6 months?	No3

BOOK K - 4 IFLS-East 2012

SECTION AR (HOUSEHOLD ROSTER)

AR00	AR10.	AR11.	AR12.	AR13.	AR14.	AR15.	AR15d.	AR15a.	AR15b.	AR15c.
No. of HHM (PID)	Line No. Birth Father	Line No. Birth Mother	Line No. of Caretaker (HHM<15)	Marital Status	Line No. of Spouse	Religion	Ethnicity	Did [] work in the last 12 months? (≥5 years)	What were the total earnings of [] in the last 12 months?	What was []'s primary activity during the past week?
01			E	1, 3, 4, 5 →AR15 2		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. LLLL, LLLL, LLLL Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
02			F	1, 3, 4, 5 →AR15		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. LLLL, LLLL, LLLL Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
03				1, 3, 4, 5 →AR15 2		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
04	L		F	1, 3, 4, 5 →AR15 2		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. LLLL, LLLL, LLLL Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
05	Ш			1, 3, 4, 5 →AR15		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
06	ш		ш	1, 3, 4, 5 →AR15	ш	01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
07	ш		L	1, 3, 4, 5 →AR15		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
08	Ш		F	1, 3, 4, 5 →AR15		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
09				1, 3, 4, 5 →AR15 2		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	
10				1, 3, 4, 5 →AR15 2		01 02 03 04 05 07 95		3. No→AR15c 1. Yes 6. <5Years→AR16	1. L. Rp. 6. UNPAID FAMILY WORKER 8.DON'T KNOW	

AR10, AR11

51. Not live in this HH 52. Died 98. DK

AR12

96. HHM >=15 years old 51. Not live in this HH

AR14

51. Not live in this HH

AR13

- 1. Not married 2. Married
- 3. Separated
- 4. Divorced 5. Widow/er

AR15d

- 01. Javanese 08. Sasak 02. Sundanese 09. Minang
- 03. Bali 10. Banjar 04. Batak 11. Bima-Dompu
- 05. Bugis 12. Makasar 06. Chinese 13. Nias 07. Maduranese 14. Palembang
- 15. Sumbawa 22. Manado

18. Dayak

19. Melayu

- 16. Toraja 23. Acehnese 17. Betawi 25. Other Southern Sumatrans
 - 26. Banten 27. Cirebon 28. Gorontalo
- 20. Komering 21. Ambonese 29. Kutai 95. Others_

01. Islam 02. Protestant

AR15

- 03. Catholic
- 04. Hindu 05. Budha
- 07. Confucians
- 95. Others

AR15c

- 01. Working/helping to earn income
- 02. Job searching
- 03. Attending school
- 04. Housekeeping 05. Retired
- 06. At home /don't work
- 07. Sick/disabled
- 98. DON'T KNOW

SECTION AR (HOUSEHOLD ROSTER)

AR00	AR16.	AR17.	AR18a.	AR18c.
No. of HHM (PID)	Highest Level of Schooling Attended by HHM	Highest grade ever completed by HHM	INTERVIEWER CHECK: AR09 AGE < 25	Is [] in school this year?
			1. Yes →	1. Yes → SCHOOL LIST
01			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
02			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
03	ш		3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
04			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
05			3. No ↓	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
06			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
07			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
08			3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
09	ш		3. No Ψ	3. No 6. Not yet in school
			1. Yes →	1. Yes → SCHOOL LIST
10			3. No Ψ	3. No 6. Not yet in school

AR16			
01.	No/Not yet in school	17.	School for the disabled
02.	Elementary school	60.	College D1, D2, D3
03.	Junior high - general	61.	University S1
04.	Junior high - vocational	62.	University S2
05.	Senior high - general	63.	University S3
06.	Senior high - vocational	72.	Islamic Elementary School (Madrasah Ibtidaiyah)
11.	Adult Education A	73.	Islamic Junior High School (Madrasah Tsanawiyah)
12.	Adult Education B	74.	Islamic Senior High School (Madrasah Aliyah)
13.	Open University	90.	Kindergarten
14.	Pesantren	98.	DON'T KNOW
15.	Adult Education C	95.	OTHERS

AR1	7
00.	DIDN'T COMPLETE 1ST CLASS AT THAT LEVEL
01.	1
02.	2
03.	3
04.	4
05.	5
06.	6
07.	GRADUATED
96.	NO/ NOT YET IN SCHOOL
98.	DON"T KNOW

INTERVIEWER CHECK: SCHOOL LIST IN PAGE. K-8

BOOK K - 6 IFLS-East 2012

EA:	LILI HHID: LILI (Name of Household He	ANDOM CO KRT :	
AR00.	AR01.	AR07.	AR01d	AR08.	AR09.	AR02b.
No. of HHM (PID)	NAME OF HOUSEHOLD MEMBER	Sex	Random CO	Birth date	Age now	Relationship to household head now
01		ш		5		ш
02				5		
03				5		لب
04				5. L L L Day / Month / Year		لب
05				5. L L L Day / Month / Year		لب
06		Ш		5. L L L Day / Month / Year		ш
07		Ш		5		ш
08		Ш		5. L L L Day / Month / Year		ш
09		Ш		5		ш
10		Ш		5. L L L Day / Month / Year		ш
	NOTE TO INTERVIEWER: WHILE FILLING OUT AR01 DON'T FORGET TO ASK AR03-AR06. AR00d: TOTAL NUMBER OF LINES	AR07 1. Male 3. Girl			02. Husband/Wife 08. S 03. Child (biological) 09. E 04. Child (non-biological) 10. 0 05. Son/daughter-in-law 11. 0	Parent-in-law 13. Nephew/Niece Sibiling 14. Cousin Brother/Sister-in-law 15. Servant Grandchild 16. Other family Grandcharent 17. Non-family
	USED				06. Parents 12. U	Jncle/Aunt

BOOK K - 7 IFLS-East 2012

SCHOOL LIST

FOR RESPONDENT LESS THAN 25 WHO IS STILL IN SCHOOL (AR18C=1)

AR00	AR01	AR16	AR19	AR20a
Line # HHM (PID)	NAME OF HOUSEHOLD MEMBER	HIGHEST LEVEL OF EDUCATION (WRITE CATEGORY)	What is the name of the school and where is it? (Don't forget to write the number of the school)	In what village, kecamatan, Kabupatan, and province is the school located?
			Name : 1	A. Vill: 1 3. Same 8. DON'T KNOW B. Kec: 1 3. Same 8. DON'T KNOW C. Kab: 1 3. Same 8. DON'T KNOW D. Prov: 1 3. Same 8. DON'T KNOW →AR10 NEXT HOUSEHOLD MEMBER
			Name : 1	A. Vill: 1 3. Same 8. DON'T KNOW B. Kec: 1 3. Same 8. DON'T KNOW C. Kab: 1 3. Same 8. DON'T KNOW D. Prov: 1 3. Same 8. DON'T KNOW →AR10 NEXT HOUSEHOLD MEMBER
			Address: 1 8. DK	A. Vill: 1 3. Same 8. DON'T KNOW B. Kec: 1 3. Same 8. DON'T KNOW C. Kab: 1 3. Same 8. DON'T KNOW D. Prov: 1 3. Same 8. DON'T KNOW → AR10 NEXT HOUSEHOLD MEMBER
			Name : 1	A. Vill: 1 3. Same 8. DON'T KNOW B. Kec: 1 3. Same 8. DON'T KNOW C. Kab: 1 3. Same 8. DON'T KNOW D. Prov: 1 3. Same 8. DON'T KNOW →AR10 NEXT HOUSEHOLD MEMBER
			Name : 1	A. Vill: 1 3. Same 8. DON'T KNOW B. Kec: 1 3. Same 8. DON'T KNOW C. Kab: 1 3. Same 8. DON'T KNOW D. Prov: 1 3. Same 8. DON'T KNOW →AR10 NEXT HOUSEHOLD MEMBER

HHID:			┚┖┷	
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BOOK K - 7 IFLS-East 2012

SECTION KRK (INTERVIEWER OBSERVATION)

F			
KRK01.	TYPE OF RESIDENTIAL DWELLING	SINGLE UNIT SINGLE LEVEL 01	
		SINGLE UNIT MULTIPLE LEVELS	
		DUPLEX SINGLE LEVEL	
		DUPLEX MULTIPLE LEVELS 04	
		MULTIPLE UNIT SINGLE LEVEL	
		MULTIPLE UNIT MULTIPLE LEVELS	
		HOUSE ON STILTS	
		HIGH RISE/APARTMENT BUILDINGS	
		OTHER95	
KRK02.	GENERAL SANITARY CONDITIONS,		
	CIRCLE ALL THAT APPLY	YES	NO
		A. HOUSE IS SURROUNDED BY	
		HUMAN AND ANIMAL WASTE1	3
		B. HOUSE IS SURROUNDED	,
		BY PILES OF TRASH1 C. HOUSE IS SURROUNDED	3
		BY STAGNANT WATER1	3
		D. THERE IS A STABLE UNDER/	
		NEXT TO THE HOUSE1	3
		E. HOUSE HAS SUFFICIENT VENTILATION1	3
		F. YARD IS WELL MAINTAINED	J
		AND CLEANED-UP1	3
		G. HOUSE HAS A MODERATELY - SIZED YARD 1	3
		H. HOUSE HAS KITCHEN OUTSIDE1	3
		SLEEPING ROOM ARE SAME1	3
			J
KRK05a.	ESTIMATE THE SIZE OF THE HOUSE		
	IN SQUARE METERS	LJ. L_L_	
		Square meters	
		Square motors	
KRK06.	ESTIMATE HOW MANY ROOMS		
	(BEDROOMS, LIVING ROOM, DINING		
	ROOM, KITCHEN, BATHROOM, ETC.) ARE IN THIS HOUSE		
	LIO., ANE IN THIS HOUSE	Rooms	
KRK08.	MAIN FLOORING TYPE USED IN	CERAMIC / MARBLE / GRANITE / STONE01	
	THIS HOUSE	TILES / TERRAZZO02	
		CEMENT / BRICKS03	
		LUMBER / BOARD04 BAMBOO05	
		BAMBOO05 DIRT	
		OTHER95	
		<u> </u>	

BOOK K -9 IFLS-East 2012

OUTER WALL OF THIS HOUSE		MASONRY (CEMENT/PREFABRICATED			
		BRICKS) 01			
		LUMBER/BOARD/PLYWOOD02			
		BAMBOO/WOVEN/MAT03			
		OTHER 95			
KRK09a.	HOW WALL CONDITION OCCUPIED	GOOD / HIGH QUALITY 1			
	HOMES?	BAD / LOW QUALITY2			
KRK10.	MAIN ROOFING TYPE OF THIS				
	HOUSE	CONCRETE 01			
		WOOD 02			
		METAL PLATES 03			
		ROOF TILES/SHINGLES 04			
		ASBESTOS 05			
		FOLIAGE / PALM LEAVES/GRASS/BAMBOO 06			
		OTHER 95			
KRK10a.	HOW TO CONDITION ROOF	GOOD / HIGH QUALITY1			
	OCCUPIED HOMES?	BAD / LOW QUALITY2			

SECTION IK (INFORMATION ON REPEAT VISIT)

IK1.	In the future, we will visit this household again. You might not be here at that time. Could you tell us name of friend/family member who can tell us where you are?						
	Name :						
	Address:						
	Telephone number: A. Home		B. Cellphone number:	W. NA	Y.DK		
IK2.	If you move, where do you move to?	1. Move ↓	6. NOT MOVE → CP	8.	DON'T KNOW → CP		
	1. Village:	1		3. Same → CF	8. DON'T KNOW		
	2. Subdistrict:	1		3. Same → CI	8. DON'T KNOW		
	3. District:	1		3. Same → CF	8. DON'T KNOW		
	4. Province:	1		3. Same → CF	8. DON'T KNOW		
	5. Country:	1		3. Same → CF	8. DON'T KNOW		

BOOK K -10 IFLS-East 2012

SECTION FP (FORM OF BOOK NUMBER CHECKING FOR SELECTED HOUSEHOLD) EVALUATION FORM, BOOK K

FP01	FP02		FP03				
Book	Total	Householder (ART) about whom information was obtained?					
К	[1]						
I	ш		للنا				
II	Ш						
III A		A	E	l			
		В	F	J			
		c	G	K			
		D	н	L.			
III B		A.	Е	l			
		В. 📖	F	J			
		C	G	K			
		D	Н	L			
IV		A	c	E			
		В	D.	F			
V		A	Е	l			
		В	F	J			
		c	G	К			
		D	н	L			

FP01	FP02	FP03				
US	Ш	Completed	Partially completed	Not filled L		
EK1		А	C	E		
		В. 📖	D.	F		
EK2		A	Е	l		
		В. 📖	F	J		
		c	G	К		
		D	Н	L		
PROXY		А. Ш	В. 📖	С		

BOOK K -11 IFLS-East 2012

SECTION CP (INTERVIEW SESSION NOTES)

Р А В С С Е	WHO ELSE (OTHER PERSONS) BESIDES RESPONDENT WAS PRESENT DURING THE INTERVIEW? ANSWER MAY BE MORE THAN ONE. A. NO ONE B. A CHILD 5 YEARS OLD OR UNDER C. A CHILD OLDER THAN 5 YEARS OLD D. HUSBAND/WIFE E. AN ADULT, A HOUSEHOLDER F. AN ADULT, NOT A HOUSEHOLDER	CP2.	WHAT IS YOUR EVALUATION OF THE ACCURACY OF RESPONDENT'S ANSWERS? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD	CP3.	WHAT IS YOUR EVALUATION ON THE SERIOUSNESS AND ATTENTIVENESS OF THE RESPONDENT? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD
	VHAT QUESTIONS DID RESPONDENT FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP5.	WHAT QUESTIONS DID INTERVIEWER FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP6.	WHAT QUESTIONS DID RESPONDENT SEEM INTERESTED IN?
NOTES:					

BOOK K - 12 IFLS-East 2012

EDITOR INTERVIEWEI	: R :	(IDIVWR)		NFIDENTIAL Y LIFE SURVEY EAST 2012	HHID:		
	Primary F	Respondent is the He	(HOUSE SECTIONS: KR	DOK II HOLD ECONOMY) R, UT, NT, HR, HI, ND, BH, CP or Person 18 years or older who is able to a	answer the questions		
NAME OF HOUSEHOLDER							
	2. SPC	DUSE OF HEAD OF HOUSEH HER HOUSEHOLD MEMBER	IOLD		07. Maduranese 08. Sasak 09. Minang 10. Banjar 11. Bima		
INTERVIEW DATE:	1 DAY/MONTH/YEAR	2 LLI/LLI/LLILI DAY/MONTH/YEAR	CK1. Interview was entirely/mostly conducted in w	hat language? 12. Makassar 13. Nias 14. Palembang 15. Sumbawa 16. Toraja			
TIME STARTED:	HOUR/MINUTE HOUR/MINUTE HOUR/MINUTE	HOUR/MINUTE HOUR/MINUTE HOUR/MINUTE	HOUR/MINUTE HOUR/MINUTE	CK2. Other language used (if any): Other	17. Lahat 18. Other South Sumatra 19. Betawi 20. Lampung 96. NONE 95. Other		
C1.RESULT OF	INTERVIEW OF BOOK II	C2. CODE REASON FOR	R ANSWER"3"/"2" ON C1	C3. REVIEW BY EDITOR	C4. SUPERVISOR MONITORING		
1. Completed →C3	i e	1. Respondent was not at ho	me/not available	Entered, no corrections necessary	Yes No		

C1.RESULT OF INTERVIEW OF BOOK II	C2. CODE REASON FOR ANSWER"3"/"2" ON C1	C3. REVIEW BY EDITOR	C4. SUPERVISOR MONITORING
 Completed →C3 Partially completed Not completed 	Respondent was not at home/not available Respondent was seriously ill Respondent refused (to be interviewed) Other:	Entered, no corrections necessary Entered and corrected Manual edit without CAFÉ Entered, but not corrected, explain:	Yes No a. Observed 1 3 b. Edited 1 3 c. Verified 1 3

SECTION KR (HOUSEHOLD CHARACTERISTICS)

The following questions pertain to your household features.

KR03.	What is the status of this	Self-owned	01 →KR05a
	house?	Occupying	02 →KR05a
		Other:	95 →KR05a
		Rented/contracted	05
KR04a.	What is the rent of this		
	house?	,, Rp.	yearly 1 → KR11
		Герија (1914) (1914) (1914) Rp.	. monthly2→ KR11
		DON'T KNOW	8 → KR11
KR05a.	How much monthly/yearly		
	rent would you pay if you	,, Rp.	
	were renting this house?	, Rp.	Monthly 2
		DON'T KNOW	8
KR11.	Is the Household using	Yes	1
	electricity?	No	3→KR13
KR11a.	Is the electricity comes from	Yes	
	PLN (State Electricity	No	3→KR13
KR11b.	Company) How much power in the	450 Volt Ampere (VA)	01
KNIID.	household?	900 Volt Ampere (VA)	
	nodochola .	1.300 Volt Ampere (VA)	
		2.200Volt Ampere (VA)	
		>2.200 Volt Ampere (VA)	
		connect FROM OUTSIDE HOUSE	
		(WITHOUT MEASURER)	
KR13.	What is the main water	aqua/air mineral, etc	
	source for drinking for this	pipe water	01
	household?	well/pump (electric, hand)	02
		well water	03
		spring water	04
		rain water	
		river/creek water	
		pond/fishpond	
		water collection basin	
		other:	
KR13a.	Before the water is used for	Yes	
	drinking, is it boiled?	No	3
KR13b.	Do you purchase water?	YES, DELIVERED TO THE HOUSE	
		YES, SELF-SERVICE	
		NO	3
ll		I	

KR14.	Where is the main water source located?	inside the house
KR15.	What is the distance (from this house) to the main water source?	∟ , ∟ ⊥ ⊥ . Meters
KR16.	Is water used for other necessities, like bathing and laundry, also drawn from the same source as drinking water?	Yes
KR17.	What is the main source of water for other necessities like bathing and laundry?	Pipe water 01 Well/pump (electric, hand) 02 Well water 03 Spring water 04 Rain water 05 River/creek water 06 Pond/fishpond 07 Collection basin 08 Other: 95
KR17b.	Do you purchase the water?	Yes, delivered 1 → KR20 Yes, self-service 2 No 3
KR18.	Where is the main water source located?	Inside the house
KR19.	What is the distance (from this house) to the main water source?	∟∟,∟⊥⊥∟ Meters
KR20.	Where do the majority of householders go to the toilet?	Own toilet with septic tank 01 Own toilet without septic tank 02 Shared toilet 03 Public toilet 04 Creek/river/ditch (without toilet) 05 Yard/field (without toilet) 06 Sewer 07 Pond/fishpond 09 Animal stable 10 Sea/lake 11 Other: 95

BOOK II - 2 IFLS-East 2012

SECTION KR (HOUSEHOLD CHARACTERISTICS)

1		
KR21.	Where does this household	Drainage ditch (flowing)01
drain its sewage?	Drainage ditch (stagnant)02	
		Permanent pit03
		Disposed into river04
		Disposed in side/back yard/garden05
		Pond/fishpond/lake/pool07
		Hole (without permanent lining)08
		Paddy field/other field09
		Sea, beach11
		Other95
KR22.	How does this household	Diamagad in track can callected by
KILL.	dispose of its garbage?	Disposed in trash can, collected by sanitation service01
	alopodo of no garbago.	Burned02
		Disposed into river/creek/sewer
		Disposed in yard and let decompose04
		Disposed in pit
		Forest, mountain
		Sea, lake, beach
		Paddy field/other field09
		Other95
KR23.	Do you store your perishable	Yes1
	food in a refrigerator?	No3
		Don't have refrigerator6
KR24.	What is the main kind of	
NN24.	fire/stove used for cooking?	Electricity01
	merstove used for cooking:	Gas
		Kerosene stove
		Firewood04
		Charcoal
		Do not cook07
		Other95
KR24a.	Does this household have a	Yes1
	television?	No3
KR24b.	Since 2007, has this	1. Built a new house
household renovated/had	3. Installed a new roof	
major repair done on the house ?		4. Installed/replaced the floortiles/terrazzo
		5. Painted the whole house
	1. Yes, because of disaster	6. Built a new kitchen or expanded the kitchen 1 2 3
	2. Yes, renovated	7. Replaced/installed plumbing system 1 2 3
· ·		8. Installed sewerage/sanitation system 1 2 3
	J. NO	9. Increased electricity voltage 1 2 3
	3. No	9. Increased electricity voltage

KR24c. How much did you spend for		1								
	the renovation ?		NO RENOVATION/MAJOR REPAIR 6							
		DON'T	KNOW	<i>/</i>				8		
KR25.	What language is most often used in this household, other	01	02	03	04	05	06	07	08	
	than Indonesian?	09 17	10 18	11 19	12 20	13 96	14	15	16	
KR26.	Does this household have a Health Card (<i>Kartu Sehat</i>), or JAMKESMAS card?	No Yes							KR27	
KR26a.	Who in the household has a Health Card/JAMKESMAS card?	All household members								
KR27.	Does this household participate in the Health Fund (Dana Sehat Program)?	Yes								
KR27a.	Does this household have or ever utilized "letter of poor" (Surat Keterangan Tidak Mampu)?	Yes 1 No 3 DON'T KNOW 8								
KR27b.	Did this household have PKPS BBM BLT card?	Yes								
KR27c.	Does this Household has BLSM card (The Direct Aid to Public)?	Yes								
KR27d.	Whether household is getting BSM (Help Poor Students) ?	Yes No DON'T						3		

CODE for KR25					
Javanese	01	Minang	09	Lahat	17
Sundanese	02	Banjar	10	Other South Sumatera	18
Balinese	03	Bima-Dompu	11	Betawi	19
Batak	04	Makassar	12	Lampung	20
Bugis	05	Nias	13	NONE	96
Chinese	06	Palembang	14	Other	95
Maduranese	07	Sumbawa	15		
Sasak	08	Toraja	16		
		•			

BOOK II - 3 IFLS-East 2012

SECTION KR (HOUSEHOLD CHARACTERISTICS)

1		T	
KR27e.	Is the household is getting a	Yes	1
	JSLU Program (Elderly Social	No	3
	Security)?	DON'T KNOW	8
KR27f.	Is the household is getting	Yes	1
	Social Security Disability	No	3
	Program?	DON'T KNOW	8
KR27g.	Is the household is getting a	Yes	1
	Child Welfare Program	No	3
	(PKSA)?	DON'T KNOW	8
KR27h.	Is the household getting a	Yes	1
	Compensation Program help	No	3
	troubled youth?	DON'T KNOW	8
KR27i.	Does this household have a	Yes	1
	habit of saving?	No	3 →KR28
KR27j.	Where ordinary household	In his own house	A
1	saving?	In the Government Bank	B
	Ğ	In the Private Bank	
		In Cooperative	
		In Group Savings and Loans	
		Others	V

KR28TYPE	KR28
	Does this household have a []?
1. Car	1. Yes 3. No ↓
2. Motor ship	1. Yes 3. No ↓
3. Motorboat	1. Yes 3. No ↓
4. Motorcycle	1. Yes 3. No ↓
5. Bicycle	1. Yes 3. No ↓
6. Boat	1. Yes 3. No ↓
7. Refrigerator	1. Yes 3. No ↓
8. 12 kg gas cylinders or more	1. Yes 3. No ↓
9. Handphone	1. Yes 3. No ↓

BOOK II - 4 IFLS-East 2012

SECTION UT (FARM BUSINESS)

Now we would like to ask about any farm business that might be owned by this household.

UT00a.	Do you have land for farming?	No
UT00b.	What size is the land for farming that you own?	1. LLL Hectare 2. LLL Square meter 8. DON'T KNOW
UT00c.	How many plots of land farm do you have?	LLI plots
UT00d.	What is the size of the largest plot of your farm land?	1. LLLI Hectare 2. LLLI Square meter 8. DON'T KNOW
UT00e.	What is the legal status of ownership of the largest plot of your farm land?	No document 6 → UT00g Patok D 1 → UT00g Letter C 2 → UT00g Other 5 → UT00g Title of ownership (Sertifikat Hak Milik) 3
UT00f.	When did you obtain the title/document?	1. LII/LII Month / Year 8. DON"T KNOW
UT00g.	How much of <i>Bengkok</i> land do you have?	1. LIII Hectare 2. LII Square meter 6. N/A 8. DON'T KNOW
UT00h.	How much of the land/Bengkok land for farming is rented out?	1. LLLI. Hectare 2. LLI, LLI Square meter 6. N/A 8. DON'T KNOW
UT01.	During the past 12 months, namely since the month of [] one year ago, is there a householder who has worked in a farm business?	No
UT01a.	What size is the farm land cultivated by you or members of the household in the last 12 months?	1. LLLI Hectare 2. LLI Square meter 6. N/A 8. DON'T KNOW
UT01b.	Out of the farm land cultivated in the last 12 months, what size is rented or share-cropped?	1. LIII Hectare 2. LII Square meter 6. N/A 8. DON'T KNOW
UT01e.	How much of the land cultivated is owned?	1. LLL Hectare 2. LLL Square meter 6. N/A 8. DON'T KNOW

UT01f.	How much of the <i>Bengkok</i> land cultivated ?	1. L.L. Hectare 2. L.L. Square meter 6. N/A 8. DON'T KNOW
UT01c.	INTERVIEWER CHECK:	UT01=3 and UT00a=1
UT05a.	Over the last 5 years, how many times you can harvest the results of the main farm in a normal year?	Limes1 NOT APPLICABLE6
UT05b.	How many TOTAL main farming results in a normal year	Kg1 Ton2 Cubic3 Tail4
UT07a.	What is the most valuable crop or livestock that the household farm produced for the market or for home consumption?	1. L Other: 8. DON'T KNOW
UT07b.	What is the next most valuable crop or livestock that the household farm provided?	1. L Other: 8. DON'T KNOW
UT07c.	Please list all other crops or livestock that the household farm produced.	A B C D E F G H I J K L M N
	CIRCLE ALL THAT APPLY	O P Q R S T U A1 A2 X W Y V
UT07c1	Do you or your household member uses cell-phone for the farm business?	No3 Yes1
UT07d.	INTERVIEWER CHECK UT07a, UT07b, UT07c:	IF UT07a≠90 AND UT07b≠90 AND UT07c≠Y3→UT07aa IF UT07a=90 OR UT07b=0 OR UT07c=Y1
UT07xa.	How many harvest per season can you crop on the land you use to plant paddy?	LL times
UT07xb.	In the last 12 months, how many times did you harvest your paddy crop? (including crop failures)	∟∟ times
UT07a AND I	UT07b Codes:	UT07c Codes:
01. Cassa 02. Other t 03. Ground 04. Cashe	va 14. Tobacco tuber 15. Rubber dnuts 16. Wood ws and other nuts 17. Chickens	A. Cassava N. Tobacco B. Other tuber O. Rubber C. Groundnuts P. Wood D. Cashews and other nuts Q. Chickens
05. Soyber 06. Corn 07. Chili 08. Red O 09. Cocon	19. Pigs 20. Goats nion 21. Cattle ut 22. Other vegetables	E. Soybean R. Fish F. Corn S. Pigs G. Chili T. Goats H. Red Onion U. Cattle I. Coconut A1. Other vegetables
10. Banan 11. Spice 12. Coffee 13. Sugar	96. NO OTHER CROP 90. Rice	J. Bananas A2. Other fruits K. Spice W. NO OTHER CROP L. Coffee Y. Rice M. Sugarcane V. Other

BOOK II - 5 IFLS-East 2012

SECTION UT (FARM BUSINESS)

UT07aa.	Are you or any of your household members engage in fishing (non-fishery)?	No
UT0 7ab	Are you or your household member use a boat in the fishing activities?	No
UT07 ac	Do you or your household member own the boat used in fishing ?	No
UT07ad.	Type of boat used in fishing:	In-board powered boat > 100 GT 1 In-board powered boat 30-100 GT 2 In-board powered boat 5-30 GT 3 In-board powered boat 5 GT 4 Out-board powered boat 5 Non-powered boat - small (jukong) 6 Non-powered boat - medium 7 Non-powered boat - large 8
UT07ae	How many fishermen and crew are usually in one boat?	∟∟ people
UT07af.	How many fishing trips did you or your household member do in one month in []?	 The last month: times Two months ago: times Three months ago: times

BOOK II - 6 IFLS-East 2012

UT07ag	How much (in kg) is the total catch in the last month?	LIII, LIIII kg
UT07 ah.	In the last month, what is the percentage of the catch that are	A. consumed during fishing
		C. sold directly to consumer % D. sold to dealer/distributor %
		E. sold at Auction (<i>TPI</i>) % F. stored to be processed %
UT07 ai.	Do you or your household member use cell-phone for this fishing activities?	No

SECTION UT (FARM BUSINESS)

UT07.	What is the approximate amount in rupiah of total production by the household from the farm business (including produce for own consumption or giving the others) during the past 12 month?	1. L,L, Rp →UT08 7. UNWILLING TO ANSWER 8. DON'T KNOW
UТ07p.	PROBING	98. DK → UT09 1. ≥ 4 million 12. < 8 million 18. DK 2. < 4 million 21. ≥ 2 million 22. < 2 million 28. DK
UT08.	What is the approximate amount in rupiah of total expenses spent by the household for the farm business during the past 12 months?	1. LLL, LLL, LLLL Rp →UT09q 7. UNWILLING TO ANSWER 8. DON'T KNOW
UT08p.	PROBING	1. ≥ 4 million 11. ≥ 8 million → UT09q 12. < 8 million → UT09q 18. DK → UT09q 2. < 4 million 21. ≥ 2 million → UT09q 22. < 2 million → UT09q 28. DK → UT09q 98. DK
UT09.	What is the approximate amount in rupiah of net profit generated by the farm business during the past 12 months?	1. + □ □ , □ □ □ □ Rp. → UT09q 3 □ □ , □ □ □ , □ □ □ Rp. → UT09q 7. UNWILLING TO ANSWER 8. DON'T KNOW
UT09p.	PROBING	1. ≥ 4 million 11. ≥ 8 million 12. < 8 million 18. DK 2. < 4 million 21. ≥ 2 million 22. < 2 million 28. DK

IFLS-East 2012

SECTION UT (FARM BUSINESS)

Now we would like to raise some questions about crop loss that may affected this household's farm business in the last 12 months.

UT09q.	Did this household experienced crop loss in the past 12 months?	NO CROPS/NOT YET HARVESTED
		Yes1

	UT09r.	UT09s.	UT09t.
CROP LOSS (UT3TYPE)	Has this household experienced crop loss because of [] within the past 12 months?	When did [] happen or start?	What is the approximate cost of []?
C1. Drought/lack of water	3. No 1. Yes→	1. LI / LI 8. DON'T KNOW Month / Year	1. LIII, LIII Rp. 8. DON'T KNOW
C2. Flood	3. No 1. Yes→	1. LI / LI 8. DON'T KNOW Month / Year	1. LIII, LIII Rp. 8. DON'T KNOW
C3. Pestilence/Rodents	3. No 1. Yes→	1. LI / LI 8. DON'T KNOW Month / Year	1. LIII, LIII Rp. 8. DON'T KNOW
C4. Disease	3. No 1. Yes→	1. LI / LI 8. DON'T KNOW Month / Year	1. LIII, LIII Rp. 8. DON'T KNOW
C5. Other	3. No 1. Yes→ UT10	1. LLL / LLLL 8. DON'T KNOW Month / Year	1. LIII, LIII Rp. 8. DON'T KNOW

Now we would like to ask some questions about assets owned by this household that were used, for farm business in the last 12 months

TYPE OF ASSETS	UT10.	UT11.	UT11p.	UT12.	UT13.	UT14.
(UTTYPE)	Does the household farm business own []?	What is the total (market) value of[]?	PROBING	What is the total value in rupiah of any [] purchased in the past 12 months?	What is the total value in rupiah of any [] sold in the past 12 months?	What is the total income from the rent/lease/profit-sharing of [] in the past 12 months?
A. Farm land	3. No →UT12 1. Yes	1. L. L. Rp. Rp. → UT12 7. UNWILLING TO ANSWER 8. DON'T KNOW	11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 98. DK 28. DK	1. L. L. L. L. L. L. L. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.	1. L. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
D1. Poultry	3. No →UT12 1. Yes	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L.L., L.L.L., L.L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
D2. Livestock /fish pond	3. No →UT12 1. Yes	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. L. L. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L. L. L. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L.L., L.L.L., L.L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW

SECTION UT (FARM BUSINESS)

	TYPE OF ASSETS	UT10.	UT11.	UT11p.	UT12.	UT13.	UT14.
	(UTTYPE)	Does the household farm business own []?	What is the total (market) value of[]?	PROBING	What is the total value in rupiah of any [] purchased in the past 12 months?	What is the total value in rupiah of any [] sold in the past 12 months?	What is the total income from the rent/lease/profit-sharing of [] in the past 12 months?
В.	Hard stem plants (coconut, coffee, cloves, rubber, etc.)	1. Yes → 3. No ↓	1. L	11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 98. DK 28. DK			
C.	House or building used for the farm business	1. Yes → 3. No Ψ	1. □□□,□□□,□□□ Rp. →ROW E 7. UNWILLING TO ANSWER 8. DON'T KNOW	11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK			
E.	Vehicles (bicycles, motor bikes, car/truck and water vehicles)	1. Yes → 3. No Ψ	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
E1.	Boat (non-powered, out-board powered, in- board powered)	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
F.	Tractor	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
F1.	Irrigation equipment (pump, tube well, etc.)	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
G.	Heavy equipments (like farming machines, generator, etc.)	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
H.	Small tools like saws, axes, machetes, forks, plows, hoes, etc.)	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
H1	Fishing equipmen (gill net, beach seine, long lines, buoy)	1. Yes → 3. No ↓	1. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				

BOOK II - 9 IFLS-East 2012

SECTION UT (FARM BUSINESS)

TYPE OF ASSETS	UT10.	UT11.	UT11p.	UT12.	UT13.	UT14.
(UTTYPE)	Does the household farm business own []?	What is the total (market) value of[]?	PROBING	What is the total value in rupiah of any [] purchased in the past 12 months?	any [] sold in the past 12 months?	What is the total income from the rent/lease/profit-sharing of [] in the past 12 months?
I. Other (other than A-H1):	1. Yes → 3. No ↓	1. L. L. L. L. Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW				
J. Other than Farm land (A), Poultry & Livestock /fish pond (D1, D2)				1. L. L. L. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L.L., L.L.L., L.L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW

Now we would like to ask about any non-farm business that might be owned by this household.

NT01.	During the past 12 months, namely since the month of [] in 19[], is there a householder who has worked in a family-owned, non-farm	No
	business like trade/retailing or been self-employed in a non-farm enterprise?	Yes1
NT01a.	How many non-farm businesses did you or members of the household operate at any time in the last 12 months?	1. LIII Types

INTERVIEWER NOTE: COMPLETE ONE COLUMN FOR EACH BUSINESS REPORTED IN NT01a. STARTING WITH THE MOST IMPORTANT BUSINESS.

		1. Business I	2. Business II	3. Business III	4. Business IV
NT01b.	What type of business was this?				
NT02.	Is this business owned entirely by this household?	Yes1 → NT05 No3	Yes1 → NT05 No3	Yes1 → NT05 No3	Yes 1 → NT05 No3
NT03.	What is the percentage share of this business owned by householders of this household?	Percentage	Percentage	Percentage	∟∟⊔ Percentage
NT04.	Who outside this household owns the business?	B C D E	B C D E	B C D E	B C D E
	(CIRCLE ALL THAT APPLY)	F G I J K L M P Q R U V	F G I J K L M P Q R U V	F G I J K L M P Q R U V	F G I J K L M P Q R U V
NT05.	Which householders own the business?	A B C D E	A B C D E	A B C D E	A B C D E
	(CIRCLE ALL THAT APPLY)	F G I J K L M P Q R U V	F G I J K L M P Q R U V	F G I J K L M P Q R U V	F G I J K L M P Q R U V
NT05a.	Which household members were primarily responsible for this business?	А	A	А	А
	RECORD THE NAME AND AR00 FOR UP TO TWO PERSONS	В	В	В	В
NT05b.	Is/was this business operated outside your home?	Yes, all outside1	Yes, all outside1	Yes, all outside1	Yes, all outside1
		Yes, partially outside 2	Yes, partially outside2	Yes, partially outside 2	Yes, partially outside 2
		No 3	No 3	No 3	No 3

NT04 a	NT04 and NT05 Codes:									
A.	Respondent	G.	Respondent's brother/sister-in-law	M.	Cousin					
B.	Respondent's wife/husband	I.	Grandchild	P.	Non family					
C.	Respondent's child/child-in-law	J.	Grandparent	Q.	Step/adopted child					
D.	Respondent's parents	K.	Uncle/aunt	R.	Family of spouse					
E.	Respondent's parents-in-law	L.	Nephew/niece	U.	Ex spouse					
F.	Respondent's sibling			٧.	Other					

BOOK II - 11 IFLS-East 2012

		1. Business I	2. Business II	3. Business III	4. Business IV
NT05c.	In what field of work is this business? (CODE OF BUSINESS FIELD)		ш		ш
NT15.	When did this business begin/start?	1. LLL / LLLL 8. DK Month / Year	1. LL / LL 8. DK Month / Year	1. LLL / LLLL 8. DK Month / Year	1. LL / LL 8. DK Month / Year
NT16.	How many household members/paid workers, worked when the business started?	Persons 1 DON'T KNOW 8	Persons 1 DON'T KNOW 8	Persons 1 DON'T KNOW 8	Persons 1 DON'T KNOW 8
NT17.	How many paid workers, worked when the business started?	DON'T KNOW 8	DON'T KNOW	DON'T KNOW	DON'T KNOW
NT17a.	When this business first started, how much was the start-up capital?	NONE	NONE	NONE	NONE
NT17b.	Where did the capital come from?	Household saving A Family B Other owners/partners C Loans from bank D Loans from others E	Household savingA FamilyB Other owners/partnersC Loans from bankD Loans from othersE	Household saving A Family B Other owners/partners C Loans from bank D Loans from others E	Household saving
NT18.	Is the business still producing?	Yes1 No3	Yes 1 No 3	Yes 1 No 3	Yes 1 No 3

CODES FOR NT05c

01. Agriculture, Forestry, Fishery

21. Restaurants, food sales

32. Services: Teacher

02. Mining and Quarrying

22. Industry: Food processing

33. Services: Professionals

04. Electricity, Gas and Water

23. Industry: Clothing

34. Services: Transportation (becak, ojek, taxi)

05. Construction

24. Industry: Other

35. Services: Other (tailor, hairdressing)

07. Transportation and communication

25. Sales: Non food

95. Other

BOOK II - 12 IFLS-East 2012

	-	1. Bus	siness I	2. Bus	siness II	3. Bus	iness III	4. Bus	iness IV
NT09.	What is the approximate amount in rupiah of net profit generated by the business during the past 12 months?	1. + L L L J , L L L J , 3 L L L J , L L L J , 7. UNWILLING TO ANS 8. DON'T KNOW	Rp →NT09b	1. + L L L J , L L L L	, ∟ Rp →NT09b	1. + L L L , L L L J , L L L J , L L L L J , L L L L	, ∟ Rp →NT09b	1. + LLLL, LLLL 3 LLLLL, LLLLL 7. UNWILLING TO ANS 8. DON'T KNOW	, ∟ Rp →NT09b
NT07.	What is the approximate amount in rupiah of total revenue received by the household from the business (including produce for own consumption) during the past 12 months?	1. L.L.L., L.L.L., L 7. UNWILLING TO ANS 8. DON'T KNOW		1. LLLLI, LLLI, I 7. UNWILLING TO ANS 8. DON'T KNOW	•	1. LLLL, LLLL, I 7. UNWILLING TO ANS 8. DON'T KNOW		1. LLLL, LLLL, 7. UNWILLING TO ANS 8. DON'T KNOW	
NT07a.	PROBING	1. ≥ 4 mil 2. < 4 mil 98. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 21. ≥ 2 mil 22. < 2 mil 28. DK	1. ≥ 4 mil 2. < 4 mil 98. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 21. ≥ 2 mil 22. < 2 mil 28. DK	1. ≥ 4 mil 2. < 4 mil 98. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 21. ≥ 2 mil 22. < 2 mil 28. DK	1. ≥ 4 mil 2. < 4 mil 98. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 21. ≥ 2 mil 22. < 2 mil 28. DK
NT08.	What was the approximate amount in rupiah of total expenses spent by the household for the business during the past 12 months?	1. L.L., L.L., L., C. 7. UNWILLING TO ANSW 8. DON'T KNOW		1. LLLL, LLL, L 7. UNWILLING TO ANSV 8. DON'T KNOW		1. LLLL, LLLL, L 7. UNWILLING TO ANSW 8. DON'T KNOW		1. LILL, LILL, L 7. UNWILLING TO ANS\ 8. DON'T KNOW	
NT08a.	PROBING	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK
		2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil
		98. DK	28. DK	98. DK	28. DK	98. DK	28. DK	98. DK	28. DK

BOOK II - 13 IFLS-East 2012

		nount in rupiah of products of your business on your business on sumed by the household nount in rupiah of products of your business on your business of your b		siness II	3. Bu	usiness III	4. Bus	siness IV	
NT09b.	What is the approximate amount in rupiah of products from your business consumed by the household in the last 12 months?			7. UNWILLING TO ANS	7. UNWILLING TO ANSWER		1. LILILI, LILILI, LILILI Rp.→NT09d 7. UNWILLING TO ANSWER 8. DON'T KNOW		⊥∟∟ Rp. →NT09d WER
NT09c.	PROBING	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. <u>≥</u> 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. <u>≥</u> 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK
		2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. \geq 2 mil 22. $<$ 2 mil	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. \geq 2 mil 22. < 2 mil
		98. DK	28. DK	98. DK	28. DK	98. DK	28. DK	98. DK	28. DK
NT09d.	What is the approximate amount of money out of the business enterprise that you used for the household in the last 12 months?	1. L.L.L., L.L.L., L. 7. UNWILLING TO ANSW 8. DON'T KNOW		1. LILLI, LILLI, L 7. UNWILLING TO ANS 8. DON'T KNOW	•	1. ∟⊥⊥, ∟⊥⊥, Rp.→NT09f 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. LLLL, LLLL, L 7. UNWILLING TO ANS 8. DON'T KNOW	•
NT09e.	PROBING	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. <u>≥</u> 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. <u>≥</u> 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK
		2. < 4 mil	21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil 28. DK
NT09f.	What was the approximate amount of money left over (money or saving) in the last 12 months?	98. DK 1. L, L, L 7. UNWILLING TO ANSW 8. DON'T KNOW	Rp. →NT10	98. DK 1. LIII, LIII, L 7. UNWILLING TO ANS 8. DON'T KNOW	Rp. →NT10	98. DK 1. LLLL, LLL, 7. UNWILLING TO AN 8. DON'T KNOW	Rp. →NT10	98. DK 1. LLLL, LLL, L 7. UNWILLING TO ANS 8. DON'T KNOW	Rp. →NT10
NT09g.	PROBING	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK	1. ≥ 4 mil	11. ≥ 8 mil 12. < 8 mil 18. DK
		2. < 4 mil	21. \geq 2 mil 22. $<$ 2 mil	2. < 4 mil	21. \geq 2 mil 22. $<$ 2 mil	2. < 4 mil	21. ≥ 2 mil 22. < 2 mil	2. < 4 mil	21. \geq 2 mil 22. $<$ 2 mil
		98. DK	28. DK	98. DK	28. DK	98. DK	28. DK	98. DK	28. DK

Now, we would like to ask your HH about assets that are being used for non-farm business only.

		1. Business I	2. Business II	3. Business III	4. Business IV
NT10.	Does this household own the following assets for this non-farm business?				
	INTERVIEWER'S NOTE: IF YES, ASK:				
	HOW MUCH IS THE VALUE OF []				
A.	Land	1. L, L, Rp. →ROW B 3. NONE →ROW B 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LIJ, LIJ, Rp. →ROW B 3. NONE →ROW B 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L, L, Rp. →ROW B 3. NONE →ROW B 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L, L, Rp. →ROW B 3. NONE →ROW B 7. UNWILLING TO ANSWER 8. DON'T KNOW
NT10Ap.	PROBING	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK
		2. < 20 mil 21. ≥ 10 mil 22. < 10 mil	2. < 20 mil 21. ≥ 10 mil 22. < 10 mil	2. < 20 mil 21. ≥ 10 mil 22. < 10 mil	21. ≥ 10 mil 22. < 10 mil
		28. DK 98. DK	28. DK 98. DK	28. DK 98. DK	28. DK 98. DK
B.	Building	1. L, L, Rp. →ROW C1 3. NONE →ROW C1 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LIJJ, LIJJ, Rp. →ROW C1 3. NONE →ROW C1 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L, L, Rp. →ROW C1 3. NONE →ROW C1 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L, L, Rp. →ROW C1 3. NONE →ROW C1 7. UNWILLING TO ANSWER 8. DON'T KNOW
NT10Bp.	PROBING	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK	11. ≥ 40 mil 12. < 40 mil 18. DK
		2. < 20 mil 21. ≥ 10 mil 22. < 10 mil	2. < 20 mil 21. ≥ 10 mil 22. < 10 mil	21. ≥ 10 mil 22. < 10 mil	21. ≥ 10 mil 22. < 10 mil
		28. DK 98. DK	28. DK 98. DK	28. DK 98. DK	28. DK 98. DK
C1.	Four-wheel motor vehicles	1,, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LIII, LIII, LIII Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
C4.	Other vehicles	1. LIII, LIII, LIII Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
H.	Other non-farm equipment	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW

Now we would like to ask about procurement, sale and lease/profit sharing of the equipment used for non-farm businesses in the last 12 months.

		1. Business I	2. Business II	3. Business III	4. Business IV
NT24.	What rupiah was the total procurement of goods used in business in the last 12 months?	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
NT25.	What rupiah was the total sale of the business in the last 12 months?	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L. Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW
NT26.	What rupiah was the total revenue of rents or shared profit of the goods used in the business in the last 12 months?	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW →NT01b COLUMN 2 / SECTION HR	1. LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW NT01b COLUMN 3 / SECTION HR	1. L_L_J, L_L_J, L_L_J Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW →NT01b COLUMN 4 / SECTION HR	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW →NT01b SUPLEMEN/SECTION HR

BOOK II - 16 IFLS-East 2012

Next, we would like to know about assets owned by you or by members of the household that are not used for a farm or non-farm business. Do not report assets that are used only or mostly for a business (NOT **INCLUDING RENT LAND OR CROP SHARE).**

INTERVIEWER CHECK: IF YOU HAVE AN ASSET THAT IS USED MOSTLY FOR A BUSINESS (FARM OR NON-FARM) BUT WAS NOT ALREADY INCLUDED IN UT OR NT, GO BACK TO UT OR NT AND CORRECT IT.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is	Who outside the household also owns []?	Which householders own []?	How many householders own []?	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own%
(HRTYPE)					owned by the house- holders?	(CIRCLE ALL THAT APPLY)	(CIRCLE ALL THAT APPLY)	(REFER TO ANSWER OF HR10)	(RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
A. House and land occupied by this household	3. No 1. Yes→ W ROW B	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER↓ 8. DON'T KNOW↓	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW B	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it []?							
		11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. > 10 mil							
		22. < 10 mil 28. DK							
		98. DK → HR05 ROW A							
B. Other house/ building (including land)	3. No 1. Yes→ ROW C	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER ↓ 8. DON'T KNOW ↓	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW C	1. A LL % B LL % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it []?							
		11. ≥ 40 mil 12. < 40 mil 18. DK							
		2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK							
		98. DK → HR05 ROW B							

HR08 AND HR10

A. Respondent

B. Respondent's wife/husband

D. Respondent's parents

C. Respondent's biological and in-law

E. Respondent's parents-in-law

F. Respondent's sibling

G. Respondent's brother/sister-in-law I. Respondent's grandchild/great grandchild M. Cousin

J. Grandparents K. Uncle/aunt L. Nephew/niece

P. Non family Q. Step/adopted child R. Family of spouse

U. Ex spouse

V. Others

A. Respondent B. Respondent's spouse

HR12:

IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%. IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN 100%.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
C. Land (not used for farm nonfarm)	3. No 1. Yes→ ROW D1	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER↓ 8. DON'T KNOW↓	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW D1	1. A LLU % B LLU % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it []?							
		11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK 98. DK							
D4 Daviller		→ HR05 ROW C							
D1. Poultry	3. No 1. Yes→ ROW D2	1. LILL, LILL, LILL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW D2	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
D2. Livestock/ fishpond	3. No 1. Yes→ ROW D3	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW D3	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
D3. Hard stem plant that not used for farm or non-farm business	3. No 1. Yes→ ROW E	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW E	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
E. Vehicles (cars, boats, bicycles, motorbikes)	3. No 1. Yes→ ROW F	1. LILLI, LLLI, LLLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW F	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
HR08 AND HR10 A. Respondent	E. R	espondent's parents-in-law J	. Grandparents P. Non family	V. Others	HR12: A. Respondent	o apolico			

B. Respondent's wife/husband
C. Respondent's biological and in-law

D. Respondent's parents I. Respondent's grandchild/great grandchild

F. Respondent's sibling
G. Respondent's brother/sister-in-law K. Uncle/aunt L. Nephew/niece M. Cousin

P. Non family Q. Step/adopted child R. Family of spouse U. Ex spouse

B. Respondent's spouse

IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%. IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN 100%.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
F. Household appliances (radio, tape recorder, tv, fridge, sewing or washing machine, VCD player, HP, etc.)	3. No 1. Yes→ ROW G	1. LIII, LIII, LIII Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW G	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
G. Savings/ certificate of deposit/ stocks	3. No 1. Yes→ ROW H	1. LIII, LIII, LIIIRp. 7. UNWILLING TO ANSWER 8. DON'T KNOW 1. LIII, LIII, LIII, LIIIRp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW H	1. A
		HR02p. Is it []?							
		11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK 38. DK → HR05 ROW G							
H. Receivables	2 No. 1 Vcc-		4	1. Yes → HR10		B C D	A B C D		
111111111111111111111111111111111111111	3. No 1. Yes→ ROW J	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LILLI, LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. No	Percent	E F G I J K L M P Q R U V	E F G I J K L M P Q R U V	Persons IF 1→ROW J	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
HR08 AND HR10 A. Respondent	E	. Respondent's parents-in-law	J. Grandparents P. Non family	V. Others		HR12: A. Respondent			

HR08 AND HR10					HR12:
A. Respondent	E. Respondent's parents-in-law	J. Grandparents	P. Non family	V. Others	A. Respondent
B. Respondent's wife/husband	F. Respondent's sibling	K. Uncle/aunt	Q. Step/adopted child		B. Respondent's spouse
C. Respondent's biological and in-law	G. Respondent's brother/sister-in-law	L. Nephew/niece	R. Family of spouse		IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%.
D. Respondent's parents	 Respondent's grandchild/great grandchild 	M. Cousin	U. Ex spouse		IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN
					100%.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
J. Jewelry	3. No 1. Yes→ ROW K1	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW K1	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it []?							
		11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK 98. DK → HR06 ROW J							
K1. Household Furniture and Utensils	3. No 1. Yes→ ROW K2	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→ROW K2	1. A % B % 6. Neither A nor B owns 8. DON'T KNOW
K2. Other assets	3. No 1. Yes→ HR16	1. LILL, LLL, LLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L., L., L., L., Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 1→HR16	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
HR08 AND HR10 A. Respondent B. Respondent's wife C. Respondent's biol	e/husband F.	Respondent's parents-in-law Respondent's sibling Respondent's brother/sister-in-law	J. Grandparents K. Uncle/aunt L. Nephew/niece Q. Step/adopted child R. Family of spouse	V. Others	HR12: A. Respondent B. Respondent'	•		THEIR ANSWERS SHOUI	LD SUM TO 100%.

HR08 AND HR10					HR12:
A. Respondent	E. Respondent's parents-in-law	J. Grandparents	P. Non family	V. Others	A. Respondent
B. Respondent's wife/husband	F. Respondent's sibling	K. Uncle/aunt	Q. Step/adopted child		B. Respondent's spouse
C. Respondent's biological and in-law	G. Respondent's brother/sister-in-law	L. Nephew/niece	R. Family of spouse		IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%.
D. Respondent's parents	Respondent's grandchild/great grandchild	M. Cousin	U. Ex spouse		IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN 100%.

BOOK II - 20 IFLS-East 2012

TYPE OF ASSETS	HR16.	HR17.		
(HR2TYPE)	What is/was the total value in rupiah of any [] purchased in the past 12 months?	What is the total value of any [] sold in the past 12 months?		
A. House occupied by this household	1. L,L,Rp.	1		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO SALES 7. UNWILLING TO ANSWER 8. DON'T KNOW		
B. Other house/building	1. LRp.	1. LRp.		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO SALES 7. UNWILLING TO ANSWER 8. DON'T KNOW		
C. Land (not used for farm or non-farm business)	1. L_L, L_L, Rp.	1. L,L,LRp.		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO SALES 7. UNWILLING TO ANSWER 8. DON'T KNOW		
E. Vehicles (cars, boats, bicycles, motorbikes)	1,Rp.	1. L,LRp.		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO SALES 7. UNWILLING TO ANSWER 8. DON'T KNOW		
J. Jewelry	1, Rp.	1. L, L Rp.		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW		
V. Other assets, not used for farm or non-farm business:	1,Rp.	1,Rp.		
	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW	3. NO PURCHASE 7. UNWILLING TO ANSWER 8. DON'T KNOW		

SECTION HI (NONLABOR INCOME)

Next we would like to ask about income received that all household members have received from other sources during the past 12 months.

SOURCE OF INCOME (HI2TYPE)	HI14. What is the total income you received from [] during the past 12 months?					
A. Pension/retirement funds	1, Rp. 3. Did not receive 8. DON'T KNOW					
B1. Government scholarship (cash)	1, Rp. 3. Did not receive 8. DON'T KNOW					
B2. Private scholarship (cash)	1, Rp. 3. Did not receive 8. DON'T KNOW					
C. Insurance Money	1, Rp. 3. Did not receive 8. DON'T KNOW					
D1. Winnings/Lottery (cash)	1, Rp. 3. Did not receive 8. DON'T KNOW					

BOOK II - 22 IFLS-East 2012

SECTION ND (NATURAL DISASTER)

Now we would like to ask about natural and disaster that your household may have experienced in the last 5 years.

ND01.	In the last 5 years, was there any natural or other disaster (including civil strife) in the area where you live? If yes, what type of disasters?	W→ND02a
		A B C D E F G H I J S
ND02.	Did any of the disaster was severe enough to cause death or major injuries of a household member, cause direct financial loss to the household, or cause household member to relocate?	No
ND02a.	In the last 5 years, if these households have experienced the things that cause economic disruption?	K L M N O Q R W
ND03.	INTERVIEWER CHECK ND01, ND02 AND ND02a: FILL IN THE NUMBER OF THE TYPE OF DISASTERS EXPERIENCED BY THE HOUSEHOLD IN ND01 AND FIT TO CONDITIONS IN ND02. ASK IF THERE MORE THAN ONE DISASTER IN THE SAME TIME, WRITE THE WORST ONE.	COLUMN IF ND03=0 → SECTION BH

INTERVIEWER NOTE: FILL OUT THE COLUMN ACCORDING TO THE DISASTER CIRCLED IN ND01. ONE COLUMN SHOULD ONLY BE FILLED OUT FOR ONE TYPE OF DISASTER. MAXIMUM 4 COLUMNS.

	TYPE OF DISASTER?				
	NDTYPE	1. 🖳	2. 🗀	3. 🗀	4. 🗀
ND04.	How many times has this household experienced [] in the last 5 years?	L_L_ times	LLL times	times	times
ND05.	When was the most severe [] in the last 5 years occurred?	Month Year	Month Year	Month Year	Month Year
ND06.	Beside that disaster/, what was the other disaster occurred at that time?	A B C D E F G H I J S W	A B C D E F G H I J S W	A B C D E F G H I J S W	A B C D E F G H I J S W
	PEWAWANCARA PERIKSA LINGKARI W JIKA NDTYPE= K, L, M, N, O, Q, R .	A B C D E F G H I J S W	A B C D E F G H I J S W	ABCDEFGHIJSW	A B C D E F G H I J S W
ND07.	How much of the household business assets (farm and non-farm) were lost because of []?	1,, Rp → ND09 6. NO HH BUSINESS → ND09 7. REFUSED TO ANSWER 8. DON'T KNOW	1,, Rp → ND09 6. NO HH BUSINESS → ND09 7. REFUSED TO ANSWER 8. DON'T KNOW	1, Rp → ND09 6. NO HH BUSINESS → ND09 7. REFUSED TO ANSWER 8. DON'T KNOW	1,, Rp → ND09 6. NO HH BUSINESS → ND09 7. REFUSED TO ANSWER 8. DON'T KNOW
ND08.	Was it []?	11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK	11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK

A. B.	KODE ND01, ND02a, ND06 Flood Landslide/mudslide	F. G.	Tsunami Windstorm		The death of Head of Household / main breadwinner Other Household Member Deaths	Q	failed Harvests
C.	Mudflow	Н.	Forest fire	M.	Serious illness suffered by KRT / main breadwinner who require hospital care or treatment of Periodical.	R	Reduction in income due to crop failure or a decrease in production rate
D.	Volcanic eruption	I.	Fire	N.	Suffered Serious illnesses that require treatment or hospital care Periodic Treatment	S	drought
E.	Earthquake	J.	Civil Strife	Ο.	Job loss or business failure experienced by Household Members	W	NONE

BOOK II - 23 IFLS-East 2012

SECTION ND (NATURAL DISASTER)

ND09.	How much of the non-business assets of the household that were lost because of []?	1,, Rp → ND11 7. REFUSED TO ANSWER 8. DON'T KNOW	1,, Rp → ND11 7. REFUSED TO ANSWER 8. DON'T KNOW	1,, Rp → ND11 7. REFUSED TO ANSWER 8. DON'T KNOW	1,, Rp → ND11 7. REFUSED TO ANSWER 8. DON'T KNOW
ND10.	Was it []?	11. ≥ 8 mil 12. < 8 mil 18. DK	11. ≥ 8 mil 12. < 8 mil 18. DK	11. ≥ 8 mil 12. < 8 mil 18. DK	11. ≥ 8 mil 12. < 8 mil 18. DK
		2. < 4 mil 21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil 21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil 21. ≥ 2 mil 22. < 2 mil 28. DK	2. < 4 mil 21. ≥ 2 mil 22. < 2 mil 28. DK
ND10a	INTERVIEWER CHECK: IF THE ANSWER IN NDTYPE = K, L, M, N, O, Q, R?	98. DK No3 →ND11 Yes1→Next Coloum	98. DK No3 → ND11 Yes 1→ Next Coloum	98. DK No3 → ND11 Yes 1→ Next Coloum	98. DK No3 →ND11 Yes 1→Section BH

SECTION ND (NATURAL DISASTER)

	TYPE OF DISASTER? NDTYPE	1. 🗀	2	3. 🗀	4. 🗀	
ND11.	Trac any mombor of the household	6. No One	6. No One	6. No One	6. No One	
	died/killed or lost because of []	1. Land household members	1. Landa household members	1. Landa household members	1. Land household members	
ND12.	Did any member of the household suffer	6. No One	6. No One	6. No One	6. No One	
	serious injury or illness because of []	1. Land household members	1. Landa household members	1. Landa household members	1 household members	
ND13.	What was the out of pocket medical cost and/or funeral cost that this household had to pay?	LIII, LIII, LIII Rp	LLLI, LLLI, LLLI Rp	LIII, LIII RP LIII, LIII RP		
ND14.	Was the house where you were living at the time the [] disaster damaged or destroyed?	 6. Not damaged → ND16 1. Lightly damaged 2. Heavily damaged 3. Destroyed 	 6. Not damaged → ND16 1. Lightly damaged 2. Heavily damaged 3. Destroyed 	 6. Not damaged → ND16 1. Lightly damaged 2. Heavily damaged 3. Destroyed 	 6. Not damaged → ND16 1. Lightly damaged 2. Heavily damaged 3. Destroyed 	
ND15.	Did you repair or rebuild your house?	No 3 Yes 1	No 3 Yes 1	No 3 Yes 1	No 3 Yes 1	
ND16.	Did you receive any assistance from government and non-government	W → ND18	W → ND18	W → ND18	W → ND18	
	organizations? (exclude family and friends) If yes, from whom?	A B C D E F G H	A B C D E F G H	A B C D E F G H	A B C D E F G H	
ND17.	What was the amount the assistance you received?	LIII, LIII, LIII Rp	LIII, LIIII, LIIII RP	LIII, LIII, LIII RP	LIII, LIII, LIII RP	
ND18.	After the [] disaster, did any member of your household spend any time living without housing, or in temporary housing?	No 3→ND04 NEXT COL/SECTION BH Yes 1	No3→ND04 NEXT COL/SECTION BH Yes1	No 3→ND04 NEXT COL /SECTION BH Yes 1	No3/ SECTION BH Yes1	
ND19.	Was this place a []?	ABCDEFGHI	ABCDEFGHI	ABCDEFGHI	ABCDEFGHI	
ND20.	For how long did the household member(s) live in the temporary housing?	1 01. Days	1 01. Days	1 01. Days	1 01. Days	
	IF THERE ARE MULTIPLE HHM AND THE LENGTH OF TIME LIVING IN TEMPORARY HOUSING ARE DIFFERENT, ASK ABOUT THE ONE WHO LIVED IN TEMPORARY HOUSING FOR THE LONGEST TIME	02. Weeks 03. Months 04. Years 6. Still living there	02. Weeks 03. Months 04. Years 6. Still living there	02. Weeks 03. Months 04. Years 6. Still living there	02. Weeks 03. Months 04. Years 6. Still living there	
ND21	Have you returned or do you expect to	1. Yes	1. Yes	1. Yes	1. Yes	
	return?	2. No, but plan to return				
		3. No, do not plan to return				
		→ ND04 KOLOM B / SECTION BH	→ ND04 KOLOM B / SECTION BH	→ ND04 KOLOM B / SECTION BH	→ SECTION BH	

KODE ND16:

- A. Central government
 B. Regional government
 C. Religious groups
 D. Political organizations
 E. Other domestic NGOs

- F. Private donorsG. Firms/corporationsH. Foreign government/NGO/donors
- W. Not received assistance

KODE ND19:

- Private home-family Private home-friend or neighbor
- Private home-Other
- C. D. E. Place of worship Offices/schools

- F. G. H. Camp site Barracks
- Tent outside the camp site
- Open space

BOOK II - 25 IFLS-East 2012

Now we would like to ask you about your loans from non-family or friends in the last 12 months.

	<u> </u>	1			
BH00.	Do you or any other household member know of a place where you can borrow money?	No3 Yes1			
BH01.	What type of place is this?	A B C D E F G H I J K L M			
	(CIRCLE ALL THAT APPLY)	N O Y V			
BH01a.	Do you know about the KUR (People's Business Credit)?	No			
		Yes1			
BH01b.	Do you or Other household member ever get a loan from KUR?	No			
		Yes1			
BH01c.	Who are getting KUR at household?	1 AR00 LL			
		2AR00 LLJ 3. AR00 LLJ			
BH01d.	Over the past 12 months, how many times you or household member get a loan from the KUR?	SAROU ——			
BH01e.	Over the past 12 months, how many rupiahs the amount of loans obtained you or household member of KUR?				
Biloie.	Over the past 12 months, now many Tupians the amount of loans obtained you of nousehold member of Non?	1			
		DON'T KNOW8			
BH01f.	When the last time you or other household member received a loan from the KUR?				
		MONTH / Year			
BH01g.	At the last moment to get a loan from the KUR, how many rupiahs the amount of loans obtained you or houshold	L Rp			
	member ?	DON'T KNOW8			
BH01h.	What these loans are used?				
BH02.	Did you or other member of the household try to borrow any money or goods from a source other than your family or	No			
	friends over the past 12 months?	Yes1			
BH03.	Which household member tried to borrow money or goods from a source other than your family or friends over the	A B C C1 D D1 E E1 F F1 G G1 I I1 J J1			
	past 12 months? (CIRCLE ALL THAT APPLY)	K K1 L L1 M M1 P P1 Q Q1 R R1 U U1			
		V			
BH04.	Were you or other member of the household turned down in your efforts to secure a loan over the past 12 months?	No			
BH05.	Which household member were turned down in the efforts to secure a loan over the past 12 months?	A B C C1 D D1 E E1 F F1 G G1 I I1 J J1			
	The state of the s	K K1 L L1 M M1 P P1 Q Q1 R R1 U U1			
		v			
BH06.	Who did turn down your/other household member efforts to secure a loan?	A B C D E F G H I J K L M			
	(CIRCLE ALL THAT APPLY)	NOYV			
	VALUATE THAT ALL ET				

BOOK II - 26 IFLS-East 2012

BL06a.	Why you did not manage to get the loan?	The number is too large			
BH07.	Were you or other member of the household successful in securing a loan in the past 12 months?	No			
BH08.	Which member of the household were successful in securing a loan in the past 12 months? (CIRCLE ALL THAT APPLY)	A B C C1 D D1 E E1 F F1 G G1 I I1 J J1 K K1 L L1 M M1 P P1 Q Q1 R R1 U U1 V			
BH09.	How many times did you or other member of the household borrow from a source other than your family or friends over the past 12 months?	L times			
BH10.	How much did you or other member of the household borrow from a source other than your family or friends over the past 12 months?	1,,Rupiah 8. DON'T KNOW			

Code for BH01, BH06 A. Private commercial bank B. Cooperative C. Government/semi-government bank D. Agricultural bank/SAPRODI	E. EmployerF. LandlordG. Store OwnerH. Non-government organization	I. Neighborhood associationJ. ArisanK. Small farmers group (<i>kelompok petani kecil</i>)L. Money lender	M. OfficeN. PawnshopO. Non-bank financial institutionV. OtherY. DON'T KNOW

Code for BH01h				
01. Birth	07. Medication	13. To buy land	To buy/repair Fishing nets	25. To buy or repair vehicle
02. Death	08. Education	14. To buy cattle	20. Material for cottage industry	26. Debt repayment
03. Marriage	09. Home renovation	To buy inputs for poultry	21. Capital for other businesses	27. Transport/travel
04. Dowry	10. To buy house	16. Fishing business	22. Daily expenses	95. Other
05. Social ceremony	To buy agriculture inputs (seeds, pesticides, etc.)	17. To buy/repair Becak (commercial tri-cycle)	23. Rotating credit association (Arisan)	
06. To buy household goods	12. To buy/repair agriculture equipment	18. To buy/repair Boat	24. To help HH members, family or friends	

Code BH03, BH05, BH08, BH13 AND BH15		
A. Respondent	G. Respondent's brother/	P. Non family male
B. The Spose of Respondent's	G1. Respondent's sister-in-law	P1 Non family female
C. Respondent's biological children and wife/husband Male	I. Grandchild male	Q. Adopted child male
C1. Respondent's biological children and wife/husband Female	I1. Grandchild female	Q1 Adopted child female
D. Respondent's Father	J. Grandfather	R. Family of spouse male
D1 Respondent's Mother	J1. Grandmother	R1 Family of spouse female
E. Respondent's father's-in-law	K. Uncle	U. Ex-husband
E1 Respondent's mother's-in-law	K1. Aaunt	U1 Ex-wife
F. Broters	L. Nephew	V. Others
F1. Sisters	L1. niece	
	M. Cousin male	
	M1 Cousin female	

BOOK II - 27 IFLS-East 2012

Now we would like to ask you about your largest loan in the last 12 months.

BH11.	How much was the largest loan that you or any household member received in the last 12 months?	Rp1 DON'T KNOW8								
BH12.	Was this largest loan co-borrowed by more than one household member?		No3 →BH14 Yes1					14		
BH13.	Which household members was coborrowers?	A F1	B G	C G1	C1 I	D I1	D1 J	E J1	E1	F
	(CIRCLE ALL THAT APPLY)	K Q1 V	K1 R	L R1	L1 U	M U1	M1	Р	P1	Q
BH14.	Was there any co-borrower from non household member?	No				16				
BH15.	Which non household member was coborrower?	A F1	B G	C G1	C1 I	D I1	D1 J	E J1	E1	F
	(CIRCLE ALL THAT APPLY)	K Q1 V	K1 R	L R1	L1 U	M U1	M1	Р	P1	Q

BH16.	Where did you receive the loan?	01	02	03	04	05	06	07	08	
		09	10	11		13	14	15	16	
		95								
BH16a	What is your reason by borrowing from	A.	Sir	nple a	dmini	stratio	n			
	[]?	B.	Ne	ed no	collat	eral				
	[]:	C.	C. Close distance							
		D. Low interest								
		E. Flexible time of return								
		F. Having refence from family/friend				d				
		V.	Oth	ner						
BH17.	How many months ago did you receive this	1. Months ago								
	loan?	2. Less than 1 month								
BH18.	What was the purpose of loan?									

KODE BH1	5:		
01.	Private commercial bank	09.	Neighborhood association
02.	Cooperative	10.	Arisan
03.	Government/semi-government bank	11.	Small farmers group (kelompok petani kecil)
04.	Agricultural bank/SAPRODI	13.	Money lender
05.	Employer	14.	Office
06.	Landlord	15.	Pawnshop
07.	Store Owner	16.	Non-bank financial institution
08.	Non-government organization	95.	Lainnya

	non goronmont organization		=====				
•							
KODE BH18:	(ODE BH18:						
01.	Birth	15.	To buy inputs for poultry				
02.	Death	16.	Fishing business				
03.	Marriage	17.	To buy/repair Becak (commercial tri-cycle)				
04.	Dowry	18.	To buy/repair Boat				
05.	Social ceremony	19.	To buy/repair Fishing nets ikan				
06.	To buy household goods	20.	Material for cottage industry				
07.	Education	21.	Capital for other businesses				
08.	Pendidikan	22.	Daily expenses				
09.	Home renovation	23.	Rotating credit association (Arisan)				
10.	To buy house	24.	To help HH members, family or friends				
11.	To buy agriculture inputs (seeds, pesticides, etc.)	25.	To buy or repair vehicle				
12.	To buy/repair agriculture equipment	26.	Debt repayment				
13.	To buy land	27.	Transport/travel				
14.	To buy cattle	95.	Other				

BOOK II - 28 IFLS-East 2012

BH19.	Did the loan have to be repaid by a particular date?	DON'T KNOW 8 →BH21 No 3 →BH21 Yes 1
BH20.	What was the duration (in months) of the payback period?	LLL Month
BH21.	How much the amount of loan payback (including interest)?	1,, Rp. 8. DON'T KNOW
BH22.	How much of the loan have you paid up till now?	1,, Rp. 8. DON'T KNOW
BH25.	In addition to cash, what kind of in-kind payments were made to repay the loan? (CIRCLE ALL THAT APPLY)	None W Labour B Crops C Assets D Other V
BH26.	What was given as collateral for this loan? (CIRCLE ALL THAT APPLY)	Nothing W Land B Gold C Crops D Homestead E Valuable Certificate/Document F Household appliances G Vehicle H Livestock I Other V

BOOK II - 29 IFLS-East 2012

SECTION CP (INTERVIEW SESSION NOTES)

EVALUATION FORM FOR BOOK 2

CP1.	WHO ELSE (OTHER PERSONS) BESIDES RESPONDENT WAS PRESENT DURING THE INTERVIEW? ANSWER MAY BE MORE THAN ONE. A. NO ONE B. A CHILD 5 YEARS OLD OR UNDER C. A CHILD OLDER THAN 5 YEARS OLD D. HUSBAND/WIFE E. AN ADULT, A HOUSEHOLDER F. AN ADULT, NOT A HOUSEHOLDER	CP2.	WHAT IS YOUR EVALUATION OF THE ACCURACY OF RESPONDENT'S ANSWERS? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD	СРЗ.	WHAT IS YOUR EVALUATION ON THE SERIOUSNESS AND ATTENTIVENESS OF THE RESPONDENT? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD
CP4.	WHAT QUESTIONS DID RESPONDENT FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP5.	WHAT QUESTIONS DID INTERVIEWER FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP6.	WHAT QUESTIONS DID RESPONDENT SEEM INTERESTED IN?
NOTES					

BOOK II - 30 IFLS-East 2012

INTERVIEW	/ER :							
		INDO	NESIA FAMILY	LIFE	SURVEY EAST 2012			
					IIIA			
		SEC	Respondent is ar		PK, BR, MG, TK, RE, TR, CP 15 years or older			
		WHO COMPLETED ROSTER AR:	PID	_	FILLED OUT BY INTERVIEWER FOR BOOK ONS FOR RESPONDENT:	III	00. Indonesian 01. Javanese	GE CODE
COV1. RESF		Head of Household (AR02b=01) Spouse of Household (AR02b=02) Other Householder	1 2	COV3.	· ·	Divorced4 Widow/er5	02. Sundanese 03. Balinese 04. Batak 05. Bugis 06. Chinese 07. Maduranese	
COV1b. Do yo u A. ID	u have a : Card (KTP)	Yes, can show ID card Yes, cannot show ID card Do not have ID		COV5.	Separated 3 Sex: Male 1 Female 3		08. Sasak 09. Minang 10. Banjar 11. Bima 12. Makassar 13. Nias	
	iver's License (SIM) ssport	1. Yes 3. No 1. Yes 3. No		COV6.	Date of Birth:	Month Year	14. Palembang 15. Sumbawa 16. Toraja	
# INTERVIEW S	SESSIONS OF BOOK IIIA	ı: ∟ (NUMVIS)		-1			17. Lahat 18. Other South Suma	atra
INTERVIEW	1	2	3	CK1.	Interview was entirely/mostly conducted in w	hat language?	19. Betawi 20. Lampung	
DATE:	DAY MONTH YEAR		DAY MONTH YEAR		U Other		96. NO OTHER 95. Other	
TIME STARTED:	HOUR/MINUTE	HOUR/MINUTE	HOUR/MINUTE	CK2.	Other language used (if any):			
TIME FINISHED:	HOUR/MINUTE	HOUR/MINUTE	HOUR/MINUTE		Other			
C1. INT	ERVIEW OF BOOK IIIA	C2.REASON CODE FOR	ANSWER "3"/"2" ON C1		C3.EDITOR REVIEW	C4. SUPERVISOR	MONITORING	
 Completed → Partially comp Not completed 	oleted	1. Respondent was not at hom 2. Respondent was seriously il 3. Respondent refused (to be in 5. Other:	l nterviewed)	2. Entere 4. Manua 3. Entere	ed, no corrections necessary ed AND corrected al edit without CAFÉ ed, but not corrected, in:	a. Observedb. Editedc. Verified	1	No 3 3 3
		1		ı		I .		

CONFIDENTIAL

EDITOR

The following questions pertain to your education.

The follow	ing questions pertain to your educa	ition.								
DL01a.	What languages do you speak in your daily life at home?	Indone	se					. A		
	(CIRCLE ALL THAT APPLY)	Sunda								
	(OINCLE ALL INAL AFFEL)	Batak.								ļ
		Bugis.								ļ
		Chines								ļ
		Madura								ļ
		Sasak						-		ļ
		Minang								ļ
		Banjar								ļ
		Bima						. L		ļ
		Makas								ļ
		Nias								ļ
		Palemb								ļ
		Sumba								ļ
		Toraja								ļ
		Lahat . Other 9								
		Betawi								
		Lampu								
		Other_						٧		
DL01f.	What is your ethinicity?	Α	В	С	D	E	F	G	Н	
DE011.	wriat is your ethinicity?		J	K	L	M	N	0	P	
	(CIRCLE ALL THAT APPLY)	Q	R	S	T	U	A1	B1	C1	
	(CINCLE ALL THAT APPLT)	D1	E1	F1	G1	V	Ai	Β,	01	
DL01g.	What is your father's ethinicity?	Α	В	С	D	Е	F	G	Н	
		I	J	K	L	M	N	0	Р	
	(CIRCLE ALL THAT APPLY)	Q	R	S	Т	U	A1	B1	C1	
		D1	E1	F1	G1	V				ļ
DL01h.	What is you rmother's ethinicity?	Α	В	С	D	Е	F	G	Н	
		ı	J	K	L	М	N	0	Р	
	(CIRCLE ALL THAT APPLY)	Q	R	S	Т	U	A1	В1	C1	
		D1	E1	F1	G1	V				
L		<u> </u>								

CODE DL01f, DL01g,	DL01h				
Jawa	A	Bima-Dompu	K	Ambon	U
Sunda		Makassar	L	Manado	A1
Bali		Nias	M	Aceh	B1
Batak	D	Palembang	N	Other South Sumatera	C1
Buais		Sumbawa	O	Banten	D1
Tionghoa	F	Toraja	P	Cirebon	E1
Madura	G	Betawi	Q	Gorontalo	F1
Sasak	H	Dayak	R	Kutai	G1
Minang		Melayu	S	Other	V
Banjar		Komering	T		

T										
DL01e.	Which ethnical group is primarily influential in daily activities of your	01	02	03	04	05	06	07	08	
	household?	09	10	11	12	13	14	15	16	
	nodomora.	17	18	19	20	21	22	23	25	
		26	27	28	29	95_				
DL02.	Can you read an Indonesian- language newspaper?	Yes No								
DL02a.	Can you read a newspaper in another language?	Yes No								
DL03.	Can you write a letter in Indonesian?	Yes No					3			
DL03a.	Can you write a letter in another language?	Yes No					3			
DL3b	Do you have cell phone?	Yes No						→DL3c	d	
DL3c	What do you ussually use the cell phone for?	A.Priva B.Bussi C.Text D.Emai E.Socia F.Mobil G.Trans H. ente	iness (Messa I Il Medi e Bank sfer ph	Convers ge a (chat king one mi	sation ting,fac				TV, Radio),MP3)
DL3d	Do you have internet access?	No Yes						→DL04	4	
DL3e	Where do you get internet access?	A.Com B.Com C.Com D.Com E.Hand V.Othe	puter a puter a puter a puter a	it schoo at place at Interr	ol of wor					
DL04.	Have you ever attended/are you attending school?	No Yes						→DL05	5 b	

CODE DL01e					
Jawa	01	Bima-Dompu	11	Ambon	21
Sunda	02	Makassar	12	Manado	22
Bali	03	Nias	13	Aceh	23
Batak		Palembang	14	Other South Sumatera	24
Bugis	05	Sumbawa	15	Banten	25
Tionghoa		Toraja	16	Cirebon	26
Madura	07	Betawi	17	Gorontalo	27
Sasak	08	Dayak	18	Kutai	28
Minang		Melayu	19	Other	95
Banjar		Koméring			

BOOK IIIA - 2 IFLS-East 2012

1			
DL06.	What is the highest education	ELEMENTARY02	-
	level attended?	JUNIOR HIGH GENERAL03	
		JUNIOR HIGH VOCATIONAL04	ŀ
	[NOTE TO INTERVIEWER: IF THEY	SENIOR HIGH GENERAL05	;
	ARE CURRENTLY ATTENDING	SENIOR HIGH VOCATIONAL06	;
	SCHOOL, RECORD THE LEVEL THEY	COLLEGE (D1, D2, D3)60)
	ARE CURRENTLY ATTENDING]	UNIVERSITY (BACHELOR)61	
		UNIVERSITY (MASTER)62	<u>)</u>
		UNIVERSITY (DOCTORATE)63	}
		ADULT EDUCATION A11	
		ADULT EDUCATION B12	-
		ADULT EDUCATION C15	j
		OPEN UNIVERSITY13	3
		ISLAMIC SCHOOL (PESANTREN)14	
		SCHOOL FOR DISABLED17	,
		ISLAMIC ELEMENTARY SCHOOL (MADRASAH IBTIDAIYAH)72	-
		JUNIOR/HIGH SCHOOL (MADRASAH TSANAWIYAH)73	3
		ISLAMIC SENIOR HIGH SCHOOL (MADRASAH AALIYAH)74	
		KINDERGARTEN90)
		DON'T KNOW98	\$
		OTHER:95	j
DL07.	What is the highest grade	Did not complete first grade at that level 00)
	completed at that school?	1	5
		2	6
		3 03 Graduated 07	7
		4	В
DL05a.	At what age did you first attend		
	the elementary school?	L_L_I Age	
DL05b.	Did you attend a kindergarten?	No	
	,	Yes 1	
		100	
DL05c.	At what age did you first attend	L_L_I Age	
	the kindergarten?	L Aye	
DL05d.	Did you attend a playgroup,	No	
	PAUD?	Yes 1	

BOOK IIIA - 3	IFLS-East 2012

DL05e. At what age did first you attend the L_____ Age playgroup? DL05. **INTERVIEWER CHECK COV3:** RESPONDENT'S AGE ≥50 YEARS......1 → SECTION SW RESPONDENT'S AGE < 50 YEARS.....3 INTERVIEWER CHECK DL04: (EVER DL04=3..... 3 → SECTION SW DL05f. **/CURRENTLY ATTEND SCHOOL)** DL06x. **INTERVIEWER CHECK DL06: 14** NO......3 (PESANTREN)? YES......1→ SECTION SW No......3 **→ DL08b DL07a.** Are you currently attending school? Yes.....1 DL07aa. How many effective hours did you attend your school last week or the last week the school was in session? L____ hours (NOT INCLUDING BREAKS) DL08b. **INTERVIEWER CHECK DL06:** ELEMENTARY......1 **HIGHEST LEVEL OF SCHOOLING** JUNIOR HIGH2 ATTENDED/CURRENTLY ATTENDING SENIOR HIGH......3 D1, D2, D3, UNIVERSITY4 INTERVIEWER CHECK DL08b AND DL09b. L___ columns WRITE DOWN THE NUMBER OFCOLUMNS ACCORDING TO THE **HIGHEST LEVEL OF SCHOOLING** COMPLETE DL10-DL16j FOR EACH LEVEL OF SCHOOLING EVER ATTENDED

Now we want to ask about your education history.

	School Level (DL2TYPE)	1. Elementary	2. Junior High	3. Senior High	4. D1, D2, D3//University
DL10.	What is the school level you	Elementary02	Junior high general03	Senior high general05	College (D1, D2, D3)60
	attended or you are still attending?	Adult Education A11	Junior high vocational04	Senior high vocational06	University (BA)61
		School for Disabled17	Adult Education B12	Adult Education C15	University (MA)62
		Madrasah Elementary72	School for Disabled17	School for Disabled17	University (PhD)63
		Other95	Madrasah Junior High School73	Madrasah Senior High School 74	Open University13
			Other95	Other95	Other95
DL11.	Under whose administration is/was	Public non-religious01	Public non-religious01	Public non-religious01	Public non-religious01
	the school?	Public religious02	Public religious02	Public religious02	Public religious02
		Private non-religious03	Private non-religious03	Private non-religious03	Private non-religious03
		Private Islam04	Private Islam04	Private Islam04	Private Islam04
		Private Catholic05	Private Catholic05	Private Catholic05	Private Catholic05
		Private Protestant and others06	Private Protestant and others06	Private Protestant and others 06	Private Protestant and others06
		Private Buddhist08	Private Buddhist08	Private Buddhist08	Private Buddhist08
		Other95	Other95	Other95	Other95
L11aa.	Have you been following adult	YES1	YES1	YES 1	
	education A, B or C?	NO3	NO3	NO3	
L16xa.	INTERVIEWER CHECK DL06 AND	NO3→DL16j	NO	NO3→DL16j	NO3→DL16j
	DL07a: CURRENTLY IN SCHOOL	1	_	-	-
	AT THIS [] LEVEL?	YES1	YES1	YES 1	YES1
DL16f.	What is the name and address of the	1. Name : 8. DK	1. Name : 8. DK	1. Name : 8. DK	1. Name : 8. DK
	school?	1	1	1	1
		1. Address: 8. DK	1. Address: 8. DK	1. Address: 8. DK	1. Address: 8. DK
	1. Specify	1	1	1	1
	3. Same as current residence				
	8. DON'T KNOW (DK)				
		1. Loc. Note: 8. DK	1. Loc. Note: 8. DK	1. Loc. Note: 8. DK	1. Loc. Note: 8. DK
		1	1	1	1
		A. Vill: 1	A. Vill: 1	A. Vill: 1.	A. Vill: 1
		3. Same 8. DK	3. Same 8. DK	3. Same 8. DK	3. Same 8. DK
		B. Kec: 1.	B. Kec: 1	B. Kec: 1.	B. Kec: 1
		3. Same 8. DK	3. Same 8. DK	3. Same 8. DK	3. Same 8. DK
		C. Kab: 1.	C. Kab: 1.	C. Kab: 1.	C. Kab: 1.
		3. Same 8. DK	3. Same 8. DK	3. Same 8. DK	3. Same 8. DK
		D. Prov: 1.	D. Prov: 1.	D. Prov: 1.	D. Prov: 1.
		3. Same 8. DK	3. Same 8. DK	3. Same 8. DK	3. Same 8. DK
		CODE CF LLL LL LLL	CODE CF	CODE CF	CODE CF
DL16j.	Approximately how much time does	1. ————	1 [1. L.	1
	it take to make a one-way trip to the			1 1	
	school, now/in your last year of	Minute1	Minute1	Minute1	Minute 1
	school at this level.	Hour2	Hour2	Hour2	Hour2
		8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW

DRT I	\Box		NO URUT A	RT LLL

BOOK IIIA - 4 IFLS-East 2012

DL16xb. INTERVIEWER CHECK	RESPONDENT AGE ≥302→DL16xc
	RESPONDENT AGE <303→COMPLETE DL16a-DL16i FOR ALL LEVELS OF SCHOOLING EVER ATTENDED

	School Level (DL2TYPE)	1. Elementary	2. Junior High	3. Senior High	4. D1, D2, D3//University
DL16a.	Have you ever taken the EBTANAS/UAN/UN exam at [] level?	No	No	No	
DL16b.	Can you show us the official record of your EBTANAS/UAN/UN score (DANEM)?	Yes 1 No 3	Yes 1 No 3	Yes 1 No 3	
	INTERVIEWER NOTE: EBTANAS/UAN/UN SCORES SHOULD BE COPIED FROM THE OFFICIAL RECORD (DANEM)?UAN/UN				
DL16c.	What month and year did you take the EBTANAS/UAN/UN []?	1. LLL / LLLL Month Year	1. LLL / LLLL Month Year	1. LLJ / LLJ J Month Year	
		8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	
DL16c1.	INTERVIEWER CHECK DL16a: EBTANAS/UAN/UN	EBTANAS 1 UAN/UN 2	EBTANAS1 UAN/UN2	EBTANAS 1 UAN/UN 2	
DL16c2	Number of subjects tested in the national exam (EBTANAS/UAN/UN) for the [] school level:				
DL16d.	What was your ebtanas score for the following subjects: (If the respondent shows you official record (<i>DANEM</i>) copy from danem, if you cannot see official record (<i>DANEM</i>) ask the respondent for their score).				
	A. Moral and Civic Education from the nation's five principal/ <i>Pancasila</i> (PMP/PPKn)	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	B. Indonesian	1 6 . NA	1 6. NA	1 6.NA	
		8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	
	C. English	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	D. Math	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	

	School Level (DL2TYPE)	1. Elementary	2. Junior High	3. Senior High	4. D1, D2, D3//University
	E. Science	1 6. NA	1 6.NA		
		8. DON'T KNOW	8. DON'T KNOW		
	I. Social studies	1 6. NA	1 6. NA		
		8. DON'T KNOW	8. DON'T KNOW		
	F. Biology			1 6 . NA	
				8. DON'T KNOW	
	G. Chemistry			1 6 . NA	
				8. DON'T KNOW	
	H. Physics			1 6 . NA	
				8. DON'T KNOW	
	J. Economics			1 6 . NA	
				8. DON'T KNOW	
	K. Sociology			1 6 . NA	
				8. DON'T KNOW	
	L. Anthropology			1 6 . NA	
				8. DON'T KNOW	
	M. Government			1 6 . NA	
				8. DON'T KNOW	
	N. Accounting			1 6 . NA	
				8. DON'T KNOW	
	T. Total score for other subjects not	1 6.NA	1 6 . NA	1 6 . NA	
	listed above:	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	
DL16e.	Total EBTANAS/UAN/UN	1	1	1	
		8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	
DL16g.			-	-	
	attend school each day now/in your last year at school?				
	FILL IN '96' IF THE RESPONDENT IS COMPLETING THEIR THESIS, ETC.	Hours/Day	Hours/Day	Hours/Day	Hours/Day
DL16i.	· · · · · · · · · · · · · · · · · · ·	L_L_l Person(s) 1	L_L_J Person(s) 1	L_L_J Person(s) 1	L_L_l Person(s) 1
	year of school attended at this level?	DON'T KNOW 8	DON'T KNOW 8	DON'T KNOW 8	DON'T KNOW 8
		→ DL16a NEXT COLUMN/DL16xc	→ DL16a NEXT COLUMN/DL16xc	→ DL16a NEXT COLUMN/DL16xc	→ DL16xc

BOOK IIIA - 6 IFLS-East 2012

DL16xc. INTERVIEWER CHECK DL06	columns Write DOWN THE NUMBER OF COLUMNS ACCORDING TO LEVELS OF SCHOOLING EVER ATTENDED

	School Level (DL2TYPE)			1. E	lementa	ry				2. Ju	ınior Hig	gh				3. Se	enior Hig	jh_			4. 1	D1, D2,	D3//Univ	ersity	
DL11a.	When did you first attended	1. Ye	ar: 📖	للل	→ DL	11c		1. Ye	ar: L		→ DL1	11c		1. Ye	ear: L_		→ DL1	I1c		1. Ye	ear: 📖		_J → DL1	1c	
	schooling at this level ?	8. D0	ON'T KI	NOW				8. D	ON'T K	NOW				8. D	ON'T K	(NOW				8. D	ON'T K	NOW			
DL11b.	At what age did you first attended schooling at this level?	L_L	_ yea	rs old					_ yea	ars old					ye	ars old				L_L	yea	ırs old			
DL11c.	What is the highest grade you have ever/are currently enrolled in at this level?	1 2 3 4 5 6				02 03 04 05	DL11f	1 2 3				01 02 03	DL11f	1 2 3				01 02 03	DL11f	Year Year Year Year Year Year	1 2 3 4 5 6			01 02 03 02 03	→ DL11f
DL11d.	Did you completed this level of schooling []?	Yes Still in	n schoo	l		1 → 6 →		Still i	n schoo	ol lo		6 >	DL11f DL13	Still	in scho	ol		6 → I		Yes . Still i	n schoo	 ol		1 - 6 -	
DL11e.	Why did you leave this level of schooling?	G V	B H	C	D K	E L	F M	G V	B H	C I	D K	E L	F M	G V	B H	C	D K	E L	F M	G V	B H	C	D K	E L	F M
Could not a	le elping to earn incomeB afford				Not adm	itted at sc	hool		F			Scho	ol had no t ol closed/ru n't want to	uined			.1		Marria	ge				1	
	School Level (DL2TYPE)			1. E	lementa	ry				2. Jı	unior Hiç	gh				3. S	enior Hi	gh			4.	D1, D2,	D3//Uni	versity	1

	School Level (DL2TYPE)	1. Elementary	2. Junior High	3. Senior High	4. D1, D2, D3//University
DL11f.	When did you leave/graduate	1. Year ∟ ⊥ ⊥ ⊥ → DL13	1. Year <u> </u>	1. Year <u> </u>	1. Year <u> </u>
	from this [] level of schooling?	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW
DL11g.	At what age did you				
	leave/graduate from this []	∟ years old	L years old	years old	L years old
	level of schooling?				
DL13.	Have you ever failed a grade	No3 →DL14a	No 3 →DL14a	No3 →DL14a	
	at [] school ?	Yes1	Yes1	Yes1	
DL14.	What grades have you failed and how many times did you	Class Number of Class Number of repeats repeats	Class Number of repeats	Class Number of repeats	
	repeat that grade?	A. 1 ☐ times D. 4 ☐ times	A. 1 Limes	A. 1	
	CIRCLE ALL THAT APPLY	B. 2 ☐ times E. 5 ☐ times	B. 2	B. 2	
		C. 3 ☐ times F. 6 ☐ times	C. 3 Limes	C. 3	

	School Level (DL2TYPE)	1. Elementary	2. Junior High	3. Senior High	4. D1, D2, D3//University
DL14a.	When you are at this [] school level, did you ever leave school for 4 consecutive weeks or more, including not enrolling in a full year?	1. Yes →DL14b 3. No	1. Yes →DL14b 3. No	1. Yes →DL14b 3. No	1. Yes →DL14b 3. No
DL14aa	When you are at this [] school level, did you ever leave school for 2 consecutive weeks or more, including not enrolling in a full year?	3. No →DL15 1. Yes	3. No →DL15 1. Yes	3. No →DL15 1. Yes	3. No →DL15 1. Yes
DL14b.	How many times did the school disruptions occur?	Class Number of disruptions	Class Number of disruptions A. 1 Limes B. 2 Limes C. 3 Limes	Class Number of disruptions A. 1	Year Number of disruptions A. 1
DL14c.	When did the school disruptions occur? (IF MORE THAN 3 TIMES, WRITE THE THREE LONGEST)	A. LIII / LIIII to LIII / LIIII HONTH / Year B. LIII / LIIII to LIII / LIIII HONTH / Year Month / Year Month / Year C. LIII / LIIII to LIII / LIIII HONTH / Year Month / Year Month / Year	A. LII / LIII to LII / LIII Month / Year B. LII / LIII to LII / LIII Month / Year Month / Year Month / Year C. LII / LIII to LII / LIII Month / Year	A. LII / LIII to LII / LIII Month / Year B. LII / LIII to LII / LIII Month / Year Month / Year Month / Year C. LII / LIII to LII / LIII Month / Year	A. L/ L to L/ L Month / Year Month / Year B. L/ L to L/ L Month / Year Month / Year C. L/ L to L/ L Month / Year Month / Year
DL14d.	Why did the school disruption occur?	B C D E F G H I K L M V	B C D E F G H I K L M V	B C D E F G H I K L M V	B C D E F G H I K L M
DL15.	While attending [] school, did you work?	Yes	Yes	Yes	Yes
Could not a	Id elping to earn incomeB office Control Contro	Not able to study Not admitted at school Sick or disabled	F School closed/ruii	ned Marri	at homeL ageM sV

BOOK IIIA - 8 IFLS-East 2012

We would like to ask about school-related expenses for the previous school year.

DL30.	Did you a	attend school in the previous school year (starting 2010/2011) ?			No
		DL31TYPE			
DL31a	What wei	re your (approximate) school-related expenses during the 2010/2011 school year? Did you spen	d money	for:	DL31b. Please give your best estimate of the amount you spent.
•			3. No	1. Yes	
	T.	Total (Fees, supplies, transportation, pocket money, other)	3 ₩	1 →	,,Rp.
	A.	School Fees			
	,	1. Registration	3 ♥	1 →	LII, LIII, Rp. → DL31bx. How much is the tuition if you have to pay in full?
					,,Rp.
		2. Tuition and other scheduled fees	3 ♥	1 →	,,Rp.
		3. Exams	3 ♥	1 →	,,Rp.
	B.	School supplies			
		Books and writing supplies	3 ♥	1 →	,,Rp.
		2. Uniform and sports	3 ♥	1 →	,,Rp.
	C.	Transportation and Pocket Money			
		1. Transportation	з ↓	1 →	,,Rp.
		2. Housing costs, food	3 ♥	1 →	,,Rp.
		3. Special courses	3 ♥	1 →	 ,, Rp.
	V.	Other:	3 ₩	1 →	,,,,
DL40.	Did [NAM	ME] receive any books from the school during the 2009/2010 school year?			Yes, for him/herself A
	(CIRCLE	ALL THAT APPLY)			Yes, to share
DL41.	Did the o	chool reduce [NAME] Committee fees or other fees during the 2009/2010 school year?			Yes
<i>D</i> L41.	טוט נוופ א	chool reduce [NAINE] Committee lees of other lees during the 2009/2010 school year?			No
DL42.		ME] receive assistance for school costs from GNOTA, School Committee, government, communit or family (outside HH), or other?	y groups,	religious	No

BOOK IIIA - 9 IFLS-East 2012

DL43.	From what source was this assistance, and what was the total value? (CIRCLE ALL THAT APPLY)	
	T. Total	т. _,
	A. GNOTA	A,Rp.
	C. Government (other than BOS)	С,
	D. Community Group	D,
	E. Religious Group	E,
	F. Family	F,
	I. School Committee	I,
	J. BOS/BKM Fund	J,
	K. Foreign government/foundation/individual	К,
	L. Domestic Non-Government Institution	L,Rp.
	L1. Aid for poor students	L1,Rp.

BOOK IIIA - 10 IFLS-East 2012

DL31c.	INTE	RVIEWER CHECK:			Respondent not in school (DL07a = 3)
DL44a.	What money	were your (approximate) school-related expenses during the past month? D	id you sp	end	DL44b. Please give your best estimate of the amount you spent.
			3. No	1. Yes	
	Т	Total (Fees, supplies, transportation, pocket money, other)	3 ₩	1 →	,
	A.	School Fees 1. Registration	3 ₩	1 →	<u></u> , <u></u> , <u></u> Rp.
		2. Other scheduled fees	3 ♥	1 →	L_L_J, LL_J, Rp. →DL44bx . How much is the tuition if you have to pay in full?
		3. Exams	3 ₩	1 →	,
	B.	School supplies			
		Books and writing supplies	3 ₩	1 >	,
		2. Uniform and sports	3 ♥	1 →	,
	C.	Transportation and Pocket Money 1. Transportation	3 ₩	1 →	,
		2. Housing costs, food	3 ₩	1 →	,,
		3. Special courses	3 ₩	1 →	,,
	V.	Other:	3 ₩	1 →	,,

BOOK IIIA - 11 IFLS-East 2012

SECTION SW (SUBJECTIVE WELLBEING)

We would like to know your opinion on the quality of your life.

SW01.	Please imagine a six-step ladder where on the bottom (the first step), stand the poorest people, and on the highest step (the sixth step), stand the richest people. On which step are you today?						Richest	
		1	2	3	4	5	6	
		8. DON'T	KNOW					
SW02.	On which step were you five years ago?	Poorest					Richest	
		1	2	3	4	5	6	
		8. DON'T	KNOW					
SW03.	On which step do you expect to find five years from now?						Richest	
		1	2	3	4	5	6	
		8. DON'T	KNOW					
SW12.	Taken all things together how would you say things are these days - would you say you were very	Very happy	y				1	
	happy, pretty happy, or not too happy?	Нарру					2	
		Unhappy					3	
		Very unhar	ору				4	

BOOK IIIA - 12 IFLS-East 2012

HR00a.	INTERVIEWER CHECK: DID RESPONDENT ALREADY ANSWER BOOK II?	YES
HR00b.	Are you currently married?	No

Next, we would like to know about assets owned by you or by members of the household but not used for a business. Do not report assets used mostly or only for a business.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
A. House and land occupied by this household	3. No 1. Yes→ ROW B	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER↓ 8. DON'T KNOW↓	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	L_L_I Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW B	1. A
		HR02p. PROBING 1. ≥ 20 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK 98. DK → HR05 ROW A							
B. Other house/ building (including land)	3. No 1. Yes→ ROW C	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER↓ 8. DON'T KNOW↓	1. LILLI, LILLI, LILLI Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW C	1. A % B % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. PROBING 1. ≥ 20 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK → HR05 ROW B							

HR08 AND HR10

- A. Respondent
- B. Respondent's wife/husband
- C. Respondent's biological and in-lawD. Respondent's parents
- E. Respondent's parents-in-law
- F. Respondent's sibling
 G. Respondent's brother/sister-in-law
 I. Respondent's grandchild/great grandchild
- J. Grandparents
 K. Uncle/aunt
 L. Nephew/niece

M. Cousin

P. Non family V. Others Q. Step/adopted child

R. Family of spouse

U. Ex spouse

V. Others

HR12: A. Respondent

B. Respondent's spouse

IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%.
IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN 100%.

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how
C. Land (not used for farm nonfarm, or house)	3. No 1. Yes→ ROW D1	1. LILLI, LILLI, LILLI Rp. → 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW D1	much is owned by your spouse? 1. A % B % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it [] 11. ≥ 40 mil 12. < 40 mil 18. DK 2. < 20 mil 21. ≥ 10 mil 22. < 10 mil 28. DK 98. DK → HR05 ROW C							
D1. Poultry	3. No 1. Yes→ ROW D2	1. LLLL, LLLL, LLLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW D2	1. A % B % 6. Neither A nor B owns 8. DON'T KNOW
D2. Livestock/ fishpond	3. No 1. Yes→ ROW D3	1. LLLL, LLLL, LLLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW D3	1. A
D3. Hard stem plant that not used for farm or non-farm business	3. No 1. Yes→ ROW E	1. LLLL, LLLL, LLLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW E	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
E. Vehicles (cars, boats, bicycles, motorbikes)	3. No 1. Yes→ ROW F	1. LLLL, LLLL, LLLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW F	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
C. Respondent's biolo									

		HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
	TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
	Household appliances (radio, tape recorder, tv, fridge, sewing or washing machine, VCD player, HP, etc.)	3. No 1. Yes→ ROW G	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW G	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
G.	Savings/ certificate of deposit/ stocks	3. No 1. Yes→ ROW H	1. LLLL, LLLL, LLLL Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW H	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
			HR02p. Is it [] 11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK 98. DK → HR05 ROW G							
H.	Receivables	3. No 1. Yes→ ROW J	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. LLLL, LLLL, LLLL, Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW J	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW

HR08 AND HR10					HR12:
A. Respondent	E. Respondent's parents-in-law	J. Grandparents	P. Non family	V. Others	A. Respondent
B. Respondent's wife/husband	F. Respondent's sibling	K. Uncle/aunt	Q. Step/adopted child		B. Respondent's spouse
C. Respondent's biological and in-law	G. Respondent's brother/sister-in-law	L. Nephew/niece	R. Family of spouse		IF A AND B ARE THE ONLY OWNERS IN HOUSEHOLD, THEIR ANSWERS SHOULD SUM TO 100%.
D. Respondent's parents	 Respondent's grandchild/great grandchild 	M. Cousin	U. Ex spouse		IF MORE HH MEMBERS THAN A AND B ARE OWNERS, THE ANSWERS OF A AND B SHOULD SUM TO LESS THAN 100%.

BOOK IIIA - 15 IFLS-East 2012

	HR01.	HR02.	HR05.	HR06.	HR07.	HR08.	HR10.	HR11.	HR12.
TYPE OF ASSETS (HRTYPE)	Do you or does any other member of the household own []?	What is the total value of [] at present?	What is the total income from the rent/lease/interest/ profit sharing of [] in the past 12 months?	Is the entire [] owned by the householders?	What is the percentage share of [] that is owned by the householders?	Who outside the household also owns []? (CIRCLE ALL THAT APPLY)	Which householders own []? (CIRCLE ALL THAT APPLY)	How many householders own []? (REFER TO ANSWER OF HR10)	ONLY IF THE RESPONSE TO HR10 INCLUDES A OR B. You told me that members of this household own% (RESPONSE FROM HR07) of the []. Of that%, how much is owned by you and how much is owned by your spouse?
J . Jewelry	3. No 1. Yes→ ROW K1	1. LILLI, LILLI, LILLI Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW K1	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
		HR02p. Is it [] 11. ≥ 8 mil 12. < 8 mil 18. DK 2. < 4 mil 22. < 2 mil 28. DK 98. DK → HR06 ROW J							
K1. Household Furniture and Utensils	3. No 1. Yes→ ROW K2	1. LIII, LIII, LIII Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW		1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→ROW K2	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
K2. Other assets:	3. No 1. Yes→ HR16	1. LIII, LIII, LIII Rp. 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. L.L., L.L., L.L., Rp. 3. NONE 7. UNWILLING TO ANSWER 8. DON'T KNOW	1. Yes → HR10 3. No	Percent	B C D E F G I J K L M P Q R U V	A B C D E F G I J K L M P Q R U V	Persons IF 01→HR16	1. A LLL % B LLL % 6. Neither A nor B owns 8. DON'T KNOW
C. Respondent's biolo						RE THE ONLY OWNE		THEIR ANSWERS SHOUL THE ANSWERS OF A AN	LD SUM TO 100%. ID B SHOULD SUM TO LESS THAN 100%.

BOOK IIIA - 16 IFLS-East 2012

Next we want to inquire about the purchase and sale of non-business assets held by all household members for 12 months.

TYPE OF ASSETS	HR16.	HR17.
	What is/was the total value in rupiah of any [] purchased in the past 12 months?	What is the total value of any [] sold in the past 12 months?
(HR2TYPE)		
A. House occupied by this household	1. L, L Rp.	1. L, L, L Rp.
	3. NO PURCHASE	3. NO SALES
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW
B. Other house/building	1,Rp.	1,, Rp.
	3. NO PURCHASE	3. NO SALES
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW
C. Land (not used for farm business)	1,Rp.	1,,Rp.
	3. NO PURCHASE	3. NO SALES
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW
E. Vehicles (cars, boats, bicycles, motorbikes)	1,Rp.	1,,Rp.
	3. NO PURCHASE	3. NO SALES
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW
J. Jewelry	1. L, L Rp.	1,Rp.
	3. NO PURCHASE	3. NO PURCHASE
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW
L. Other assets, not used for farm or non-farm business:		
L. Other assets, not used for family indiriani pusiness.	1. L, L Rp.	1. L, L Rp.
	3. NO PURCHASE	3. NO PURCHASE
	7. UNWILLING TO ANSWER	7. UNWILLING TO ANSWER
	8. DON'T KNOW	8. DON'T KNOW

BOOK IIIA - 17 IFLS-East 2012

SECTION HI (NON-LABOR INCOME)

Next, we would like to ask about income you yourself have received from other sources during the past 12 months.

HI14a. INTERVIEWER NOTE: IF INCOME IS SHARED BY SEVERAL HOUSEHOLD MEMBERS, RECORD IN HI14 ONLY THE PORTION OWNED BY THIS RESPONDENT.

SOURCE OF INCOME	HI14.				
(HITYPE)	What is the total income you received from [] during the past 12 months?				
A. Pension	1, Rp. 3. Did not receive 8. DON'T KNOW				
B1. Government scholarship	1, Rp. 3. Did not receive 8. DON'T KNOW				
B2. Private scholarship	1, Rp. 3. Did not receive 8. DON'T KNOW				
C. Insurance Money	1, Rp. 3. Did not receive 8. DON'T KNOW				
D1. Winnings/Lottery	1, Rp. 3. Did not receive 8. DON'T KNOW				

BOOK IIIA - 18 IFLS-East 2012

SECTION KW (MARITAL HISTORY)

Now we would like to ask about your marital history.

KW01a	What is your current marital status?	Never married
		Married, formal (KUA or Civil
		Registration) 3
		Married, formal according to
		religious law (nikah sirri)4
		Married, formal according to
		adat law5
		Separated 6
		Divorced7
		Widow/Widower8
KW01.		Yes1→SECTION PK
	RESPONDENT FEMALE < 50 YEARS (COV3):	No3
KW02a.	What is the name of your current/latest spouse?	
KW02g.	INTERVIEWER VERIFY KW02a AND	
	AR00:	
	1. If [] lives in the household fill in	1
	AR00 (line # from Roster).	
	2. If [] died/does not live in	2
	household, but registered in the	
	Roster, fill in AR00 3. If [] is not registered in the	
	Roster	3.
KW02x.	INTERVIEWER CHECK:	Yes1→KW02L
	KW01a = 2 (COHABITATION)?	No3
KW02j.	What was the date of your	1. [] / []
	current/most recent marriage?	
		MONTH YEAR
		8. DON'T KNOW
		→KW02n
	When did you start living with your	1. [] / []
KW02L.	spouse?	
		Month Year 8. DON'T KNOW
KWoom	Milest was the value of the control	O. DOIN I KINOVV
KW02m.	What was the value of the assets you owned just prior to of your living	 , Rp 1
	together with your partner?	
	togothor with your partitor:	DON'T KNOW 8
I		I

KW02n.	What was the highest education level attended by your partner?	01. None 02. Elementary School	
	attended by your partner?	,	
		03. Junior High General 04. Junior High Vocational	
		05. Senior High General	
		06. Senior High Vocational	
		60. College (D1, D2, D3)	
		61. University (BA)	61
		62. University (MA)	62
		63. University (PHD)	
		11. Adult Education A	
		12. Adult Education B	
		15. Adult Education C	
		13. Open University	
		14. Islamic School (Pesantren)	
		17. School for the disabled	
		70. Madrasah, General	70
		72. Islamic Elementary School	70
		(Madrasah Ibtidaiyah)	12
		73. Islamic Junior/High School	70
		(Madrasah Tsanawiyah)	/3
		74. Madrasah Senior High School	74
		(Madrasah Aaliyah)	
		90. Kindergarten 98. Don't Know	
		95. Other	
KW02o.	What was the highest grade completed by your partner?	00. Didn't complete 1 st grade at tha	t level
		01. 1 04. 4 07. Graduated	
		02. 2 05. 5 96. Unschooled	
		03. 3 06. 6 98. DON'T KNO)W
KW02ox.	INTERVIEWER CHECK:	Yes	1 →KW03
	KW01a = 2 (COHABITATION)?	No	3
KW12a.	What was the dowry for your current/	Nothing	W → KW13a
	most recent marriage?	Sholat (praying) accessory	
	most recent mamage:	Money	
	(CIRCLE ALL THAT APPLY)	l	_
	(OITOLE ALL ITIAL APPLI)	Land	
		Building/House	
		Jewelry	<u>L</u>
		Complete set of clothing	
		Food	
		Household Items	I
		Religious book	
		Beauty items	
		Livestock	
		Other	
II		Outel	v

BOOK IIIA - 19 IFLS-East 2012

SECTION KW (MARITAL HISTORY)

KW12b.	What was the value of the dowry of your current/most recent marriage at the time of the marriage?	L.J, L.J Rp 1 Cher currency DON'T KNOW 8
KW13a.	What did you receive as a gift, not a dowry, at the time of your current/most recent marriage, that was not consumed for the wedding party? (CIRCLE ALL THAT APPLY)	Nothing W→KW14 Sholat (praying) accessory A Money B Land C Building/House D Jewelry E Complete set of clothing G Food H Household Items I Religious book K Beauty items L Livestock M Other V
KW13b.	What was the value of the gift, at the time of your current/most recent marriage, that was not consumed for the wedding party?	L
KW14.	What was the value of the assets you owned just prior to the wedding of your current/latest marriage?	DON'T KNOW

KW14a.	Right after the wedding ceremony of your current/latest marriage, did you move?	NO, lived at the same place
KW14b.	What is the [] name at the place you moved at that time?	A. Vill: 1
KW14c.	How long did you reside at your first residence after the wedding?	01. L
KW14d.	At the time you married your current/latest husband/wife, did your husband/wife change residence?	Yes1 No3
KW14d1.	Because of adat and the high cost of wedding, many couples choose to live together before the wedding. Did you and your current/latest partner live together before the wedding?	No
KW14d2.	How long did you live together before the wedding?	01 04
KW14e.	Did you and your current/latest husband/wife start to live together right after the wedding?	Yes1→KW14g No3
KW14f.	How long after the wedding took place did you start to live together with your husband/wife?	96. Don't live together yet → KW04 01.

BOOK IIIA - 20 IFLS-East 2012

SECTION KW (MARITAL HISTORY)

		,
KW14g.	At the time you lived together with your	Nobody elseW
	current/latest husband/wife for the first	Own parents A
	time, who else lived in the house?	Parents-in-lawC
	•	Biological brotherD
	(CIRCLE ALL THAT APPLY)	Biological sisterE
	,	Brother-in-lawF
	IN THIS CASE THE WEDDING	Sister-in-lawG
	LOCATION IS NOT REGARDED AS A	Other family membersH
	JOINT RESIDENCE (REFER TO	Not family-relatedI
	ANSWER KW14e = 1 (YES)) AND	Child (biological and non-biological)J
	RESIDENCE REGISTERED IN KW14b.	(
KW04.	Who chose your husband/wife (from	Parents01
	your first marriage) ?	Self03
	,	Family04
		Other: 05
KW03.	How many times have you been married	
1111 301	/ cohabitation?	└── Times
KW05	INTERVIEWER TO VERIFY COV5:	111100
1.4403.	INTERVIEWER TO VERIFT COVS:	FEMALE3→KW07a
		MALE1
KW06	Do you currently have more than one	1
	wife?	NO3
	WIIO:	YES1
		1201
KW07a.	INTERVIEWER TO VERIFY KW03	
	MORE THAN 1	NO3
		YES1
Now we v	will ask you about your first married	
KWCC	Name of the first broken advite.	
KWU9.	Name of the fisrt husband/wife:	
KW10.	What (month/year) did you get	1 / → KW11a
	married?.	
		MONTH YEAR
		8. DON'T KNOW
KW11.	How old were you when your []	L_L_ Year
	marriage started?	
KW11a.	Because of adat and the high cost of	
	wedding, many couples choose to live	1. Yes
	together before the wedding. Did you	3. No
	and your current/latest partner live	
	together before the wedding?	
<u> </u>		
CODE FOR	IAW A	CODE FOR KWOO

ī			
KW11b.	What is the status of your [] marriage	2 3 4 5 → KW20	
		6 7 8	
KW18.	When (month/year) did the marriage end/separation begin?	1. ∟/ → KW20	
	end/separation begin:	MONTH YEAR	
		8. DON'T KNOW	
KW19.	How old were you when the []	years old	
	marriage ended/separation began?	,	
KW20.	What was the highest education level		
	attended by your husband/wife of the		
	[] marriage		
KW21.	What was the highest grade completed	00 01 00 00 04	
	by your husband/wife of the []	00 01 02 03 04	
	marriage?	05 06 07 96 98	
KW23a.	If you could choose exactly the number		
	of children to have in your whole life,	L Children	1
	how many would that be?	UP TO GOD	95
KW23.	INTERVIEWER'S NOTE:	FEMALE	. 5 → SECTION PK
	RESPONDENT IS A:	UNMARRIED MALE	3 → SECTION MG
		MARRIED MALE	
KW24a.	Are you and your wife physically able to	Yes	1→ KW25
	conceive a child (again) without medical	No	=
	help?		
KW24b.	Have you and your wife ever sought	Yes	1
	medical attention to help you conceive?"	No	3
KW25.	Do you personally wish to have another	No	3 SECTION PK
	child (besides the children you already	Yes	
10116	have)?		
KW26.	How many (more) children do you wish	L L Children	1
	to have?		
KW27	Among the children that you (still) wish	UP TO GOD	. 95
KWZI.	to have, how many sons and daughters	01 0 1 1 1 5000	
	do you wish to have?	01. a. L Sons	
	ao you man to navo:	b. L Daughters	
		95. UP TO GOD	
		[30. 01 TO GOD	

CODE FOR KW11b		COD	CODE FOR KW20							KODE KW21:			
	2. Cohabitation	01.	None	61.	University, Bachelor S1	15.	Adult Education C	00.	Didn't c	mplete s	school at that level		
	3. Married, formal (KUA or Civil Registration)	02.	Elementary School	62.	University, Master S2	17.	School for the disabled	01.	1				
	4. Married, formal according to religious law (nikah siri)	03.	Junior High (SLP/SLTP) General	63.	University, Doctorate S3	72.	Islamic School- Elementary (MI)	02.	2	06.	6		
	5. Married, formal according to adat law	04.	Junior High (SLP/SLTP) Vocational	11.	Adult Education A	73.	Islamic School- Junior High(MT)	03.	3	07.	Graduated		
	6. Separated	05.	Senior High (SMA/SLA/SLTA) General	12.	Adult Education B	74.	Islamic School- Senior High (MA)	04.	4	96.	No school/not yet		
	7. Divorced	06.	Senior High (SMA/SLA/SLTA) Vocat.	13.	Open University	90.	Kindergarten	05.	5	98.	TIDAK TAHU T		
	8. Widow/widower	60.	Academy D1, D2, D3	14.	Pesantren	98.	DON'T KNOW						
						95	Others						

BOOK IIIA - 21 IFLS-East 2012

SECTION PK (HOUSEHOLD DECISION-MAKING)

PK00a.	Are you currently married?	No 3 → SECTION BR 1	
PK00b.	Does your spouse live in this household now/in the last 6 months?	Yes	
PK00c.	Where do your spouse live?	Same village	

BOOK IIIA - 22 IFLS-East 2012

SECTION PK (HOUSEHOLD DECISION-MAKING)

We would like to know how your family makes decisions about expenditures and use of time.

we would like to know now your family makes decisions about expenditures and use	PK18.																	
	In your household, who makes decisions about: (CIRCLE ALL THAT APPLY ON EACH LINE)																	
EXPENDITURES AND USE OF TIME (PK2TYPE)	RESPONDENT	SPOUSE	SON	DAUGHTER	MOTHER	FATHER	MOTHER-IN-LAW	FATHER-IN-LAW	BROTHER	SISTER	BROTHER-IN-LAW	SISTER-IN-LAW	GRANDPARENT	SON/DAUGHTER IN-LAW	GRANDCHILD	OTHERS	X or W or Y	CAN'T ANSWER
A1. Expenditure on food eaten at home	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	٧		Z
A2. Choice of food eaten at home	Α	В	С	D	Е	F	G	Н	I	J	K	L	М	0	Р	V		Z
B. Routine purchases for the household of items such as cleaning supplies	Α	В	С	D	E	F	G	Н	I	J	K	L	М	0	Р	V		Z
C. Your clothes	Α	В	С	D	Е	F	G	Н	I	J	K	L	М	0	Р	V		Z
D. Your spouse's clothes	Α	В	С	D	Е	F	G	Н	I	J	K	L	М	0	Р	V		Z
E. Your children's clothes	Α	В	С	D	E	F	G	Н	_	J	K	L	М	0	Р	V	W	Z
F. Your children's education	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V	W	Z
G. Your children's health	Α	В	С	D	E	F	G	Н	_	J	K	L	М	0	Р	V	W	Z
H. Large expensive purchases for the household (i.e., refrigerator or TV)	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V		Z
Giving money to your parents/family	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V	Χ	Z
J. Giving money to your spouse's parents/family	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V	Χ	Z
K. Gifts for parties/weddings	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V		Z
L. Money for monthly arisan (savings lottery)	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V	Χ	Z
M. Money for monthly savings	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V	Χ	Z
N. Time the husband spends socializing	Α	В	С	D	Е	F	G	Н	- 1	J	K	L	М	0	Р	V		Z
O. Time the wife spends socializing	Α	В	С	D	Е	F	G	Н	ı	J	K	L	М	0	Р	٧		Z
P. Whether you/your spouse works INTERVIEWER NOTE: ASK WHETHER RESPONDENT OR SPOUSE DOES OR DOES NOT WORK	Α	В	С	D	Е	F	G	Н	I	J	K	L	М	0	Р	V		Z
Q. Whether you and your spouse use contraception?	Α	В	С	D	Е	F	G	Н	I	J	K	L	М	0	Р	V	Υ	Z

Code PK18: X. Never used money for this purpose.

Y. Never consider the use of contraception.

W. No children

V. OTHER

Z. CAN'T ANSWER

BOOK IIIA - 23 IFLS-East 2012

SECTION PK (HOUSEHOLD DECISION-MAKING)

			PK20.					PK21.			
	At that time that you were married, was [Father/Mother/Mother-in- Law/Father-in-Law) alive?			other/Mother-in-	ANSWER PK21 IF "1" IS CIRCLED IN BOTH PK20 COLUMNS (PK3TYPE)	At the time that yo status of your pare			status of y	our pare	nts compare to the
	PK20a.	PK20b.	PK20c.	PK20d.							
	Father	Mother	Father-in-Law	Mother-in-Law							
	1. Yes	1. Yes	1. Yes	1. Yes							
	3. No	3. No	3. No	3. No							
		NOTE: (CIRCLE IMN FOR LINES A	1 (YES), 3 (NO) -H. BASED ON THE	INFORMATION IN							
A.	1		1		Father's job	Higher		Lower	NA	UA	DK
	3		3			1 2	3	4 5	6	7	8
B.	1		1		Father's education	Higher		Lower	NA	UA	DK
	3		3		Tamor o cadoaton	1 2	3	4 5	6	7	8
C.		1		1	Mother's education	Higher		Lower	NA	UA	DK
		3		3	Wolfiel & Cadodion	1 2	3	4 5	6	7	8
	PK2	20abx.	PK	20cdx.							
	CIRCLE "1" IF E	ITHER MOTHER	CIRCLE "1" IF EIT	HER MOTHER-IN-							
	OR FATHER W			-IN-LAW WAS ALIVE							
D.		1		1	Position in community	Higher		Lower	NA	UA	DK
		3		3	,	1 2	3	4 5	6	7	8
E.		1		1	Quality of house/neighborhood	Higher		Lower	NA	UA	DK
		3		3	audiny of housestness	1 2	3	4 5	6	7	8
F.		1		1	Earnings	Higher		Lower	NA	UA	DK
		3		3	Lattingo	1 2	3	4 5	6	7	8
G.		1		1	Land	Higher		Lower	NA NA	UA	DK
		3	3		Land	1 2	3	4 5	6	7	8
Н.		1		1	Other assets	Higher		Lower	NA NA	UA	DK
		3		3	Other assets	1 2	3	4 5	6	7	8

- Code PK21 :
 1. Much higher
 2. Somewhat higher
 3. About the same Somewhat lower

- 5. Much lower 6. PARENT(S) NOT ALIVE AT TIME OF MARRIAGE (NA) 7. UNWILLING TO ANSWER (UA) 8. DON'T KNOW (DK)

IFLS - East 2012

SECTION BR (PREGNANCY SUMMARY)

Now, I would like to ask about your pregnancy history.

BR00xa.	INTERVIEWER CHECK : COV2,COV3, COV4, COV5	MALE (COV5)
BR01.	Now I would like to ask you about all children that you have so far. Have you ever given birth?	No
BR02.	Do you have biological sons or daughters who are now living with you?	No
BR03.	How many biological sons are now living with you?	L_L_I Males
BR04.	How many biological daughters are now living with you?	LL_I Females
THIS HOUS	SEHOLD. IF THE TOTAL OF BR03 + BR0 IN LIST OF HOUSEHOLDERS DO NOT N	ER OF RESPONDENT'S BIOLOGICAL CHILDREN WHO LIVE IN 4 AND THE NUMBER OF RESPONDENT'S BIOLOGICAL MATCH, DO SOME PROBING TO CONFIRM THE NUMBER. HOLOGICAL CHILD'S NAME FROM LIST OF HOUSEHOLDERS
BR05.	Do you have biological sons or daughters, who are still alive, but do not live with you?	No
BR06.	How many biological sons are still alive, but do not live with you?	L_L_I Males
BR07.	How many biological daughters are still alive, but do not live with you?	∟_⊥l Females
BR08.	Have you ever given live birth to a son or daughter, who later passed away though only lived for a while?	No

BOOK IIIA - 25

BR09.	How many sons were born alive but passed away later?	L_L_I Males
BR10.	How many daughters were born alive but passed away later?	LL_ Females
BR11.	Have you ever had a pregnancy that resulted in a stillbirth?	No 3 → BR13 Yes 1
BR12.	How many stillbirths have you had?	
BR13.	(Besides that) have you had any miscarriages?	No
BR14.	How many miscarriages have you had?	
BR15.	INTERVIEWER GUIDELINE: ADD THE NUMBERS (BR03, BR04, BR06, BR07, BR09, AND BR10) AND ENTER AMOUNT HERE: To confirm your answers, you have had LII livebirths, is it correct?	No
BR16.	INTERVIEWER GUIDELINE: ADD THE NUMBERS (BR12 AND BR14) AND ENTER AMOUNT HERE: Again, to confirm your answers, you have had stillbirths and miscarriages, is it correct?	No

Now I would like to ask you about your birthplace and your moves from one place to another.

MG01.	What is the [] name of your birthplace when you	A. Vill	 Same as current residence 	8. DK
	were born?	B. Kec	Same as current residence	8. DK
		C. Kab	 Same as current residence 	8. DK
		D. Prov	 Same as current residence 	8. DK
		E. Country	Same as current residence	8. DK
MG02.	To your best knowledge, have any of the above mentioned places changed their names?	No	OW	
MG02a.	Is [] the current name?	3. No → M 1.Yes	G03b	
MG03a.	What was the name when you were born?	A. Vill	1 3. Same as current name (MG01)	8. DK
		B. Kec	1 3. Same as current name (MG01)	8. DK
		C. Kab	1 3. Same as current name (MG01)	8. DK
		D. Prov	1 3. Same as current name (MG01)	8. DK
		E. Country	1 3. Same as current name (MG01) → MG04	8. DK
MG03b.	What is the name now?	A. Vill	13. Same as the birthplace (MG01)	8. DK
		B. Kec	13. Same as the birthplace (MG01)	8. DK
		C. Kab	13. Same as the birthplace (MG01)	8. DK
		D. Prov	13. Same as the birthplace (MG01)	8. DK
		E. Country	Same as the birthplace (MG01)	8. DK
MG04.	Was the place when you were born a:	Small town Big city		

MG04a.	When you were 12 years old did you live in the same place as the place where you were born?		1 → MG08
MG05.	What was the [] name of the place where you lived when you	A. Vill	1 3. Same as the birthplace 8. DK
	were 12 years old (the name when you were age 12)?	B. Kec	 Same as the birthplace But No. Bu
		C. Kab	1 3. Same as the birthplace 8. DK
		D. Prov	1 3. Same as the birthplace 8. DK
		E. Country	1 3. Same as the birthplace 8. DK
MG06.	To your best knowledge, have any of the above mentioned places changed their names (since you were 12)?	No	OW
MG07.	Is the name of [] still the same or has it been changed?	A. Vill	1 3. Same name as when I was 12 (MG05) 8. DK
		B. Kec	1 3. Same name as when I was 12 (MG05) 8. DK
		C. Kab	1 3. Same name as when I was 12 (MG05) 8. DK
		D. Prov	1 3. Same name as when I was 12 (MG05) 8. DK
		E. Country	1 3. Same name as when I was 12 (MG05) 8. DK
MG08.	When you were 12, was the place a:	Small town Big city	1 13 5 OW8
MG08a.	When you were 12 ,were your biologal parents still married?	No	
MG08b.	When you were 12, did you live with your mother?	NA No	6 3 1
MG08c.	When you were 12, did you live with your father?	NA No	

BOOK IIIA - 26 IFLS-East 2012

MG19b.	Have you ever moved since the age of 12?	No3→Section TK Yes1
MG20b.	Have you ever moved across village to live at the new location more than 6 months?	No3→Section TK Yes1

Now we want to ask about how many times have moved away after 12 years of age that is past the village and stay for 6 months or more.

MG20c1	How many times have moved since the age of 12 years until January 1, 2007.	LLL times
SKETC	H FOR MOVING FROM	THE AGE OF 12 YEARS UNTIL 1 JANUARY 2007

MG20c2	What was the [] name of the place where you lived on january 1, 2007?	A. Vill	1	0.54
	where you lived on january 1, 2007?	D. Kaa	3. Same as current residence	8. DK
		B. Kec	Same as current residence	8. Dk
		C. Kab	1	0. Bi
		0.1100	3. Same as current residence	8. DK
		D. Prov	1	
			3. Same as current residence	8. DK
		E. Country	1	
			3. Same as current residence	8. DK
MG20c3	How many times have moved since January 1, 2007 until now?	└── time	es	
	, ,	INTERVIEV	VER CHECK: if 0 → TK SECTIO	N
SKFT	CH FOR MOVING FROM JAN	UARY 1 2	2007	

BOOK IIIA - 27 IFLS-East 2012

	MOVENUM: NUMBER IF MIGRATION	1ST	2 ND	3 ND	4 ^{1H}
MG21.	What is the [] name of the [] destination?	A. Vill: 1 3. Same as current residence 8. DON'T KNOW	A. Vill: 3. Same as current residence 8. DON'T KNOW	A. Vill: 1 3. Same as current residence 8. DON'T KNOW	A. Vill: 1. 3. Same as current residence 8. DON'T KNOW
		B. Kec: 1 3. Same as current residence 8. DON'T KNOW	B. Kec: 1 3. Same as current residence 8. DON'T KNOW	B. Kec: 1 3. Same as current residence 8. DON'T KNOW	B. Kec: 1 3. Same as current residence 8. DON'T KNOW
		C. Kab: 1 3. Same as current residence 8. DON'T KNOW	C. Kab: 1 3. Same as current residence 8. DON'T KNOW	C. Kab: 1 3. Same as current residence 8. DON'T KNOW	C. Kab: 1 3. Same as current residence 8. DON'T KNOW
		D. Prov: 1 3. Same as current residence 8. DON'T KNOW	D. Prov: 1 3. Same as current residence 8. DON'T KNOW	D. Prov: 1 3. Same as current residence 8. DON'T KNOW	D. Prov: 1 3. Same as current residence 8. DON'T KNOW
		E. Country:1. 3. Same as current residence 8. DON'T KNOW	E. Country:1 3. Same as current residence 8. DON'T KNOW	E. Country:1 3. Same as current residence 8. DON'T KNOW	E. Country:1. 3. Same as current residence 8. DON'T KNOW
MG22.	To your best knowledge, have any of the above mentioned places changed their names?	DON'T KNOW	DON'T KNOW	DON'T KNOW 8 → MG24 No 3 → MG24 Yes 1	DON'T KNOW
MG23.	Is the [] name still the same (as MG21) or has it been changed?	A. Vill: 1. 3. Same as above MG21 8. DON'T KNOW	A. Vill: 3. Same as above MG21 8. DON'T KNOW	A. Vill: 3. Same as above MG21 8. DON'T KNOW	A. Vill: 1. 3. Same as above MG21 8. DON'T KNOW
		B. Kec: 1 3. Same as above MG21 8. DON'T KNOW C. Kab: 1.	B. Kec: 1 3. Same as above MG21 8. DON'T KNOW C. Kab: 1.	B. Kec: 1 3. Same as above MG21 8. DON'T KNOW C. Kab: 1.	B. Kec: 1 3. Same as above MG21 8. DON'T KNOW C. Kab: 1.
		3. Same as above MG21 8. DON'T KNOW D. Prov: 1	3. Same as above MG21 8. DON'T KNOW D. Prov: 1	3. Same as above MG21 8. DON'T KNOW D. Prov: 1.	3. Same as above MG21 8. DON'T KNOW D. Prov: 1
		3. Same as above MG21 8. DON'T KNOW E. Country: 1 3. Same as above MG21	3. Same as above MG21 8. DON'T KNOW E. Country: 1 3. Same as above MG21	3. Same as above MG21 8. DON'T KNOW E. Country: 1. 3. Same as above MG21	3. Same as above MG21 8. DON'T KNOW E. Country: 1 3. Same as above MG21
MG24	When did you move to (DESTINATION)?	8. DON'T KNOW 1. ∟⊥⊥ / ∟⊥⊥⊥ → MG39	8. DON'T KNOW 1. □□□ / □□□□□ → MG39	8. DON'T KNOW 1. □ □ / □ □ □ □ → MG39	8. DON'T KNOW 1. □□□ / □□□□□□ → MG39
MGZ4.	when did you move to (BESTINATION)?	Month Year 8. DON'T KNOW	Month Year 8. DON'T KNOW	Month Year 8. DON'T KNOW	Month Year 8. DON'T KNOW
MG25.	How old were you when you moved?	LL Year	L_L_I Year	L_L_I Year	L_L_I Year
MG39.	After this move was there any other move which crossed the village (Desa) border line for 6 or more months? (Including the move to this residence, even if it has lasted for less than six months.)	Yes1 → MG21 NEXT COLUMN No3 → MG40	Yes	Yes	Yes
MG40.	INTERVIEWER CHECK: NUMBER OF COLUMNS IS IDENTICAL WITH NUMBER OF MIGRATIONS (MG20C) AND LOCATION AT THE LAST COLUMN MG21 [MG23] IS IDENTICAL WITH THE LAST	NO	NO3→ PROBE AND FILL ADDITIONAL COLUMN YES	NO	NO3→ PROBE AND FILL ADDITIONAL COLUMN YES1→ MG26 COLUMN 1
	RESIDENCE.				

ı	MOVENUM: NUMBER IF MIGRATION	1ST	2 ND	3 ND	4 ^{1H}
MG26.	Was the place a:	Village 1	Village1	Village1	Village 1
	(BASED ON MG21 & MG23)	Small town 3	Small town3	Small town3	Small town 3
	· ·	Big city5	Big city5	Big city5	Big city 5
		DŎN'Ť KNOW8	DŎN'Ť KNOW8	DŎN'Ť KNOW8	DŎN'Ť KNOW8
MG27.	How many kilometers is the distance from				
	(PREVIOUS PLACE) to (DESTINATION)	, Km 1	ட,டட்ட Km 1	ட,டட்ட Km 1	ட,டட்ட Km1
		DON'T KNOW 8	DON'T KNOW 8	DON'T KNOW 8	DON'T KNOW 8
MG28.	What was the main purpose for your move to (DESTINATION)?				
	02. Education/training-related	02 → MG31	02 → MG31	02 → MG31	02 → MG31
	03. Military career-related Family related:	03 → MG31	03 → MG31	03 → MG31	03 → MG31
	04. Marriage	04 → MG34	04 → MG34	04 → MG34	04 → MG34
	05. Pregnancy	05 → MG34	05 → MG34	05 → MG34	05 → MG34
	06. Death of spouse	06 → MG34	06 → MG34	06 → MG34	06 → MG34
	07. Sickness of self/spouse	07 → MG34	07 → MG34	07 → MG34	07 → MG34
	08. Migration with family	08 → MG34	08 → MG34	08 → MG34	08 → MG34
	09. To be closer to family	09 → MG34	09 → MG34	09 → MG34	09 → MG34
	10. Death of other	10 → MG34	10 → MG34	10 → MG34	10 → MG34
	11. Sickness of other	11 → MG34	11 → MG34	11 → MG34	11 → MG34
	12. Live w/family member	12 → MG34	12 → MG3 4	12 → MG34	12 → MG34
	13. To be independent, separate from	10.5.4401	13 → MG34	13 → MG34	13 → MG34
	parents	13 → MG34	13 → MG34 14 → MG34	13 → MG34 14 → MG34	13 → MG34 14 → MG34
	14. Political disturbance 15. Eviction	14 → MG34 15 → MG34	15 → MG34	15 → MG34	15 → MG34
	16. Like the destination	16 → MG34	16 → MG34	16 → MG34	16 → MG34
	17. Transmigration	17 → MG34	17 → MG34	17 → MG34	17 → MG34
	19. Dry season/drought	19 → MG34	19 → MG34	19 → MG34	19 → MG34
	22. Family problem	22 → MG34	22 → MG34	22 → MG34	22 → MG34
	23. New housing opportunity	23 → MG34	23 → MG34	23 → MG34	23 → MG34
	24. Divorce	24 → MG34	24 → MG34	24 → MG34	24 → MG34
	25 Natural and other disasters	25 → MG34a	25 → MG34a	25 → MG34a	25 → MG34a
	95. Other	95 → MG34	95 → MG34	95 → MG34	95 → MG34
	01. Work-related (fired, retired, end-of-contract) (non-military)	01			
MG29.	Whose work?				
	02. Husband/wife	02 → MG34	02 → MG34	02 → MG34	02 → MG 34
	03. Birth parents	02→ MG34 03→ MG34	03→ MG34	03→ MG34	03→ MG34
	04. Siblings	04 → MG34	04 → MG34	04→ MG34	04 → MG34
	05. Biological child	05→ MG34	05 → MG34	05 → MG34	05 → MG34
	06. Other family member	06→ MG34	06→ MG34	06→ MG34	06→ MG34
	07. Not a family member	07 → MG34	07 → MG34	07→ MG34	07 → MG34
	01. Self	01	01	01	01

N	OVENUM: NUMBER OF MIGRATION	1 ^{S1}	2 ND	3RD	4 ^{1H}	
MG30.	In what connection was your move made?					
	To get work at the destination	1	1	1	1	
	2. To search for new job opportunities due to	2	2	2	2	
		2	2	2	2	
	job market limitation at previousplace					
	3. Company transfer/relocation	3	3	3	3	
	4. Retirement	4	4	4	4	
	6. Job problem	6	6	6	6	
	7. Be closer to job	7	7	7	7	
	5. Other	5	5	5	5	
		→ MG34b	→ MG34b	→ MG34b	→ MG34b	
MG31.	Whose education/training/military career?	Self1	Self1	Self1	Self1	
		Husband/wife2	Husband/wife2	Husband/wife2	Husband/wife2	
		Other family member3	Other family member3	Other family member3	Other family member3	
		Not a family member4	Not a family member4	Not a family member4	Not a family member4	
		→ MG34	→ MG34	→ MG34	→ MG34	
MG34a.	What kind of natural disaster?	Flood01	Flood01	Flood01	Flood01	
		Landslide/mudslide02	Landslide/mudslide02	Landslide/mudslide02	Landslide/mudslide02	
		Mudflow 03 Volcanic eruption 04	Mudflow	Mudflow03 Volcanic eruption04	Mudflow03 Volcanic eruption04	
		Earthquake05	Earthquake05	Earthquake05	Earthquake05	
		Tsunami06	Tsunami	Tsunami06	Tsunami06	
		Windstorm07	Windstorm 07	Windstorm07	Windstorm07	
		Forest fire08	Forest fire 08	Forest fire08	Forest fire08	
		Fire	Fire	Fire	Fire	
		Civil Conflict10	Civil Conflict10	Civil Conflict10 → MG34	Civil Conflict10	
			→ MG34 → MG34		→ MG34	
MG34b.	INTERVIEWER CHECK MG21: WHAT IS THE PURPOSE OF MOVING E. Abroad?	3. No → MG34	3. No → MG34	3. No → MG3 4	3. No → MG34	
	THE PURPOSE OF MOVING E. ADIOAU?	1. Yes	1. Yes	1. Yes	1. Yes	
MG34c.	Does your departure to [] through the	3. No → MG34f	3. No → → MG34f	3. No → MG34 f	3. No → MG34 f	
	mobilization of Indonesia Labor Services / Indonesian labor Placement Company	1. Yes	1. Yes	1. Yes	1. Yes	
	Private (PJTKI / PPTKIS)?	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	8. DON'T KNOW	
MG34d	How much you should pay for the	L L Rp 1	L L Rp 1	L L Rp 1	Rp 1	
	departure?	DO NOT PAY6→ MG34f	DO NOT PAY 6→ MG34f	DO NOT PAY6→ MG34f	DO NOT PAY 6 → MG34f	
		DON'T KNOW 8→ MG34f	DON'T KNOW 8→ MG34f	DON'T KNOW 8→ MG34f	DON'T KNOW 8→ MG34f	
MG34e	How do you pay for this recruitment?	Savings UsingA	Savings UsingA	Savings UsingA	Savings UsingA	
	, , ,	Borrowing a friend / familyB	Borrowing a friend / familyB	Borrowing a friend / familyB	Borrowing a friend / familyB	
		Borrowing from the bank	Borrowing from the bank	Borrowing from the bank	Borrowing from the bank C Borrowing from recruiters D	
		Borrowing from recruiters D asset sales E	Borrowing from recruiters D asset salesE	Borrowing from recruiters D asset salesE	asset salesE	
		Deductions from wagesF	Deductions from wagesF	Deductions from wagesF	Deductions from wagesF	
		Other V	Other V	Other V	Other V	
<u> </u>			V			

h					
MG34f	How much monthly income you earn on	∟ Rp 1	L L Rp1	L L Rp1	L L Rp1
	[] (before the deduction of the Agent)?	NOT PAID 6	NOT PAID6	NOT PAID 6	NOT PAID6
MG34g	How much of your income for a month in	Rp 1	L L Rp1	Rp1	Rp 1
	place before?	NOT PAID 6	NOT PAID6	NOT PAID. 6	NOT PAID6
MG34h	How much money did you send it to the	1 Rp 1	СТ	L L Rp 1	Rp1
	house as long as you are []?	NOT PAID 6 → MG34j	NOT PAID 6 → MG34j	NOT PAID 6→ MG34j	NOT PAID 6 → MG34j
MG34i	How do you send money home as long as	A. Via bank transfer	A. Via bank transfer	A. Via bank transfer	A. Via bank transfer
	you are []?	B. Remittance agencies	B. Remittance agencies	B. Remittance agencies	B. Remittance agencies
		C. Through a friend / family	C. Through a friend / family	C. Through a friend / family	C. Through a friend / family
		V. Other	V. Other	V. Other	V. Other
MG34j	How much money did you bring back to		Rp 1	Rp 1	
	Indonesia after you finish the work in []?	No money is taken home6	No money is taken home6	No money is taken home6	No money is taken home6
MG34k	Over at [] How often do you meet with	4. At least once a week	4. At least once a week	4. At least once a week	4. At least once a week
	your family at the home of origin?	3. At least once a month	3. At least once a month	3. At least once a month	3. At least once a month
		2. At least once a year	2. At least once a year	2. At least once a year	2. At least once a year
		1. Never	1. Never	1. Never	1. Never
MG34I	During the [] How often do you touch by	 every day→MG34 	5. every day →MG34	5. every day →MG34	5. every day →MG34
	phone with your family at the home of origin?	4. At least once a week	4. At least once a week	4. At least once a week	4. At least once a week
		3. At least once a month	3. At least once a month	3. At least once a month	3. At least once a month
		2. At least once a year	2. At least once a year	2. At least once a year	2. At least once a year
		1. never	1. never	1. never	1. never
MG34m	During the [] How often are you in touch	5. every day	5. every day	5. every day	5. every day
	by mail / SMS / email / chat with your family	4. At least once a week	4. At least once a week	4. At least once a week	4. At least once a week
	at the home of origin?	3. At least once a month	3. At least once a month	3. At least once a month	3. At least once a month
		2. At least once a year	2. At least once a year	2. At least once a year	2. At least once a year
		1. never	1. never	1. never	1. never
MG34.	Did you move together with other householders?	No3→MG26 NEXT COLUMN/SECTION TK	No3→MG26 NEXT COLUMN/ SECTION TK	No3→MG26 NEXT COLUMN/ SECTION TK	No3→MG26 NEXT COLUMN/ SECTION TK
		Yes1	Yes1	Yes1	Yes1
MG35.	How many householders moved with you?	L_L_I Persons	L_L_I Persons	L_L_ Persons	L_L_I Persons

BOOK IIIA - 31 IFLS-East 2012

MG36.	Who moved together with you at the time of the move?				
	(CIRCLE ALL THAT APPLY)				
	A. Husband/wife	A	A	A	A
	B. Father	В	В	В	В
	C. Mother	С	C	С	C
	D. Brother	D	D	D	D
	E. Sister	E	E	E	E
	F. Parents/sister/brother-in-laws	F	F	F	F
	G. Children	G	G	G	G
	I. Not a family member	I	I	I	I
	V. Other family member	V	V	V	V
		→ MG26 NEXT COLUMN/SECTION TK	→ MG26 NEXT COLUMN/SECTION TK	→ MG26 NEXT COLUMN/SECTION TK	→ MG26 SUPLEMENT/SECTION TK

Now we would like to ask about your work experience.

TK01a.	During the past week, did you do any of these activities?	a. Work for pay b. Attend school c. Housekeeping d. Job searching	Yes No 1 3 1 3 1 3 1 3
TK01.	What was your primary activity during the past week?	Working/trying to work/helping to earn income	
TK02.	Did you work/try to work/help to earn income for pay for at least 1 hour during the past week?	Yes	
TK03.	Do you have a job/business, but were temporarily not working during the past week?	Yes	
TK04.	Did you work at a family-owned (farm or non-farm) business during the past week?	Yes	
TK05.	Have you ever worked before?	No Yes	
TK07.	When did you work for the last time?	Year LILI	
TK08.	Why haven't you worked again since that year? (CIRCLE ALL THAT APPLY)	Retirement Prolonged sickness Handicap Marriage Too old Have a child Family responsibilities Forbidden Other family reason Fired Cannot find work Do not want to work Company closed/moved/bankrupt Other	BDFNOPQRS

TK15.	Which category best describes the work you did in your last job?	Unpaid family worker
TK16a.	What was your monthly income when you were working at that job?	,Rp 1 →TK16b DON'T KNOW8
TK16a1.	Is it []?	1. ≥ 1 million Rp 11. ≥10 million Rp 12. <10 million Rp 18. DK 2. < 1 million Rp 21. ≥ 500 thousand Rp 22. < 500 thousand Rp 28. DK
TK16b.	Was that a [?	Wage
TK16c.	What is the name of your employer?	Name AR00
TK16c1.	How satisfied are you with your current job?	Very satisfied1Satisfied2Unsatisfied3Very unsatisfied4
TK16d.	In the past one month, have you been looking for a job?	No
TK16e.	How long have you been looking for a job in the past one month?	1 weeks 2 days
TK16f.	What activities have you done for your job search?	a. Registered with government job fairs 1 3 b.Registered with private job fairs 1 3 c. Registered with school/university job fairs 1 3 d. Contacted company 1 3 e. Responded to job ads 1 3 f. Contacted friends/relatives 1 3 g. Done nothing 1 3
TK16g.	Do you have a valid "Yellow Card"?	Yes

BOOK IIIA - 33 IFLS-East 2012

TK16h.	What is the main reason not looking for a job?	Feel impossible to find a job	02 03 04 05 06	
TK16i.	have you been preparing to set up a business?	No Yes		(16k
TK16j.	What activities have you done in preparing to set up a business?	a. Looked for capital b. Looked for place of business c. Arranged for business license d. Done nothing	Yes 1 1 1 1	No 3 3 3 3

	IF TK05=1	3 → TK47
CHECK:	IF TK05=3	4→SECTION TR
	IF TK01=1 OR TK02=1 OR TK03=1 OR TK04=	=11

	THE JOB W	A. PRIMARY JOB HICH CONSUMES THE <u>MOST TIME</u>
TK18A.	Where do you work on your [] job? (ENTER NAME OF COMPANY/EMPLOYER)	
TK18Aa.	What is the address of the company?	
TK18Ab.	What is telephone number of the company?	A. Phone LIII LIII LIII B. Cellphone Belonging to W.NA Y.DK
TK18Ac.	What is the name of your supervisor?	
TK18Ad.	What is telephone number of the your supervisor?	A. Phone LILL B. Cellphone Belonging to W.NA Y.DK

TK19A.	What does your company produce?	
TK19Ab.	EDITOR: CODE FOR SECTORS	
TK20A.	What are your primary duties at your workplace?	
TK20aA.	How many people work at your firm?	LJJ, LJJ Persons1→TK21A DON'T KNOW8
TK20aB.	Is it []?	 1. 1- 4 people 2. 5-19 people 3. 20-99 people 4. ≥ 100 people
TK21A.	What was the total number of hours you worked during the past week (on your job)?	L_L Hours/Week
TK22A.	Normally, what is the approximate total number of hours you work per week?	LLL Hours/Week
TK23A.	Approximately what is the total number of weeks you work per year?	∟∟⊔ Weeks/Year
TK23A2.	How long have you worked on this job?	LI Years I Months
TK23A4.	Are you a member of a labor union or a business association?	Yes
TK24A.	Which category best describes the work that you do?	Self employed 01 → TK26A1 Self-employed with unpaid family 02 → TK26A1 Self-employed with permanent worker 03 → TK26A1 Government worker 04 → TK24A2a Private worker 05 → TK24A2a Casual worker in agriculture 07 → TK24A2a Casual worker not in agriculture 08 → TK24A2a Unpaid family worker 06
TK24A1.	What is the name of your employer?	AR00 □ □ □ → TK26A5

CODE TK19Ab			
Agriculture, forestry, fishing and hunting	. 01	Wholesale, retail, restaurants and hotels	.06
Mining and quarrying	. 02	Transportation, storage and communications	. 07
Manufacturing	. 03	Finance, insurance, real estate and business services	. 08
Electricity, gas, water	. 04	Social services	.09
Construction	. 05	Activities that cannot be classified	. 10

BOOK IIIA - 34 IFLS-East 2012

TK24A2a.	How did you get this job?	Through government job fairs
TK24A2.	By what system were you paid during the last month?	By piece 01 Per day or hour 02 Per week or month 03 Exchange labor 04 Share of harvest/output 05 By the job 06 In kind 07 Other 95
TK24A5.	Do you work with a contract?	No, work without contract
TK24A6.	What is the term of your contract?	1 months 2 year
TK24A7.	When did the current contract start?	Month / Year
TK25A1.	Approximately what was your salary/wage during the last mo nth (including the value of all benefits)?	,,Rp1 →TK25A2 DON'T KNOW8
TK25A1a.	Is it []?	1. ≥ 1 million Rp 11. ≥10 million Rp 12. <10 million Rp 18. DK 2. < 1 million Rp 21. ≥ 500 thousand Rp 22. < 500 thousand Rp 28. DK 98. DK
TK25A2.	Approximately what was your salary/wage during the last year (including the value of all benefits)?	,, Rp1→ TK25A2b DON'T KNOW8
TK25A2a.	Is it []?	1. ≥ 12 million Rp 11. ≥ 80 million Rp 12. < 80 million Rp 18. DK 2. < 12 million Rp 21. ≥ 6 million Rp 22. < 6 million Rp 28. DK 98. DK

TK25A2b. What is the amount of year-end- bonus or other bonuses you	,,, Rp 1→ TK25A3 TIDAK BERLAKU	
	received during the last year?	DON'T KNOW 8
TK25A2c.	Is it []?	1. ≥ 1 million Rp 11. ≥10 million Rp 12. <10 million Rp 18. DK 2. < 1 million Rp 21. ≥ 500 thousand Rp 22. < 500 thousand Rp 28. DK
		98. DK
TK25A3.	Did you receive the following benefits from your employer for this job?	a. Employer provided meals?
		b. Raw food, not in form of meals?1 3 c. Housing benefits?
		1. Car?
		1. Employer paid some health expenses?1 3 2. Employer provided health insurance policy?
TK25A3x.	INTERVIEWEAR CHECK: TK24A= 7 OR 8?	YES
TK25A4.	What type of pension plan are you enrolled in?	No pension plan 6→ TK25A7 TASPEN 1 ASABRI 2 JAMSOSTEK 3 Other private pension 4
TK25A5.	What is your out of pocket contribution to the pension fund each month?	1 DON"T KNOW8
TK25A6.	How will the pension benefit be paid out?	Annuity benefit per month/year

BOOK IIIA - 35 IFLS-East 2012

TK25A7.	What is your out of pocket contribution to the health insurance each month?	L
TK25A8.	Have you ever received any training from your employer?	No
TK25A9.	How many weeks of training did you receive r in the last 12 months?	 3. Not receive any training in the last 12 months →TK26A5 1.
TK25A10.	What kind of training did you receive in the last 12 months?	A. Computer B. Language C. Technical training D. Teamwork E. Leadership V. Other →TK26A5
TK26A1.	Approximately how much net profit did you gain last month, after taking out all your business expenses?	Profit (+) Loss (-) TK26A3 DON'T KNOW
TK26A1a.	Is it []?	1. ≥ 5 million Rp 11. ≥20 million Rp 12. 10 - <20 million Rp 13. <10 million Rp 18. DK 2. < 1 million Rp 22. < 1 million Rp 28. DK
TK26A3.	Approximately how much net profit did you gain last year, after taking out all your business expenses?	Profit (+) Loss (-) →TK26A5 DON'T KNOW

TK26A3a. Is it ()	1. ≥ 60 million Rp 11. ≥ 120 million Rp 12. 80 -< 120 million Rp 13. < 80 million Rp 18. DK
	2. < 60 million Rp 21. ≥ 12 million Rp 22. < 12 million Rp 28. DK 98. DK

Now we would like to ask you about the characteristics of your primary job.

TK26A5.	My job requires lots of physical	All/Almost all the time
	effort.	2. Most of the time
		3. Some of the time
		4. None/Almost none of the time
TK26A6.	My job requires lifting heavy loads.	All/Almost all the time
	, jeu require mang really realies	2. Most of the time
		3. Some of the time
		4. None/Almost none of the time
TK26A7.	My job requires stooping, kneeling,	All/Almost all the time
	crouching.	2. Most of the time
	ŭ	3. Some of the time
		None/Almost none of the time
TK26A8.	My job requires good eyesight.	All/Almost all the time
	,, , , , , ,	2. Most of the time
		3. Some of the time
		None/Almost none of the time
TK26A9.	My job requires intense concentration/attention.	1. All/Almost all the time
		2. Most of the time
		3. Some of the time
		4. None/Almost none of the time
TK26A10.	My job requires skill in dealing with	1. All/Almost all the time
	people.	2. Most of the time
		3. Some of the time
		4. None/Almost none of the time
TK26A11.	My job requires me to work with	1. All/Almost all the time
	computers.	2. Most of the time
		Some of the time None/Almost none of the time
TK26A12.	My job involves a lot of stress.	All/Almost all the time Most of the time
		3. Some of the time
		4. None/Almost none of the time

TK27. Do you have any additional job?	No
	Yes 1

BOOK IIIA - 36 IFLS-East 2012

OLIVOI IIV	(LIMITEOTIMENT)		
	B. ADDITIONAL JOB ASK ABOUT THE ONE THAT CONSUMES MOST TIME		
TK18B.	Where do you work on your [] job? (ENTER NAME OF COMPANY/EMPLOYER)		
TK19B.	What does you company produce?		
TK19Ba.	EDITOR: CODE FOR SECTORS		
TK20B.	What are your primary duties at your workplace?		
TK20Ba.	How many people work at your firm?	1 DON'T KNOW8	
TK21B.	What was the total number of hours you worked during the past week (on your job)?	LLL Hours/Week	
TK22B.	Normally, what is the approximate total number of hours you work per week?	L Hours/Week	
TK23B.	Approximately what is the total number of weeks you work per year?	∟⊥⊔ Weeks/Year	
TK23B2.	How long have you worked on this job?	LLI Years LLI Months	
TK23B4.	Are you a member of a labor union or a business association?	Yes	
Mining and of Manufacturing Electricity, g	### Display to the image of the	Wholesale, retail, restaurants and hotels	

TK24B.	Which category best describes the work that you do?	Self employed 01 → TK26B1 Self-employed with unpaid family 02 → TK26B1 Self-employed with permanent worker 03 → TK26B1 Government worker 04 → TK24B1a Private worker 05 → TK24B1a Casual worker in agriculture 07 → TK24B1a Casual worker not in agriculture 08 → TK24B1a Unpaid family worker 06
TK24B1.	What is the name of your employer?	AR00 ∟⊥∟ı → TK46a
TK24B1a.	How did you get this job?	Through government job fairs
TK24B2.	By what system were you paid during the last month?	By piece 01 Per day or hour 02 Per week or month 03 Exchange labor 04 Share of harvest/output 05 By the job 06 In kind 07 Other 95
TK24B5.	Do you work with a contract?	No, work without contract03→TK25B1 Yes, with contract but not fixed time01→TK25B1 Yes, with fixed time contract02
TK24B6.	What is the term of your contract?	1 months 2 year
TK24B7.	When did the current contract start?	LLJ / LLLL Month / Year
TK25B1.	Approximately what was your salary/wage during the last month (including the value of all benefits)?	,,Rp 1 →TK25B2 DON'T KNOW8
TK25B1a.	Is it []?	1. ≥ 1 million Rp 11. ≥10 million Rp 12. <10 million Rp 18. DK 2. < 1 million Rp 21. ≥ 500 thousand Rp 22. < 500 thousand Rp 28. DK

BOOK IIIA - 37 IFLS-East 2012

TK25B2.	Approximately what was your	,, Rp 1 →TK25B2b
	salary/wage during the last year (including the value of all benefits)?	DON'T KNOW 8
TK25B2a.	Is it []?	$\begin{array}{lll} 1. \geq 12 \text{ million Rp} & 11. \geq 80 \text{ million Rp} \\ & 12. < 80 \text{ million Rp} \\ & 18. \text{ DK} \\ \\ 2. < 12 \text{ million Rp} & 21. \geq 6 \text{ million Rp} \\ & 22. < 6 \text{ million Rp} \\ & 28. \text{ DK} \end{array}$
		98. DK
TK25B2b.	What is the amount of year-end- bonus or other bonuses you received during the last year?	L, L
TK25B2c.	Is it []?	1. ≥ 1 million Rp 11. ≥10 million Rp 12. <10 million Rp 18. DK 2. < 1 million Rp 21. ≥ 500 thousand Rp 22. < 500 thousand Rp 28. DK 98. DK
TK25B3.	Did you receive the following	Yes No
	benefits from your employer for this job?	a. Employer provided meals?
TK26B1.	Approximately how much net profit did you gain last month ?	Profit (+)
		Loss (-)
		→TK26B3
		DON'T KNOW8 ↓

TK26B1a.	Is it []?	1. ≥ 5 million Rp	11. ≥20 million Rp 12. 10-<20 million Rp
			13. <10 million Rp
			18. DK
		2 < 5 million Rn	21. ≥ 1 million Rp
		2. < 0 million rip	22. < 1 million Rp
			28. DK
		98. DK	20. 510
TK26B3.	Approximately how much net profit	Profit (+)	р
	did you gain last year?		,
		Loss (-)	
		,	
			S
			→ TK46a
		DON'T KNOW	→ TK46a 8 ∀
TK26B3a	Is it [12		_
TK26B3a.	Is it []?		8₩
TK26B3a.	Is it []?		
TK26B3a.	Is it []?		11. ≥ 120 million Rp 12. 80- <120 million Rp
TK26B3a.	Is it []?	1. ≥ 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK
TK26B3a.	Is it []?	1. ≥ 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK 21. ≥ 12 million Rp
TK26B3a.	Is it []?	1. ≥ 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK
TK26B3a.	Is it []?	1. ≥ 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK 21. ≥ 12 million Rp 22. < 12 million Rp
TK26B3a.	Is it []?	1. ≥ 60 million Rp 2. < 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK 21. ≥ 12 million Rp 22. < 12 million Rp
TK26B3a.	Is it []?	1. ≥ 60 million Rp 2. < 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK 21. ≥ 12 million Rp 22. < 12 million Rp
TK26B3a.	Is it []?	1. ≥ 60 million Rp 2. < 60 million Rp	11. ≥ 120 million Rp 12. 80- <120 million Rp 13. < 80 million Rp 18. DK 21. ≥ 12 million Rp 22. < 12 million Rp

BOOK IIIA - 38 IFLS-East 2012

Now we want to ask about job quitting or job termination that you may have experienced as private/goverment employee.

<u> </u>	onnone omproyour	
TK46a.	In the last five years, have you been working for salary as private or government employee?	No
TK46b.	When you were working for salary in the last five years, have you experienced job termination or quitted your job? (NOT INCLUDING MANDATORY RETIREMENT OR END OF CONTRACT)	No
TK46c.	How many times in the last five years did you quit your job or experienced job termination?	∟∟ times
	(NOT INCLUDING MANDATORY RETIREMENT OR END OF CONTRACT)	
TK46d.	When was the last time in the last 5 years you quit your job or experienced job termination?	
	(NOT INCLUDING MANDATORY RETIREMENT OR END OF CONTRACT)	Month Year

Now we want to ask about the last job termination or job quitting as private or government employee.

	,	<u>, , , , , , , , , , , , , , , , , , , </u>
TK46e.	Where did you work on your last job? (ENTER NAME OF COMPANY/EMPLOYER)	
TK46f.	What did the company produce?	
TK46g.	EDITOR: CODE FOR SECTORS	
TK46h.	How many employee did your employer have?	 1. 1- 4 people 2. 5-19 people 3. 20-99 people 4 ≥ 100 people 8. DON'T KNOW

CODE TK46g	
Agriculture, forestry, fishing and hunting01	Wholesale, retail, restaurants and hotels06
Mining and quarrying02	Transportation, storage and communications07
Manufacturing03	Finance, insurance, real estate and business services 08
Electricity, gas, water04	Social services09
Construction05	Activities that cannot be classified10

TK46i.	What type of company do you work for?	 01. Government agencies 02. State-owned company 03. Domestic private company 04. Foreign/multinational company 05. Domestic worker (servants, driver, gardener, etc.) 		
TK46j.	How long have you been working there before you stop working?	LLU years and LLU months		
TK46I.	How much the wage / salary per month is received in the last month working on the job?	LJJ, LJJJ, LJJJ Rp		
TK46m.	What was the main reason your job was terminated or you quitted your job?	 01. Fired by the company because business was closed down/relocated/restructured 02. Fired for other reason 03. Wage/salary was too low 04. Not conducive working environment 05. Refused being relocated 06. Prolonged sickness 07. Marriage 08. Childbirth 09. Other family reason 95. Other 		
TK46n.	Did you receive severance payment when you quit the job or when your job was terminated?	No		
TK46p.	How much severance payment have you received so far?	, Rp.		
TK46r.	Did you receive pension benefit from this job?	No3 → TK46x Yes1		
TK46s.	What type of pension?	TASPEN 1 ASABRI 2 JAMSOSTEK 3 Other private pension 4		
TK46u.	What is the amount of the pension benefits you have received?	1 DON"T KNOW8		
TK46x.	INTERVIEWER CHECK TK46n=1 OR TK46r =1	NO		
TK46y.	Were you satisfied with the terms of the severance and pension payment?	Very satisfied		

BOOK IIIA - 39 IFLS-East 2012

Now we would like to ask about your first job.

TK47.	When did you start working full-time for the first time? THE MEANING OF WORKING FULL-TIME IS THAT WORKING IS THE PRIMARY ACTIVITY.	Work never primary activity6→SECTION RE Year1→TK50 DON'T KNOW8
TK48.	What was your age when starting to work full-time for the first time?	└── Years
TK50.	Where did you work [] ? (ENTER NAME OF COMPANY/EMPLOYER)	
TK50a.	What did the company produce?	
TK50b.	EDITOR: CODE FOR SECTORS	

CODE TK50b	
Agriculture, forestry, fishing and hunting01	Wholesale, retail, restaurants and hotels06
Mining and quarrying02	Transportation, storage and communications07
Manufacturing03	Finance, insurance, real estate and business services 08
Electricity, gas, water04	Social services
Construction05	Activities that cannot be classified10

TK52.	What were your daily primary duties at [] ?	
TK53.	Normally, what was the approximate total number of hours you worked per week on your primary job in []?	Hours/Week
TK54.	Approximately what was total number of weeks you worked per year on your primary job in []?	└── Weeks/Year

worker/temporary worker......02→SECTION RE Self-employed with permanent worker .03→SECTION RE Government worker......04 Private worker05 Casual worker in agriculture07 Casual worker in non-agriculture.......08 **TK55a.** How did you get this job? Through government job fairs.....01 Through private job fairs......02 School/university job fairs......03 Responded to job ads.....04 Contacted company......05 Through friends/relatives06 Contacted by company......07 L____, L_____ Rp...... 1 **TK56.** Approximately what was your monthly wage/salary/income in the year of [...] DON'T KNOW 8 (including the value of all benefits)? **→**SECTION RE

RE

TK55. Which category best describes the

work you did in your last job?

Unpaid Family Worker......06→ SECTION

Self-employed with unpaid family

Self employed......01→SECTION RE

BOOK IIIA - 40 IFLS-East 2012

SECTION RE (RETIREMENT)

Now we would like ask to you some questions related to theend of your work

RE01. INTERVIEWER CHECK COV3: IS RESPONDENT 50 OR ABOVE?	RESPONDENT AGE< 50 3 → SECTION TR RESPONDENT AGE ≥ 50 1
--	--

RE02.	Are you currently working?	3. Not working → RE08 1. Working		
RE03.	If you lose this job one year from now, how easy do you expect you would get a similar job within a couple of months?	Very easy Easy Difficult Very difficult		
RE04. Do you have any plan to stop working?		 2. Change kind of work → RE06 3. Work for self → RE07 4. Will not stop working → RE08 5. Work until health fails → RE08 6. Haven't given much thought/no plan yet → RE08 1. Stop working 		
RE05.	At what age do you plan to stop working?	1. Age: Luly years 2. Year Luly 8. DON'T KNOW		
RE05a. Are you planning to change the work you do?		No		
RE06.	At what age do you plan to change the work you do?	1. Age: years 2. Year		
RE06a.	Are you planning to start worki for yourself?	3. No→RE08 1. Yes		
RE07.	At what age do you plan to start work for yourself?	1. Age: years 2. Year		
RE08.	Do you consider yourself []?	 Retired→RE09 Partly retired→RE09 Not retired 		
RE08a.	Did you ever quit from your main job and continue to work?	No		
RE09.	When did you retire?	1. Month / Year 2. Age: years		
RE10.	What was your monthly salary the last month before you retired? IF NOT RECEIVE SALARY/WAGES, CIRCLE 6	NA		

RE11.	The following are the reasons why some important reasons for retirement?	people retire. Please tell me whether, for you, these were
A.	Poor health	 Very important Moderately important Somewhat important Not important at all
B.	Wanted to do other things	 Very important Moderately important Somewhat important Not important at all
C.	Didn't like the work	 Very important Moderately important Somewhat important Not important at all
D.	Want to spend more time with family.	 Very important Moderately important Somewhat important Not important at all
<u> </u>	AU.: U. 11	
RE22.	All in all, would you say your retirement has turned out to be satisfying, moderately satisfying, or not at all satisfying?	 Satisfying Moderately satisfying Not satisfying at alll
RE23.	Thinking about your retirement years compared to the years before you retired, would you say your retirement years have been better, about the same, or not as good?	Better About the same Not as good RETIRED LESS THAN 1 YEAR AGO
RE24.	Do you currently live with your children?	NO CHILDREN 6 → SECTION TR No 3 Yes 1
RE25.	In the next 5 years, do you expect to live with your children?	Yes 1 No 3
RE26.	Do you expect you will need financial help from your children in the future?	Yes 1 No 3
RE27.	In the past 12 months, did you receive financial assistance from your children?	No
RE28.	Do you think you will receive financial assistance from your children in the future?	Yes 1 No 3
RE29.	Do you think you will leave a bequest/inheritance to one of your children?	Yes

BOOK IIIA - 41 IFLS-East 2012

SECTION TR (TRUST)

Now we want to ask you about trust in this village.

TR01.	I am willing to help people in this village	Strongly agree 1
	if they need it.	Agree 2
		Disagree 3
		Strongly disagree4
TR02.	In this village I have to be alert or	Strongly agree 1
	someone is likely to take advantage of	Agree 2
	me.	Disagree 3
		Strongly disagree 4
TR03.	Taking into account the diversity of	Strongly agree 1
	ethnicities in the village, I trust people	Agree 2
	withe same ethnicity as mine more.	Disagree 3
		Strongly disagree 4
TR04.	I would be willing to leave my children with myr neighbors for a few hours if I cannot bring my children with along.	Strongly agree 1
		Agree 2
		Disagree 3
		Strongly disagree 4
TR05.	I would be willing to ask my neighbors to	Strongly agree 1
	look after their house if I leave for a few days?	Agree 2
		Disagree 3
		Strongly disagree 4
TR06.	How safe do you consider this village?	Very safe 1
		Safe 2
		Unsafe 3
		Very unsafe 4
TR07.	In most parts of the village, is it safe for	Very safe 1
	you to walk alone at night?	Safe 2
		Unsafe 3
		Very unsafe 4

Say you lost a wallet or a purse that contained Rp. 200.000 and your identity card. I'd like you to think about how likely it is that it will be returned with the money if it were found by someone else.

TR08.	Say it was found by someone	A.	B.
	who lives close by. Is it likely or unlikely that it will be returned to you with the Rp.	Is it []? 1. Likely	11. Very likely 12. Somewhat likely
	200.000?		18. DON'T KNOW
		2. Unlikely	21. Somewhat unlikely 22. Very unlikely
		8. DON'T KNOW	28. DON'T KNOW
TR09.	Say it was found by a police	Α.	B.
	officer. Is it likely or unlikely that it will be returned to you with the Rp. 200.000?	Is it []? 1. Likely	11. Very likely 12. Somewhat likely
			18. DON'T KNOW
		2. Unlikely	21. Somewhat unlikely22. Very unlikely
		8. DON'T KNOW	28. DON'T KNOW
TR10.	Say it was found by a	A.	B.
	complete stranger. Is it likely or unlikely that it will be returned to you with the Rp.	Is it []? 1. Likely	11. Very likely 12. Somewhat likely
	200.000?		18. DON'T KNOW
		2. Unlikely	21. Somewhat unlikely22. Very unlikely
		8. DON'T KNOW	28. DON'T KNOW

BOOK IIIA - 42 IFLS-East 2012

SECTION TR (TRUST)

SECTION IN (INUST)	
TR11. How religious are you?	 Very religious Religious Somewhat religious Not religious REFUSED
TR12. What is your religion?	96. → TR23 2. Catholic → TR15 3. Protestant → TR15 4. Hindu → TR17 5. Budha → TR19 6. Konghucu → TR21 1. Islam
TR13. How many times do you pray ea day?	ch times 1 Not every day 2 Do not practice 3 REFUSED 7
TR14. Do you only eat/drink halal food?	P No
TR15. How often do you pray/read the bible?	Before each activities
TR16. Do you actively participate in relig activities such as prayer fellowshi etc?	jious No3
TR17. Do you practice risadya/meditation yoga/ or pray in pura /sanggah/merajan/candi?	
TR18. Do you observe a certain diet for spiritual reason?	Yes, vegetarian/mutih 1 Yes, don't eat animals except fish 2 Yes, don't eat read meat 3 Yes, don't eat beef 4 Yes, other dietary restriction 5 No dietary restriction 6 → TR23
TR19. Do you practice puja mantra/meditation/ to Vihara/ or ir temple?	Every day

TR20.	Are you a vegetarian?	No3 Yes1 → TR23
TR21.	Do you pray/perform rituals?	Every day 1 Every week 2 No 3 REFUSED 7
TR22.	Do you practice individual development according to your faith?	No
TR23.	Taking into account the diversity of religions in the village, I trust people withe same religion as mine more.	Strongly agree 1 Agree 2 Disagree 3 Strongly disagree 4
TR24.	How do you feel if someone with different faith from you live in your village?	Not acceptable
TR25.	How do you feel if someone with different faith from you live in your neighborhood?	Not acceptable
TR26	How do you feel if someone with different faith from you rent a room from you?	Not acceptable
TR27	How do you feel if someone with different faith from you marry one of your close relatives or children?	Not acceptable
TR28	What do you think if people who have different faith from you build a house of worship in your community?	Not acceptable
TR29 How important is the religion of a candidate in influencing your decision to vote for him/her in an selection?		Make it very likely to vote for him
TR30	How important is the religiosity of a candidate in influencing your decision to vote for him/her in an election?	Make it very likely to vote for him

BOOK IIIA - 43 IFLS-East 2012

SECTION CP (INTERVIEW SESSION NOTES)

EVALUATION FORM FOR BOOK IIIA

CP1.	WHO ELSE (OTHER PERSONS) BESIDES RESPONDENT WAS PRESENT DURING THE INTERVIEW? ANSWER MAY BE MORE THAN ONE. A. NO ONE B. A CHILD 5 YEARS OLD OR UNDER C. A CHILD OLDER THAN 5 YEARS OLD D. HUSBANDWIFE E. AN ADULT, A HOUSEHOLDER F. AN ADULT, NOT A HOUSEHOLDER	CP2.	WHAT IS YOUR EVALUATION OF THE ACCURACY OF RESPONDENT'S ANSWERS? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD	CP3.	WHAT IS YOUR EVALUATION ON THE SERIOUSNESS AND ATTENTIVENESS OF THE RESPONDENT? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD
CP4.	WHAT QUESTIONS DID RESPONDENT FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP5.	WHAT QUESTIONS DID INTERVIEWER FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP6.	WHAT QUESTIONS DID RESPONDENT SEEM INTERESTED IN?
NOTES	S:				

BOOK IIIA - 44 IFLS-East 2012

EDITOR:	 ┖	 ш	
INTERVIEWER:	 $ldsymbol{le}}}}}}}}$	Ш	

CONFIDENTIAL

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INDONESIA FAMILY LIFE SURVEY EAST 2012

BOOK V

SECTIONS: DLA, MAA, PSA, RJA, FMA, RNA, BAA, CP

Respondent is a child less than 15 years old

			nespondent is a crim	i iess tiiai	i 13 years old		
TO BE FILLED OUT BY INTERVIEWER WHO COMPLETED ROSTER AR: PID				TO BE FIL	LED OUT BY INTERVIEWER FOR BOOK	(V	CODES FOR LANGUAGE
NAME OF HOUSEHOLDER: L				N FOR RESPONDENT:	l I Wassa	00. Indonesian 01. Javanese	
DIISI OLEH PEWAWANCARA YANG MENGISI BUKU V			COV3.	How old is [NAME OF CHILD]?	L Years	02. Sundanese 03. Balinese	
COV7. NAMA YANG MENJAWAB:			COV5.	Sex: Male 1 Female 3		04. Batak 05. Bugis 06. Chinese 07. Maduranese	
COV8. HUBUNGAN DENGAN ANAK: 01. Ibu 2. Ayah 04. Paman/Bibi 5. Kakek/Nenek 95. Lainnya 06. Anak Yang Bersangkutan			COV6.	Date of Birth		08. Sasak 09. Minang 10. Banjar 11. Bima 12. Makassar 13. Nias	
WAWANCARA 1 2 3		CK1.	Interview was entirely/mostly condu	ucted in what language?	14. Palembang 15. Sumbawa		
DATE:	DAY MONTH YEAR	DAY MONTH YEAR	DAY MONTH YEAR		Other:		16. Toraja 17. Lahat 18. Other South Sumatra 19. Betawi
TIME STARTED:				CK2.	Other language used (if any):		20. Lampung 96. NO OTHER
TIME FINISHED:	HOUR / MINUTE L_L / L HOUR / MINUTE	HOUR / MINUTE HOUR / MINUTE	HOUR / MINUTE HOUR / MINUTE		L_L_l Other:		95. Other
C1.RESULT OF INTERVIEW OF BOOK V C2. CODE REASON FOR ANSWER "3"/"2" ON C1			C3. REVIEW BY EDITOR	C4. SUPERVISOR I	IONITORING		
2. Partially completed 2. R 3. Not completed 3. R		Respondent was not at home/ Respondent was seriously ill Respondent refused (to be into the content of the conten	erviewed)	4. Manual edit without CAFE b. Edited		a. Observedb. Edited	1 3

Now we would like to ask some questions about [CHILD'S NAME]'s education.

DLA01.	Has [CHILD'S NAME] ever been to school?	Yes1→t	DLA03b
		No 3	
DLA02.	Why has [CHILD'S NAME] never been to	NOT OLD ENOUGH A	
	school?	TO HELP PARENTS EARN MONEYB	
		COULD NOT AFFORDC	
	CIRCLE ALL THAT APPLY	NO SCHOOL/ TOO FAR D	
		NOT ABLE TO STUDY E	
		NOT ACCEPTED IN SCHOOLF	
		BECAUSE SICK OR DISABLED G	
		SCHOOL HAD NO TEACHER H SCHOOL CLOSED	
		DOESN'T WANT TO GO K	
		HELP AT HOMEL	
		OTHERV	
DLA03b	Do you have cell phone?	No	LA3d
	·	Yes 1	
DLA03c	What do you ussually use the cell phone	A.Private conversation	
	for?	B.Bussiness Conversation	
		C.Text Message	
		D.Email	
		E.Social Media (chatting,facebook,Twitter)	
		F.Mobile Banking	
		G.Transfer phone minutes	
		H. Entertainment/Multimedia (games, ringto TV, Radio, MP3)	nes,
DLA03d	Do you have internet access?	No	LA03x
		Yes 1	
DLA03e	Where do you get internet access?	A.Computer at home	
	· ·	B.Computer at school	
		C.Computer at place of work	
		D.Computer at Internet Cafe	
		-	
		E.Handphone	
		V.Others	
DLA03x.	INTERVIEWER CHECK	NO3→D	LA04a
	DLA01 = 1	YES1	

DLA08.	What is the highest education level attended? [NOTE TO INTERVIEWER: IF CURRENTLY IN SCHOOL, RECORD LEVEL ATTENDING CURRENTLY]	02. Elementary School 03. Junior High-General 04. Junior High-Vocational 05. High School-General 06.High School-Vocational 11. Adult Education A 12. Adult Education B 14. Islamic School (Pesantren) 15. Adult Education C 17. School for the disabled. 72. Islamic Elementary School (Madrasah Ibtidaiyah) 73. Islamic Junior/High School (Madrasah Tsanawiyah) 74. Madrasah Senior High School 98. DON'T KNOW 95. Other
DLA09.	What class has [CHILD'S NAME] completed?	Did not finish 1st class at that level 00 1 01 2 02 3 03 4 04 5 05 6 06 Graduated 07 DON'T KNOW 98
DLA04.	At what age did [CHILD'S NAME] first enter elementary school ?	L Years 1 DON'T KNOW 8
DLA04a.	Did [CHILD'S NAME] ever attend a kindergarten?	No 3→DLA04c Yes 1
DLA04b.	At what age did [CHILD'S NAME] first enter kindergarten ?	L Years 1 DON'T KNOW 8
DLA04c.	Did [CHILD'S NAME] ever attend a playgroup?	No 3→DLA04e Yes 1
DLA04d.	At what age did [CHILD'S NAME] first enter playgroup?	L_L Years 1 DON'T KNOW 8

BOOK V - 2 IFLS - East 2012

DLA04e.	Is [CHILD'S NAME] attending school at Kindergarten now?	No
DLA04f.	What was the total amount of money you spent on Kindergarten this academic year?	Rp
DL0A5x.	INTERVIEWER CHECK DLA08: 14	Yes
DLA07.	Are you currently attending school?	No
DLA07a .	How many effective shool hours did you attend your school last week or the last week the school was in session? (NOT INCLUDING BREAKS)	L hours
DLA09c.	INTERVIEWR CHECK DLA08: WRITE DOWN THE NUMBER OF COLUMNS NEED TO BE COMPLETED ACCORDING TO HIGHEST LEVEL OF SCHOOL ATTENDED	L columns IF "0" THEN → DLA56x

BOOK IIIA - 3 IFLS - East 2012

	SCHOOL LEVEL (DLATYPE)	1. Elementary		2. Junior High		3. Senior High	
DLA70.	What is the school level [CHILD'S NAME] attended or	Elementary	02	Junior high general		Senior high general	
	[CHILD'S NAME] is still attending?	Adult Education A		Junior high vocational	04	Senior high vocational	06
		School for Disabled	17	Adult Education B	12	Adult Education C	15
		Madrasah Elementary		School for Disabled		School for Disabled	17
		Other	95	Madrasah Junior High School	73	Madrasah Senior High School	74
				Other		Other	
DLA71.	Under whose administration is the school?	Public non-religious		Public non-religious	01	Public non-religious	
		Public religious	02	Public religious		Public religious	02
		Private non-religious	03	Private non-religious		Private non-religious	
		Private Islam	04	Private Islam	04	Private Islam	04
		Private Catholic	05	Private Catholic	05	Private Catholic	05
		Private Protestant and others	06	Private Protestant and others	06	Private Protestant and others	
		Private Buddhist		Private Buddhist		Private Buddhist	
		Other		Other	95	Other	95
DLA71aa.	Have you been following adult education A, B or C?	YES1		YES1		YES1	
	The state of the s	NO3		NO3		NO3	
DLA71a.	What year did [CHILD'S NAME] first attend this level	1. Year ∟ ⊥ ⊥ ⊥ → DLA71c		1. Year <u> </u> →DLA71c		1. Year ∟ ⊥ ⊥ ⊥ → DLA71c	
	of schooling?	8. DON'T KNOW		8. DON'T KNOW		8. DON'T KNOW	
DLA71b.	At what age did [CHILD'S NAME] first enter this level of schooling?	└┴┘ Years		└── Years		└── Years	
DLA71c.	What is highest grade [CHILD'S NAME]completed	Graduated	07 →DLA71f	Graduated	07 →DLA71f	Graduated	07 →DLA71f
	at this level?	Did not finish 1st class at that level.	00	Did not finish 1st class at that level	00	Did not finish 1 st class at that level	00
		1	01	1	01	1	01
		2	02	2	02	2	02
		3	03	3	03	3	03
		4	04	4	04	4	04
		5		5		5	
		6		6		6	
		DON'T KNOW		DON'T KNOW	98	DON'T KNOW	98
DI A71d	Did [CHILD'S NAME] graduate this level of	Still enrolled		Still enrolled		Still enrolled	
DEAT IG.	schooling?	Yes		Yes		Yes	
	Soliconing:	No		No		No	
DI 471	Why did [CHILD'S NAME]stop []school?						
DLA71e.	Wily did [Chied 5 NAIME]stop []school?	Working/help parents earn money. Could not afford		Working/help parents earn money Could not afford	D	Working/help parents earn money Could not afford	
		No school/ too far		No school/ too far		No school/ too far	
		Not able to study		Not able to study		Not able to study	
		Not accepted in school		Not accepted in school		Not accepted in school	
		Because sick or disabled		Because sick or disabled		Because sick or disabled	
				L Canaal had no tagahar			H
		School had no teacher		School had no teacher		School had no teacher	
		School closed/ruined	I	School closed/ruined	I	School closed/ruined	l
		School closed/ruined Doesn't want to go	I K	School closed/ruined Doesn't want to go	I K	School closed/ruined Doesn't want to go	I K
		School closed/ruined Doesn't want to go Help at home	I K L	School closed/ruined Doesn't want to go Help at home	I K L	School closed/ruined Doesn't want to go Help at home	I K L
		School closed/ruined Doesn't want to go Help at home Other	I K L	School closed/ruined	I K L	School closed/ruined Doesn't want to go Help at home Other	I K L
DLA71f.	When did [CHILD'S NAME] leave/graduate from this level of schooling?	School closed/ruined Doesn't want to go Help at home	I K L	School closed/ruined Doesn't want to go Help at home	I K L	School closed/ruined Doesn't want to go Help at home	I K L

BOOK IIIA - 4 IFLS - East 2012

	SCHOOL LEVEL (DLATYPE)		1. Eler	nentary		2. Juni	or High	3. Seni	or High
DLA71g.	At what age did [CHILD;S NAME] leave/graduate from this level of schooling?	└┴─ Years			└┴┴ Years		└┴─ Years		
DLA75.	While attending [] school, did [CHILD'S NAME] work?					Yes		Yes	
DLA73.	Has [CHILD'S NAME]ever failed a grade at [] school ?	No			3 →DLA74a	NoYes	3 →DLA74a	No Yes	3 →DLA74a
DLA74.	What grades has [CHILD'S NAME] failed and how many times did you repeat that grade?		Grade	Numb	per of repeats	Grade	Number of repeats	Grade	Number of repeats
	CIRCLE ALL THAT APPLY		A. 1 B. 2		— Times — Times	A. 1	☐ Times	A. 1	☐ Times
			C. 3 D. 4		— Times — Times	B. 2	☐ Times	B. 2	└─¹ Times
			E. 5 F. 6	L	— Times	C. 3	└─J Times	C. 3	☐ Times
	The following the state of the	.,			— Times				
DLA74a	Has [CHILD'S NAME] ever leave school for 4 consecutive weeks or more, including not enrolling in a full year?					Yes		Yes	
DLA74aa.	Has [CHILD'S NAME] ever leave school for 2 consecutive weeks or more, including not enrolling in a full year?					No Yes		NoYes	
DLA74b.	How many time did [CHILD'S NAME] ever leave school and re-enter?	Grade	Number of disruption	Class	Number of disruption	Grade	Number of disruption	Grade	Number of disruption
		A. 1	∟ Times	D. 4	∟ Times	A. 1	∟ Times	A. 1	∟ Times
		B. 2	∟ Times	E. 5	∟ Times	B. 2	∟ Times	B. 2	∟ Times
		C. 3	Times	F. 6	☐ Times	C. 3	∟ Times	C. 3	∟ Times
DLA74c.	How many and when [CHILD;S NAME] leaves school temporary?	1,	/ unt	il/	[/]	1 / unt		1/unt	
	concentemporary.	Mont	h /Year	Mont	th /Year	Month /Year	Month /Year	Month /Year	Month /Year
		2	/ unt	il,	/	2 / unti		2 un	til/
		Mont	h /Year	Mont	th /Year	Month /Year	Month /Year	Month /Year	Month /Year
		3	/ un	til	/	3 / unti		3 / unt	
			h /Year		th /Year	Month /Year	Month /Year	Month /Year	Month /Year
DLA74d.	What the reason [CHILD'S NAME] stop/leave this level of schooling?	Could not No school Not able t Not accep Because: School ha School clo Doesn't w	arents earn mon afford			To help parents earn mone Could not afford		To help parents earn mon Could not afford No school/ too far Not able to study Not accepted in school Because sick or disabled. School had no teacher School closed/ruined Doesn't want to go Help at home	

IFLS - East 2012

	SCHOOL LEVEL	1. Elementary	2. Junior High	3. Senior High	
DLA76a.	Has [CHILD'S NAME] ever taken the EBTANAS/UAN exam at [] level?	No	No	No	
DLA76b.	Can you show us the official record of [CHILD'S NAME]'S EBTANAS/UAN score (DANEM) or National Examination Certificate (SURAT KETERANGAN HASIL UJIAN NASIONAL /SKHUN)? INTERVIEWER NOTE: EBTANAS/UAN SCORES SHOULD BE COPIED FROM THE OFFICIAL RECORD (DANEM OR SKHUN).	Yes 1 No 3	Yes 1 No 3	Yes 1 No 3	
DLA76c.	What month and year did [CHILD'S NAME] take the EBTANAS/UAN []?	1. L / LL Month Year 8. DON'T KNOW	1. LI / LI LI Month Year 8. DON'T KNOW	1. L_L_J / L_L_L_J Month Year 8. DON'T KNOW	
DLA76c1.	INTERVIEWER CHECK: EBTANAS OR UAN	EBTANAS 1 UAN/UN/UAS 2	EBTANAS	EBTANAS1 UAN/UN/UAS2	
DLA76c2.	Number of subjects in the EBTANAS/UAN/UN at [] level?				
DLA76d.	What was [CHILD'S NAME] 's Ebtanas/UAN score for the following subjects: (If the respondent shows you official record (<i>DANEM</i>) copy from danem, if you cannot see official record (<i>DANEM</i>) ask the respondent for their score).				
	A. Moral and Civic Education from the nation's five principal/ <i>Pancasila</i> (PMP/PPKn)	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	B. Indonesian	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	C. English		1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	D. Math	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW	
	E. Science	1 6 . NA 8. DON'T KNOW	1 6 . NA 8. DON'T KNOW		
	I. Social studies	1 6 . NA 8. DON'T KNOW	1 6. NA 8. DON'T KNOW		
	F. Biology			1 6 . NA 8. DON'T KNOW	
	G. Chemistry			1 6. NA 8. DON'T KNOW	

IFLS - East 2012

	SCHOOL LEVEL	1. Elementary	2. Junior High	3. Senior High
	H. Physics			1 6 . NA 8. DON'T KNOW
	J. Economics			1 6. NA 8. DON'T KNOW
	K. Sociology			1 6 . NA 8. DON'T KNOW
	L. Anthropology			1 6. NA 8. DON'T KNOW
	M. Government			1 6. NA 8. DON'T KNOW
	N. Accounting			1 6. NA 8. DON'T KNOW
	V. Total score of other courses	1. LLL 6. NA 8. DON'T KNOW	1. LLL 6. NA 8. DON'T KNOW	1. LLJ. LLJ 6. NA 8. DON'T KNOW
DLA76e.	What is the total EBTANAS/UAN/UN (NEM) score?	1 8. DON'T KNOW	1 8. DON'T KNOW	1 8. DON'T KNOW

BOOK IIIA - 7 IFLS - East 2012

SCHOOL LEVEL	1. Elementary	2. Junior High	3. Senior High
DLA76xa. INTERVIEWER CHECK DLA08 AND DLA07: IS CHILD CURRENTLY ENROLLED IN []?	NO	NO	NO3→DLA76g YES1
DLA76f. What is the name and address of the school? 1. Specify 3. Same as current residence 8. DON'T KNOW (DK)	N. Name: 8. DK 1 Add. Address: 8. DK 1 Loc. Loc. Note: 8. DK 1 A. Vill: 1 3. Same 8. DK B. Kec: 1 3. Same 8. DK C. Kab: 1 3. Same 8. DK D. Prov: 1 3. Same 8. DK CODE CF	N. Name: 8. DK 1 Add. Address: 8. DK 1 Loc. Loc. Note: 8. DK 1 A. Vill: 1 3. Same 8. DK B. Kec: 1 3. Same 8. DK C. Kab: 1 3. Same 8. DK D. Prov: 1 3. Same 8. DK CODE CF B. DK	N. Name: 8. DK 1 Add. Address: 8. DK 1 Loc. Loc. Note: 8. DK 1 A. Vill: 1 3. Same 8. DK B. Kec: 1 3. Same 8. DK C. Kab: 1 3. Same 8. DK D. Prov: 1 3. Same 8. DK CODE CF B. DK
DLA76g. How many hours on average did [CHILD'S NAME] attend school each day now/in his/her last year at school?	L_L_J Hours/Day	LL_I Hours/Day	LL_I Hours/Day
DLA76i. Approximately how many students are/were in [CHILD'S NAME]'s class now/in last year of school attended at this level?	L Person(s) 1 DON'T KNOW 8	L Person(s) 1 DON'T KNOW 8	L Person(s) 1 DON'T KNOW 8
DLA76j. Approximately how much time does it take to make a one-way trip to the school, now/in [CHILD'S NAME]'s last year of school at this level.	1. LILI 1. Hour 2. Minute 8. DON'T KNOW → DLA70 COLUMN 2/ DLA90	1. L L L L L L 1. Hour 2. Minute 8. DON'T KNOW → DLA70 COLUMN 3/ DLA90	1. L L L L L 1. Hour 2. Minute 8. DON'T KNOW → DLA90

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BOOK IIIA - 8 IFLS - East 2012

We would like to ask about school-related expenses for the previous school year.

DLA90.	Did [CHILD'S NAME] attend school in the previous school year (starting 2010-2011) ?	No
		Yes1

DLA91a.	What	What were [CHILD'S NAME] 's (approximate) school-related expenses during the 2010-2011 school year? Did you spend money for:			DLA91b. Please give your best estimate of the amount you spent.
	Т	Total			,, Rp.
			3. No	1. Yes	
	A.	School Fees 1. Registration	3 ₩	1 >	,,, Rp.
		2. Other scheduled fees (BP3, School Committee, etc)	3 ♥	1 >	,,
					DLA91bx. How much should you spend for other schedule fees []?
					<u> R</u> р.
		3. Exams	3 ₩	1 >	,,
	B.	School supplies			
		Books and writing supplies	3 ₩	1 >	,,
		2. Uniform and sports	3 ♥	1 →	,,
	C.	Transportation and Pocket Money			
		1. Transportation	3 ₩	1 >	,,
		2. Housing costs, food	3 ₩	1 >	,,
		3. Special courses	3 ₩	1 >	,,
	V.	Other:	3 ₩	1 →	,,
DLA100.	Did [CHILD'S NAME] receive any books from the school during the 2010/2011 school year? (CIRCLE ALL THAT APPLY)		Yes, to	share	self
DLA101.	Did the school reduce [CHILD'S NAME] School Committee fees or other fees during the 2010/2011 school year (i.e. FEES LISTED IN ITEM A IN DLA91a)?				
DLA102.		CHILD'S NAME] receive assistance for school costs from School Committee, GNOTA, government, nunity groups, religious groups, or family (outside HH), or other?			3 → DLA91c

BOOK V - 9 IFLS - East 2012

From what source was this assistance, and what was the total value? (CIRCLE ALL THAT APPLY)

DLA103.

	C. Gov D. Con E. Reli F. Fan I. Sch J. BOS K. Fore L. Do	cal DTA DTA Deernment (beside BOS/BKM) Deernment (group Deernment Group Deernment Institution/Organzitation Destance for poor students			
DLA91c.	INTER	VIEWER CHECK:			OT IN SCHOOL (DLA07 = 3)
DLA104a.	What w	DLA104TYPE vere [CHILD'S NAME] 's(approximate) school-related expenses during the past month? Did you spend m	onev for:		DLA104b. Please give your best estimate of the amount you spent.
	T	Total			,,
			3. No	1. Yes	
	A.	School Fees			
		1. Registration	3 ₩	1 >	,,
		2. Other scheduled fees (BP3, School Committee, etc)	3 ₩	1 >	,,
					DLA91bx. How much should you spend for other schedule fees]?
		3. Exams			·
			3 ₩	1 →	,,
	B.	School supplies			
		1. Books and writing supplies		1 →	,,
		2. Uniform and sports	3 ₩	1 →	,,
	C.	Transportation and Pocket Money			
		1. Transportation	3 ₩	1 >	,,
		2. Housing costs, food	3 ₩	1 >	,,
		3. Special courses	3 ₩	1 →	,,
	V.	Other:	3 ₩		
			პ ❤	1 >	,,

BOOK V - 10 IFLS - East 2012

DLA56x.	INTERVIEWER CHECK COV3: AGE OF CHILDREN	<5 YEARS OLD3 →SECTION MAA
		≥ 5 YEARS OLD1

	DLA2TYPE	1.Wages	2. Family farm business	3. Family non-farm business	4. Household work
DLA56a.	Has [CHILD'S NAME] ever worked for []?	No3 →NEXT COLUMN Yes1	No3 →NEXT COLUMN Yes1	No3 →NEXT COLUMN Yes1	No3 → SECTION MAA Yes1
DLA57a.	Did [CHILD'S NAME] work for [] last month?	No3 →DLA61a Yes1	No3 →DLA61a Yes1	No3 →DLA61a Yes1	No3 →DLA61a Yes1
DLA58a.	How many hours did [CHILD'S NAME] work for [] in the last week he/she worked?	hours 1 DON'T KNOW 8	hours 1 DON'T KNOW 8	hours1 DON'T KNOW8	hours 1 DON'T KNOW 8
DLA59a.	How many weeks did [CHILD'S NAME] work for [] in last month?	DON'T KNOW8	DON'T KNOW8	DON'T KNOW8	DON'T KNOW 8
DLA60a.	How much was [CHILD'S NAME]'s earnings last month?	,,,Rp 1 DON'T KNOW 8			
DLA61a.	At what age did [CHILD'S NAME] start working for []?	age 1 DON'T KNOW 8	age1 DON'T KNOW8	age1 DON'T KNOW8	age 1 DON'T KNOW 8
DLA62a.	At what age did [CHILD'S NAME] last work for []?	□ age	□□□ age	□□□ age	□□□ age

IFLS - East 2012

SECTION MAA (ACUTE MORBIDITY)

Now, we'd like to know about [CHILD'S NAME]'s health status and whatever symptoms [CHILD'S NAME] has had during the past 4 weeks, namely since [...] date, 4 weeks ago.

MAA0a.	In general, how is []'s health at this time?	Very healthy
MAA0b.	During the last 4 weeks how many days of activities did [] miss because of poor health?	Days 1 DON'T KNOW 8
MAA0c.	During the last 4 weeks how many days did [] spend in bed because of poor health?	Days 1 DON'T KNOW 8
MAA0d.	Compared with []'s health 12 months ago, would you say that [NAME OF CHILD]'s health now is []?	Much better now

		MAA01.		
		Did your child ever experience [] in the last 4 weeks?		
			1. Yes	3. No
AA	Headache		1	3
BA	Runny nose		1	3
CA	Cough		1	3 →DA
	a. Dry cough	a.	1	3
	b. Cough with phlegm	b.	1	3
	c. Bloody cough	C.	1	3
DA	Difficulty breathing		1	3 →EA
	a. Wheezing	a.	1	3
	b. Short, rapid breath	b.	1	3
EA	Fever		1	3
FA	Stomach ache		1	3
HA	Nausea/vomiting		1	3
IA	Diarrhea minimal of 3x per day		1	3 →JA
	a. Mixed with blood	a.	1	3
	b. Mixed with mucous	b.	1	3
	c. Pale liquid	c.	1	3
JA	Skin infection (boil, abcess itching)		1	3
KA	Eye Infection		1	3
LA	Toothache		1	3
MA	Cold sores		1	3

MAA04.	INTERVIEWER CHECK: IF MAA01 = 1	NO 3 SECTION PSA YES 1		3 SECTION PSA 1
MAA05a.	While your child was sick, did/was he/she:			
	a. Still like to play	a.	1. Yes	3. No
	b. Have difficulty sleeping	b.	1. Yes	3. No
	c. More irritable than usual	C.	1. Yes	3. No
	d. Just lie around	d.	1. Yes	3. No

BOOK V - 12 IFLS - East 2012

SECTION MAA (ACUTE MORBIDITY)

Now, we'd like to know whether [CHILD'S NAME] has taken medicine on his/her own during the past 4 weeks, namely since [...] date, 4 weeks ago.

	PSA01.	PSA02.
TYPE OF SELF TREATMENT	During the past 4 weeks, has [CHILD'S N	
(PSATYPE)		the past 4 weeks?
A. Consumed over-the-counter modern medicines (like bodrexin, inzana, paramex)	3. No Ψ 1. Yes →	1. LJ,LJJ,LJJ Rp.
		8. DON'T KNOW
B. Consumed traditional herbs or traditional medicines as treatment	3. No Ψ 1. Yes →	1. [],[],[] Rp.
		8. DON'T KNOW
C. Used topical medicines (like eyedrops, cream, medical plaster, ointment and the like)	3. No Ψ 1. Yes →	1. [],[],[] Rp.
,		8. DON'T KNOW
E. Vitamins/Supplements	3. No Ψ 1. Yes →	1. [],[] Rp.
		8. DON'T KNOW
F. Massage, coining, etc.	3. No Ψ 1. Yes →	1. [],[] Rp.
		8. DON'T KNOW

BOOK V - 13 IFLS - East 2012

The next questions pertain to medical facilities or medical providers [CHILD'S NAME] may have visited for outpatient care during the past 4 weeks, namely since [...] date, 4 weeks ago.

RJA0a.	Did [] visit a Posyandu in the last 4 weeks?	No	3 → BJA01a
	. ,	Yes	
RJA0b.	What is the name and address of the Posyandu, including RT and RW?	100	
iioaob.	What is the hame and address of the Fosyahaa, including III and IIW:	Name 1	_ 8. DK
	1.Specify		
	2. Same as current dwelling	Address 1.	- 0 DK
	3. DON'T KNOW		_ 8. DK
		Loc. Note 1.	
		200. 14010 1.	8. DK
		RT 1	_
		Same as current residence	
		8. DON'T KNOW	
		RW 1	_
		Same as current residence DON'T KNOW	
		A. Village 1.	
		3. Same as current residence	_
		8. DON'T KNOW	
		CODE COMEAS I I I I I I I I I I	
		CODE COMFAS LILI LILI	V N
RJA0c.	What services did [] receive at the Posyandu?		Yes No
RJA0c.	What services did [] receive at the Posyandu?	a. Weighing	. 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighingb. Supplementary Food	. 1 3 . 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighingb. Supplementary Foodc. Vitamin A Pill	. 1 3 . 1 3 . 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighingb. Supplementary Food	. 1 3 . 1 3 . 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization	. 1 3 . 1 3 . 1 3 . 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff	. 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization	. 1 3
RJA0c.	What services did [] receive at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff	. 1 3
RJA0c.	What services did [] receive at the Posyandu? Were there any staff from the Puskesmas at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff g. Child Development Activity	. 1 3
		a. Weighing	. 1 3
	Were there any staff from the Puskesmas at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff. g. Child Development Activity v. Other	. 1 3
RJA0d.		a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff g. Child Development Activity v. Other No Yes	. 1 3
RJA0d.	Were there any staff from the Puskesmas at the Posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff g. Child Development Activity v. Other No Yes	. 1 3
RJA0d.	Were there any staff from the Puskesmas at the Posyandu? Did you pay for the services [] received at the posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff g. Child Development Activity v. Other No Yes L, L Rp.	. 1 3
RJA0d.	Were there any staff from the Puskesmas at the Posyandu? Did you pay for the services [] received at the posyandu?	a. Weighing b. Supplementary Food c. Vitamin A Pill d. Oral Rehydration Solution e. Immunization f. Exam by Puskesmas Staff g. Child Development Activity v. Other No Yes	. 1 3

HHID: LIII LIII LIII	PID:

BOOK V - 14 IFLS - East 2012

RJA01a.	In the last 4 weeks, did [] visit a hospital, health center, clinic, or doctor's practice, or was [] visited by a	No
	health worker?	Yes1

	RJA01.	RJA02.	RJA02a.
MEDICAL FACILITY (RJA1TYPE)	Within the last 4 weeks, has [CHILD'S NAME] been to []/ visited by []?	How many times did [CHILD'S NAME] [] / been visited by [] during the past 4 weeks?	How much did you pay out of pocket for [CHILD'S NAME]'s outpatient care at [] during the past 4 weeks?
A. Public hospital (General or Specialty)	3. No Ψ 1. Yes →	L_L_I Times	1. L_J, L_L_J, L_L_J Rp. 8. DON'T KNOW
B. Public Health Center (puskesmas)/Auxiliary Center (puskesmas pembantu)	3. No Ψ 1. Yes →	L_L_I Times	1, Rp. 8. DON'T KNOW
E. Private Hospital	3. No Ψ 1. Yes →	LL_I Times	1. L_J, L_L_J, L_L_J Rp. 8. DON'T KNOW
F. Polyclinic, Private Clinic, Medical Center	3. No Ψ 1. Yes →	L_L_I Times	1
G. Private Physician (General Practitioner, Specialist, Dentist)	3. No Ψ 1. Yes →	L_L_I Times	1
H. Nurse, Paramedic, Midwife practitioner	3. No Ψ 1. Yes →	L_L_I Times	1
Traditional practitioner (shaman, wiseman, kyai, Chinese herbalist, masseur, acupuncturist, etc.)	3. No Ψ 1. Yes →	L_L_I Times	1. L_J, L_L_J, L_L_J Rp. 8. DON'T KNOW
V. Other	3. No Ψ 1. Yes →	L_L_I Times	1

IFLS - East 2012

Now, I'd like to ask you some questions about [CHILD'S NAME] LAST VISIT to health care providers.

		LAST HEALTH CARE
RJA05a.	What is the type of medical facility or	Ш
	type of provider?	
RJA06.	What is the name and location of the medical provider?	Name 18. DK
	Specify Same as residence DON'T KNOW (DK)	Address 18. DK
	6. DON I KNOW (DK)	Loc. Note 18. DK
		A. Vill: 1 3. Same as residence 8. DK
		B. Kec 1 3. Same as residence 8. DK
		C. Kab: 1 3. Same as residence 8. DK
		D. Prov: 1
		CODE CF
RJA08.	What was the purpose of [CHILD'S NAME]	
	visit to that facility?	
	ANSWER MAY BE MORE THAN ONE	ANSWER MAY BE MORE THAN ONE
	B. Immunization C. Consultation	C
	D. Medical check-up	D
	E. Medications	E
	F. Injection	F
	H. Treatment for Injury	Н
	I. Treatment for Illness	1
	J. Massage	J
	V. Other,	V

	DID.
HHID: LIII LIII LIII LIII	PID: ——

RJA09.	Was the visit to [] the first visit or a follow-up visit for the symptom?	First		
RJA10.	INTERVIEWER'S NOTE:	1 ollow-up		
NJA IU.	CHECK RJA05a			
	1. IF A, B, E, F → RJA11	1. → RJA11		
	3. NO	3. NO		
RJA10a.	Did the provider visit the child at home?	Yes		
nuAiva.	Did the previder view the entire at heme.	Yes		
DIA	How many kilometers is it between the	NO3		
RJA11.	medical facility and [CHILD'S NAME]	1 Km		
	residence?	8. DON'T KNOW		
RJA12.	What is the travel time to that facility?			
NJA12.	What is the traver time to that racinty.	1. LLL 01. Minute		
		02. Hour		
		8. DON'T KNOW		
RJA14.	What was the total transportation cost to	1		
	the facility (INCLUDING FUEL COST, ONE WAY TRIP)?	1, Rp.		
	•	8. DON'T KNOW		
RJA15.	Upon arrival, how long did [CHILD'S NAME] have to wait to be examined?	L_L_ 01. Minute 02. Hour		
	NAME ITAVE to wait to be examined:	8. DON'T KNOW		
RJA17.	What kind of treatment did [CHILD'S			
	NAME1 receive?			
	ANSWER MAY BE MORE THAN ONE	ANSWER MAY BE MORE THAN ONE		
	A Madical check un/acqueltation	A		
	Medical check-up/consultation Injection	В		
	C. Laboratorium test	C		
	D. Surgery	D		
	E. X-ray	E		
	G. Medications	G		
	I. Massage	I		
	J. Traditional treatment	J		
	V. Other	V		
RJA17a.	What do you think about the services	1. Satisfactory		
	that were provided by this facility?	Somewhat satisfactory		
	that were provided by this facility :	3. Not satisfactory		
		4. Far from satisfactory		
RJA20.	What was the total cost to fill a	1, Rp.		
	prescription that you received during this visit?			
	vioit;	3. Didn't receive		
		5. Didn't fill 8. DON'T KNOW		
		I O. DUN I KNUW		

BOOK V - 16 IFLS - East 2012

RJA21.	What was the total cost of treatment, including medications that may have been administered, not including prescription cost?	1. LLL, LLL Rp 3. Did not pay anything 8. DON'T KNOW
RJA21a.	Did you use insurance to pay for all or some of this visit?	No3 → RJA22 Yes1
RJA21b.	What insurance did you use?	01. Askes 02. Jamsostek 03. Employer provided insurance 04. Health insurance paid by the respondent 05. Insurance related bank saving 06. Letter stating non-affordability (<i>Surat Miskin</i>) 07. JAMKESMAS 95. Other
RJA22.	Was any payment in kind made?	No3 → RJA25 Yes1
RJA23.	What was the approximate value of the goods?	1,
RJA25.	INTERVIEWER CHECK BOOK COVER (COV3): IS [CHILD'S NAME] 0-5 YEARS OLD?	NO 3 → SECTION FMA01 YES 1
RJA25a.	Has [CHILD'S NAME] been given Vitamin A in the last 6 months?	Yes 1 No 3

BOOK V - 17 IFLS - East 2012

RJA26.	Does [CHILD'S NAME] have a KMS			ard	
	card or KIA book?			e	
RJA27.	IF YES, may i see it, please? FROM THE KMS CARD, RECORD THE	1 1	times	vitamin A was give	en as recorded on the
HOAZI.	NUMBER OF TIMES VITAMIN A WAS			(IA card	o do 10001d0d 0 d
	GIVEN	3. T	idak tercatat di	Kartu KMS/KIA	
RJA28a.	 RECORD THE DATE OF EACH IMMUNIZATION ON THE KMS CARD. WRITE '44' IN 'DAY' COLUMN, IF THE CHILD HAS ALREADY HAD THE IMMUNIZATION, BUT THE DATE ISN'T RECORDED. 				
			DAY	MONTH	YEAR
	b. BCG	b.			
	c. Polio 0 (at birth)	C.			
	d. Polio 1	d.			
	e. Polio 2	e.			
	f. Polio 3	f.			
	n. Polio 4	n.			
	g. DPT 1	g.			
	h. DPT 2	h.			
	i. DPT 3	i.			
	j. Measles	j.			
	k. Hepatitis B 1	k.			
	I. Hepatitis B 2	I.			
	m. Hepatitis B 3	m.			
RJA29.	Has [CHILD'S NAME] already received BCG, DPT 1-3, POLIO 0-4, and/or Measles and Hepatitis B, but this information isn't recorded on the KMS/KIA card?	No			3
RJA29a.	INTERVIEWER CHECK:				
	PROBE ABOUT VACCINATIONS THAT HAVE	BEE	N RECEIVED	AND	
	WRITE "66" IN THE APPROPRIATE ROWS I				
	WRITE "00"IN RJA28a IN THE ROWS FOR W				
	WRITE "88"IN RJA28a IN THE ROWS IMMUNIZATIONS HAVE BEEN DONE	FOR	WHICH RES	PONDENT DIDN"	T KNOW WHETHER
	RJA31				

RJA30.	Please telll us whether [CHILD'S NAME] has already received the immunizations listed below:	
	A. BCG vaccination against turberculosis, that is, an injection in the upper arm that left a scar.	Yes 1 No 3 DON'T KNOW 8
	B. Polio Vaccine , that is, pink or white drops in the mouth?	Yes 1 No 3 DON'T KNOW 8
	IF 'YES': How many times?	L Times
	 C. DPT Vaccination, that is, an injection, usually given at the same time as polio drops 	Yes 1 No 3 DON'T KNOW 8
	IF 'YES': How many times?	L_L_I Times
	D. An injection against Measles .	Yes
	E. Anti Hepatitis B Injection	Yes
	<pre>IF 'YES': How many times?</pre>	L_L_I Times
	F. Vitamin A	Yes
	IF 'YES': How many times?	DON'T KNOW 8
RJA31.	In the last 4 weeks has [CHILD'S NAME] participated in the activities of the Child Development Program?	Yes 1 No 3
RJA32.	How many times was child weighed in the last 6 months?	Times

BOOK V - 18 IFLS - East 2012

SECTION FMA (FOOD FREQUENCY)

FMA01	How many times [CHILD'S NAME] eat?	Still breast fed 96→SEKSI RNA 3 or more times a day 01 2 times a day 02 1 time a day 03 5-6 times a week 04 3-4 times a week 05 2 or less times a week 06 DON'T KNOW 98
FMA01a.	How often does [CHILD'S NAME] brush their teeth? (CIRCLE ALL THAT APPLY)	Every morning A Every night B Every afternoon C After meals D Never E Sometimes F DON'T KNOW Y

Now we would like to ask you about the type of food [CHILD'S NAME] usually eat.

	TYPE OF FOOD FMTYPE	FMA02.	FMA03.	FMA04.	FMA05.		
	(FMTYPE)	In the last week, did [CHILD'S NAME] eat any []?	How many days did [CHILD'S NAME] eat [] in the last week?	How many days did [CHILD'S NAME] eat [] in the last month?	How many days did [CHILD'S NAME] eat [] in the last 6 months?		
Α	Sweet potatoes	3. No → FMA04	2 3 4 5 6 7 ↓ 1→	2. ∟ days Ψ 0.0 day 1.1 days →	2. L days 0.0 day 1.1 days		
		1. Ya →					
В	Eggs	3. No → FMA04	2 3 4 5 6 7 ♥ 1→	2 days ♥ 0.0 day 1.1 days→	2. L days 0.0 day 1.1 days		
		1. Ya →					
С	Fish	3. No → FMA04	2 3 4 5 6 7 ↓ 1→	2 days ♥ 0.0 day 1.1 days→	2. L days 0.0 day 1.1 days		
		1. Ya →					
D	Meat (beef, chicken, pork, etc.)	3. No → FMA04	2 3 4 5 6 7 ↓ 1→	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
		1. Ya →					
Е	Dairy	3. No → FMA04	2 3 4 5 6 7 ♥ 1 →	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
		1. Ya →					
F	Green leafy vegetables	3. No → FMA04	2 3 4 5 6 7 ↓ 1→	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
		1. Ya →					
G	Banana	3. No → FMA04	2 3 4 5 6 7 ♥ 1→	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
		1. Ya →					
Н	Papaya	3. No → FMA04	2 3 4 5 6 7 ♥ 1→	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
	• •	1. Ya →					
I	Carrot	3. No → FMA04	2 3 4 5 6 7 ♥ 1→	2. ∟_⊥_ days Ψ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
		1. Ya →					
J	Mango	3. No → FMA04	2 3 4 5 6 7 ♥ 1→	2 days ♥ 0.0 day 1.1 days→	2. L_L days 0.0 day 1.1 days		
	<u> </u>	1. Ya →					

BOOK IIIB - 19 IFLS - East 2012

SECTION RNA (CHILD INPATIENT UTILIZATION)

The following questions pertain to hospitalization (inpatient care) that [CHILD'S NAME] has had during the past 12 months, namely since the month of [...] 12 months ago.

RNA00.	In the last 12 months, namely since the month of [], did [CHILD'S NAME] receive inpatient care?	No
--------	---	----

			RNA01.	RNA02.	RNA02a.
	HOSPITALIZATION FACILITY (RNA1TYPE)		12 months, has [CHILD'S ved inpatient care at []?	How many times has [CHILD'S NAME] received inpatient care at [] during the past 12 months?	How much did you pay out of pocket for inpatient care at [] during the past 12 months?
A.	Public Hospital (General or Specialty)	3. No ↓	1. Yes →	L_L_ Times	1. Rp,
					8. DON'T KNOW
B.	Public Health Center (puskesmas)	3. No ↓	1. Yes →	L_L Times	1. Rp
					8. DON'T KNOW
C.	Private Hospital	3. No ↓	1. Yes →	L Times	1. Rp , Rp.
					8. DON'T KNOW
D	Private Clinic	3. No ↓	1. Yes →	L_L Times	1. Rp , Rp.
					8. DON'T KNOW
F.	Midwife Clinic	3. No ↓	1. Yes →	L_L Times	1. Rp , Rp.
					8. DON'T KNOW
V.	Other	3. No ↓	1. Yes →	LLLI Times	1. Rp
			RNA05a		8. DON'T KNOW

BOOK V - 20 IFLS - East 2012

SECTION RNA (CHILD INPATIENT UTILIZATION)

Now, we'd like to ask you some questions about [CHILD'S NAME] last visit to inpatient health care providers.

RNA05a.	What was the type of the last hospitalization facility	Ш_			
RNA06.	What is the name and location of facility? 1. Specify 3. Same as current residence 8. DON'T KNOW		31		
		Loc. Not	te 1		8. DK
		A. Vill:	1 3. Same		
		B. Kec	1		
		C. Kab:	1		
		D. Prov	3. Same : 1		
		C	3. Same ODE CF	8. DK	
RNA08.	How many nights was [CHILD'S NAME] hospitalized there?				
RNA10.	For what reason was [CHILD'S NAME] hospitalized?	Acciden Operation	s t on, what type?		02

HHID:	PID:	

RNA15.	During hospitalization, what kind of treatment	(OURGI E ALL THAT ARRIVO
IIIIAIS.	did [CHILD'S NAME] receive?	(CIRCLE ALL THAT APPLY)
	1	A. Physical exam/consult
		B. Injection
		C. Laboratory test
		D. Surgery
		E. X-ray
		G. Medications
		I. IV (Drip Infusion)
		J Fisioterapi
		V. Other
RNA15a.	What do you think about the services that were	1. Satisfactory
	provided by this facility?	Somewhat satisfactory Net astisfactory
		Not satisfactory Far from satisfactory
RNA18.	What was the total cost to fill a prescription that	·
	you received during this visit?	1,Rp.
		3. Didn't receive
		5. Didn't fill
RNA19.	Upon discharge from the hospital, what was the	8. DON'T KNOW
mivais.	total cost of hospitalization? (Including	1,
	medications administered but not including self-	3. Did not pay anything
	bought medications and blood supply.)	8. DON'T KNOW
RNA19a.	Did you use insurance to pay for all or some of	No
	this visit?	Yes1
RNA19b.	What insurance did you use?	01. Askes
		02. Jamsostek
		03. Employer provided insurance
		04. Health insurance paid by the respondent
		05. Insurance related bank saving
		06. Letter stating non-affordability (Surat Miskin)
		07. JAMKESMAS
		95. Other

BOOK V - 21 IFLS - East 2012

SECTION BAA (PARENTAL INFORMATION)

	(BAATYPE)	Father (1)	Mother (2)
BAA00.	INTERVIEWER CHECK: [CHILD'S NAME] MOTHER/FATHER IS RESPONDENT FOR BOOK V?	YES	YES
BAA02.	INTERVIEWER CHECK: 1. [] CHILD STAYS IN HOUSEHOLD AND REGISTERED ON HOUSEHOLD ROSTER, FILL IN NUMBER [] FROM AR00. 2. [] CHILD DIED/DOES NOT STAY IN HOUSEHOLD, BUT REGISTERED ON HOUSEHOLD ROSTER, FILL IN NUMBER [] FROM AR00. 3. [] CHILD IS NOT REGISTERED ON HOUSEHOLD ROSTER	1. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐	1. ☐☐☐☐ AR00 AND STAYS IN HOUSEHOLD → SECTION CP 2. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
BAA03.	Is [child's name] father/mother still alive?	No 3→BAA06 DON'T KNOW 8→BAA06 Yes 1	No
BAA04.	12 months?	Everyday 5→BAA05 Never 1 At least once per year 2 At least once per month 3 At least once per week 4 Everyday 5→BAA05	Everyday 5→BAA05 Never 1 At least once per year 2 At least once per month 3 At least once per week 4 Everyday 5→BAA05
BAA04a.	How often has [child's name] been in telephone contact with his/her father/mother in the last 12 months?	Everyday 5→BAA05 Never 1 At least once per year 2 At least once per month 3 At least once per week 4	Everyday 5→BAA05 Never 1 At least once per year 2 At least once per month 3 At least once per week 4
BAA04b.	How often has [child's name] been in contact with his/her father/mother through email, sms, chatting, or letter in the last 12 months?	Never	Never
BAA05.	Where does [child's name] father/mother live?	L_L_I_J Other	L_L_L_l other
BAA06.	What is the highest level of education of father/mother?	L_L_I Other	L_L_J Other
BAA07.	What is the highest class that father/mother finished?	00 01 02 03 04 05 06 07 96 98 →BAA00 FOR MOTHER	00 01 02 03 04 05 06 07 96 98 →SECTION CP
		7 DAROUT OIL MOTHER	732011014 01
CODE BAA 000. In this hous 001. In the same 002. In the same 003. In the same 004. In the same 010. Sumatera 011. Nanggroe A 012. North Suma 013. West Suma 014. Riau 015. Jambi 016. South Suma 017. Bengkulu	ehold 018. Lampung 060. Kalimantan 081. Malul village village 019. Bangka Belitung 061. West Kalimantan 082. North village visubdistrict 020. Riau Islands 062. Central Kalimantan 090. Irian district 030. Java 063. South Kalimantan 091. West v province 031. DKI Jakarta 064. East Kalimantan 094. Papu 032. West Java 070. Sulawesi 101. Malay Aceh Darussalam 033. Central Java 071. North Sulawesi 102. Singattra tra 034. D.I. Yogyakarta 072. Central Sulawesi 103. Brune tra 036. Baanten 074. Southeast Sulawesi 104. Hong 051. Bali 075. Gorontalo 106. South	Maluku 122. Saudi Arabia school 62. University (f 22. Illustration 123. Kuwait 124. United Arab Emirates a 131. Argentina 04. Junior High - Vocational 12. Adult Educa 05. Senior High - Vocational 12. Adult Educa 05. Senior High - Vocational 13. Open Unive 141. Australia 06. Senior High - Vocational 14. Islamic School 62. University (f 12. Adult Educa 05. Senior High - Vocational 15. Adult Educa 05. Senior High - Vocational 15. Open Unive 15. In Australia 15. Holland 15. England 15. England 15. England 15. England 15. Islamic School 62. University (f 13. University (f 14. Islamic School 62. University (f 14. Islamic School 62. University (f 14. Islamic School 62. University (f 15. Islamic High - Vocational 15. Adult Educa 05. Senior High - Vocational 14. Islamic School 62. University (f 15. Islamic High - Vocational 15. Adult Educa 05. Senior High - Vocational 15. Open University (f 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Adult Educa 05. Senior High - Vocational 15. Open University (f 15. Islamic School 62. University (f 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Adult Educa 05. Senior High - Vocational 15. Open University (f 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Islamic School 62. University (f 15. Islamic High - Vocational 15. Islamic School 63. University (f 15. Islamic High - Vocational 15. Islamic School 63. University (f 15. Islamic High - Vocational 15. Islamic School 63. University (f 15. Islamic High - Vocational 15. Islamic Hig	Master) 17. School for disabled grade at this level 72. Islamic Elementary School (Madrasah Ibtidaiyah) ation A 73. Islamic Junior High School (Madrasah Tsanawiyah) 74. Islamic Senior High School (Madrasah Aliyah) 02. 2 06. 6 03. 3 07. Graduated 04. 4 96. No school 06. 98. DON'T KNOW 05. 5 98. DK

BOOK V - 22 IFLS - East 2012

SECTION CP (INTERVIEW SESSION NOTES)

EVALUATION FORM FOR BOOK V

CP1.	WHO ELSE (OTHER PERSONS) BESIDES RESPONDENT WAS PRESENT DURING THE INTERVIEW? ANSWER MAY BE MORE THAN ONE. A. NO ONE B. A CHILD 5 YEARS OLD OR UNDER C. A CHILD OLDER THAN 5 YEARS OLD D. HUSBAND/WIFE E. AN ADULT, A HOUSEHOLDER F. AN ADULT, NOT A HOUSEHOLDER	CP2.	WHAT IS YOUR EVALUATION OF THE ACCURACY OF RESPONDENT'S ANSWERS? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD	CP3.	WHAT IS YOUR EVALUATION ON THE SERIOUSNESS AND ATTENTIVENESS OF THE RESPONDENT? 1. EXCELLENT 2. GOOD 3. FAIR 4. NOT SO GOOD 5. VERY BAD
CP4.	WHAT QUESTIONS DID RESPONDENT FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP5.	WHAT QUESTIONS DID INTERVIEWER FIND DIFFICULT, EMBARRASSING, OR CONFUSING?	CP6.	WHAT QUESTIONS DID RESPONDENT SEEM INTERESTED IN?
		L			
NOTES:					
- - -					
-					
-					

BOOK V - 23 IFLS - East 2012

SURVEY OF CITRUS PRODUCERS IN EAST JAVA





Version: 18 September 2017



September - October 2017 UNIVERSITY OF ADELAIDE - ICHORD - IPB

	The purpose of this survey	3 to obtain better understain	ding of citrus farmers behaviour unde	Climate change risk	3			
Jse of data:		of this survey are for researc ot be shared with non-resear e included in published repo	rch organizations.					
/illage code	Household ID number Enumerator code	Household code	Name of head family Name of respondent Address/location Phone					
Do vou manage	the citrus plot more than 25 tre	es?	Village					
Yes			Sub-district					
			District					
No			District				<u> </u>	
No			DISTRICT					
No Note:	rent out all of the citrus plot,		District		Date		Name	Sign
No Note: f citrus farmers	rent out all of the citrus plot, rom the respondent list		District	Day	Date Month	Year	Name	Sign
No Note: f citrus farmers	•		Interview	Day		Year 2017	Name	Sign
No lote: f citrus farmers	•			Day			Name	Sign
No Note: f citrus farmers	•		Interview	Day		2017	Name	Sign
No Note: If citrus farmers	•		Interview Field check	Day		2017 2017	Name	Sign

A. CHARACTERISTICS OF MEMBERS OF THE HOUSEHOLD Ask tthese questions only for members What is the Is [name] How many years How old is What is the Is [name] a What is the actively relationship between of schooling has Name male or [name]? marital What are the main activities [name] and the head [name] ethnic involve in you [age at last of [name]? female? status of of household? completed? group? citrus farming? birthday, use [name]? 0 for < 1 yr] 1 Head 1 Male 1. Farming/aquaculture 1. Yes 1 Javanese 1 Single 2 Spouse 2. Self-employed trader 2. No 2 Female 2 Maduranes 2 Married Year Year 3Separated 3 Self-employed - other 3 Son/daughter 3 Balinese 4 No 4. Agricultural wage labor 4 Son/daughter in law 4 Osing 5. Other wage labor 5 Grandchild 5 Minang longer married 6. Housework 6 Parent or in-law 6 Others 7. Student 7 Other related 8. Other 8 Other unrelated 9. None Main Secondary A2 Α1 А3 A4 A5 Α6 Α7 A8a A8b Α9 1 2 3 4 5 6 7 8 9 10 11 12

Note: The household is defined as a group of people who live and eat together most of the time. Each member must live with others at least 6 months of the year.

The head of the household is defined as the member who makes most of the economic decisions.

B. HOUSING AND ASSE	TS			
What is the approximate area o (icl. homegarden)?	f your house in square meters	B1	How many of each of the following items do members of yo household currently own?	ur
If house owned] What is the ap		B2a		Number
nouse without farmland? (Rp. M	lillion)		a mobile phone/tablet?	B7
761 (17 147) (1			internet access (Icl. Smartphone/tablet) (1. Yes; 2. No)	B8
If house rented] What is the ar	inual rent that you pay for	B2b	a bicycle?	B9
our house (without farmland)?			a motorbike?	B1
A/l + !- +	dia a contra facciona hacea haldo		a car?	B1
What is the main source of drini	sing water for your nousenoid? 5 Collected rainwater	D ₂	a PC/laptop	B1 B1
 Indoor tap Outdoor private tap 	6 River, lake, pond, spring	B3	a 3 wheel motor cycle (tossa) a Gerobak	B1
3 Outdoor shared tap	7 Spring water		a truck?	B1
4 Covered well	8 Water collected in a tank		a water pump?	B1
4 Govered Well	9 Bottled water		a generator?	B1
What is the main type of toilet u		В4	a hand/manual sprayer?	B1
1 Flush toilet	3 Latrine over canal/pond		a power sprayer?	B2
2. Latrine with pipe	4 Public toilet		a tractor/hand tractor?	B2
3 Pit latrine	5 Other or none		a storage house?	B2
			cattle/buffalo?	B2
What is the main type of lighting	used by your household?		goats/sheep?	B2
1 Electric lights	4 Others	B5	poultry?	B2
2 Oil lamps	5 None			
3. Candles				
What type of fuel is used by you	r household for cooking?			
1 Electricity	4 Kerosene	B6		
2 LPG	5 Wood			
3 Biogas	6 Other			

C. AGRICULTURAL LAND

(1)Draw the house of the farmer; **(2)**Ask and draw all off the plot they managed in 2016**; **(3)** Write "C" on the plot planted with citrus; **(4)** there is more than one plot, write "1" in the largest plot]

			1. Yes ; 2. No		much total land did		Area	Area Unit	1. Hectare 2. Bau	4. Tumbak 5. Ru
Have you sold farm land over the past 5 years?		you sell and what was the total value?			C2a C2u		C2p		6. m2 8. Wolon	
Plot nbr	What is the area of this plot?		What is the land tenure arrangment for this plot?	[If C5=1-2] How was this plot acquired?	[If C6=3, did you buy this land in te last 5 years?	[If C5=1-2] How is the legal status of this plot?	[If C8=2-/1] on	M/hat is the type of	How many minutes will it take from	
	Area	Unit	1. Owned and farm	1 Inherited	1. Yes	1. None	1. Husband	1. Technical irrigati	your house to the	
		1. Bata	2. Owned and rent	2 Gift	2. No	2. Acta	2. Wife	2. Semi-technical	plot when using a	
		2. Tumbak	3. Rented from owr	3 Purchased		(can't be used to	3. Other	irrigation	motorcycle?	
	3. Ru		4. Other 4 Allocated			access credit from	bank)	3. Rainfed		
		4. M2		by government		3. Certificate				
		5. Hectare				4. Traditional ownership		nip evidence (girik)		
		6. Patok							(minutes)	
		7. Wolon								
C3	C4a	C4u	C5	C6	C7	C8	C9	C10	C11	
1	010	Olu		00	O1	00	- 00	0.10	011	1
2										1
3										1
4										1
5										1
6										1
7										1
8										1
9										1
10										1
11										
12										

What is the largest type of vehicle that can access the plot during the dry season?		What is the soil type in the plot?	What is the colour of the soil in the plot?	What type of irrigation does this plot have in the RAINY season? 1 None 2 Gravity/	irrigation does this plot have in the RAINY season? irrigation does this plot have in the DRY season?		[If plot farmed by household, C8=1 or 7 10] What were the main crops grown currently and previous planting period?		What was the main crop grown in this plot before citrus planted at the first	this nint	How many times in the las 5 years this plot experience FLOOD, where	this plot experience DESTRUCTIVE
1. Truck	1. Truck	1. Clay	1. Black	tech Irrigated	tech Irrigated	1			time?	access the water	you couldn't drain	,
2. Pick-up (1-2 ton)	2. Pick-up (1-2 ton)	2. Crumbly	2. Black-white	3 Pumped	3 Pumped					to watering your	within a week?	destruct your
3. Tossa	3. Tossa	3. Sandy	3. Brown		surface water					plants?		plants?
4. Motor	4. Motor	4. Other	4. Brown-orange	water	4 Pumped	Now	Prev					
5. None	5. None		5. Other	4 Pumped	groundwater	NOW	1164	(year)				
				groundwater	5. Bucket	crop	crop		crop			
				5. Bucket	Piped water	code	code		code	number	number	number
				Piped water	7. Retention basin							
C12	C13	C14	C15	C16	C17	C18	C19	C20	C21	C16	C17	C18
			<u>l</u>			ı				<u>l</u>	ı	<u> </u>

D. CITRUS PRODUCTION

	Plot number				What the dominant variety of the citrus in the plot?	Did you do top working in the plot?	[If D4=1], when did you do top working?	[If D4=1], why did you do top working?			[If D4=1], What was the previous variety?		
	NUMBERS IN WHICH CITRUS WERE GROWN]	How many citrus plants are in this plot?	Are you renting out the citrus tree in this plot?	are in this plot?		1. Yes 2. No		Changing variety 1. Yes	Old trees were not productive	Changing unhealthy trees 1. Yes	Increasing quality/ price	Other 1. Yes	1 Siam 2 Keprok 3 Jeruk manis
					4 Pamelo 5 Lime/lemon 6 others			2. No	2. No	2. No		2. No	4 Pamelo 5 Lime/lemon 6 others
			1. Yes 2. No	Number	o outers		year						o uners
	C3	D1	D2	D3	D4	D5	D6	D7a	D7b	D7c	D7d	D7e	D8
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													
15													
16													

[If D4=1], where did you get the scion?		When did you plant the citrus in this plot for the last	How many trees that you need replant in this plot?	Did you use certified seedling in this plot?	What was the reason you choose the type of seedling?							Where did you get the seedling?	If you buy the seedling, what was the price?
BPMT Selection from	each scion?	time?				Cheapest price	Easy to access	Better performance	Follow other farmers	Longer age	Resistance to climate situation	Nursery Seedling big trad Seedling retailer	er
own orchard 3. Selection from other farmers orchard	(Rp/scion)	year	(trees)	1. Yes 2. No	1. Yes 2. No	1. Yes 2. No	1. Yes 2. No	1. Yes 2. No	1. Yes	1. Yes 2. No	1. Yes 2. No	4. Market 5. Government ass 6. Research institut 7. Own production 8. Neighbour/ other farmer	stant
D9	D10	D11	D12	D13	D13a	D13b	D13c	D13d	D13e	D13f	D13g	D14	D15

Do you find citrus	[If D15=1], how		PERI	OD 1			PERI	OD 2			PERI	OD 3	
in plot attacked by CVPD or	many trees?		from the harvesting	How many kg did you get?	What was the price?	When the last time you harvest in the	from the harvesting	How many kg did you get?	What was the price?	When the last time you harvest in the	from the harvesting	How many kg did you get?	What was the price?
degreeining disease or a disease with the symptoms: yellow spot in the leaf, assymmetric fruit shape,)		1. Jan 2. Feb 3. Mar 4. Apr 5. May 6. Jun				1. Jan 2. Feb 3. Mar 4. Apr 5. May 6. Jun				1. Jan 2. Feb 3. Mar 4. Apr 5. May 6. Jun			
1. Yes		7. Jul 8. Aug 9. Sept 10. Oct				7. Jul 8. Aug 9. Sept 10. Oct				7. Jul 8. Aug 9. Sept 10. Oct			
2. No	(number)	11. Nov 12. Dec	(Rp/plot)	(kg)	(Rp/kg)	11. Nov 12. Dec	(Rp/plot)	(kg)	(Rp/kg)	11. Nov 12. Dec	(Rp/plot)	(kg)	(Rp/kg)
D16	D17	D18a	D18b	D18c	D18d	D19a	D19b	D19c	D19d	D20a	D20b	D20c	D20d
						-							
-										<u> </u>			
										 			
										 			
										1			

	PERI	OD 4			PERI	OD 5			PERI	OD 6	
When the last time you harvest in the plot?	How much revenue from the harvesting period?	How many kg did you get?	What was the price?	When the last time you harvest in the plot?		How many kg did you get?	What was the price?	When the last time you harvest in the plot?		How many kg did you get?	What was the price?
1. Jan 2. Feb 3. Mar 4. Apr 5. May 6. Jun 7. Jul 8. Aug 9. Sept 10. Oct 11. Nov	(Rp/plot)	(kg)		1. Jan 2. Feb 3. Mar 4. Apr 5. May 6. Jun 7. Jul 8. Aug 9. Sept 10. Oct 11. Nov 12. Dec	(Rp/plot)	(kg)	(Rp/kg)	 Jan Feb Mar Apr May Jun Jul Aug Sept Oct Nov Dec 	(Rp/plot)	(kg)	(Rp/kg)
D21a	D22b	D22c	D22d	D23a	D23b	D23c	D23d	D24a	D24b	D24c	D24d

E. INPUT AND HIRED LABOUR USE

Indicate input and hired labour that you use in the plot which has the largest number and oldest trees,

1. Yes 1. Yes 2. No	Indioc		For the LARGEST CITRUS PLOT in last 12 month, did you use []?	How much		Did you use more than	How many times did	How much the input for each application (in average)	
Part									· · · · · · · · · · · · · · · · · · ·
E1				1.1	Dn/I Init		(1.1-24	6.Credit from input trader/SUPPLIER
1 Chemical fertiliser a. Urea b. SP 36 c. KCI d. ZA d. ZX e. NPK e. NPK Phonska f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertiliser a. Manure b. Branded organic fertilise d. AP Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irriqation 13 Land tax 14 Tiang penyanggga + tali									
a. Urea b. SP 36 c. KCI d. ZA d. ZK e. NPK f. NPK Phonska f. Pupuk daun c. Organic fertiliser a. Manure b. Branded organic fertilise 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Marim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irirgation 13 Land tax 14 Tiang penyanggaga + tali	_		EZ	E3u	E3p	Ľ 4	EO	E0	E/
b. SP 36 c. KCI d. ZA d. ZK e. NPK f. NPK Phonska f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertilise 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Aktarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 11 Land tax 11 Tiang penyanggag + tali									
C. KCI									
d. ZA d. ZK e. NPK e. NPK Phonska f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertilise 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for water pump/generator 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		b. SP 36							
d. ZK e. NPK f. NPK Phonska f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertiliser 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		c. KCl							
e. NPK f. NPK Phonska f. Pupuk daun		d. ZA							
f. NPK Phonska f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertiliser 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		d. ZK							
f. Pupuk daun 2 Organic fertiliser a. Manure b. Branded organic fertiliser 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		e. NPK							
2 Organic fertiliser a. Manure b. Branded organic fertilise 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		f. NPK Phonska							
a. Manure b. Branded organic fertiliser 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		f. Pupuk daun							
a. Manure b. Branded organic fertiliser 3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali	2	Organic fertiliser							
D. Branded organic fertilise S. Hormone perangsang bunga S.									
3 Hormone perangsang bunga 4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide 6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		b. Branded organic fertilise							
4 Pesticide a. Prosromit b. Mtarim c. Micim-Samet 5 Fungicide description 6 Herbicide description 7 Akarisida description 8 Perekat bunga description 9 Yellow trap description 10 Gasoline for water pump/generator description 11 Gasoline for power sprayer description 12 Irrigation description 13 Land tax description 14 Tiang penyanggga + tali description			ga						
a. Prosromit b. Mtarim b. Mtarim c. Micim-Samet 5 Fungicide c. Micim-Samet 6 Herbicide c. Micim-Samet 7 Akarisida c. Micim-Samet 8 Perekat bunga c. Micim-Samet 9 Yellow trap c. Micim-Samet 10 Gasoline for water pump/generator c. Micim-Samet 11 Gasoline for water pump/generator c. Micim-Samet 11 Gasoline for power sprayer c. Micim-Samet 12 Irrigation c. Micim-Samet 13 Land tax c. Micim-Samet 14 Tiang penyanggga + tali c. Micim-Samet			g						
b. Mtarim c. Micim-Samet 5 Fungicide									
c .Micim-Samet 5 5 Fungicide 5 6 Herbicide 6 7 Akarisida 7 8 Perekat bunga 9 9 Yellow trap 9 10 Gasoline for water pump/generator 11 11 Gasoline for power sprayer 12 12 Irrigation 13 13 Land tax 14 14 Tiang penyanggga + tali 14									
5 Fungicide 6 6 Herbicide 9 7 Akarisida 9 8 Perekat bunga 9 9 Yellow trap 9 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 11 Irrigation 13 Land tax 14 Tiang penyanggga + tali 14 Tiang penyanggga + tali									
6 Herbicide 7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali									
7 Akarisida 8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali		,							
8 Perekat bunga 9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali									
9 Yellow trap 10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali									
10 Gasoline for water pump/generator 11 Gasoline for power sprayer 12 Irrigation 13 Land tax 14 Tiang penyanggga + tali									
12 Irrigation 13 Land tax 14 Tiang penyanggga + tali 14 Tiang penyanggga + tali	10	Gasoline for water pump/ge							
13 Land tax 14 Tiang penyanggga + tali									
14 Tiang penyanggga + tali									
15 Other									

Option for E5 and E6u:(1) Kg; (2) Liter; (3) Pack; (4) Bag; (5) Tree; (6) kali; (7) Label; (8) Roll; (9) Ons; (10) Lainnya

E. INPUT AND HIRED LABOUR USE

Did you have hired fix labour for this plot? (1. Yes; 2. No)	E8
[If E8 = 1), how many hired fix labour do you have?	ΕS
How mony total man days they work in this plot for the last 12 month? (day)	E1
In the last 12 month, how many days did you come to this plot?	E1
In the last 12 month, how many days did your spouse come to this plot?	E1
In the last 12 month, how many days did your other family member (over 18 y.o.) come to this plot?	E1

	Type of activities	For the LARGEST	Did you use hired	[If E10=1], how	[If E10=1], how	[If E10=1], how	[If E10=1], how	How many intra	How many days
		CITRUS PLOT in	labour for the		many days hired		many days hired	household	intrahousehold
		last 12 month, did	activity?	labour to do the				children labour	children labour
		you do[]?		activity?	the activity?	do the activity?	do the activity?	involve in the activity?	involve in the activity?
		1. Yes	1. Yes						
		2. No	2. No	(number)	(number)	(number)	(number)	(number)	(number)
E8		E9	E10	E11	E12	E13	E14	E15	E16
1	Prunning								
2	Manual weeding								
3	Herbicide sprayin	g							
4	Organic fertilising								
5	Chemical fertilisin	ıg							
6	Fungicide applica	tion							
7	Hormone applicat	tion							
8	Pesticide spraying								
9	Fruit thinning								
10	Yellow trap applic	ation							
11	Watering in dry se	eason							
	Drainage mainten								
13	Life fence mainter	nance							
	Harvesting*)								
	Other								

F. CITRUS MARKETING

Who is the main buyer of your ci			F1
1. Other farmers	6. Citrus industry		
2. Farmers group	7. Supermarket/Modern market		
3. Collector (small trade	•		
4. Big trader (pengepul	9. Other		
5. Cooperative			
Where is the main buyer come for	om?		F2
1. Same village			
2. Different village in th	e same subdistrict		
Different subdistrict i			
Different district in th	e same province		
5. Different province			
How was the payment method fr	om the main buyer?		F3
Before harvest	4. More than week later		<u> </u>
2. At delivery	5. Multiple payments		
3. 1-7 days later	(across categories)		
Please indicate the marketing ar	rangement that you use in the last 12 months		
	n menjual langsung ke pedagang/konsumen	1. Yes; 2. No	F4
	ang dan menjual dengan kilo	1. Yes; 2. No	F5
3. Tebas	, ,	1. Yes; 2. No	F6
4. Kotasan		1. Yes; 2. No	F7
5. No selling in the last	12 month	1. Yes; 2. No	F8
			Very important Important
Indicate the important	ce of the reason you choose the citrus buyer for eac	ch following statement	3. Neutral
maioato tro important	so of the reason year shoose the shade bayor for each	on renewing elaternesis	4. Not important
			5. Very not important
The buyer has a comm			
The Buyer pays in cash			
The buyer offers the high	•		
	ell or I have family relationship with him/her		
F13 The buyer lend me mor	ney or input credit		

G. SOCIAL CAPITAL AND ACCESSIBILITY

In what year you at the first time involve in citrus farming?		G1			
What is your main reason at the first time to plant citrus? 1. Following my parent 2. Following my neighbour/other farmers 3. Recommended by government/extension workers 4. The demand from traders / supermarkets / industries to be a citrus 5. Citrus has a good prospect/profitability 6. Other	s supplier	G2			
How many times you INDIVIDUALLY have a training/field school of citrus farmed the many times you INDIVIDUALLY have an extension of citrus farming in the How many times have you ever attended a meeting/extension/training/field-s	he last 5 year?	and/or its adaptation strategy	in the last 10 years?		G3 G4 G5
Are you a part of citrus farmers group currently?	[1 = Yes; 2 = No]	G7	Do you adopt agricultural insurance for your	citrus farming?	G12
If (H2=1) what is your position in the citrus farmers group? 1. FG management 2. Member		G8	If (H8=2), What is the main reason? 1. Insurance is not available	6. Religious reason	G13
What are the activities of the farmers group? (check box) 1. Actively give citrus technology from 2. Activiely give farmer to farmer extension? 3. Facilitate government input uses	[1 = Yes; 2 = No] [1 = Yes; 2 = No] [1 = Yes; 2 = No]	G9a G9b G9c	Insurance is not important Do not want to pay additional cost No Money Do not understand	7. Other	
4. Facilitate post-harvest handling?5. Facilitate marketing?6. Studi banding7. Pengananan hama/penyakit terpadu secara bersama2	[1 = Yes; 2 = No] [1 = Yes; 2 = No] [1 = Yes; 2 = No]	G9d G9e G9f	Do you have a formal credit from bank, cooper that you use for citrus farming? [1 = Yes; 2 = No]	erative, etc	G14
Are you a part of cooperative? If (H5=1), what is the cooperative activities that you use? (check box)	[1 = Yes; 2 = No]	G10	If (H10=2), What is the main reason? 1. The requerement is complicated 2. Too high interest rate		G15
1. Financial credit? 2. Money saving 3. Input credit 4. Input procurement 5. Product marketing 6. Others	[1 = Yes; 2 = No] [1 = Yes; 2 = No]	G10a G10b G10c G10d G10e G10f	3. Do not understand 4. Religious reason 6. No need 7. No collateral		
Do you have a direct access to government auhorithy in agriculture to ask for citrus information? (e.g. Dinas, extension workers, reserved.)	[1 = Yes; 2 = No] rcher, etc)	G11			

H. INFORMATION SOURCES

		Over the past 5 years	How would you	Over the past 5	How would	Over the past	How would
		what have been you				-	you rate the
		main sources of	of the	been your main		have been	quality of the
		information about	information?	sources of	market	your main	climate/
		citrus production		information	information?	sources of	weather
		methods?		about citrus		information about climate	information?
				prices & markets?		or weather ?	
			1. Good		1. Good		1. Good
	Source of information		2. OK		2. OK		2. OK
			3. Poor		3. Poor		3. Poor
H1		H2	H3	H4	H5	H6	H7
1	Extension workers						
2	Research institute						
3	Farmer/relative/neighbor						
4	Trader						
5	Processor						
6	Input sellers						
7	Cooperative						
8	Farmer group						
9	TV						
10	Radio						
11	Newspaper/magazine/books						
12	Input companies						
13	Internet (www)						
14	Mobile info service						
15	Other						

I. CASH INCOME ACTIVITIES

Income activity		In the past 12			[- if I2 = yes]
	CODE	months, have	Who is the	How many months	For each of these	For each of these	Over the past 5 years,
		members of your	source of the	out of the past 12	months that you	months, how much	has this activity become
		household	income?	months did	were involved in	does your household	more or less important as
		received income	(spent more	members of this	[activity], how much	spend in business	a share of your income?
		from [activity]?	time or obtain	household receive	total gross revenue	expenses related to	
			more money)	income from	did you make from	this activity? (in	
				[activity]?	this activity? (in	average)	
			1. Husband		average)		1. More important
			2. Wife				2. No change
			3. Sharing				3. Less important
		0. No	4				·
		1. Yes	1. Yes	Months	Rp/month	Rp/month	
	I 1	12	l3a	14	I5	16	17
CITRUS production							
Other agricultural production							
Livestock & animal product sales							
Aquaculture							
Agricultural trading							
Other trading							
Rice milling business							
Food processing business							
Other business							
Agricultural wage labor							
Non-agricultural employment (e.g. PNS)							
Pension fund							
Remittances from family members							
Other assistance programs							
Other							

J. FARM ACTIVITIES RESPONSIBILITY

Ask this module to husband and wife separately		
Activities	In citrus farming, do you involve in the activity? 1. Yes 2. No	Between husband and wife, who has a responsibility for each activity? 1. Husband 2. Wife 3. Sharing
J1	J2	J3
1 Land preparation 2 Buying farm equipment 3 Buying farm input (seed, fertiliser, pesticide, etc) 4 Choosing and buying seedling 5 Planting 6 Fertilising 7 Spraying 8 Weeding 9 Watering/Irrigation/Drainage 10 Prunning 11 Harvesting 12 Marketing arrangement 13 Negotiating with buyer/trader 14 Looking for hired labour 15 Credit application 16 Attending agriculture training or extension activities?		

K. CLIMATE CHANGE KNOWLEDGE, PERCEPTION AND ADAPTATION

Ask this module to husband and Wife

Have you ever heard about "climate change" term? (0. No, 1. Yes)

What is your first thought when you heard about climate change?

Global warming
 (increasing in temperature)

9. Oozone layer
 10. Destructive wind
 11. Deforestration
 12. Forest fire

Drought
 Flood

13. Pollution14. New pest and disease

5. Heavy precipitation6. Disaster

2. Sea level rise

15. Massive pest and disease incidence

7. Deacreased rainfall

16. Other

8. Changing rainfall pattern

Please select the response that reflects the level of your agreement regarding the climate change		· · · · · · · · · · · · · · · · · · ·		In my perception there is likelihood of [] in the future 1. Strongly disagree 2. Disagree	
		3. Neutral	3. Neutral	3. Neutral	
		4. Agree	4. Agree	4. Agree	
		5. Strongly agree	5. Strongly agree	5. Strongly agree	
K 4	Increasing air temperature				
K 5	Increasing dry season period				
K 6	Increasing rainy season period				
K 7	Increasing heavy rain				
K 8	Increasing flood				
K 9	Increasing destructive wind				

K. CLIMATE CHANGE KNOWLEDGE, PERCEPTION AND ADAPTATION

is module to hu	Isband and Wife	
K10	What farming practices that you use to adapt the climate change	
	1. Certified seedling	1. Yes; 2. No
	2. Irrigation/drainage system improvement	1. Yes; 2. No
	3. Increasing anorganic fertiliser dosage	1. Yes; 2. No
	4. Increasing organic fertiliser dosage	1. Yes; 2. No
	5. Intensive plant maintenance (prunning, weeding, sanitation)	1. Yes; 2. No
	6. Investment in agricultural equipments (generator pump, deep well)	1. Yes; 2. No
	7. Changing crops (from citrus to other crops)	1. Yes; 2. No
	8 Multicropping	1. Yes; 2. No
	9. Planting wind breaker	1. Yes; 2. No
	10. Build retention basin	1. Yes; 2. No
	11. Others	1. Yes; 2. No
K11	Do you plan to use other adaptation method to minimise negative impact of climate change	
	that has not been applied on your citrus farming in the next 10 years? (0. No, 1. Maybe, 2. Yes)	
K12	If Fh = Yes, what is the most important adaptation method that you plan to use? (choose from Fh17 list)	
K13	What is the constraint of climate change adaptation on citrus farming?	
	1. Lack of climate information	1. Yes; 2. No
	2. Limited knowledge about adaptation technique	1. Yes; 2. No
	3. Limited water source and/or irrigation system	1. Yes; 2. No
	4. Unsupported land characteristics	1. Yes; 2. No
	5. Lack of money	1. Yes; 2. No
	6. Lack of access to input market	1. Yes; 2. No
	7. Lack of input availability	1. Yes; 2. No
	8. Labaor shortage	1. Yes; 2. No
	9. Others	1. Yes; 2. No

L. RISK EXPERIMENT

	ind Wife										
rator: Please re	ead the risk	procedure	carefully. N	/lake sure ti	hat you und	erstand the	experimen	t procedure.			
espondent's ans	swer based o	on experime	nt procedure	e A.							
Always A	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10	Always B
·-	•	-	-	ng to take ris	sks, or do yo	u try to avoi	d taking risks	s?			
0	1	2	3	4	5	6	7	8	9	10	
espondent's ans	swer based o	on experime	nt procedur	e C!							
Always A	C1	C2	C 3	C4	C 5	C6	C 7	C8	C 9	C10	Always B
	•	_	-		something to	oday in orde	r to benefit fi	rom that in the	e future or	are you not	willing to do so
0	1	2	3	4	5	6	7	8	9	10	
expect to get fro	om CERTIFI	ED and UN(CERTIFIED	SEED in a ç	given year pe	er 100 citrus	trees? (Follo	ow the instruc	ction in pro	cedure E.	
0-2 ton	3-4 ton	5-6 ton	7-8 ton	9-10 ton	11-12 ton	13-14 ton	15-16 ton	17-18 ton			
	3-4 ton	5-6 ton	7-8 ton	9-10 ton	11-12 ton	13-14 ton	15-16 ton	17-18 ton			
0 2 1011	0 1 1011	0 0 1011	7 0 1011	0 10 1011	11 12 1011	10 11 1011	10 10 1011	11 10 1011			
	Always A see yourself: Are espondent's ans 0 espondent's ans 0 espondent's ans 0 n to others, are espondent's ans 0	Always A R1 see yourself: Are you a persespondent's answer based of the personal of the perso	Always A R1 R2 see yourself: Are you a person who is get espondent's answer based on procedure 0 1 2 espondent's answer based on experime Always A C1 C2 In to others, are you a person who is ger espondent's answer based on experime 0 1 2 expect to get from CERTIFIED and UNC1 0-2 ton 3-4 ton 5-6 ton	Always A R1 R2 R3 see yourself: Are you a person who is generally willingspondent's answer based on procedure B) 0 1 2 3 espondent's answer based on experiment procedure Always A C1 C2 C3 In to others, are you a person who is generally willingspondent's answer based on experiment procedure 0 1 2 3 expect to get from CERTIFIED and UNCERTIFIED 0-2 ton 3-4 ton 5-6 ton 7-8 ton	Always A R1 R2 R3 R4 see yourself: Are you a person who is generally willing to take risespondent's answer based on procedure B) 0 1 2 3 4 sespondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 In to others, are you a person who is generally willing to give up aspondent's answer based on experiment procedure D!) 0 1 2 3 4 expect to get from CERTIFIED and UNCERTIFIED SEED in a generally willing to give up aspondent's answer based on experiment procedure D!)	Always A R1 R2 R3 R4 R5 see yourself: Are you a person who is generally willing to take risks, or do yo espondent's answer based on procedure B) 0 1 2 3 4 5 espondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 In to others, are you a person who is generally willing to give up something to espondent's answer based on experiment procedure D!) 0 1 2 3 4 5 expect to get from CERTIFIED and UNCERTIFIED SEED in a given year person to the control of the contro	Always A R1 R2 R3 R4 R5 R6 Ree yourself: Are you a person who is generally willing to take risks, or do you try to avoid aspondent's answer based on procedure B) 0 1 2 3 4 5 6 Respondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 C6 In to others, are you a person who is generally willing to give up something today in order aspondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 Respondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 Respondent's answer based on experiment procedure D!) 0 1 7 8 10 9-10 ton 11-12 ton 13-14 ton	Always A R1 R2 R3 R4 R5 R6 R7 Ree yourself: Are you a person who is generally willing to take risks, or do you try to avoid taking risks espondent's answer based on procedure B) 0 1 2 3 4 5 6 7 Respondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 C6 C7 In to others, are you a person who is generally willing to give up something today in order to benefit frespondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 Respondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 Respondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 Respondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7	Always A R1 R2 R3 R4 R5 R6 R7 R8 see yourself: Are you a person who is generally willing to take risks, or do you try to avoid taking risks? aspondent's answer based on procedure B) 0 1 2 3 4 5 6 7 8 espondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 C6 C7 C8 In to others, are you a person who is generally willing to give up something today in order to benefit from that in the aspondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 8 expect to get from CERTIFIED and UNCERTIFIED SEED in a given year per 100 citrus trees? (Follow the instruction of the control of the cont	Always A R1 R2 R3 R4 R5 R6 R7 R8 R9 see yourself: Are you a person who is generally willing to take risks, or do you try to avoid taking risks? espondent's answer based on procedure B) 0 1 2 3 4 5 6 7 8 9 espondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 C6 C7 C8 C9 In to others, are you a person who is generally willing to give up something today in order to benefit from that in the future or espondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 8 9 expect to get from CERTIFIED and UNCERTIFIED SEED in a given year per 100 citrus trees? (Follow the instruction in procedure D2) 0-2 ton 3-4 ton 5-6 ton 7-8 ton 9-10 ton 11-12 ton 13-14 ton 15-16 ton 17-18 ton	Always A R1 R2 R3 R4 R5 R6 R7 R8 R9 R10 see yourself: Are you a person who is generally willing to take risks, or do you try to avoid taking risks? sepondent's answer based on procedure B) 0 1 2 3 4 5 6 7 8 9 10 sespondent's answer based on experiment procedure C! Always A C1 C2 C3 C4 C5 C6 C7 C8 C9 C10 In to others, are you a person who is generally willing to give up something today in order to benefit from that in the future or are you not sespondent's answer based on experiment procedure D!) 0 1 2 3 4 5 6 7 8 9 10 expect to get from CERTIFIED and UNCERTIFIED SEED in a given year per 100 citrus trees? (Follow the instruction in procedure E.

M. CHILD EDUCATION

The purp	pose of this section is to record education information and expenditure of all of the respondent's children during the peri	od of July	<u>1,</u> 2016 - June 30, 2017	
M1	How many children do you have?			
M2	Who mostly make decision regarding children education expenditure in this household?			
	(O. Father A. Mather O. Crand parents 2. athers)		=	

	(0. Father, 1. Mother, 2. Grand parents, 3. others)	_	-		
		Child No.1	Child No.2	Child No.3	Child No.4
М3	Name				
M4	Age				
M5	Gender (0. Male, 1. Female)				
M6	Does the child live in the household? (0. Yes, 1. No)				
M7	Does the child participate in the farmwork? (0.Yes, 1.No)				
M8v	How many times in the period of July 1, 2016 - June 30, 2017 does the child help in farmwork? (number of times)				
M8u	Unit of time (0. Week, 1. Month, 2. Year)				
M9	What is the level of education that the child was attending during the period ** July 1, 2016 - June 30, 2017 **?				
	1. Play group/kindergarten				
	2. Primary school				
	3. Junior high school (SMP)				
	4. Senior high school (SMA)				
	5. Academy (D1, D2, D3)				
	6. University (S1)				
	7. University (S2)				
	8. University (S3)				
	9. Vocational training institute				
	10. Not in school				
M10	Main reason of stopping school				
	1. Could not afford to further education				
	2. Prefer to work (citrus farming)				
	3. Prefer to work (jobs other than farming)				
	3. School is too far/No school in the area				
	4. Helping at home (e.g. caring for younger siblings, housework)				
	5. Marriage				
	6. Others				
M11	Is your child studying at ** public school ** in that period? (0.Yes, 1.No)				
M12	Is your child studying at ** boarding school ** in that period? (0.Yes, 1.No)				
M13	Is your child studying at ** religious school ** in that period? (0.Yes, 1.No)				

		Child No.1	Child No.2	Child No.3	Child No.4
M14	What is the distance from the house to the school (km)?				
M15v	How many times in the period of ** July 1, 2016 - June 30, 2017 did you pay for ** registration fee **?				
M15u	Unit of time (0. Week, 1. Month, 2. Year)				
M15a	What is the average cost for each payment?				
M16v	How many times during the period of ** July 1, 2016 - June 30, 2017 ** did you pay for SPP, POMG / BP3 / School Committee, Practicum / Skills, Other Contributions like OSIS) **?				
M16u	Unit of time (0. Week, 1. Month, 2. Year)				
M16a	What is the average cost for each payment?				
M17v	How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you pay for evaluation / exam fee **?				
M17u	Unit of time (0. Week, 1. Month, 2. Year)				
M17a	What is the average cost for each payment?				
M18v	How many times during the period of ** July 1, 2016 - June 30, 2017 ** did you pay for Books, stationery and school supplies (textbooks / guides / writing materials, stationery and other supplies)?				
M18u	Unit of time (0. Week, 1. Month, 2. Year)				
M18a	What is the average cost for each payment?				
M19v	How many times in the period of** July 1, 2016 - June 30, 2017 ** did you pay for school uniform and sport uniform?				
M19u	Unit of time (0. Week, 1. Month, 2. Year)				
M19a	What is the average cost for each payment?				
M20v	How many times in the period of** July 1, 2016 - June 30, 2017 did you pay for transportation (including shuttle fee)?				
M20u	Unit of time (0. Week, 1. Month, 2. Year)				
M20a	What is the average cost for each payment?				
M21v	How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you pay for allowance, boarding / room rental (including meal costs)?				
M21u	Unit of time (0. Week, 1. Month, 2. Year)				
M21a	What is the average cost for each payment?				
M22v	How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you pay for tutorials?				
M22u	Unit of time (0. Week, 1. Month, 2. Year)				
M22a	What is the average cost for each payment?				
M23v	How many times in the period of ** July 1, 2016 - June 30, 2017** did you pay for fieldtrip?				
M23u	Unit of time (0. Week, 1. Month, 2. Year)				
M23a	What is the average cost for each payment?				
M24v	How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you pay for other skill training courses **?				
M24u	Unit of time (0. Week, 1. Month, 2. Year)				
M24a	What is the average cost for each payment?				
M25v	How many times in the period of ** July 1, 2016 - June 30, 2017 did you pay for education cost of any child outside your households (e.g. niece/nephew)?				
M25u	Unit of time (0. Week, 1. Month, 2. Year)				

	Child No.1	Child No.2	Child No.3	Child No.4
M25a What is the average cost for each payment?				
M26 In addition to all other expenses above, what is your total spending on other education expenses in the period of** July 1, 2016 - June 30, 2017 **?				
M27v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid from GNOTA?				
M27u Unit of time (0. Week, 1. Month, 2. Year)				
M27a How much did you receive each time?				
M28v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid from ** BSM (Poor Student Assistance) **				
M28u Unit of time (0. Week, 1. Month, 2. Year)				
M28a How much did you receive each time?				
M29v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid from Bidik Misi**				
M29u Unit of time (0. Week, 1. Month, 2. Year)				
M29a How much did you receive each time?				
M30v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid from other types of Government fund? **				
M30u Unit of time (0. Week, 1. Month, 2. Year)				
M30a How much did you receive each time?				
M31v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid for child education from ** Your company / place of employment? **				
M31u Unit of time (0. Week, 1. Month, 2. Year)				
M31a How much did you receive each time?				
M32v How many times in the period of ** July 1, 2016 - June 30, 2017 **did you receive financial aid for education from other family members outside the household?				
M32u Unit of time (0. Week, 1. Month, 2. Year)				
M32a How much did you receive each time?				
M33v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial aid from your child's education institution?				
M33u Unit of time (0. Week, 1. Month, 2. Year)				
M33a How much did you receive each time?				
M34v In the period of ** 1 July 2016 - 30 June 2017 ** did you receive assistance from BOS / BKM Fund ?				
M34u Unit of time (0. Week, 1. Month, 2. Year)				
M34a How much did you receive each time?				
M35v How many times in the period of ** July 1, 2016 - June 30, 2017 ** did you receive financial assistance from ** Foundation / NGO? **				
M35u Unit of time (0. Week, 1. Month, 2. Year)				
M35a How much did you receive each time?				