# Rail Surface Geometry Defects and Track Settlement 

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## Declaration

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## CHAPTER 1 INTRODUCTION

Railway operators throughout the world, whether they be a heavy haul, metro or mixed traffic system are striving to increase their operating parameters, such as speed, axle load, volume and on time running reliability, whilst minimising the total cost of maintenance. To assist with this process the maintenance and construction standards of the railway track need to be closely defined with accurate information being provided to management so that optimal solutions can be derived.

The track structure, that guides and supports railway vehicles, has a number of imperfections. The level of the resources that need to be applied to correct these are continualiy being subject to economic investigation to improve the return on a very costly capital infrastructure investment.

One of the large numbers of economic considerations that arise is the cost of maintaining vertical track geometry. Defects in vertical track geometry are an important factor to all railway authorities as one of the main contributors to the generation of vehicle vibrations. In the extreme these defects can lead to vehicle derailment, but are more commonly controlled because of the additional damage that they cause to track components and vehicles, as well as their effect on passenger comfort levels. It is the prediction, and control, of the rate of vertical track geometry deterioration that has been a dilemma ever since the commencement of railway operations.

Track geometry defects occur in both the lateral and vertical direction, and can cause force variation in both planes. Although there is coupling between the vertical and lateral forces, the majority of deterioration is in the vertical plane. As the scope of this study was to investigate vertical settlements and as the lateral forces are a second order consideration further analysis was not carried out into these. This limits the scope of the track that was investigated to being straight, and only considering vehicles that were not operating in the region of lateral instability.

Vertical defects consist of a number of differing wavelengths. These can be discrete steps, short wavelength corrugations (from 60 mm up to 200 mm ), long wavelength deformations (up to 1 metre), track geometry defects (2 metres up to 60 metres) or design variations such as vertical curves. The discrete defects are placed in track predominantly during manufacture and installation, and deteriorate further due to impact loading, and alterations in residual stresses due to the work hardening of the running surface. With traffic these defects are believed to develop into longer wavelength faults that are of concern to vehicle ride. Because of this possible link between the discrete defects and deterioration rates, the study concentrated on their interrelationship.

Discrete defects cause increased damage to the rail, sleeper and ballast upon which the track is supported. The ballast deterioration shows itself in the compaction of the ballast due to the rearrangement of the ballast particles, as well as abrasion of the rock asperities. These then lead to the lowering of the track. Although general settlement occurs along the complete track length the effect of discrete defects is to cause loading variations and hence differential settlement. This results in longer wavelength defects being introduced into the track.

From the above discussion, it became the aim of this thesis to develop a quantitative tool, to assist in the rational assessment of tradeoffs between differing levels of track vertical geometry defects, and examine in some detail the effect that discrete vertical rail shape irregularities have on deterioration rates. Due to the characteristics of the track system there was a need to model some non linearities as these are of importance in the picture of track dynamics.

Investigation into existing models showed that they all needed varying degrees of modification to be used. This factor, together with the wish of the author to develop from first principles a dynamic model of the track structure, meant that a model was developed through the use of a commercially available general purpose simulation package.

The model was calibrated by in track field trials. Laboratory experiments were not utilised as early investigations revealed the extreme difficulties in generating enough force at the required frequency of application to those levels that exist in the track structure.

The thesis that follows reviews in the second chapter the previous work in the field, and the theoretical basis for this thesis, with the following chapters detailing the model developed, including modes of vibration and characteristics that have been assumed.

The research work was undertaken in three distinct phases;

1) Development of a mathematical model to simulate the dynamic behaviour occurring at the sleeper/ballast interface by the passage of a vehicle through discrete rail surface geometric defects.
2) Experimental work to confirm the model and provide numeric approximations to physical parameters.
3) Investigate the relationship between energy dissipation in ballast and the short term settlement of the track.


## CHAPTER 2

### 2.1 TRACK FORCES

In this chapter a review of the theory regarding railway track dynamics is undertaken, with the aim of highlighting the historic background and factors that other authors have considered to be important, and which need to be taken into account in the development of railway track models.

Track dynamics have been of concern to railway engineers ever since the first train ran on a railway track. As an example, Stokes [1] in 1849 (five years before a train ran in Australia) wrote a paper in response to a Royal Commission that was inquiring into the conditions observed by engineers in the use of iron in structures exposed to violent concussion and vibration.

It is known that static and dynamic forces generate stresses and strains on the track structure which lead to the breakdown of components, and changes in geometry leading to poor ride and further increases in loading. The forces which are generated at the wheel to rail interface are transmitted through the rail, rubber pad, sleeper, ballast and finally to the underlying formation.

If the track running surface was perfectly rigid, level and straight with the vehicle's suspension designed so that there would be no tendency towards lateral dynamic instability, the predictions of the stresses imposed on the track structure would be a simple case of statics.

If however the track has geometric deviations, both vertical and lateral, and the vehicle is unstable then the loading spectrum becomes very complex. The majority of track models developed such as those of Ahlbeck [2 3 4], Cai [5 6], Clark [7], Grassie [8 9 10], Hempelmann [11], Ishida [12], Jenkins [13], Lyon [14] and Newton [15] only consider the vertical interaction. Lateral effects are usually in the domain of vehicle stability models such as those of Williams [16] and Shelley [17]. Wanming [18] however does consider the combined lateral and vertical modes by the combination of separate vertical and lateral models.

As noted by Jenkins [13] the railway track and vehicle combination comprises heavy rigid wheels running on heavy rails. If the rail surface is not perfectly smooth there are generated variations in the forces that are applied to the track. Other forms of force increase due to lateral vehicle body and bogie motions such as hunting are also imposed on the track structure but due their secondary effect on vertical forces are not considered further in this research.

Jenkins [13] has identified five major causes of variations in the vertical force between the wheel and rail consisting of;

1. Isolated irregularities in the rail running surface which occur by default at joints and welds, and also by design at points and crossings.
2. Periodic irregularities such as corrugation on the rail surface or the repetitive effect of sleeper spacing.
3. Random variations of longitudinal profile (track top roughness).
4. Defects in the vehicle such as wheel flats and wheel eccentricity.
5. Random variations in sleeper support stiffness such as voids.

Lyon [14] has noted that the most severe loadings are of a transient nature and caused primarily by dipped joints, raised welds and wheel flats. Based on these impact loadings Lyon introduced into literature the concepts of the P1 force which is due to the initial impact, and the P2 force which is the subsequent vibration response of the unsprung mass on the track. Esveld [19] noted a $400 \%$ increase in force due to the impact arising from a six millimetre dip over a three metre span at $140 \mathrm{~km} \mathrm{hr}^{-1}$. Tunna [20] has extended this theory to include what he calls P1 $1 / 2$ which is related to the movement of the rail and sleeper on the railpad.

Jenkins [13] and Lyon [14] note that at frequencies less than 10 Hz , dynamic loading is due almost entirely to variations in suspension forces caused by the vehicle body and bogie motions. The track system has a relatively small effect and may be modelled very simply. At intermediate frequencies of 20 to 100 Hz the track system plays a significant part in determining force levels while usually only the unsprung parts of the vehicle and its primary suspension have some influence. Finally at the high frequencies of 500 Hz to 2000 Hz encountered during impacts or impulsive loadings, the response is mainly dependent on the track and wheelset masses and the elasticity of the wheel to rail contact zone. Jeffs [21] notes that the passage of a train causes a complex load to be imposed on the track structure due to multiple wheel spacings, varying support conditions and the random nature of the load paths.

As this thesis is concerned with the vertical forces caused at discrete defects this dictates that high frequency effects need to be considered. However the vehicle can be considered at a much simpler level.

### 2.2 RAIL

In frequency domain models the rail is modelled as a continuous linear beam. The primary advantage of this type of model as noted by Grassie [9] is that the end effect of a finite length time domain model is eliminated. Grassie also models the rail as a Timoshenko beam.

Nonlinear, continuous support, time domain models such as used by Ahlbeck [2] model the rail using beam on elastic foundation methods combined with field measured parameters. Williams [16] utilises a linear beam on elastic foundation calculation for the modelling of vehicle interaction.

Discrete sleeper, time domain models can have a variety of lengths ranging from 40 bays as used by Cai [5] for the modelling of two wheelsets, to 20 bays as used by Clark [7] for a single wheel. These discrete support models can either have the rail modelled as a Euler or Timoshenko beam as used by Cai [5 6], Newton [15] and Hempeimann [11].

Newton [15] observes that discrete support models give a better estimate of the force levels and have the advantage of allowing individual sleeper forces, stresses and ballast reactions to be calculated. Hempelmann [11] also models the rail in this manner, whilst Dahlberg [22] notes that deflection shows vibration at approximately sleeper spacing ( 0.66 metres). Grassie [9] also notes the need to discretely model the rail masses because at high frequency vibrations (above 20 Hz ) the wavelength of bending waves in the rail becomes compatible with the spacing between sleepers, so that a model which ignores the discrete support of the rail and the discrete mass of the sleepers is likely to be inadequate in some aspects.

Depending on the phenomena to be studied the track can be modelled with one rail, such as was done by Clark [7], Grassie [9] and Cai [5] for symmetric rail defects, or using two rails as was done by Ishida [12] when the defects are asymmetric or contained in one rail only.

Nodal forces for discrete models can be determined by finite element, stiffness coefficients or partial differential functions. The use of finite element coefficients such as described by Thomson [23] require that both the nodal vertical and rotational degrees of freedom be modelled. The stiffness coefficient method applies a unit displacement at each of the node points in turn to calculate the resultant force at all nodes. The rail stiffness matrix elements are input via influence factors which can be calculated for either Euler or Timoshenko beams. Partial differential equations are used by Clark [7] to model the rails as Timoshenko beams.

The finite element coefficient method enabled the development of the stiffness matrices and was found to be the most convenient for this study.

### 2.3 PADS

The rail and sleeper are separated by a cork rubber bonded pad with the rail secured to the sleeper by a steel elastic fastening system (Pandrol PR 401 in this instance). The pad used in the experimental phase is a 5 mm thick cork bonded rubber pad designed as an impact attenuator (poor in this case) and a wear surface in order to protect the concrete sleeper. With concrete sleepered track Grassie [9] noted that this pad must be modelled.

Although the pad exhibits a number of strong nonlinearities, the energy absorbed by the pad as a proportion of the total energy lost in the system is small. It is for this reason that Cai [5] models the railpads as linear springs with viscous damping.

The nonlinearity of the pads makes any measurement difficult and Nashif [24] describes the various environmental factors that have an effect on the nonlinear behaviour such as temperature, loading frequency, cyclic dynamic strain (hysteresis), static preload and others.

Grassie [25] has divided rail pads into two distinct categories on which extensive tests were carried out to predict the characteristics when subjected to dynamic loading. These two types are the linear hard pads which have formed the largest proportion of pads to date and softer resilient pads that are designed to attenuate impact loads from the rail and which harden under load.

Clark [7] determined the pad stiffness and damping characteristics by the use of a quasi static response over six complete loading cycles. It was noted that the quasi static response is significantly different to a static laboratory test. This difference is also observed by Grassie [25] in comparisons of field and laboratory tests who notes that the experimental stiffness for the 5 mm rubber pads was $250 \mathrm{MN} \mathrm{m}^{-1}$. Maree [26] notes the difference between dynamic and static stiffness, which for a 10 mm studded rubber pad rises from 37 to $66 \mathrm{MNm}^{-1}$

Nashif [24] lists several methods of determining damping including half power bandwidth, resonant response amplitude, Nyquist diagram, hysteresis loops, quadrature bandwidth and dynamic stiffness. Generally all of these methods measure the response at resonance except for the dynamic stiffness method which consists of an experimental analysis that can consist of a measured input force (impact) and a measured response. The data is analysed by a fast Fourier transform to give the receptance and phase angle for a wide range of frequencies.

Due to these complexities and the secondary nature on the final result a simple linear viscous damped pad is used in this study.

### 2.4 SLEEPERS

Prestressed concrete sleepers are shown by Clark [7], Ahlbeck [2], Grassie [10], Ford [27], Lucas [28] and Kohutek [29] to possess a number of vibration modes up to 1300 Hz thus demonstrating the need for the sleeper to be modelled as a flexible beam in order to capture significant vibration modes. This flexibility has been a problem with prestressed concrete sleepers which although strong can undergo brittle fracture in tension. Existing static designs of the sleepers indicated that they would have sufficient strength to survive for up to 50 years. Early in their life however cracking below the rail seat was occurring as noted by Ahlbeck [3].

The normal shape of a concrete sleeper is that it has a waisted section becoming thinner towards the centreline. Grassie [8] gives a formula to enable the effective flexural rigidity of a sleeper to be modelled as an equivalent uniform beam by the formula;

$$
\frac{1}{E I}=\frac{1}{2 E}\left(\frac{1}{I_{1}}+\frac{1}{I_{2}}\right)
$$

where $I_{1}$ and $I_{2}$ are the flexural rigidities at the sleeper centre and at the railseat, and $E$ is the Young's modulus of concrete.

Material damping of a concrete sleeper has also been shown by Ford [27] and Grassie [10] to be low and has not being included in the model.

### 2.5 BALLAST

Selig [30] and Grassie [10] define that the purpose of ballast is to enable transmission of load, and reduce the stresses that are applied to the subgrade, support sleepers, provide resilience to shock (or damping) whilst providing drainage of the track with minimal plastic deformation and allowing ease of maintenance.

Shenton [31] describes how the track foundation can be divided into 3 distinct layers, top ballast immediately below and around the sleepers, deep ballast and subsoil. It is the top ballast that is of the most interest in this study as it is the layer that is subjected to the highest stresses and is disturbed at regular intervals by traffic and maintenance activities.
O.R.E. [32] has identified that the deterioration of vertical geometry, cross level and alignment are due to the movement of the track in the ballast or formation. Other defects such as gauge widening are generally defined as track component material failures and are not further considered within this study. O.R.E. [32] and Shenton [31] describe that vertical geometry defects are the result in fact of differential settlement caused by local mechanical behaviour, the causes of which are

Variation in dynamic load along a section of track.
Out of straightness of the rail
Random variation in sleeper spacing
Variation in vertical elasticity
Heterogenous settlement of ballast
Heterogenous settlement of formation

Frederick [33] details experimental work that showed that settlement is linearly dependent on axle load and from this assumes that settlement depends linearly on sleeper soffit force, even if this force is partly caused by irregularities in the rail.

Frederick [34] claims that when a rail becomes distorted due to geometric manufacturing defects in the rail, welding misalignments and work hardening of the running surface that takes on a shape that generally is dipped at the rail weld, that shape will slowly impose itself on the measurable track profile by causing differential ballast settlement. After a period under traffic the unloaded shape of the rail will begin to appear in the track profile. Short (one metre) wavelength rail irregularities will be measurable even if the rail is on a level foundation. Medium (five metre) wavelength irregularities will only develop as the ballast compacts. Long (20 metre) wavelength rail irregularities will probably be insignificant. Reissberger [35] supports this by noting that short wavelengths track defects up to six metres are due to rail deformations and cannot be readily corrected by tamping.

Variation in the spacing of sleepers is caused either during installation or migration under traffic. This is also allied to any variation in elastic support of the sleepers, which alters the load distributed to each of them together with variations in the formation stiffness, which will effect the rates of settlement.

Experimental work reported by Lucas [28] showed that the settlement of bailast is related to both the number of loadings and pressure between sleeper and ballast. The effect of higher contact pressures at a joint is to produce a greater settlement compared with the adjacent track and the effect of the dipped joint is enhanced.

As ballast is a random assortment of irregularly shaped, sized and hardened stone, different samples will react differently under the same loading conditions and consequently settle at different rates. Generowicz [36] has listed that slip and reorientation of particles, local attrition of asperities and structural breakdown all lead to ballast settlement.

After routine maintenance of the track structure, loading by traffic causes settlement of the ballast. If this settlement is not uniform then faults in the track geometry develop. This settlement is rapid initially but becomes slower with time.
O.R.E. [32] has concluded that rapid deterioration after any maintenance operation is followed by a slower deterioration after a few weeks, and that this rate stays steady for a section of track. The quality of track is directly related to its initial level during construction and that deterioration might be proportional to the axle load. These results are supported by Shenton [31] who has also found that the rate of deterioration is related to the quality of the track before tamping work has been carried out on it. Esveld [19] has shown that tamping only reduces the level of this dip by a small fraction. To correct these types of defects an alternative technique is utilised where the rails are mechanically bent and then ground flat.
O.R.E. [32] notes that dynamic overloads and residual deformation can be identified as being the most important with there being much more variation between the rates of deterioration of nominally identical sections of track other than the variations due to construction, age etc.

Jeffs [21] notes that one of the causes of rapid settlement is due to impact loading where shockwaves physically displace the ballast particles while Sato [37] describes that high frequency vibration above 1000 Hz exists even in ballast. Wanming [18] notes that ballast acceleration leads to a speeding up in the ballast residual deformation. The outcome is a broad frequency and amplitude spectrum. Jeffs [21] discusses the effect of frequency on the settlement but reaches no conclusion.

Koffman [38] believes that the biggest problem facing the permanent way designer is not so much to change the resilience of the ballast and subsoil but rather to create a uniformity of resilience along the track.

Selig [30] notes that after tamping the resulting initial density is low and subsequent density changes result from train traffic and environmental factors. He notes also that permanent deformation results from volume reduction due to particle rearrangement, inelastic recovery, volume reduction due to particle breakdown and subgrade penetration.

During the settlement of the ballast there have been noted a number of features of the settlement rates. There is generally an initial phase that is characterised by a rapid period of settlement in linear form followed by the longer term settlement at a slower rate which Jeffs [21] has noted is independent of the initial conditions. During this longer term phase recompaction zones are often noted where the settlement rate can increase by a factor of eight for a short period. This shape has also been reported by Eisenmann [39], Ebershohn [40], Kearsley [41] who also concludes that some of the largest displacements occur after maintenance.

Jeffs [21] has used laboratory cyclic loading tests to assess the settlement characteristics of ballast due to maximum and minimum loads, ballast type, ballast grading and sleeper type although Generowicz [36] detailed that the fast rates of rise and fall of pressures under a sleeper with passing wheel loads were impossible to approximate in the laboratory. Jeffs [21] also states that ballast testing should be conducted under actual operating conditions but laboratory experiments were chosen over field trials due to cost and control concerns.

Jeffs [21] has also pointed out that in the ballast and subgrade there not only exists material nonlinearities but also geometric nonlinearities with the most important of these being voids below a sleeper. Thus an initial strain is imposed on the system for relatively little stress which is one of the problems with determining the actual track profile from what can be measured in an unloaded state. Jeffs [21] discusses that the reasons for this complexity are due to multiple wheel spacings, varying support systems and the random nature of the load paths.

Grassie [9] notes that the maintenance process and consequent tamping reduces both the stiffness and damping of the ballast with this effect also being reported by Cox [42] who has recorded a halving in ballast damping for well settled to tamped track. Selig [30] has noted that the resulting initial density is created by maintenance tamping and the subsequent density changes result from train traffic and environmental factors. Experience has shown that tamping does not produce a high degree of compaction.

Ballast damping consists of both geometric (or the spread of energy through an elastic half space) and material damping. For purposes of settlement rates it is the relative level of material damping that is critical. The problem occurs in that geometric damping is more predominant. Mair [43] noted that whilst mass and stiffness are in broad terms inherent characteristics of the track system, damping is not. Of the mathematical formulations available he believes that it is convenient to treat track damping as linear viscous. In principle the track damping may be estimated from the rate of decay of the free vibrations induced by a loaded impulse. This could be achieved by rolling a vehicle up a ramp and allowing it to drop back onto the rail. In practice this is seldom done since the system is usually heavily damped and a value of the damping parameter is adopted between 8 to $18 \%$ of critical damping.

Plunkett [44] on measurement of damping notes that one should take measurements under circumstances which closely resemble those for which the information is needed. Probably the simplest form of measurement is the decay rate and it should give reliable results if the data is carefully reduced.

Cai [5] models the formation and ballast as linear springs and dashpots. Janardanam [45] noted that ballast has both elastic and inelastic deformation properties. Tew [46] models the ballast and formation as a bilinear beam on elastic foundation approach. This bilinear shape has also been reported by Birks [47].

Static values of the track modulus according to Mair [48] cannot be used directly in the analysis of track vibrations under dynamic load as they have to be adjusted to give the dynamic modulus values. He observes that the dynamic modulus value can be up to 2.5 times the static value at a wheel load of 150 KN in the frequency range of $35-55 \mathrm{~Hz}$ with lower ratios at lighter wheel loads or frequencies outside of this range.

El-Sibaie [49] states that for a railway track structure, damping represents the energy dissipated below the rail surface due to the dynamic action of a moving wheel. The dissipation is due in part to the friction in the ballast and subballast.

### 2.6 FORMATION

Vibrations have been shown by Esveld [19] to be transmitted through the ground by either compression, shear or Rayleigh waves. As the distance from the vibration source increases, the wave energy decreases due to material and geometric damping. Vehas [50] supports this by noting that in an elastic half space energy is lost through geometric attenuation and damping.

The determination of the effective damping through the ground is difficult, although Miller [51] has calculated the three responses to a circular point source excitation force. Due to the uncertainties of the nature of ground vibrations and the need to measure these experimentally in combination with the aim to only to study the settlement contained within the ballast layer, then a detailed analysis of the formation is not justifiable.

### 2.7 VEHICLE

Considerable research has been undertaken into the dynamics of vehicles on tracks such as Williams [16] and Shelley [17], where track roughness and wheel rail interaction were noted as being important in the accurate modelling of vehicle dynamics.

Williams [16] in his work modelled an eight wheel, two bogie wagon in which he defined the critical parameters in some detail including masses and stiffness. Hooper [52] states that most metal spring suspensions can be modelled linearly and that friction damping may be approximated by viscous damping.

As noted by Jenkins [13] at intermediate frequencies ( $20-100 \mathrm{~Hz}$ ) the track system plays a significant part in determining force levels while usually only the unsprung parts of the vehicle and its primary suspension have some influence. Mutton [53] notes that for a vehicle model to be applicable to the problem of dipped welds, that the effects of vehicle speed, primary suspension characteristics and effective unsprung mass must be incorporated.

Ahlbeck [2] models the wheel mass of the axle and directly attached weights as the unsprung mass. The axle is a mass with vertical and rotational degrees of freedom together with the first four axle bending modes based on a pinned - pinned Euler beam. The vehicle modelling is limited to the sideframe. Williams [16] assumes that side frames are able to rotate freely about the bolster. Grassie [8] notes that for high frequency dynamics the unsprung mass of a freight or passenger vehicle should be about 350 kg whilst for a locomotive it is around 2000 kg .

### 2.8 HERTZIAN CONTACT

The connection between the track and vehicle can be modelled as a Hertzian nonlinear contact between two elastic bodies. Jenkins [13] notes that this contact force needs to be modelled, as when impacts or impulsive loadings occur, the response is mainly dependent on the track and wheelset masses, and the elasticity of the wheel to rail contact zone. Ishida [12] states that for irregularities less than 200 mm in length, such as corrugations or discrete defects, the use of the non linear spring is more accurate than a simple linear spring. This method is also used by Ahlbeck [2 4], Cai [5], Clark [7], Lyon [14] and Poplawski [54].

Hertzian contact theory assumes, that the contacting surfaces between the wheel and the rail follow the classic Hertz theory on the contact of elastic solids as described by Johnson [55].

- The highly concentrated contact stresses are separate from the general stress within the two contacting bodies.
- The contact area must be small in relation to the dimensions of each body and the relative radii of curvature of the surfaces.
- The contact is frictionless so that only normal stresses are transmitted. Mutton [53] indicates for the problem of dipped weld that the separation of the wheel and rail contact must be considered. Ahlbeck [2 4] has used 8\% of critical damping and states that variations in the damping from $2 \%$ to $50 \%$ has little effect on the peak rail accelerations. Due to the small value of the energy loss in the contact point the Hertzian damping has been excluded from the model.


### 2.9 LTERATURE REVIEW OF MATHEMATICAL MODELLING

Ever since the start of railway operations there have been numerous models to analyse the dynamic response of the track structure. These have all come about due to the desire to increase the capacity of the track or increase its effective life whilst reducing the total cost of that structure either through sudden failure or rapid deterioration.

The main models in this field that are reviewed in this thesis are:

## Beam on Elastic Foundation (Classic Models )

| British Rail | $[7],[13]$ |
| :--- | :--- |
| Battelle Columbus Laboratories | $[2],[3]$ |
| University of Cambridge | $[9]$ |
| Canadian Institute of Guided Transport | $[5]$ |
| Research Institute of Rail Vehicles, China | $[18]$ |

Brief details of these models will be discussed in section 2.11 together with two of these being further selected for the comparison with the model described in this paper in chapter five.

Track models have been developed in both the time and frequency domains with the track being considered as either two dimensional, where the rails, sleepers and formation are simple lumped masses, or three dimensional where the effect of these components along the track can be included as separate layers. The sleepers can be further considered as a single layer, or as discrete elements. Various levels of nonlinearity can also be included dependent on the complexity of the phenomena to be studied. The vehicle can extend from a very simple wheel element up to a highly complex vehicle model. Symmetric defects can be investigated by a half track model consisting of a single rail and half sleepers, whilst asymmetric defects require the modelling of the complete track structure.

Hooper [52] has described the various methods available for the analysis of the track structure including modal analysis, frequency response analysis and simulation all of which require a state variable description of the model. Wilson [56] lists the simulation techniques of step by step integration, frequency domain analysis, mode superposition analysis, response spectra analysis and impulse response analysis with Lyon [14] utilising convolution integrals. Of these methods the step by step integration method is suitable for nonlinear analysis and the complex discontinuous loading such as the wheel bouncing off the track.

Simulation involves the creation of a model of interest and subject it to a forcing function. The basis of simulation is to solve the system equation of motion at small increments of time to produce a trajectory in state space describing the variations of the state variables. Most methods for solving ordinary differential equations can be used. Runge Kutta methods have been successfully used by Williams [16], Clark [7], Ahlbeck [2] and Shelley [17].

The advantage of modelling using numerical integration techniques is that it permits the modelling of nonlinear parameters, examples of which are Hertzian contact between the wheel and rail when a linear stress strain relationship is replaced by a 2/3 power relationship, and also during periods of contact loss and the movement of the bogie over a time domain track model. Wilson [56] recommends that for non linear analysis the development of special purpose computer programs is justifiable.

The step by step simulation approach has been used by Clark [7], and recommended by Mutton [53] who states that the effect of actual weld dip profile and track support conditions must be incorporated. In addition the model must consider separation of the wheel/rail contact, and the nonlinear characteristics associated with many of the track and vehicle components. The method followed by Clark [7] is that the model consists of two discrete parts, being the track and vehicle models respectively, separated at the wheel to rail interface by non linear Hertzian contact springs.

As noted by Wilson [56] all structures have an infinite number of degrees of freedom when subjected to dynamic loading. The model described in chapter three has a limited number of degrees of freedom which enables it to capture the significant physical behaviour.

Newton [15] notes that one of the problems that occur by using a finite beam as an approximation to the infinite beam, which would be needed to represent the correct energy radiation conditions, is that the length of beam depends on the time for which the solution is required, as eventually the effects of reflections from the ends will become evident.

A number of simulation packages were investigated as to their suitability for the project. CAL 86 as described by Wilson [56] provides a linear step by step integration method, it was not used due to fixed time steps and the inability to model the wheel/rail interaction. DARE-P [57] is a FORTRAN based numeric integration routine and is an equation oriented continuous system simulation language. It utilises a state space variable format. It was not used due to the lack of availability of a PC based version.

MATLAB is a matrix analysis system with highly optimised numeric calculation routines. This package was selected for the final model development as it enabled the nonlinear analysis of the model, was of a high computing efficiency, and was readily available to the author. It has the added advantages of an in built graphics capability and signal processing routines.

### 2.10 .1 CLASSICAL MODELS

The "Classical" method can be defined as the beam on elastic foundation model as used by many researchers up until the advent of suitable computer power in the 1960's. Grassie [9] describes the conventional model of railway track for vertical motion as an infinite Euler beam, on a uniformly distributed damped elastic support, representing the ballast. The sleeper mass is assumed to be distributed uniformly along the track and lumped with the rail mass.

Grassie [9] also notes that there are two primary deficiencies with this approach, due to the existence of resilient railpads, which are now inserted between the rail and the sleeper, and the rail being discretely supported by sleepers, in which the pinned - pinned resonance of the rail between the sleepers becomes important at higher speeds given by

$$
f=\left(\frac{\pi}{r l}\right)^{2} * \sqrt{\frac{E I I}{m I}}
$$

where; rl is the sleeper spacing.
mr is the mass of rail per metre.
Elr is the flexural rigidity of the rail.

## Equation 2.2

To overcome these limitations and with the advent of high speed digital computers the following models are examples of those which have been developed over the past 30 years.

The British Rail model described in detail by Clark [7] and utilised in studies conducted by Shenton [31], Frederick [33] and Round [58], was designed primarily to investigate the effects of short wavelength corrugation defects ( 60 mm in length) on the rail and sleepers.

This is a 20 bay symmetric half track model with discrete sleepers and utilises modal analysis. The sleepers and rail have vertical bending stiffness and inertia with the railpads that separate them being modelled discretely and sleepers supported on a uniform ballast layer. The track and vehicle linear systems are separated at the wheel to rail interface by a nonlinear Hertzian contact spring with fixed time steps being used in the calculation routine.

As part of the calibration process the parameters for the British Rail model were used in section 5.6 as a comparison to the model described in this thesis to check the predictions against published theoretical and experimental results.

Battelle Columbus Division under Ahlbeck [2 3 4] has developed a vehicle and track interaction program over the past 15 years with the primary intention being to determine the peak loads under specific running surface geometry defects and to predict the vehicle and track component loads.

Impact at one wheel only is included with geometry inputs seen from the wheels reference, which result in a need for an asymmetric model about the track centreline. It has been assumed that forward motion has little effect on vehicle track response and there is limited vibration above the primary suspension.

Track parameters are derived from beam on elastic foundation theories and experimental results with the first four sleeper and axle bending modes modelled.

Ahlbeck also goes into some discussion about the effect of including rail bending as used by Clark [7] and why it was not included in the model. It is claimed that the modes are highly dependent on the positions of the adjacent wheels and thermal stresses due to longitudinal restraint of the rail and are difficult to determine.

The results from this model were selected for comparative analysis in section 5.7 with the analysis work undertaken in this study as it is based on differing track conditions to those existing on Australian and British railways.

This linear frequency domain model developed by Grassie [8 9 10] is used to calculate the dynamic response of the model track and vehicle to a uniform sinusoidal irregularity between the wheel and rail. Shear deformation and rotational inertia are not included because their effects would preclude use in the analysis of the transcendental functions pertaining to the vibration of Euler beams. The track rests on a continuous two layer support.

### 2.10 .5 CANADIAN INSTITUTE OF GUIDED TRANSPORT

This model described by Cai [5] is a half track, symmetric, time domain model that has two components consisting of the vehicle, which has two unsprung wheel masses with side frame mass and pitch inertia supporting a primary suspension, and the track, consisting of only one rail with 40 bays, linear damped rail pads, Timoshenko rail beam and discrete sleepers. The two components are separated by an undamped nonlinear Hertzian contact. The numeric calculation consists of a $4^{\text {th }}$ order Runge Kutta integration routine with adaptive time stepsize control.

### 2.10.6

RESEARCH INSTITUTE OF RAIL VEHICLES CHINA

Wanming [18] describes a model that has 10 vehicle and 43 track degrees of freedom that investigates the combined vertical and lateral interaction. Nonlinearities due to springs, friction damping, wheel rail contact and creep forces were modeiled. In order to overcome a concern with the solution of high order differential equations Wanming used Newmark's method.

## CHAPTER 3 THE MATHEMATICAL MODEL

### 3.1 PHILOSOPHY

It is with the background from the previous chapter from which the modelling described in this chapter was developed, including the techniques that were used, and some of their limitations. Other developments that had occurred around the world were taken into account, with the author being particularly drawn to the work of British Rail and the University of Cambridge.

As previously described there are two basic types of approach used in the solution of track vibration problems, being either in the time or frequency domain. The time domain approach was used in this research due to its ability to handle nonlinear and asymmetric models. The disadvantages of this approach are that the models take longer to calculate, have a finite length causing problems with end effects and need to converge for low frequency effects.

The numeric calculation method adopted permits the use of variable time steps that allows shorter time steps during high frequency vibrations.

A large number of nonlinearities exist in the track structure and an attempt to model all of them was felt to be beyond the scope of the research. Thus the nonlinearities of Hertzian contact and potential loss of wheel rail contact were selected.

The rails beam elements are modelled with vertical and rotational degrees of freedom. The use of a more complex Timoshenko beam which includes shear deformation was not utilised as the added complexity was not warranted, given simplifications with other parts of the model. In the modelling of the rail beam elements, two different solution techniques were trialled consisting of finite element, and unit displacement, methods. The finite element method was selected due to its convenience.

The flexibility of prestressed concrete sleepers is of importance in track dynamics as has been shown by various Australian and overseas studies. A simplified model of the sleeper was selected.

In this chapter the detailed characteristics of the model are discussed, with the reasons for the method selected, alternative methods and approaches being previously discussed in chapter two. The parameters used in the analysis, and the methods by which they were obtained, are discussed in more detail in chapter five which deals with parameter determination.

The model that has been developed consists of two discrete parts, they being the track and vehicle models respectively. The reasoning behind this division is that each part can be readily described by a relatively simple model in itself, but once the movement of the bogie along a section of track is desired to be modelled, then the modelling becomes complex. These two parts are separated at the four wheel to rail interfaces by nonlinear Hertzian contact springs. This model has a total of 194 degrees of degrees of freedom which enables it to capture the desired dynamic characteristics.

### 3.2.1

 RAILThe rail between two sleepers was modelled as a Euler beam with equal lumped masses being positioned over each of the sleepers. Each node has a vertical and rotational degree of freedom as shown in figure 3.1 (note signs).

Finite element methods were employed to model the beam interaction between nodes. A requirement is that both the rail displacement and rail node rotation be known at the beginning of each time step in order that the nodal forces can be resolved. The stiffness matrix for one of the rail elements is shown in table 3.1.


Figure 3.1 Rail element degrees of freedom

|  | 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $12\left(\frac{E I_{\text {rall }}}{H^{3}}\right)$ | $-6\left(\frac{E I_{\text {rail }}}{r t^{2}}\right)$ | $-12\left(\frac{E I_{\text {ral }}}{r / 3}\right)$ | $-6\left(\frac{E I_{\text {rall }}}{r t^{2}}\right)$ |
| 2 | $-6\left(\frac{E r_{\text {rall }}}{r I^{2}}\right)$ | $4\left(\frac{E l_{\text {rall }}}{H}\right)$ | $6\left(\frac{E I_{\text {rail }}}{} r^{2}\right)$ | $2\left(\frac{E I_{\text {rell }}}{4}\right)$ |
| 3 | $-12\left(\frac{E l_{\text {ray }}}{H^{3}}\right)$ | $6\left(\frac{E l_{\text {rail }}}{r l^{2}}\right)$ | $12\left(\frac{E I_{\text {rall }}}{r I^{3}}\right)$ | $6\left(\frac{E I_{\text {ral }}}{H^{2}}\right)$ |
| 4 | $-6\left(\frac{E I_{\text {rail }}}{r^{2}}\right)$ | $2\left(\frac{E I_{\text {ral }}}{H}\right)$ | $6\left(\frac{E I_{\text {rall }}}{r^{2}}\right)$ | $4\left(\frac{E I_{\text {rall }}}{H I}\right)$ |

Table 3.1 Rail element stiffness matrix [23] 10.2-1
[rt = length of rail between sleepers, $E]_{\text {rail }}=$ rail flexural rigidity]

The stiffness matrix shown in table 3.1 is then used in the normal finite element method to form a 46 by 46 rail stiffness matrix for each rail as shown in figure 3.2.


Figure 3.2 Rail element assembly

The rail is assumed to have constant linear physical characteristics of mass distribution and bending stiffness throughout the complete length of the model.

By knowing at the end of each time step the displacements and rotations of the rail nodes, it is possible to calculate the corresponding forces and moments acting at each node.

### 3.2.2 SLEEPERS

The prestressed concrete sleepers are modelled as discrete, flexible Euler beams. Thus shear deformation and rotary inertia associated with a Timoshenko beam element are ignored. Each sleeper has been modelled with a total of three nodes, one being under each of the two rails, and one on the centreline as shown in figure 3.3. The centreline node has both vertical and rotational degrees of freedom to model the first and second flexible bending modes as described by Ford [27]. These combine to give a total of four degrees of freedom per sleeper, permitting two rigid body and two bending modes.

The stiffness matrix for one of the sleepers is shown in table 3.2.


Figure 3.3 Sleeper degrees of freedom

|  | 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $3\left(\frac{E I_{\text {sloppor }}}{s l^{3}}\right)$ | $-3\left(\frac{E l_{\text {sloopor }}}{s l^{3}}\right)$ | $-3\left(\frac{E l_{\text {sloeper }}}{s l^{2}}\right)$ | 0 |
| 2 | $-3\left(\frac{E I_{\text {sloeper }}}{s l^{3}}\right)$ | $6\left(\frac{E I_{\text {slopper }}}{s l^{3}}\right)$ | 0 | $-3\left(\frac{E l_{\text {sloepor }}}{s l^{3}}\right)$ |
| 3 | $-3\left(\frac{E I_{\text {slooper }}}{s l^{2}}\right)$ | 0 | $6\left(\frac{E I_{\text {sloopor }}}{s l}\right)$ | $3\left(\frac{E l_{\text {slopper }}}{s l^{2}}\right)$ |
| 4 | 0 | $-3\left(\frac{E I_{\text {sloeper }}}{s l^{3}}\right)$ | $3\left(\frac{E I_{\text {sloppor }}}{s l^{2}}\right)$ | $3\left(\frac{E l_{\text {sleoper }}}{s l^{3}}\right)$ |

Table 3.2 Sleeper stiffness matrix

| where | sl half effective sleeper length |
| :--- | :--- | :--- |
| $E l_{\text {sleeper }}$ | $=$ effective sleeper flexural rigidity |

Although the sleeper has a variable cross section, for modelling purposes a method to determine an equivalent uniform beam element has been devised and is discussed in section 4.11.3. The material properties throughout the sleeper are assumed to be constant.

### 2.3 RAIL/SLEEPER PAD

The pad separating the sleeper and rail is treated as a linear stiffness/damper element and has the assumption that the pad can carry tension if rail uplift reaches a high enough value. The pad is held in a state of precompression by the action of the resilient fastenings.

The pad transmits the reaction from the rail, to and from, the sleeper and so is included in the equations of motion of the rails and sleepers.

### 3.2.4 BALLAST

The track structure is supported by ballast/formation springs that provide a linear reaction to the sleepers under each of the two outer nodes. Directly after tamping the sleeper is supported only beneath the rail seat. Support under the sleeper centre is deliberately avoided to minimise negative bending stress on the sleeper centreline.

### 3.2.5 TRACK MODEL

The two rails and 23 sleepers are combined into a track length of 24 bays between two fixed ends, and has a total of 184 degrees of freedom.

Figure 3.4 shows the cross section of the model at one sleeper and details the relationship between the rail and sleeper giving a total of eight nodes per sleeper location. The location of the rubber pad and the supporting ballast/formation element can also be seen.


Figure 3.4 Track model cross section

Figure 3.5 shows the elevation of the model. The 23 sleeper locations can be clearly seen along with the 24 bays that form the total length. Shown here are only the 23 vertical degrees of freedom for one rail. As shown in figure 3.4 each of those bays has 8 degrees of freedom associated with it.


Figure 3.5 Track model longitudinal view

The movement of the vehicle is from the left to the right and care must be taken in the description of the rail surface geometric imperfection to ensure the correct direction is used. The rail defects are entered into the model as a variation away from a theoretically smooth surface.

### 3.2.6 MASS

The sleeper is not of a uniform cross section with the centre of the sleeper having less depth than under the rail seat area. The sleeper also projects outside of the rail and as such there is a larger distribution of mass towards the outer nodes as evident in figure 3.6. An estimate of the mass distributions at each of the three nodes is also shown. The centreline node rotary inertia was obtained from Meriam [59] Table C5 for a slender rod. The nodal mass for the centreline element was used along with an estimate of the node length of one third of the sleeper length. Section 5.4 details the sleeper bending modal analysis and the conclusions drawn from that analysis is that the mass distributions are reasonable. A more detailed analysis than that undertaken in this thesis would be required to confirm these results.


Fig 3.6 Sleeper mass distribution

Rail node masses were calculated on all of the rail mass being lumped above the sleeper node for that bay length.

At each of the nodes of interest the following mass elements have been used.

| Element type | Mass |
| :--- | :--- |
| Rail vertical node | lineal mass rail * rail node spacing |
| Rail rotational node | lineal mass rail $* \frac{\text { (rail node spacing) }^{2}}{12}$ |
| Sleeper vertical outer nodes | $3 / 8$ of total sleeper mass |
| Sleeper vertical central node | $1 / 4$ of total sleeper mass |
| Sleeper central rotational <br> node | $\frac{1}{4}$ mass $_{\text {sleeper }} *(0.33 * \text { sleeper length })^{2}$ |

Table 3.3 Track nodal masses

The details described above are shown in figure 3.7 on a three dimensional schematic diagram for the complete track structure.


Figure 3.7 General track view

### 3.3 VEHICLE MODEL

The vehicle is modelled as a half vehicle consisting of half of a complete vehicle's body and one bogie. This contains 13 degrees of freedom. The body model is a considerably simplified model as compared to such models as SIMCAR [13] but is found to contain enough degrees of freedom to permit the modelling of its relationship to the track.

### 3.3.1 WHEELSETS

The two wheelsets consist of four degrees of freedom in the same plane as the sleepers as shown by figure 3.8.


Figure 3.8 Axle degrees of freedom

The stiffness matrix for the wheel set is of the same form as for the sleeper as detailed in table 3.2 except that the effective sleeper flexural rigidity is replaced by the axle flexural rigidity, and the sleeper half length by the axle half length.

### 3.3.2 BOGIE FRAME

Above the wheelsets is the bogie frame, one type consists of two sideframes with no suspension separating it from the wheelsets that has vertical, and pitching degrees of freedom for each sideframe giving a total of four degrees of freedom as shown in figure 3.9. Above this, separated by the secondary suspension is the bolster. (A three piece bogie has two sideframes and one bolster).


Figure 3.93 piece bogie degrees of freedom
The other sort of bogie is that shown in figure 3.10 which is a rigid type that has three degrees of freedom and separated from the wheelsets by primary suspension elements. Above the frame separated by the secondary suspension is the bolster.


Figure 3.10 Primary suspension bogie degrees of freedom

### 3.3.3 BOGIE

The bogie is therefore made up of two wheelsets separated from the bogie by linear primary suspension elements, with the bogie being separated from the body by the secondary suspension.

Figure 3.11 shows a schematic view of the rigid bogie vehicle which details the relationship between the various components.


Figure 3.11 Bogie model

As only one bogie is being simulated the vehicle can be simplified to a half vehicle. Two degrees of freedom in the model (vertical and roll) allow the three vehicle rigid body degrees of freedom to be modelled (bounce, pitch and roll). These motions are however frozen, because although they are affected by the defect itself, they respond to long wavelength effects as detailed in section 3.7. Variations to both of these parameters can however be set in the initial conditions.

This primary suspension bogie vehicle has a total of 11 degrees of freedom with the three piece bogie vehicle having 12 degrees of freedom as shown in figure 3.12.


Figure 3.12 Vehicle model

### 3.4 CONTACT FORCE CALCULATION

This section describes in detail the method by which the forces connecting the wheels and rails are calculated and uses the method described by Lyons [14] which is reproduced here.

The first stage is to determine the Hertzian flexibility constant " C " which is dependent on readily measurable geometric or physical constants of the wheels and rails. A number of static input variables are required that are determined from well known physical and geometric parameters:


Figure 3.13 Wheel rail contact geometry
R2 $=$ Cross sectional Head radius of rail $=0.30 \mathrm{~m}$
$R 1=$ Wheel radius $\quad=0.48 \mathrm{~m}$
R1' $=$ Tyre radius $\quad=-0.7 \mathrm{~m}$ for worn wheels or infinity for 1 in 20 coned wheel.

In the longitudinal direction the rail is assumed to have an infinite radius.
$\phi \quad=$ angle of attack of wheelset relative to rail $=90^{\circ}$
(the angle formed between the plane containing the curvature $1 / R 1$ on the wheel and the plane containing curvature 1/R2 on the rail)
$\mathrm{E} \quad=$ Youngs modulus for rail steel $=2.1 \times 10^{11} \mathrm{Nm}^{-2}$
(assumed same for wheel and rail)
$\mu \quad=$ Poissons ratio $=0.3$
(assumed same for wheel and rail)

$$
C=\gamma\left(\frac{1}{\left(K^{2} \delta\right)}\right)^{\frac{1}{3}}=\text { Hertzian flexibility }
$$

where

$$
\begin{gathered}
: \delta=\frac{4}{\left(\frac{1}{R 1}+\frac{1}{R 1^{\prime}}+\frac{1}{R 2}\right)}: K=\frac{4}{3}\left(\frac{E}{\left(1-\mu^{2}\right)}\right) \\
\theta=\cos ^{-1}\left(\frac{\delta}{4}\left(\left(\frac{1}{R 1}-\frac{1}{R 1^{\prime}}\right)^{2}+\left(\frac{1}{R 2}\right)^{2}+2 \frac{\left(\frac{1}{R 1}-\frac{1}{R 1^{\prime}}\right)}{R 2} \cos (2 \phi)\right)^{0.5}\right) \\
\gamma=0.57462+0.034745 \theta-0.00021338 \theta^{2}
\end{gathered}
$$

The model then takes the flexibility " C " and applies the following formula

$$
\text { ContactForce }=\left(\frac{\alpha}{C}\right)^{\frac{3}{2}}
$$

where: $\quad \alpha=$ indentation or compression at the wheel/rail interface.

To determine the contact force for each time step the location of the rail position at the point of contact needs to be defined which is done by the fitting of a cubic polynomial through the elevations and rotations at the two adjacent nodes. The method described by Thomson (10.2-2) [23] is used where

Rail Height $=A(1)+A(2) \frac{\text { loca }}{H}+A(3)\left(\frac{\text { loca }}{r}\right)^{2}+A(4)\left(\frac{\text { loca }}{r}\right)^{3}$
where (being careful of sign convention)
$A(1)=X(1)$
$A(2)=-r 1 * x(2)$
$A(3)=-3 * x(1)+2 * \Gamma * x(2)+3 * x(3)+\Gamma / * x(4)$
$A(4)=2 * x(1)-\pi * x(2)-2 * x(3)-\pi * x(4)$

Then using the wheel height which is estimated from the previous time step this enables the relative displacement between the rail at the contact point and the wheel to be calculated. The formula that is used is given by

$$
\alpha=x_{r}-x_{w}-\text { corrugation }
$$

where "corrugation" is the rail shape under investigation which is the height variation from an assumed perfect rail surface.

### 3.5 NUMERIC PROCEDURE

Three numeric analysis programs were investigated to ascertain which would be the most suitable for analysis of the model just described. They were DARE-P [57], CAL-86 [56] and MATLAB [60]. The selection of the program to be utilised was finally determined by the non availability of the DARE-P code for personal computers, and the difficulties with CAL-86 of having the vehicle model separated at the wheel rail interface from the track by the non linear Hertzian spring. MATLAB had also come into use with Australian National as a general analysis package and was found to be suitable for this type of modelling.

The MATLAB matrix analysis personnel computer based system with highly optimised numeric calculation routines, was used as it enabled the nonlinear analysis of the model. This model can be defined very concisely with this computer package and was chosen for its ease of use and computing capability.

Throughout the model description are references to programs with the extension $M$. These refer to the uncompiled program files. For further details on the program and the format that was utilised the reader is recommended to read both the sample listing contained in appendix one and the MATLAB users guide [60].

The following sections detail the numeric procedure that was used.

### 3.5.1 MODEL FORMAT

The model was developed in a state space format which is undertaken by rewriting each of the second order differential equations into two first order differential equations. This has the effect of doubling the number of simultaneous equations that need to be solved.

The numerical solution of the first order ordinary differential equations is made using adaptive time step control Runge Kutta methods based on Fehlberg $4^{\text {th }}$ or $5^{\text {th }}$ order pairs of formulas. This procedure is available as a standard subroutine in MATLAB and was only modified to permit the use of variables within the program and store the required final information.

The normal procedure is to run the model from time $=0$ which corresponds to the trailing axle being at the edge of the model, and concluding once the trailing axle has reached node 20 . The model can however be run with any starting value greater than zero, and an end value less than 25 bays minus the axle spacing. End effects however limit the accuracy of model runs towards the extremities.

### 3.6 MODEL ROUTINE

This section describes in detail the step by step operation of the model including the variables required.

### 3.6.1 FIXED PARAMETERS

The fixed parameters that are needed by the model are either input by the user, or calculated by the program before the commencement of the calculation routine. The criteria is that these variables will stay constant throughout the duration of the model run.

|  | Parameter type | Parameter | Symbol | Units |
| :---: | :---: | :---: | :---: | :---: |
| A | Geometry Parameters | Sleeper Spacing | II | m |
|  |  | Sleeper length | sl | m |
|  |  | Axle spacing | axs | m |
|  |  | Primary and secondary suspension spacing | $\begin{aligned} & \text { pss } \\ & \& \\ & \text { sss } \end{aligned}$ | m |
| B | Vehicle Speed |  | vel | $\mathrm{km} \mathrm{hr}^{-1}$ |
| C | Material Stiffness | Rail flexural rigidity | Elr | N m ${ }^{2}$ |
|  |  | Sleeper flexural rigidity | Els | N m ${ }^{2}$ |
|  |  | Axie flexural rigidity | Ela | $\mathrm{Nm} \mathrm{m}^{2}$ |
| D | Spring Element Stiffness | Primary and secondary suspension | $\begin{aligned} & \text { ksp } \\ & \& \\ & \text { kss } \end{aligned}$ | $\mathrm{Nm}^{-1}$ |
|  |  | 2 ballast elements to permit analysis of stiffiness variation | kb1 \& kb2 | $\mathrm{Nm}^{-1}$ |
|  |  | Rail/Sleeper pads | kp | $\mathrm{N} \mathrm{m}^{-1}$ |
| E | Mass | 1/2 body + bolster | mv | kg |
|  |  | Side frame/bogie | mb | kg |
|  |  | Axle | ma | kg |
|  |  | Wheels | mw | kg |
|  |  | Rail mass/m | mr | kg |
|  |  | Sleeper | ms | kg |
| F | Damping | Calculated as a Critical \% |  |  |

Table 3.4 Fixed parameters

### 3.6.2 INITIAL CONDITIONS

The initial conditions as required by the user are then set dependent on the conditions to be modelled.

The nodal displacements and velocities of the rail nodes, sleeper nodes and wheels are normally set to zero as the transient response time of these elements is short. This also avoids the problem of the wheel being set at a level that either causes extremely high contact stresses, or is out of contact and therefore would be liable to bouncing.

The vehicle body vertical displacement is set at $50-150 \%$ of the static displacement calculated by the following formula;

$$
\left(\frac{m v g}{2 k s s}+\frac{(m v+m b) g}{4 k s p}\right)
$$

This enables a variety of pseudo static loading conditions to be tested. The body can also have an initial roll angle to simulate uneven wheel loading conditions.

Bogie or sideframe vertical displacement can be adjusted to minimise the startup transient due to their medium wavelength response and the sensitivity of this on the final results.

To enable the model to run a number of control parameters need to be defined.

| Start time | (generally zero or one bay) |
| :--- | :--- |
| Finish time | (generally 20 bays) |
| Screen trace control | (this enables monitoring of the run during calculation ) |
| Accuracy of calculation routine normally $10^{-5}$ or a step size of 12 mm. |  |

### 3.6.3 CALCULATION ROUTINE

Once all of the above steps have been undertaken the main calculation can take place. The following steps are carried out for each time step, with iterations using various time steps occurring until the accuracy of the calculation is within the parameters contained in the run control parameters. The vehicle position along the length of the model is determined by the multiplication of the fixed velocity and the elapsed model time. The contact force at each of the four wheel to rail interfaces is then determined by the formula contained within section 3.4.

These contact forces are then distributed to adjacent rail nodes on a encastre beam allocation method as shown in figure 3.14 using the formula from Shigley [61] table A-12,15.

$$
\begin{aligned}
& M X=\frac{F V * l o c a * l o c b^{2}}{r I^{2}} \\
& M Y=\frac{-F V * l o c b * l o c a^{2}}{r I^{2}} \\
& F X=-F V * l o c b^{2} \frac{3 * l o c a+l o c b}{r I^{3}} \\
& F Y=-F V * l o c a^{2} \frac{3 * l o c b+l o c a}{r I^{3}}
\end{aligned}
$$

Rail nodal forces are determined from rail deflection calculations using finite elements type calculations. Accelerations for all of the nodes are then calculated by the use of the Runge Kutta integration routine. The calculation is reiterated using varying time steps until within the accuracy required. The data for the time step completed is then stored temporarily, with the data required for post analysis being saved in files.

The vehicle is then moved forward a distance calculated by the speed multiplied by the time at the end of the calculation step. The model then continues the calculation steps until the model end time is reached.


Figure 3.14 Contact force distribution

### 3.6.4 STORE MODEL RESULTS

At the completion of each step of the model a number of results are stored to permit the examination of the run and for post analysis of the results. These results are stored on numeric files.

1. Time at each iteration step
2. Contact force at leading right hand wheel.
3. Velocities of all nodes adjacent to damper elements.
4. Displacement of rail and sleeper nodes at sleeper 12.

### 3.7 STABILITY OF THE MODEL

The problems that confront a time domain model with a finite track length in comparison to a frequency domain continuous model, are the effect of the initial conditions on the final output results and the end effects due to displacement reflections. Even considering the problems the criteria for selecting the time domain approach was to enable modelling of variations along the track structure. Thus aspects of the stability of the model must be addressed.

There are a number of crucial components of the system which have the following frequency response characteristics which can be determined from the modal analysis contained within chapter five at a speed of $110 \mathrm{kmhr}^{-1}$ :

| Vehicle body | $1-2 \mathrm{~Hz}$ | $30-15$ metre wavelength |
| :--- | :--- | :--- |
| Bogie | $5-6 \mathrm{~Hz}$ | $5-6$ metre wavelength |
| Track | 20 Hz | $1-2$ metres |

These responses become important in a time domain model as the length of the track section modelled becomes highly dependent on the particular phenomena of interest. Thus a model of 24 bays length or 15 metres can only adequately consider responses that relate to the bogie and track. A model of greater length and hence complexity would be needed to model the effects due to the vehicle body. At these sorts of wavelengths a simple vehicle model also becomes questionable. To allow for the variations in vehicle vertical, pitch and roll movements the vertical and roll of the vehicle can be set at a fixed value. Although the movement of a vehicle through the defects considered in this thesis may occur the relative insensitivity to these short defects introduces to the authors belief only a small error in the final results.

Depending on the damping parameters any error in the initial conditions will have the differing effects on the time required to damp out the system. Figure 3.15 shows the model responses due to the initial conditions currently used.


Figure 3.15 Model convergence

To allow for the effect of assumed initial conditions and the accuracy of the final energy outputs it is important that all transients are damped out by bay six or $\mathrm{T}=$ 0.13 seconds at $110 \mathrm{~km} / \mathrm{hr}$. As such the body motion has been fixed at a predetermined level thus removing the problem of the $1-2 \mathrm{~Hz}$ type of frequencies.

### 3.8 NUMERIC ACCURACY

The numeric accuracy of the model was tested from a range of $10^{-3}$ to $10^{-6}$ with the results as listed in table 3.5.

| ACCURACY | BALLAST DAMPING <br> $@$ SLEEPER $12\left(\mathrm{kNsm}^{-1}\right)$ | $\%$ improvement |
| :--- | :--- | :--- |
| $10^{-3}$ | 13.210 |  |
| $10^{-4}$ | 14.178 | $7.33 \%$ |
| $10^{-5}$ | 14.199 | $0.15 \%$ |
| $10^{-6}$ | 14.202 | $0.02 \%$ |

## Table 3.5 Numeric accuracy



## CHAPTER 4 EXPERIMENTAL WORK AND PARAMETER DETERMINATION

### 4.1 INTRODUCTION

This chapter describes the experimental work carried out to determine both the model parameters, and the effects of certain defects on the settlement rate of the track structure. The method of signal analysis and the determination of the field results are shown in some detail. The parameters used in the model runs are listed in section 4.11.6.

Dynamic measurements using vertically mounted accelerometers were undertaken due to the need to measure the high frequency response of the track structure under the action of moving vehicles. Accelerometers offered the wide frequency range and removed the need to provide a fixed reference point about which to measure the track vibration. Another advantage of the accelerometers was the ease in mounting and transportation compared to the equipment and facilities required for other forms of measurement. A number of alternative methods were also investigated such as displacement transducers and high speed film cameras, but these either proved not feasible to mount in such a position to provide an absolute reference (deeply piled rods in the case of displacement transducers), or vibration problems under traffic (when the high speed camera was used there were problems with ground vibration and a difficulty to sight the light pen marker on the target mounted on the rail). Thus this chapter details the experimental method that was used, and the signal processing undertaken to obtain an estimate of the tracks vertical displacement.

### 4.2 SITE DESCRIPTION

A site on the Trans Australia Railway ( 22 km north of Port Pirie in South Australia) was used to provide experimental data to assist with the validation of the model, and to provide settlement data of the track for the defects that were present. The test site selected was chosen because it has the typical Australian National track characteristics of being built with prestressed concrete sleepers at 666 mm spacing and $47 \mathrm{kgm}^{-1}$ Australian Standard rail which had been continuously welded. It also had the ability to be able to accommodate test speeds of up to $140 \mathrm{kmhr}^{-1}$ and was on a straight section of track.

### 4.3 VEHICLES

The vehicles that are believed to cause the majority of the track damage can be categorised into three basic types, locomotives that have three axle bogies with 21 tonne axle loads, freight bogies without suspension elements from the axle to the bogies, which have axle loads ranging from 5 to 25 tonnes (three piece bogies), and bogies used for both freight and passenger vehicles that have suspension elements between the axle and bogie, with axle loads again ranging from 5 to 25 tonnes (primary suspension bogies).

Over the various test days the train consist was marshalled to provide a mixture of locomotives and vehicles. These consists are listed in appendix three. Of the vehicles used in the tests only a limited number were selected to provide data for the model validation. The vehicles selected were the GM class of locomotives, a primary suspension freight vehicle AQMH 4228 (which was kept at the same load throughout the tests) and a three piece bogie freight vehicle AQMH 4247 (with variable axle loads as listed in table 4.8). These three types of vehicles provided a range of loading conditions that could be used in the model analysis.

### 4.4 DYNAMIC MEASUREMENTS

Dynamic measurements were taken using high frequency vertical accelerometers placed on the rail foot or sleeper. By doubly integrating these results displacements of the track under load were obtained. These results are needed to allow calibration of the model through ballast stiffness adjustments.

The accelerometers were mounted directly onto the sleepers via an epoxy glue connector. They thus responded to the vibration of the sleeper over the full range of sensitivities of the accelerometer.

The raw experimental data was stored on two channels of an eight track analogue tape recorder, after passing from the accelerometers through the accelerometer amplifiers by cables, over a 300 metre distance.

### 4.5 STATIC TRACK MEASUREMENTS

Four track test sites were selected that provided three different types of vertical rail surface geometry defect types, and one site that had been machine ground to provide a location that was close to defect free to be used as a control.

Measurements without trains were taken of all the weld defect sites using a "Geissmar" profile measurement device that provides a plot over a 1.2 metre long rail section showing the deviation away from a fixed baseline onto a graphical hardcopy that can then be digitised. This provides the basic information to be used by the model to predict the dynamic action of the track structure.

A survey of the sites at each of the sleeper locations over ten sleepers either side of the defect, was undertaken to an accuracy of one mm , with these measurements being undertaken directly after lifting and tamping, but before any traffic had run over it and then four weeks later to determine the initial settlement. Data for the three sites that contained defects are shown in figure 4.1.


Figure 4.1 Test site weld shapes

### 4.6 MAXIMUM DISPLACEMENT

A number of tests were carried out with cork blocks sliding on vertical rods, welded to a steel baseplate, as shown in figure 4.2, that gave an estimate of the maximum rail deflection after the passage of a train. These were undertaken to provide an approximate estimate of the accuracy of the displacements derived from the accelerometers. A design limitation is that they sit on the ballast at the sleeper level, and thus move with the ballast with the result that they may not fully respond to the movement of the rail.


Figure 4.2 Cork block displacement
Another limitation is that they only give results for the maximum displacement for the complete train so that it is not possible to precisely determine which vehicle caused this maximum value. Given these limitations, they still give an estimate of the maximum deflections as a check on the double integration results.

| RUN No | Speed | Site 1 | Site 2 | Site 3 | Site 4 |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  | Crawl | 2.1 mm | 2.1 mm |  |  |
|  | Passenger Train | 2.0 mm | 2.5 mm |  |  |
| R 115 | $100 \mathrm{~km} / \mathrm{hr}$ | 2.3 mm | 3.1 mm | 1.1 mm | 2.0 mm |
| R 116 | $110 \mathrm{~km} / \mathrm{hr}$ | 2.4 mm | 3.0 mm | 1.2 mm | 2.2 mm |
| R 117 | $120 \mathrm{~km} / \mathrm{hr}$ | 2.4 mm | 3.0 mm | 1.2 mm | 2.3 mm |

Table 4.1 Cork block displacements

### 4.7 DIGITISATION

To extract the accelerometer data the personnel computer, digital signal processing system CTRAN [62] was used due to its availability to the author. Various data sampling rates were tried to ensure that the final rate used was greater than the Nyquist frequency, thus ensuring that the signal is fully represented by the digitised results. A rate of 32000 Hz was chosen and as shown by a section of the digitised signal from one of the accelerometers in figure 4.3, the necessary sampling rate has been achieved.


Figure 4.3 Sampling rate

With a typical length of 10 seconds for a run the data for one channel can be stored on a single 1.44 MB floppy disk. The file names for the data that have been digitised are listed in appendix three. This is the form in which the data are stored for the long term with the original analogue recordings being also retained for future analysis if required.

The next stage of the signal analysis involved the CTRAN software being used to extract certain sections of the data as required for further processing by MATLAB (generally 18000 samples in length or 0.5 seconds). The sections selected are based on the capturing of either the lead or trailing bogies of the train or pairs of adjacent bogies from coupled vehicles through the length of the train itself. The listing is located in appendix three.

The disk containing the complete train run data can then be loaded and converted to MATLAB format by use of the CTRAN "Bounds" command over any of the first, last or intermediate pairs of bogies.

Limited number of runs were extracted to provide data for the calibration of the model. Sleeper vertical accelerations were extracted for work in this research as the parameter was the one that needed the most of the experimental work to help determine the effective ballast and formation stiffness. Only a limited number of vehicles were also used as their parameters were well known and defined. The vehicles used were the GM locomotives and vehicles AQMH 4228 and AQMH 4247. The list of each data run extracted are detailed in appendix three.

### 4.8 CALIBRATION

The accelerometer channels are calibrated by the transmission of a $\pm 1.414$ Volt signal from the acceleration amplifier, which is then recorded onto the analogue tape. This voltage is multiplied by the calibration factor to provide the conversion between volts and acceleration in $\mathrm{ms}^{-2}$. Three sets of calibration factors were calculated for the different sets of data that had been extracted. Figures 4.4, 4.5 and 4.6 show a section of the calibration voltages sampled at 4000 Hz .


Figure 4.4 Run $67, \pm 1.414$ Volt signal recorded on tape


Figure 4.5 Run $85, \pm 1.414$ Volt signal recorded on tape


Figure 4.6
Run 112, $\pm 1.414$ Volt signal recorded on tape

Positive and negative peak voltages from each of these plots have been extracted and listed in table 4.2. Utilising the known accelerometers manufacturers calibration factors and amplification factor ( x 1000 ) enables a conversion factor from volts to acceleration to be obtained as shown in table 4.2 using the formula;

$$
\text { Calibration factor }=1000 * \frac{2 * 1.414}{\text { difference }} * \text { accelerometer factor }
$$

| RUN | Max +ve <br> voltage | Max -ve <br> voltage | Difference | Accelerometer factor <br> (manufacturers) | CALIBRATION <br> FACTOR |
| :--- | :--- | :--- | :--- | :--- | :--- |
| R67 | 0.6925 | -0.7705 | 1.463 | 0.9987 | 1930 |
| R85 | 0.7128 | -0.7542 | 1.467 | 0.9987 | 1925 |
| R112 | 0.6803 | -0.7949 | 1.475 | 0.9987 | 1930 |

Table 4.2 Calibration data

### 4.9 PROCESSING

The MATLAB software that was used for the model runs is again utilised to doubly integrate the raw acceleration signals into displacement plots. This has been done through a MATLAB program called FFTINT2.M and has been written to carry out the following calculation stages that digitally convert data from a raw acceleration result (volts) to displacement (metres).

The sections that follow show how the signal at each stage of the process is converted. This example is for run R97Z which is vehicle AQMH 4228 at a speed of $110 \mathrm{~km} / \mathrm{hr}$. The method of double integration is based on the comments by Lynn [63] in the discussion of digital filters that the magnitudes and phases of various frequency components may be adjusted in accordance with the desired filter characteristics, and the filtered time domain signal evaluated by inverse transformation. Lynn [63] (page 179) also details how a single integrator in the frequency domain is

$$
H(s)=\frac{1}{s} \quad \text { where } \quad s=j \omega
$$

thus a double integrator is given by.

$$
\frac{1}{-\omega^{2}}
$$

This relationship is also noted by Thomson [23] [14 1.1-6] who says that displacement and acceleration are related in harmonic motion by the formula

$$
\ddot{x}=-\omega^{2} x
$$

which rearranged gives

$$
x=\frac{\ddot{x}}{-\omega^{2}}
$$

4.9.1 The raw digitised acceleration data from disk (which is stored as a two column matrix with time and voltage readings) is loaded into the computers memory. As the time steps are constant, the actual recorded times are discarded and a single vector of voltages remains.


Figure 4.7 Raw voltage signal
4.9.2. The voltage is then multiplied by the calibration factor, as previously determined in section 4.8 , from the $\pm 1.414$ Volt signal input into the tape recorder from the acceleration amplifiers.


Figure 4.8 Raw acceleration data
4.9.3. The end points of the data is then adjusted to zero to eliminate any end step, and consequent error, when the leading and trailing zeros are added in section 4.9.6.


Figure 4.9 Zero corrected accelerated data
4.9.4. A Hanning window 2000 points long is created. This window is used to smooth the transitions. The Hanning window is a series of digits starting at zero, reaching a peak of one and trailing off again to zero.


Figure 4.10 Hanning window
4.9.5. A longer window that is sufficient to cover the signal section of interest is then created. The leading tail of the Hanning window already determined, is followed by a string of ones of the required length followed by the trailing tail of the Hanning window. Each end of the window is set to zero.


Figure 4.11 Total window
4.9.6. The signal is then multiplied by the window and has tails of zero's added to each end of the data so that an efficient fast Fourier transform analysis can be performed (This signal is 32,768 or $2^{15}$ points long )


Figure 4.12 Windowed acceleration data
4.9.7. Fast Fourier transform the data in one block. The complete block is needed as the signal is non repetitive and so cannot be broken into smaller components.


Figure 4.13 Raw frequency spectra
4.9.8. The frequency for each fast Fourier transform step is then calculated.


Figure 4.14 Frequency steps
4.9.9. Remove the DC drift from signal by setting first frequency value to zero.


Figure 4.15 Frequency spectra (DC drift removed)
4.9.10. Divide each fast Fourier transform step by the negative squared frequency, to convert to displacement.
4.9.11. Remove $1^{\text {st }}$ fast Fourier transform point which is now infinite (it has been divided by zero frequency)


Figure 4.16 Displacement frequency spectrum
4.9.12. Calculate the inverse fast Fourier transform to recreate the displacement time history.


Figure 4.17 Raw displacement
4.9.13. Filter the result using a $4^{\text {th }}$ order Butterworth filter to remove low frequency drift of the final signal.


Figure 4.18 Filtered displacement
4.9.14. Plot the results detailing run number, vehicle type, speed and location. (all experimental results analysed are shown in appendix four)


Figure 4.19 Final graph

### 4.10 COMPARISON OF RESULTS

The maximum displacement results as determined from the above analysis, were then plotted to permit the comparison of alternative runs shown in figures 4.20 to 4.27. As found by other authors there can be a considerable scatter of the results for seemingly identical conditions.

A possible explanation why the following variations that have not been determined experimentally could include vehicle body oscillations and hence variations in vertical force, or variations in the wheel locations across rail head with corresponding different effective defect sizes.


Figure 4.20 Speed/displacement, site 1, GM loco


Figure 4.21 Speed/displacement, site 2, GM loco


Figure 4.22 Speed/displacement, site 3, GM loco

Site 4: GM Ioco


Figure 4.23 Speed/displacement, site 4, GM loco


Figure 4.24 Speed/displacement, site 1, AQMH

Site 2: AQMH 4228


Figure 4.25 Speed/displacement, site 2, AQMH


Figure 4.26 Speed/displacement, site 3, AQMH

Site 4 : AQMH 4228


Figure 4.27 Speed/displacement, site 4, AQMH

The results that are used to proceed into the parameter determination phase as estimated from the above graphs are, for a speed of $110 \mathrm{kmhr}^{-1}$ shown in table 4.3.

|  | SITE 1 | SITE 2 | SITE 3 | SITE 4 |
| :--- | :--- | :--- | :--- | :--- |
| GM <br> locomotive | 2.0 mm | 3.3 mm | 1.2 mm | 1.5 mm |
| AQMH 4228 | 1.3 mm | 2.8 mm | 1.1 mm | 1.2 mm |
| CORK <br> BLOCK | 2.4 mm | 3.0 mm | 1.2 mm | 2.2 mm |

Table 4.3 Test site displacements

The maximum displacement from the cork block devices are shown to increase the confidence in the results obtained from the double integration.

### 4.11 TRACK PARAMETER DETERMINATION

The parameters for use within the model come from primarily two different types of sources, previously well defined physical parameters from design drawings, manufacturers data or literature reference's in addition to experimentally derived values. The reference values are usually not site dependent and are assumed for this study to be linear in nature. The more difficult parameters are those which are highly dependent on local conditions and can only be ascertained from experimental results.

### 4.11.1 RAILS

The rail parameters used are those defined by AS 1085, part one, 1981, page 11, for $47 \mathrm{kgm}^{-1}$ Australian Standard rail. The losses due to wear on the top surface are assumed to be minimal and thus no correction for this potential error has been included. This factor would need to be allowed for in areas of significant rail material loss, but not in the situations studied.

Mass per linear metre $=47 \mathrm{~kg}$
Young Modulus $=207 \mathrm{GPa}$
Second Moment of Area $=15.41^{\star} 10^{-6} \mathrm{~m}^{4}$

Therefore flexural rigidity $\left(E I_{r}\right)=3.19 \mathrm{MNm}^{2}$

### 4.11.2

The rail and sleeper are separated by a rubber pad, although this is highly nonlinear it has an assumed linear stiffness and damping detailed in section 2.3.

As the pad is modelled as a spring and damper element the mass of the pad itself is ignored. Grassie [25] has determined that for well compacted ballast, the tracks dynamic behaviour is little affected by railpad damping. In support of this claim it is well understood that the damping of the 5 mm cork bonded rubber pads used in the test are of a low energy absorbance type and have little effect on the total track energy loss.

Under laboratory conditions the stiffness of a 10 mm composite pad has been estimated by Grassie to be $125 \mathrm{MNm}^{-1}$ therefore for a 5 mm pad this stiffness would double to $250 \mathrm{MNm}^{-1}$. Other work by Grassie on rail pad stiffness gives results such as pad stiffness of $280 \mathrm{MNm}^{-1}$ and corresponding pad damping of 63 $K N m^{-1} s$.

The typical pads that are in use on Australian National and those that were used in the test sections are 5 mm in thickness and as such can be modelled as a spring having twice the stiffness of a 10 mm pad or equal to $250 \mathrm{MNm}^{-1}$.

For prestressed concrete sleepers there is a variability in section size along the length of the sleeper between the rail seat at its greatest depth, waisting down to the centreline. This variation had been shown in section 3.2.6. A uniform section sleeper is required to permit simplification of the numeric routines. The method discussed by Grassie [8] is used, in which he has determined that for the typical Australian National prestressed concrete sleepers used (designated CR2) in the test site, that an equivalent uniform flexural rigidity can be estimated from;

$$
\frac{1}{E l}=\frac{1}{2 E}\left(\frac{1}{l_{1}}+\frac{1}{l_{2}}\right)
$$

where $I_{1}$ and $I_{2}$ are the moments of inertia of the non uniform sleeper at the railseat and centre respectively. For the CR2 design parameters of;

$$
\begin{aligned}
& \mathrm{E}=43 \mathrm{GPa} \\
& \mathrm{EI}_{1}=2.70 \mathrm{MNm}^{2} \\
& \mathrm{EI}_{2}=7.71 \mathrm{MNm}^{2}
\end{aligned}
$$

this results in the effective flexural rigidity being equal to $4.00 \mathrm{MNm}^{2}$.

Track stiffness is an area where experimental work needed to be undertaken due to the wide variety of values that exist in reality, primarily due to the variable geotechnical nature of the ballast and formation. The method used was to run the model with all of the known parameters and vary the track stiffness until the value of the displacement agreed with that determined experimentally, as determined in section 4.10. The results are shown graphically in figure 4.28 , the results shown as circles and a linear plot drawn through them with an estimate of the linear stiffness.


Figure 4.28 Ballast displacement/force

To ensure that the values derived from the experimental work are within the accepted range of values, the following table shows values as having being previously determined by other authors. Note that the results need to be modified to $40 \%$ of the value if the model was of the beam on elastic foundation type.

| Author | Reference | Stiffness $\mathrm{MNm}^{-1}$ |
| :--- | :--- | :--- |
| Jenkins | 13 | average conditions 50 <br> high level 94 |
| Grassie | 9 | 72 |
| Ishida | 12 | 20 |
| Lyon | 64 | 104 |
| Shugu | 65 | $68-83$ |

Table 4.4 Track stiffness

Due to the relatively thin layer of ballast which exists on Australian National tracks it was expected that the values obtained will be at the lower end of the stiffness range. The range that has been obtained of between 17 and $43 \mathrm{MNm}^{-1}$ is thus acceptable as it falls within the range quoted by Ishida and Jenkins.

### 4.11.5 TRACK DAMPING

Mair [43] states that "In principle the track damping may be estimated from the rate of decay of the free vibrations induced by a loaded impulse. This could be achieved by rolling a vehicle up a gradual ramp on a rail surface and allowing it to drop back onto the rail. In practice this is seldom done since the system is usually heavily damped and a value of the damping parameter is adopted between 8 to $18 \%$ of critical damping" for an equivalent viscous damper.

The closest that this effect could occur was if the track started vibrating under circumstances that were not being driven by a wheel. This could conceivably occur when the wheel bounces and loses contact. A study of the time histories of the acceleration readings revealed that this phenomena could have occurred at least twice over the period of the trials.

In the following two examples, time histories are shown over a series of three positive and three negative peaks. The logarithmic decrement curve is estimated for the upper and lower series of peaks.

For experimental run R76 it can be seen that by investigating the voltage pattern as shown in figure 4.29


Figure 4.29 Damping run 76
and by utilising the equation for logarithmic decrement of;
$\delta=\frac{1}{n} \log _{10}\left(\frac{x_{0}}{x_{n}}\right)$
then a damping coefficient can be estimated at

|  | Upper | Lower |
| :--- | :--- | :--- |
| $1^{\text {st }}$ Peak voltage $\left(X_{0}\right)$ | 0.0240 | -0.0208 |
| $3^{\text {rd }}$ Peak voltage $\left(X_{2}\right)$ | 0.0101 | -0.0050 |
| Decrement $\%$ | $19 \%$ | $30 \%$ |

Table 4.5 Damping run 76
and for run 67 given the following experimental result;


Figure 4.30 Damping run 67

|  | Upper | Lower |
| :--- | :--- | :--- |
| $1^{\text {st }}$ Peak voltage $\left(X_{0}\right)$ | 0.0257 | -0.0189 |
| $3^{\text {rd }}$ Peak voltage $\left(X_{2}\right)$ | 0.0093 | -0.0060 |
| Decrement $\%$ | $22 \%$ | $25 \%$ |

Table 4.6 Damping coefficient run 67

The average of these decrements is $24 \%$ which is the value that is used in the model which equates, for a track stiffness of $43 \mathrm{MNm}^{-1}$, and mass of 31 kg for rail and 143 kg for the $1 / 2$ sleeper mass to a damping constant of $42 \mathrm{kNsm}^{-1}$.

These results can be compared to the literature results shown in table 4.7 (note again that the continuous models are corrected by a factor of 0.4).

| Author | Reference | Damping $\left(\mathrm{kNsm}^{-1}\right)$ |
| :--- | :--- | :--- |
| Jenkins | 13 | 52 |
| Grassie | 9 | 33 |
| Ishida | 12 | 60 |
| Lyon | 64 | 300 |
| Cox | 42 | 50 tamped, 100 well <br> settled |

Table 4.5 Damping factors
where the result obtained of $42 \mathrm{kNsm}^{-1}$ falls in the range quoted by Jenkins and Grassie.

### 4.11.6 TRACK SUMMARY

In summary the following track parameters are used in the model for all of the vehicle types.

$$
\begin{array}{rl}
\mathrm{mr} & =47 \mathrm{kgm}^{-1} \\
\mathrm{~ms} & =292.6 \mathrm{~kg}^{2} \\
\mathrm{Elr} & =3.19 \mathrm{MNm}^{2} \\
\mathrm{Els}= & 4.00 \mathrm{MNm}^{2} \\
\mathrm{kp} & =250 \mathrm{MNm}^{-1} \\
\mathrm{cp} & =20 \% \text { of critical } \\
\mathrm{kb} & =\text { Site } 1 \quad 35 \mathrm{MNm}^{-1} \\
& \text { Site } 2 \quad 17 \mathrm{MNm}^{-1} \\
& \text { Site } 3 / 4 \quad 43 \mathrm{MNm}^{-1} \\
\mathrm{cb} & 24 \% \text { of critical }
\end{array}
$$

### 4.12 VEHICLE DATA

There are three basic types of vehicle that are modelled in this study. Figure 4.31 shows the schematic diagram for a locomotive which has three axles per bogie. The bogie is heavy and the three wheelsets are also heavy due to the contribution of the traction motors.


The locomotive is modelled however in a simplified form similar to that for a primary suspension bogie which is shown in figure 4.32. Although the parameters for mass are different an equivalent model is derived that permits the analysis of the locomotives.


The third type is the three piece bogie which is characteristic of most freight bogies now in existence in Australia today. The differences between the above two bogie type of vehicles and these is that instead of a single piece bogie the two wheelsets are separated by two sideframes which are independent of each other. No suspension is placed between the wheelsets and sideframes, but for modelling purposes a very stiff spring is included. The main suspension comes from the springs held in the sideframes which support the bolsters and hence the remainder of the vehicle.


The known physical parameters are listed in table 4.8 and come from design and literature data for the relevant vehicle type. The data shown here is well defined and was not verified by experiment.

| Vehicle | mv <br> Mass <br> Half <br> Body <br> +Bolster | mb <br> Mass <br> Bogie or Sideframe | mu <br> Wheel Mass | ma <br> Mass <br> Axle | axs <br> Wheel Spacing | Bogie Centres |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| LOCOMOTIVES |  |  |  |  |  |  |
| GM Loco | $\begin{aligned} & 31.6 T \\ & 29.1 T \end{aligned}$ | $\begin{aligned} & 15.86 \mathrm{~T} \\ & 10.58 \mathrm{~T} \end{aligned}$ | 1600 kg | 282 kg | $\begin{aligned} & 2.007 \\ & \mathrm{~m} \end{aligned}$ | $\begin{aligned} & 10.363 \\ & \mathrm{~m} \end{aligned}$ |
| 3 PIECE BOGIES |  |  |  |  |  |  |
| Freight EMPT <br> Wagon 14 TAL <br> 3 Piece 21 TAL <br> Bogie  | $\begin{array}{\|c} \text { 8.3 T } \\ \text { 24.7 T } \\ 38.7 ~ T \end{array}$ | $2 \times 360 \mathrm{~kg}$ $[16]$ | 380 kg | 282 kg | $\begin{aligned} & 1.753 \\ & \mathrm{~m} \end{aligned}$ | $\begin{aligned} & 14.935 \\ & \mathrm{~m} \end{aligned}$ |
| PRIMARY SUSPENSION | BOGIES |  |  |  |  |  |
| Primary Suspension 19 TAL Bogie AQMH 4228 | 34.7 T | 1212 kg | 380 kg | 282 kg | $\begin{array}{\|l\|} \hline 1.753 \\ m \end{array}$ | $\begin{aligned} & 14.935 \\ & \mathrm{~m} \end{aligned}$ |

Table 4.8 Vehicle physical parameters
Note: Masses in Italics are a two axle equivalent used in the model.

Table 4.9 shows the data on stiffness and damping factors which is less well defined but however is still extracted from data discussed in the literature.


## CHAPTER 5

In this chapter a number of techniques were used to validate the model, and provide a method by which the final results can be obtained. As a means to determine the correlation between energy loss predictions, and the settlement of the track an energy analysis calculation routine was developed.

Section 5.3 explains the model validation that was undertaken which used modal analysis, comparison between the model's and experimental power spectral densities, and finally comparison to other authors published experimental results.

### 5.1 BALLAST/FORMATION ENERGY LOSS

Part of the analysis work is the estimation of the energy loss through the ballast damping elements. This is calculated by firstly estimating the ballast damping by
$24 \%$ of $2 \sqrt{\text { Ballaststiffness }\left(0.5 \text { mass }_{\text {sleeper }}+\text { mass }_{\text {rail node }}\right)}$
(see page 86 for estimation of \%)
The mean energy loss per time step is given by

Ballast Damping $\frac{\left(\text { Velocity } N_{N}^{2}+\text { Velocity } y_{N+1}^{2}\right)}{2}$

The energy loss per time step is then equal to the Time Step by Mean Energy Loss.

Figure 5.1 shows the cumulative energy loss by an the $12^{\text {th }}$ ballast element in the central section of the model. The energy absorbed by one sleeper end during the passage of a single bogie is approximately 2.9 Joules.


Figure 5.1
Ballast cumulative energy loss

The correlation between the energy lost and the settlement is based on the assumption that all of the bogies can be linearly superimposed. As shown in figure 5.2 the interaction between the closest spaced bogies (3.24 metres between the trailing wheel of the lead bogie and the leading wheel of the trailing bogie or 0.1 seconds at $120 \mathrm{~km} \mathrm{hr}^{-1}$ ) is insignificant.


The model can be run a number of times with the various defects to show the effect of the defects on the energy dissipated, and thus provide a method to correlate the energy loss with the settlement rate. Figure 5.3 shows the effect of the defect size where three model runs were made using the same shaped defect scaled up using no defect, $1 / 2$ defect and a full size defect on the sleeper preceding the defect. This clearly shows the relationship between defect size and energy loss.


Figure 5.3
Ballast energy loss

### 5.2 MODEL VALIDATION

The model that has been previously discussed in chapter three is validated by two processes to enhance the confidence in the models predictive capabilities. The first of these involves the undergoing of a modal analysis to determine if it adequately models the vibration modes of concern. Secondly by comparison of the experimental and model power spectral densities and thirdly by comparing model results with the work by other researchers the validity of the model to other conditions can be ascertained. This also allows the limitations of the model to be determined. For this third part of the validation two examples are presented.

The modal analysis method that has been used to determine the undamped track and vehicle natural frequencies, and mode shapes, is by the use of eigenvalues and eigenvectors utilising an analysis method available as a subroutine of MATLAB. There is an added advantage through the use of the modal analysis techniques in the modelling routine in that it ensures that clerical errors in the formation of the stiffness and mass matrices are eliminated by the visual inspection of the graphical presentation of the eigenvectors.

The modal analysis performed included a check on the sensitivity of the model to alterations in the number of sleepers that are incorporated. This is due to the end effects of having a fixed length model as previously discussed in chapter three on the model itself. The sleeper modes of vibration were also checked as literature is available for comparison.

### 5.3 MODAL ANALYSIS OF TRACK LENGTH

The number of sleeper bays in the model will influence the natural modes of the track as a whole and a point needs to be determined where the influence of additional nodes becomes insignificant.

Using the Eigenvalue method model track lengths of 20 to 28 sleepers were analysed. In this analysis the bogie is positioned in the centre of the track and the wheel to rail Hertzian contact spring is linear.

Table 5.1 details the results from the modal analysis with the number of bays and the model name that was used for the analysis. The mode shapes corresponding to the results for the 24 bay model are shown in appendix two.

|  | FREQUENCY | Hz |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Mode Number | 20 sleepers | 22 sleepers | 24 sleepers | 26 sleepers | 28 sleepers |
| 1 | 1.5 | 1.5 | 1.5 | 1.5 | 1.5 |
| 2 | 2.6 | 2.6 | 2.6 | 2.6 | 2.6 |
| 3 | 17.2 | 17.2 | 17.2 | 17.2 | 17.2 |
| 4 | 25.5 | 25.5 | 25.5 | 25.5 | 25.5 |
| 5 | 28.8 | 28.8 | 28.8 | 28.8 | 28.8 |
| 6 | 36 | 36 | 36 | 36 | 36 |
| 7 | 40 | 40 | 40 | 40 | 40 |
| 8 | 44 | 44 | 44 | 44 | 44 |
| 9 | 48 | 48 | 48 | 48 | 48 |
| 10 | 49 | 48 | 48 | 48 | 48 |
| 11 | 49 | 49 | 48 | 48 | 48 |
| 12 | 56 | 52 | 52 | 50 | 50 |
| 13 | 56 | 56 | 52 | 50 | 50 |
| 14 | 65 | 59 | 59 | 57 | 54 |
| 15 | 65 | 64 | 59 | 57 | 54 |
| 16 | 67 | 65 | 64 | 64 | 62 |
| 17 | 67 | 67 | 64 | 64 | 62 |
| 18 | 73 | 68 | 68 | 65 | 64 |
| 19 | 73 | 70 | 68 | 65 | 64 |
| 20 | 75 | 73 | 70 | 68 | 66 |

Table 5.1 Modal analysis

The change in the frequency for the different modes levels out at 24 bays and although there is still a variation with increasing model length the change is not of sufficient magnitude to warrant lengthening the model for this reason. For this reason the length of the track model was set at 24 bays. A longer model would be desirable but extensions increase the computing time for decreasing levels of accuracy improvement.

### 5.4 SLEEPER VIBRATION MODAL ANALYSIS

To check that the sleeper masses and stiffness matrices are correct a modal analysis on the sleeper as an isolated body was undertaken. This was again through the MATLAB eigenvector program (subroutine SLPVIB.M) which gave the following results for the four degree of freedom element. As a comparison the analysis undertaken by Ford [27] is presented, which was made on New South Wales prestressed concrete sleepers, together with results reported by Ahlbeck [2] for USA prestressed concrete sleepers and Clark [7] for British Rail sleepers.

| MODE | Frequency <br> (1/2 sleeper <br> length = <br> $0.95 \mathrm{~m})$ | Description | Ford [50] | Ahlbeck <br> [2] | Clark <br> $[7]$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | Rigid Body |  |  |  |
| 2 | 0 | Rigid Body |  |  |  |
| 3 | 114 Hz | $1^{\text {st }}$ bending | 113 Hz | 108 Hz | 220 Hz |
| 4 | 346 Hz | $2^{\text {nd }}$ bending | 343 Hz | 333 Hz |  |
| 5 | - | $3^{\text {rd }}$ bending | 664 Hz | 633 Hz | 630 Hz |

Table 5.2 Sleeper modal analysis

The first two modes have zero frequency response as they are the rigid body vertical and rotational modes. Clark does not show any results for the second bending mode as his model is symmetric. Given the above limitation of the third and higher vibration modes being absent from the model the response as shown above is acceptable. This extension to higher modes can be made as part of any further study but was not pursued any further at this stage.

### 5.5 VEHICLE DEGREES OF FREEDOM

The vehicle is modelled in a relatively simple manner with the bogie being the most complex part. With increasing complexity it is possible to add additional modes which tend to be at the lower end of the frequency spectrum. These consist of all the body vibrations (body roll, yaw, pitch) as well as deflections of the sideframes etc.

The vertical and roll modes of the body were included in the modal analysis but as shown in table 5.1 have wavelengths, at $110 \mathrm{~km} \mathrm{hr}^{-1}$, of 30 and 18 rail bays of 0.66 metres in length respectively. To accurately model the effects of these two modes there would be a need to extend the model to at least three times this distance to permit damping of any transients. As these modes are also excited by much longer wavelengths than are under consideration in this research then these two modes are fixed in the model.

For the above reason the body degrees of freedom were fixed. To allow for imbalances in the force being applied by the body to the bogie initial conditions for body height and roll angle can be set.

The British Rail model described in detail by Clark [7] is used as the first of the two comparative models that were used to assess the validity of the model. The availability of both experimental and model time histories was the primary reason for selection. The advantage also exists in that the defect type is different to that studied in this research.

The British Rail model consists of a 20 bays and utilises modal analysis. It was designed primarily to investigate the effects of short wavelength corrugation defects ( 60 mm in length) on the rail and sleepers. The characteristics of the model are:

- $\quad$ Sleepers are discrete.
- Symmetric across track ( $1 / 2$ track model).
- Primarily linear, however with non linear Hertzian contact.
- $\quad$ Sleepers are modelled as flexible beams.
- Sleepers and rail have vertical bending stiffness and inertia.
- Railpads.
- $\quad$ Sleepers supported on a uniform ballast layer.
- Two linear systems separated at the wheel/rail interface by a nonlinear Hertzian contact spring.
- Fixed time steps.

As part of the calibration process for the model the parameters for the British Rail model were used to check the results of this model against published theoretical and experimental results.

| Unsprung mass | 380 kg |
| :--- | :--- |
| Axle mass | 280 kg (not BR but from Ahlbeck) |
| Body mass | 18430 kg |
| Vehicle speed | $37.5 \mathrm{~ms}^{-1}\left(135 \mathrm{~km} \mathrm{hr}^{-1}\right)$ |

Suspension stiffness is not given but derived based on a maximum spring deflection of $20 \mathrm{~mm}=2.260 \mathrm{MNm}^{-1}$

| Track stiffness | 46.6 MNm |
| :--- | :--- |
| Pad stiffness | $250 \mathrm{MNm}^{-1}$ |
| Rail Bending | $4.86 \mathrm{MNm}^{2}$ |
| Sleeper bending | $3.75 \mathrm{MNm}^{2}$ |
| Axle stiffness | $12.5 \mathrm{MNm}^{2}$ |


| Corrugation amplitude | 0.115 mm ( $1 / 2$ depth) |
| :--- | :--- |
| Corrugation pitch | 60 mm |


| Contact stiffness | BR | $4.56 \times 10^{-7}$ |
| :--- | :--- | :--- |
| Contact stiffness | Program | $4.38 \times 10^{-7}$ |

The results shown in figures 5.4 to 5.6 show some differences. which are explained by the alternative format of the models with the primary one being the inclusion in the British Rail model of higher frequency modes of vibration in the sleeper. At the critical points however the model agrees with the experimental results obtained.


Figure $5.4 \quad$ Sleeper rail seat displacement
The results of the sleeper displacement at the rail seat at $12 \mathrm{~ms}^{-1}$ can be compared to figure 11 in Clark's paper [2] which shows the sleeper end displacement.


Figure 5.5 Wheel rail contact force

The contact force diagram as shown in Figure 5.5 shows the force that exists between the wheel and rail at a speed of $12 \mathrm{~ms}^{-1}$. The results are in close agreement with the British Rail results shown in Figure 10 of Clark's paper [7]. The agreement exists in the magnitude of the contact force however the effect of higher contact forces existing over the sleeper are not evident.

Figure 5.6 shows a number of model runs were undertaken at different speeds and matched against the experimental results reported by Clark [7].


Close agreement is evident from the first peak but not with the second peak which corresponds to a frequency of 700 Hz . The reason for this anomaly is the modelling by Clark of higher sleeper frequencies than was undertaken in this research (table 5.2).

The second case study examined was that of the model developed by the Battelle Columbus Division under Ahlbeck who has developed this vehicie/track interaction program over the past 15 years. This model was selected as it is based on differing track conditions to those existing on Australian and British railways but also having the availability of the defect shape, all of the physical parameters and the final result.

The primary intention of Ahlbeck's model $\left[\begin{array}{lll}2 & 3 & 4\end{array}\right]$ is to determine the peak loads under specific running surface geometry defects and from this to predict the vehicle and track component loads. The work was driven by concerns on the North East corridor in the US where high speed trains operate on concrete sleepered track. The effect of wheel flats and rail geometry errors is to cause failure of the sleepers. Some of the assumption contained within Ahlbeck's model are

- Impact at one wheel only with the resulting need for a nonsymmetric model about the track centreline.
- Forward motion has little effect on vehicle track response.
- Limited vibration above the primary suspension.
- Track parameters derived from beam on elastic foundation theories and experiment results.
- Geometry inputs are seen from the wheels reference.
- $\quad$ First four sleeper bending modes are included.
- $\quad$ First four axle transverse bending modes included.

Ahlbeck also goes into some discussion about the effect of including rail bending as used by Clark [7] and why it was not included in the model. The reason it is claimed is that the modes are highly dependent on the positions of the adjacent wheels and thermal stresses due to longitudinal rail restraint. The results from this analysis are examined by running the model with the wheel burn defect shown in Ahlbeck figure 12 [4] with the result as shown in figure 5.7.


Figure 5.7 Wheel/rail contact force US conditions
This result can be compared then to Ahlbeck's figure 13 [4] reproduced in figure 5.8. where he predicts that there will be a peak load with his model of 294 kN (not 194 kN as printed in the paper).


Figure 5.8 Wheel/rail contact force US conditions from Ahlbeck [4] (Figure 13)

## CHAPTER 6

### 6.1 INTRODUCTION

Using the methodology detailed in chapter five, an estimate is made of the energy loss through a number of defects, to investigate the relationship between the total energy absorbed by the ballast and formation, against the track settlement obtained from survey results.

A total of 72 results are presented with the following characteristics having being investigated.

1. Train Speeds of $60,80,100$ and $120 \mathrm{~km} \mathrm{hr}^{-1}$.
2. Three piece bogies with axle loads of 5.8 Tonnes (empty vehicle), 14 Tonnes and 21 Tonnes.
3. Defect type consisting of perfect rail surface and three field dips on one rail only (sites one, two and four).
4. As the track was single line operation the effect of vehicles passing over the sites in both directions needs to be taken into account. Thus all the above model runs were undertaken for both directions.

The model in all cases was run over a total of 20 bays or 13.2 metres with the relevant defect at the centre of the track.

### 6.2 TRACK SETTLEMENT

Track settlement measurements were undertaken by survey methods of the unloaded track profile. Levels were taken at sleeper intervals for $\pm 10$ sleepers from the centreline prior to the track being disturbed, directly after the track had been lifted and tamped and after 21 and 63 days of traffic.

The data was reduced to show the settlement in figures 6.1 to 6.4 . These show the rapid settlement phase of the track which is generally equal for each of the sites. The formation of the original track shape is also becoming evident, however it should be noted that site two had the weld profile lifted and ground in between the 21 day and 63 day readings. This shows the elimination of the dip profile.


Figure 6.1 Site 1 settlement


Figure 6.2 Site 2 settlement


Figure 6.3 Site 3 settlement


Figure 6.4 Site 4 settlement

Further analysis of these results was made to predict the differential settlement of the track at the defect compared to the surrounding track as shown in figure 6.5. This is due to the assumption that the absolute level of the track is not the primary problem, but the differential settlement which leads to rough riding of vehicles and increased load on the track and vehicles.


Figure 6.5 Differential settlement

### 6.3 BALLAST ENERGY LOSS

The energy absorbed by the track is not just confined to the sleeper adjacent to the defect itself, but as shown in figure 6.6 is spread over a number of sleepers. It is hypothesised that if the track settlement is related to the energy absorbed the track will form a shape that follows closely that shown in the energy diagram. The results for the sleeper energies are extracted and stored in tabular form for analysis.


Figure 6.6 Total ballast energy loss : Site 1

The spectrum for the vehicles that were travelling over the site was estimated from data available through the Australian National train information monitoring system. The results for a four week period during which the settlement results were obtained is shown in table 6.1 as a loading spectrum of the number of bogies at the various speed, axle load and direction.

|  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | WESTBOUND |  |  |  |  |  |
| SPEED AXLE LOAD | 5.8 tonne | 14 tonne | 21 tonne | 5.8 tonne | 14 tonne | 21 tonne |
| $80 \mathrm{~km} \mathrm{hr}^{-1}$ | 1046 | 4534 | 1395 | 3238 | 3238 | 720 |
| $100 \mathrm{~km} \mathrm{hr}^{-1}$ | 651 | 2820 | 868 | 1969 | 1969 | 438 |
| $120 \mathrm{~km} \mathrm{hr}^{-1}$ | 0 | 480 | 0 | 0 | 48 | 0 |

Table 6.1 Vehicle density spectrum

There is difference in the tonnage being hauled in the westbound and eastbound directions due to the commodity flows that operate on this corridor. From this information the speed and axleload combinations were multiplied by the energy predictions per bogie, to give an estimate of the total energy absorbed by the ballast over the complete site. The model was run a total of 72 times. The results were then tabulated into a matrix format.

The results for each site, which totals eight runs per site are then summed for the number of bogies that actually ran over the site, to give a total energy absorbed result. For sites one and four the results are after 63 days while site two was after only 21 days. the peaks due to the initial transient response are eliminated from the following graphs.


Figure 6.7 Site 1 cumulative energy loss


Figure 6.8 Site 2 cumulative energy loss


Figure 6.9 Site 4 cumulative energy loss

These results are then plotted as values on the $X$ axis in figure 6.10 against the settlement curves from figures 6.1 to 6.4 in the Y axis. This shows the correlation between settlement and energy absorption.

Linear regression line


Figure 6.10 Settlement and energy loss

The linear regression curve shown in figure 6.10 for sites two and four give a differential settlement rate of 23.8 mm per MJ of energy lost by the damper. The results for site one have a different characteristic shape that indicates a lesser dependence on the energy which indicates that the differential shape will stabilise after a relatively short period of time.

### 6.3 SLEEPER ENERGY SENSITIVITY

These peak energy loss results are plotted onto the figures 6.11 to 6.13 so that direct comparisons can be made between differing configurations of axie loads, speeds, defect sites and direction.


Figure 6.11 Site 1 energy loss


Figure 6.12 Site 2 energy loss


Figure 6.13 Site 4 energy loss

As can be seen from figures 6.11 to 6.13 the results are generally highly site sensitive. The nonlinearity of the system becomes evident from the results of site one which show a peak loading at $100 \mathrm{~km} \mathrm{hr}^{-1}$. This result does not appear in either of the other two sites. The insensitivity of the results to direction indicate that the defects studied were nearly symmetric.

## CHAPTER 7

A number of conclusions will be drawn from the work carried out during this study. The main conclusions that arise are

1. There is a correlation between the energy absorbed by the track and the rate of settlement of the ballast.
2. Rail surface defects can have a significant effect on the damage and energy cost of running a railway and with the assistance of dynamic models, planners will be better able to quantify their cost and as such provide economic measures to correct them.
3. Track dynamics can be predicted by suitable numeric modelling that is available on PC based systems.
4. Nonlinear modelling must become an important part of future dynamic analysis, and effort will be required to determine nonlinearities that occur within the vehicle and track systems.

Experimental results are difficult to obtain due to the high frequency responses of the track components. This difficulty was overcome in the most part by the use of high frequency accelerometers. The method of double integration shows a great promise although work needs to be done to validate this method. If it can be fully developed however it would enable detailed measurements to be undertaken at relatively low cost and high portability.

Modelling using the MATLAB software proved to be successful and enabled a complex model to be run on a PC. Optimisation of the code was relatively easy and with increased computer power would enable larger models to be developed. As with all time domain models the run time is long and generally required overnight processing with typical models running for two to three hours.

Careful analysis of the traffic mix that includes both the loading spectrum and direction needs to be made because it is very rare that a defect is symmetric which may lead to significantly differing results for the same site.

# Rail Surface Geometry Defects and Track Settlement 

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Thesis presented for the degree of Master of Engineering Science at the University of Adelaide

August 1994

## APPENDICES

## APPENDIX 1 COMPUTER CODE

The following computer code listings are presented in this appendix. The routines are;

TRCRV033.M and TRCKV033.M
TRENG3.M
FFTINT2.M
TREIG4.M

Mathematical model
Sleeper energy analysis
Signal analysis
Modal analysis

All of these routines are written in the MATLAB language and reference should be made to the users guide for further understanding of the program operation.

## Appendix 1.1 TRCRV033.M

clear;
pack;
\%***********************************************
\%
\% Rail track model with moving vehicle load
\% 3 piece bogie model
\%
\% 24 bay model with double rails
\%
\% TRCRV033.M
\%
\%
\% Version 33
\% M. Williams 20 FEB 94
\%**********************************************
clg;
tol=1.0e-5; \% accuracy of ode 45 m calculation
$\mathrm{g}=9.81$; $\quad \%$ gravitational acceleration constant

```
%%%%%%%%% GEOMETRY PARAMETERS %%%%%%%%%%%%%%%%%
rl=0.66; % sleeper node spacing (m)
sl=1.9/2; % sleeper length (m)
axs=1.753; % axle spacing (m)
pss=2.1; % primary suspension spacing (m)
sss=2.1; % secondary suspension spacing (m)
vel=100/3.6; % speed of vehicle (m.s"-1)
del=0.000115; % corrugation half depth (m)
lam=0.06; % wavelength of defect(m)
%%%%%%%%%%%%%%%%% STIFFNESS PARAMETERS %%%%%%%%%
Elr=3.19e+6; % rail flexural rigidity (Nm^2)
Els=4.00+6; % sleeper flexural rigidity ( Nm^2)
Ela=12.5e+6; % axle flexural rigidity (Nm`2)
rstf=Elr/(rl^3);
%%%%%%%%%%%%%%%%% MASS PARAMETERS %%%%%%%%%%%%%
mv=8300; % mass of vehicle body + bolster (kg)
mb=360; % mass of side frame (kg)
ma=566; % axle mass (kg)
mu=380; % unsprung mass of wheel (kg)
mr=47*rl; % nodal mass of rail (kg/m)
ms=292.6; % mass of sleeper (kg)
```

\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\% STIFFNESS PARAMETERS \%\%\%\%\%\%\%\%\%\%
$\mathrm{kss}=3.1 \mathrm{e} 6 ; \%$ secondary suspension stiffness ( $\mathrm{N} / \mathrm{m}$ )
$k s=1000 e 6 ; \%$ primary suspension stiffness ( $\mathrm{N} / \mathrm{m}$ )
kb=35e6; \% ballast stiffness (N/m)
kp=250e6; \% pad stiffness (N/m)
$\% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \%$ DAMPING PARAMETERS \%\%\%\%\%\%\%\%\%\%\%\%
css=0.2*2*sqrt(kss*mv/2); \% secondary suspension damping ( $\mathrm{Ns} / \mathrm{m}$ )
$c s=0.2^{\star} 2^{\star} s q r\left(k s^{*} \mathrm{mb} / 2\right)$; \% primary suspension damping ( $\mathrm{Ns} / \mathrm{m}$ )
$\mathrm{cb}=0.2^{*} 2^{\star} \mathrm{sqrt}\left(\mathrm{kb}{ }^{\star}(\mathrm{ms} / 2+\mathrm{mr})\right) ; \%$ ballast damping ( $\mathrm{Ns} / \mathrm{m}$ )
$\mathrm{cp}=0.2^{* 2}{ }^{\star} \mathrm{sqrt}\left(\mathrm{kp}{ }^{*} \mathrm{mr}\right)$; \% pad damping (Ns/m)
$\mathrm{ca}=0.2^{\star 2}{ }^{\star} \mathrm{sqr}\left(\mathrm{Elr} / \mathrm{r}^{\star} \mathrm{mr}\right)$; \% Rail rotation material damping
\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%
\%
\% HERTZIAN CONTACT CALCULATIONS
\%
$\mathrm{R} 1=0.505$; $\quad$ \% Wheel radius ( m )
R1d=inf; $\quad$ \% Tyre radius ( $m$ )
R2=0.3; $\quad$ \% Rail head radius ( m )
Phi=90; $\quad$ \% Angle of attack (degrees)
$\mathrm{E}=2.1 \mathrm{e} 11$; $\quad$ \% Youngs modulus
$\mathrm{Pr}=0.3 ; \quad$ \% Poissons ratio
crit=0.1; $\quad$ \% damping percentage of critical
delta=4/(1/R1 + 1/R1d +1/R2);
Kbar= 4/3*(E/(1-Pr^2));
theta=abs(360/(2*pi)*acos(delta/4*sqr((1/R1-1/R1d) ${ }^{\star} 2$...
$\left.\left.+(1 / R 2)^{*} 2+2^{*}(1 / R 1-1 / R 1 d)^{*} \cos \left(2^{\star}{ }^{*} h^{*}\left(2^{*} \mathrm{pi}\right) / 360\right)\right)\right)$ );
Gamma $=0.57462+0.034745^{\star}$ theta $-0.00021338^{\star}$ (theta^2);
kh=Gamma*(1/(Kbar^2*delta) $)^{\wedge}(1 / 3) ; \quad$ \% Contact flexibility

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
%
% INITIAL CONDITIONS
%
XO(1:398)=zeros(1,398); % Initialise parameters
XO(393)=-mv*g/(2*kss); % Initial body displacement
```

\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%\%
\%
\% RUN CONTROL PARAMATERS
\%
T0=1*ㄱ/vel; \% start time of simulation run
$\mathrm{Tf}=18^{\star} \mathrm{r} / /$ vel; \% finish time
trace=1; $\quad \%$ trace=1 for time step solutions to be displayed
clear disp;
pack;

```
%
% Call routine which contains state variables
%
[xdot,T,X1]=ode45('trckv033',T0,Tf,X0,tol,trace);
%
% Save the variables required to file
%
save S33b xdot X1 T
```


## Appendix 1.2 TRCKV033.M

\% Lumped mass railway track vibration model
\%
\% M Williams
\%
\% This model is a two rail model 24 bays
\%
\% 3 piece bogie model
\%
\% TRCKV033.M
\%
\% 20 FEB 94
\%
function xdot=trckv033(t,x,IV,IV2);\% Name of routine
$\%========================================================$
\% INPUT VARIABLES
\%
vel=IV(1); \% vehicle velocity (ms^-1)
$\mathrm{ks}=\mathrm{IV}(2)$; $\%$ vehicle primary suspension
cs=IV(3); \% vehicle suspension damping
$\mathrm{kb}=\mathrm{IV}(4)$; \% ballast spring constant
del=IV(6); \% corrugation $1 / 2$ depth
lam=IV(7); \% corrugation wavelength
$\mathrm{rl}=\mid \mathrm{V}(8)$; \% rail length between nodes
$\mathrm{mv}=\mathrm{IV}(9)$; \% mass of vehicle
$\mathrm{mr}=\mathrm{IV}(10)$; \% mass of rail node
rstf=IV(11); \% bending stiffness of rail
$\mathrm{mu}=\mathrm{IV}(12)$; \% unsprung mass of wheel
$\mathrm{ms}=\mathrm{IV}(13)$; \% mass of sleeper
$\mathrm{kp}=\mathrm{IV}(14)$; \% pad spring constant
$\operatorname{cp}=\mathrm{IV}(15)$; \% pad damping
kh=IV(16); \% hertzian contact stiffness
crit=IV(17); \% hertzian contact damping critical \%
Elr=IV(18); \% rail bending stiffness
ma=IV2(1); \% mass of axle
Ela=IV2(2); \% axle bending stiffness
sl=IV2(3); \% sleeper length
Els=IV2(4); \% sleeper bending stiffness
axs=IV2(5); \% axie spacing
$\mathrm{g}=9.81$;
kss=lV2(6); \% secondary suspension stiffness
css=IV2(7); \% secondary suspension damping
$\mathrm{mb}=\mathrm{IV} 2(8)$; \% bogie mass
pss=IV2(9);
sss=IV2(10);
ca=IV2(11); \% axle material damping
$\%==================================================$
\％RAIL SURFACE DEFECT SHAPE
$\% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \% \%$
$\%$ 1）GEISMAR rail profile measurement machine
\％
with centreline dip＠5．5rl
\％

| corr $1=[-20$ | 0 |
| :---: | :---: |
| $-0.62+12.2$＊「 | 0 |
| －0．57＋12．2＊「1 | －0．000050 |
| $-0.52+12.2^{*} \mathrm{rl}$ | －0．0000933 |
| $-0.47+12.2$＊rl | －0．0001167 |
| －0．42＋12．2＊rl | －0．0001500 |
| －0．37＋12．2＊rl | －0．0001667 |
| $-0.34+12.2{ }^{*} \mathrm{I}$ | －0．0001667 |
| $-0.30+12.2 *$ rl | －0．0002000 |
| $-0.28+12.2{ }^{\star} \mathrm{rl}$ | －0．0001967 |
| $-0.23+12.2{ }^{*} \mathrm{rl}$ | －0．0002333 |
| $-0.16+12.2^{\star} \mathrm{rl}$ | －0．0002500 |
| $-0.11+12.2 *$ rl | －0．0003333 |
| $-0.08+12.2^{*} \mathrm{rl}$ | －0．0004833 |
| $-0.05+12.2 *$ rl | －0．0004333 |
| $-0.02+12.2{ }^{*} \mathrm{rl}$ | －0．0005667 |
| $-0.00+12.2^{\star} \mathrm{rl}$ | －0．0007667 |
| 0．05＋12．2＊r｜ | －0．0006000 |
| $0.13+12.2 \times r \mid$ | －0．0005667 |
| $0.18+12.2^{\star}+1$ | －0．0005000 |
| $0.23+12.2{ }^{*} \mathrm{rl}$ | －0．0005167 |
| $0.32+12.2{ }^{*} \mathrm{r}$ | －0．0004333 |
| $0.36+12.2{ }^{\star} \times 1$ | －0．0004167 |
| 0．37＋12．2＊r｜ | －0．0002667 |
| $0.43+12.2^{\star} \times 1$ | －0．0002000 |
| $0.48+12.2 \times \mathrm{ll}$ | －0．0001500 |
| $0.53+12.2 \times r$ | －0．0000500 |
| $0.58+12.2$＊${ }^{\text {l }}$ | 0 |
| 20 0］； |  |
| corr2＝［－20 | 0 |
| $-0.50+12.2$＊ $\mid$ | 0 |
| $-0.45+12.2{ }^{*} \mathrm{I}$ | －0．000110 |
| －0．40＋12．2＊ז1 | －0．000285 |
| －0．35＋12．2＊「 | －0．000551 |
| －0．30＋12．2＊ז | －0．000817 |
| $-0.25+12.2 *$ ¢ | －0．001044 |
| $-0.20+12.2{ }^{*} \mathrm{r}$ | －0．001405 |
| －0．15＋12．2＊「 | －0．001709 |
| －0．10＋12．2＊「 | －0．001975 |
| $-0.05+12.2^{\star} \mathrm{r}$ | －0．002222 |
| 0．00＋12．2＊＊｜ | －0．002354 |
| $0.05+12.2{ }^{\star} \mathrm{r}$ | －0．002279 |
| $0.10+12.2 \times$＋ | －0．002146 |
| $0.15+12.2 \times$｜ | －0．001747 |
| $0.20+12.2{ }^{*}$ \％ | －0．001519 |
| $0.25+12.2$ ¢ I | －0．001424 |
| $0.30+12.2^{\star} \mathrm{rl}$ | －0．001291 |
| $0.35+12.2^{*} \mathrm{rl}$ | －0．001158 |
| $0.40+12.2 \times \mathrm{rl}$ | －0．001025 |
| $0.45+12.2{ }^{* 1}$ | －0．000854 |
| 0．50＋12．2＊${ }^{\text {¢ }}$ | －0．000656 |
| $0.55+12.2 \times 1$ | －0．000437 |
| 0．60＋12．2＊1 | －0．000266 |
| 0．65＋12．2＊ㄷ | －0．000076 |
| 0．70＋12．2＊rl | 0 |
| 20 |  |

［Appendix 1］iv

| ［20 | 0 |
| :---: | :---: |
| 0．65＋12．2＊${ }^{\text {¢ }}$ | 0 |
| $0.60+12.2^{\star}+1$ | －0．000167 |
| $0.50+12.2^{\star} \mathrm{rl}$ | －0．000350 |
| 0．40＋12．2＊「 | －0．000633 |
| $0.30+12.2{ }^{\text {® }} \mathrm{l}$ | －0．000883 |
| $0.20+12.2 \times \mathrm{r}$ | －0．001166 |
| 0．15＋12．2＊r｜ | －0．001267 |
| $0.10+12.2 \times{ }^{\text {® }}$ | －0．001367 |
| 0．08＋12．2＊＊ | －0．001433 |
| 0．05＋12．2＊「 | －0．00145 |
| 0．02＋12．2＊r｜ | －0．001467 |
| $0.00+12.2{ }^{*} \mathrm{II}$ | －0．001467 |
| $-0.05+12.2^{*} \mathrm{rl}$ | －0．001300 |
| －0．10＋12．2＊${ }^{\text {l }}$ | －0．001100 |
| －0．15＋12．2＊「 | －0．000900 |
| $-0.20+12.2^{\star+1}$ | －0．000767 |
| $-0.30+12.2 *$ ¢ 1 | －0．000483 |
| $-0.40+12.2$＊「 | －0．000283 |
| $-0.50+12.2{ }^{* 1}$ | －0．000083 |
| $-0.60+12.2^{\star}$｜ $\mid$ |  |
| －20 | O］； |

xc1＝table1（corrt，vel＊t＋axs）；\％call up table to determine variation
$x \mathrm{x} 2=0$ ；$\quad \%$ wheel 2
xc3＝table1（corr1，vel＊t）；\％wheel 3
$x \subset 4=0 ; \quad \%$ wheel 4
\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％
\％2）PERFECT TRACK ie no rail shape irregularity
\％
$\% \% \% \% x c 1=0 ; x c 2=0 ; x c 3=0 ; x c 4=0 ; \%$ set rail defects to zero
\％
$\%==================================================$
\％
\％CALCULATE NODAL FORCES CAUSED BY WHEEL RAIL HERTZIAN CONTACT \％
Fx（46）＝0；$\quad$ \％Initialise vector
$\mathrm{Mx}(46)=0 ; \quad \%$ Initialise vector
$\mathrm{kb}(10: 16: 362)=k b^{\star}$ ones $(1,23)$ ；
$k b(16: 16: 368)=k b(10: 16: 362) ; \quad$ \％Ballast stiffness initialisation
$\operatorname{cb}(10: 16: 362)=0.18^{*} 2^{\star} \operatorname{sqrt}\left(\mathrm{kb}(10: 16: 362)^{\star}(\mathrm{mr}+\mathrm{ms} / 2)\right)$ ；\％ballast damping
cb（16：16：368）$=0.18^{* 2 *} \operatorname{sqr}\left(k b(16: 16: 368)^{*}(\mathrm{mr}+\mathrm{ms} / 2)\right)$ ；
\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％\％
$z z=f i x\left(\left(\right.\right.$ vel $\left.\left.{ }^{\star t}+a x s\right) / r 1\right)+1 ; \quad \%$ bay number of wheel
loca＝vel＊t＋axs－（zz－1）＊rl；locb＝rl－loca；\％wheel position in bay
$A(1)=x\left(16^{\star}(z z-1)-15\right) ; \quad \%$ Cubic polynomial constants
$A(2)=\quad-r \|^{*} x\left(16^{\star}(z z-1)-13\right)$ ；
$A(3)=-3^{\star} x\left(16^{\star}(z z-1)-15\right)+2^{\star} r \|^{\star} x\left(16^{\star}(z z-1)-13\right)+3^{\star} x\left(16^{\star} z z-15\right)+\left.r\right|^{\star} x\left(16^{\star} z z-13\right)$ ；
$A(4)=2^{\star} x\left(16^{\star}(z z-1)-15\right)-r\left\|^{*} x\left(16^{\star}(z z-1)-13\right)-2^{*} x\left(16^{*} z z-15\right)-r\right\|^{\star} x\left(16^{*} z z-13\right)$ ；
$P 1=A(1)+A(2)^{\star} \mid o c a / r l+A(3)^{\star}(\operatorname{loc} a / r \mid)^{\star} 2+A(4)^{\star}(\operatorname{loca} / \mathrm{rl})^{\wedge} 3 ;$
\％Solve cubic polynomial of rail ht at contact pt
$d w 1=P 1+x c 1-x(369) ;$ \% contact approach (+ve if in contact)
if dwi> 0;Fv1=(dw1/kh) ${ }^{\text {n }}(3 / 2)$; \% If wheel in contact, Hertz force
elseif $\mathrm{dw} 1<=0 ; \mathrm{Fv} 1=0$;end; $\quad \%$ Loss of wheel contact force $=0$
Mx(zz*2-3) $=$ Fv1*|oca*locb²/(r|²); \% Moment at previous node
$\operatorname{Mx}\left(z z^{*} 2-1\right)=-F \vee 1^{*} \operatorname{locb}{ }^{*} \mid o c a^{\wedge} 2 /\left(\left.r\right|^{\wedge} 2\right) ; \quad \%$ Moment at next node
Fx(zz*2-3) $=-F V 1^{*} \mid o c b^{*} 2^{\star}\left(3^{*} \mid o c a+l o c b\right) /\left(\left.r\right|^{\star} 3\right) ; \%$ Vertical force at previous node
Fx(zz*2-1)=-Fv1*|oca"2*(3*locb+loca)/(r|³); \% Vertical force at next node
$A(1)=x\left(16^{\star}(z z-1)-11\right) ; \quad \%$ Repeat for opposite wheel
$A(2)=-r \|^{\star} x\left(16^{*}(z z-1)-9\right)$;
$A(3)=-3^{\star} x\left(16^{\star}(z z-1)-11\right)+2^{\star}| |^{\star} x\left(16^{\star}(z z-1)-9\right)+3^{\star} x\left(16^{\star} z z-11\right)+r^{\star} x\left(16^{\star} z z-9\right)$;
$A(4)=2^{\star} x\left(16^{\star}(z z-1)-11\right)-\left.r\right|^{\star} x\left(16^{\star}(z z-1)-9\right)-2^{\star} x\left(16^{\star} z z-11\right)-\left.r\right|^{\star} x\left(16^{\star} z z-9\right) ;$
P2=A(1)+ $A(2)^{\star}$ loca/rl+ $A(3)^{\star}\left(\right.$ loca/rl) ${ }^{\wedge} 2+\quad A(4)^{*}(\text { loca/rl) })^{\wedge} 3$;
dw2=P2+xc2-x(375);
if dw2> 0;Fv2=(dw2/kh $)^{\wedge}(3 / 2)$;
elseif dw2<=0;Fv2=0;end;
$\operatorname{Mx}\left(z z^{*} 2-2\right)=F \vee 2^{*}\left|0 c a^{\star}\right| o c b^{\wedge} 2 /\left(\left.r\right|^{\wedge} 2\right)$;
Mx(zz*2)=-Fv2*locb*loca^2/(rl^2);
Fx(zz*2-2)=-Fv2*locb^2*(3*loca+locb)/(r|^3);
$\mathrm{Fx}\left(z z^{\star} 2\right)=-\mathrm{Fv} 2^{\star} \operatorname{loca}{ }^{\wedge} 2^{\star}\left(3^{\star} \operatorname{locb}+\operatorname{loca}\right) /\left(\mathrm{r}{ }^{\wedge} 3\right)$;
\%
$z z=$ fix $\left(\left(\right.\right.$ vel $\left.{ }^{* t}\right) /$ rl $)+1$;
loca=vel*t-(zz-1)*rl;locb=rl-loca;
$A(1)=x\left(16^{*}(z z-1)-15\right) ;$
$A(2)=-\left.r\right|^{\star} x\left(16^{\star}(z z-1)-13\right)$;
$A(3)=-3^{\star} x\left(16^{\star}(z z-1)-15\right)+\left.2^{\star} r\right|^{\star} x\left(16^{\star}(z z-1)-13\right)+3^{\star} x\left(16^{*} z z-15\right)+r^{\star} x\left(16^{\star} z z-13\right)$;
$A(4)=2^{*} x\left(16^{*}(z z-1)-15\right)-r\left\|^{*} x\left(16^{*}(z z-1)-13\right)-2^{\star} x\left(16^{\star} z z-15\right)-r\right\|^{*} x\left(16^{*} z z-13\right)$;
P3 $=A(1)+A(2)^{\star}$ loca/rl+A(3) $(\text { loca } / \text { / })^{\wedge} 2+A(4)^{\star}(\text { loca } / \text { /l) })^{\wedge} 3$;
$\mathrm{dw} 3=\mathrm{P} 3+\mathrm{xc} 3-\mathrm{x}(377)$;
if dw3> $0 ; F \vee 3=(d w 3 / k h)^{\wedge}(3 / 2)$;
elseif $d w 3<=0 ; F v 3=0$;end;
Mx(zz*2-3)=Fv3*|oca*locb"2/(r|"2);
$M x\left(z z^{*} 2-1\right)=-F v 3^{*} \mid o c b^{*} \operatorname{loca} a^{*} 2 /\left(\left.r\right|^{\wedge} 2\right)$;
Fx(zz*2-3)=-Fv3*|ocb^2*(3*loca+locb)/(r|³);
$F x\left(z z^{*} 2-1\right)=-F v 3^{\star} \operatorname{loca} 2^{\star}\left(3^{\star} \mid o c b+l o c a\right) /\left(\left.r\right|^{\wedge} 3\right)$;
$A(1)=x\left(16^{\star}(z z-1)-11\right)$;
$A(2)=-r \|^{\star} x\left(16^{\star}(z z-1)-9\right)$;
$A(3)=-3^{\star} x\left(16^{\star}(z z-1)-11\right)+\left.2^{\star} r\right|^{\star} x\left(16^{\star}(z z-1)-9\right)+3^{\star} x\left(16^{\star} z z-11\right)+r^{\star} x\left(16^{\star} z z-9\right)$;
$A(4)=2^{\star} x\left(16^{\star}(z z-1)-11\right)-\left.r\right|^{*} x\left(16^{\star}(z z-1)-9\right)-2^{\star} x\left(16^{\star} z z-11\right)-\left.r\right|^{\star} x\left(16^{\star} z z-9\right)$;
$P 4=A(1)+A(2)^{\star}|o c a / r|+A(3)^{\star}(\text { loca } / r \mid)^{\wedge} 2+A(4)^{\star}(\text { loca/rl| })^{\wedge} 3$;
$\mathrm{d} w 4=\mathrm{P} 4+\mathrm{xc} 4-\mathrm{x}(383)$;
if $\mathrm{dw} 4>0 ; \mathrm{FV} 4=(\mathrm{dw} 4 / \mathrm{kh})^{\wedge}(3 / 2)$;
elseif $d w 4<=0 ; F \vee 4=0$;end;
$M x\left(z z^{* 2} 2-2\right)=F \vee 4{ }^{*}$ loca*locb*2/(r|^^2);
$\mathrm{Mx}\left(\mathrm{zz} z^{*} 2\right)=-\mathrm{Fv} 4^{*} \operatorname{locb}{ }^{*} \operatorname{loca}{ }^{\wedge} 2 /\left(\mathrm{rl}^{\wedge} 2\right)$;
Fx(zz*2-2)=-Fv4*|ocb^2*(3*loca+locb)/(r|^3);
Fx(zz*2)=-Fv4*loca^2*(3*locb+loca)/(r|^3);
\%
\%
\% NODAL FORCES DUE TO BEAM DEFLECTION
\%
\% NEGATIVES OF STIFFNESS MATRICIES ( 4 BLOCKS )
\%
\% permits calculation of nodal forces due to the deflections and rotations
\% of the rail beam.
\%
stiff $1=[-2412000000000000000000000$
12-241200000000000000000000 $012-24120000000000000000000$ $0012-2412000000000000000000$ $00012-241200000000000000000$ $000012-24120000000000000000$ $0000012-2412000000000000000$ $00000012-241200000000000000$ $000000012-24120000000000000$ $0000000012-2412000000000000$ $00000000012-241200000000000$ $000000000012-24120000000000$ $0000000000012-2412000000000$ $00000000000012-241200000000$ $000000000000012-24120000000$ $0000000000000012-2412000000$ $00000000000000012-241200000$ $000000000000000012-24120000$ $0000000000000000012-2412000$ $00000000000000000012-241200$ $000000000000000000012-24120$ $0000000000000000000012-2412$ 00000000000000000000012 -24];
stiff2 $=r r^{*}[0-6000000000000000000000$ $60-600000000000000000000$ $060-60000000000000000000$ $0060-6000000000000000000$ $00060-600000000000000000$ $000060-60000000000000000$ $0000060-6000000000000000$ $00000060-600000000000000$ $000000060-60000000000000$ $0000000060-6000000000000$ $00000000060-600000000000$ $000000000060-60000000000$ $0000000000060-6000000000$ $00000000000060-600000000$ $000000000000060-60000000$ $0000000000000060-6000000$ $00000000000000060-600000$ $000000000000000060-60000$ $0000000000000000060-6000$ $00000000000000000060-600$ $000000000000000000060-60$ $0000000000000000000060-6$ 0000000000000000000006 0];
stiff3 $=1 *[06000000000000000000000$
$-60600000000000000000000$ $0-6060000000000000000000$ $00-606000000000000000000$ $000-60600000000000000000$ $0000-6060000000000000000$ $00000-606000000000000000$ $000000-60600000000000000$ $0000000-6060000000000000$ $00000000-606000000000000$ $000000000-60600000000000$ $0000000000-6060000000000$ $00000000000-606000000000$ $000000000000-60600000000$ $0000000000000-6060000000$ $00000000000000-606000000$ $000000000000000-60600000$ $0000000000000000-6060000$ $00000000000000000-606000$ $000000000000000000-60600$ $0000000000000000000-6060$ $00000000000000000000-606$ $000000000000000000000-60$ ];
stiff4 $=11^{1} 2^{\star}[-8-2000000000000000000000$
$-2-8-200000000000000000000$ $0-2-8-20000000000000000000$ $00-2-8-200000000000000000$ $000-2-8-200000000000000000$ $0000-2-8-20000000000000000$ $00000-2-8-2000000000000000$ $000000-2-8-200000000000000$ $0000000-2-8-20000000000000$ $00000000-2-8-2000000000000$ $000000000-2-8-200000000000$ $0000000000-2-8-20000000000$ $00000000000-2-8-2000000000$ $000000000000-2-8-200000000$ $0000000000000-2-8-20000000$ $00000000000000-2-8-2000000$ $000000000000000-2-8-200000$ $0000000000000000-2-8-20000$ $00000000000000000-2-8-2000$ $000000000000000000-2-8-200$ $0000000000000000000-2-8-20$ $00000000000000000000-2-8-2$ $000000000000000000000-2-8$ ];

F1=rstf* $[x(1: 16: 353) \times(5: 16: 357)]^{1 *}$ stiff1 ...

+ rstf* $[x(3: 16: 355) \times(7: 16: 359)]^{* *}$ stiff2; \% rail vert force due to displacements
F2 $=\operatorname{rstf}^{*}[x(1: 16: 353) \times(5: 16: 357)]^{\text {t* }}$ stift 3 ...
$+\operatorname{rstf}^{\star}[\times(3: 16: 355) \times(7: 16: 359)]^{1 \star}$ stiff4; \% rail rotational force due $\%$ to displacements


```
% SET STATE VARIABLES
%
xdot(1:2:395)=x(2:2:396); % Velocity
%% TRACK
xdot(2:16:354)=(Fx(1:2:45)+F1(1,:) ...
    +kp*(x(9:16:361) -x(1:16:353))' ..
    +CP*(x(10:16:362)-x(2:16:354))')/mr-g; % RAIL NODE 1
xdot(4:16:356)=(Mx(1:2:45)+F2(1,:))/(m\mp@subsup{r}{}{*}\mp@subsup{|}{}{\prime}2/12); % RAIL ROT NODE 1
xdot(6:16:358)=(Fx(2:2:46)+F1(2,:) ...
    +kp\star(x(15:16:367) -x(5:16:357))' ...
    +CP* (x(16:16:368)-x(6:16:358))')/mr-g; % RAIL NODE 2
xdot(8:16:360)=(Mx(2:2:46)+F2(2,:))/(m\mp@subsup{r}{}{\star}|\mp@subsup{|}{}{\wedge}2/12); % RAIL ROT NODE 2
xdot(10:16:362) =(-kp\star (x(9:16:361)-x(1:16:353))' ...
        -kb(10:16:362).^x(9:16:361)' ...
        -cp^(x(10:16:362)-x(2:16:354))' ...
        -cb(10:16:362)..*x(10:16:362)' ...
        -3*E|s/(s/^3)* (x(9:16:361)-x(11:16:363))' ...
        +3^EIs/(sl`2)*x(13:16:365)')/(3^ms/8)-g; % SLEEPER OUTER NODE }1\mathrm{ (3/8 mass)
xdot(12:16:364)=(3*Els/(sl^3))* (x(9:16:361)+x(15:16:367)-2*x(11:16:363))' ...
        /(ms/4)-g; % SLEEPER INNER NODE (1/4 mass)
xdot(14:16:366)=(3^Els/(s|^2)*(x(9:16:361)-x(15:16:367))' ...
    -6*Els/(sl)*x(13:16:365)')/(3/8*ms*sl`2/12); % SLEEPER ROT NODE
xdot(16:16:368)=(-kp* (x(15:16:367)-x(5:16:357))' ...
        -kb(16:16:368)..*x(15:16:367)' ...
        -cp*(x(16:16:368)-x(6:16:358))' ...
        -cb(16:16:368).*x(16:16:368)' ...
        -3^Els/(s\mp@subsup{|}{}{^}3)}\mp@subsup{}{}{\star}(x(15:16:367)-x(11:16:363))' ...
        -3*EIs/(s\mp@subsup{|}{}{\wedge}2\mp@subsup{)}{}{*}\times(13:16:365)')/(3*ms/8)-g; % SLEEPER OUTER NODE }
%
% VEHICLE
%
xdot(370) =(F\vee1 -ks*(x(369)-x(385)+axs/2*x(387)) ...
    -cs*(x(370)-x(386)+axs/2*x(388)) ...
    -3*Ela/(sl^3)*(x(369)-x(371)) ...
    +3*Ela/(sl^2)*x(373))/mu-g; % WHEEL }
xdot(372)=(3*Ela/(sl^3)* (x(369)-2*x(371)+x(375)))/ma-g; % AXLE }1\mathrm{ VERT
xdot(374)=(3*Ela/(sl`2)*(x(369)-x(375)) ...
    -6*Ela/(sl`2)*x(373))/(ma*sl`2/12); % AXLE 1 ROT
```

```
xdot(376)=(F\vee2-ks*(x(375)-x(389)+axs/2*x(391)) ...
```

xdot(376)=(F\vee2-ks*(x(375)-x(389)+axs/2*x(391)) ...
-cs*(x(376)-x(390)+axs/2*x(392)) ...
-cs*(x(376)-x(390)+axs/2*x(392)) ...
-3*Ela/(sl`3)*(x(375)-x(371)) ...     -3*Ela/(sl`3)*(x(375)-x(371)) ...
-3*Ela/(sl^2)*x(373))/mu-g; % WHEEL 2

```
    -3*Ela/(sl^2)*x(373))/mu-g; % WHEEL 2
```

```
    Second axle set
%
xdot(378)=(Fv3-ks^(x(377)-x(385)-axs/2}\mp@subsup{2}{}{\star}\times(387))
        -cs*(x(378)-x(386)-axs/2*x(388)) ...
    -3*Ela/(sl^3)*(x(377)-x(379)) ...
    +3*Ela/(sl`2)*x(381))/mu-g; % WHEEL 3
xdot(380)=(3^Ela/(sl^3)* (x(377)-2*x(379)+x(383)))/ma-g; % AXLE 2 VERT
xdot(382)=(3*Ela/(s\mp@subsup{|}{}{*}2)*(x(377)-x(383)) ...
    -6\starEla/(s\mp@subsup{|}{}{\wedge})*x(381))/(ma*s|^2/12); % AXLE 2 ROT
xdot(384)=(Fv4-ks*(x(383)-x(389)-axs/2*x(391)) ...
        -cs*(x(384)-x(390)-axs/2*x(392)) ..
    -3*Ela/(sl`3)*(x(383)-x(379)) ...
    -3*Ela/(s|^2)*x(381))/mu-g; % WHEEL }
% SIDEFRAMES
xdot(386) ={-ks*(2*x(385)-x(369)-x(377)) ...
    -cs*(2*x(386)-x(370)-x(378)) ...
    -kss*(x(385)-x(393)) ...
    -css*(x(386)-x(394)) )/mb-g; % SF1 VERT
xdot(388)=(-ks*axs/2*(2*x(387)*axs/2+x(369)-x(377))
    -cs*axs/2*(2*x(388)*axs/2+x(370)-x(378)) ...
    )/(mb*(axs^2)/12); % SF1 PITCH
xdot(390) =(-ks* (2^x(389)-x(375)-x(383)) ...
    -cs*(2*x(390)-x(376)-x(384)) ...
    -kss*(x(389)-x(393)) ...
    -css*(x(390)-x(394)) )/mb-g; % SF2 VERT
xdot(392)=(-ks*axs/2*(2*x(391)*axs/2+x(375)-x(383)) ...
    -cs*axs/2*(2*x(392)*axs/2+x(376)-x(384)) ...
    )/(mb*(axs^2)/12); % SF2 PITCH
xdot(394)=0; % BODY VERT (FIXED )
xdot(396)=0; % BODY ROLL (FIXED)
%
% Contact force for the wheel number 1
%
xdot(397)=xdot(186);
xdot(398)=xdot(185);
                                % Required for contact force calculation
xdot=xdot';
    % Transpose vector
return;
```


## Appendix 1.3 TRENG3.M

```
clear;
pack;
%
% ANALYSIS OF ENERGY AT SLEEPER
%
% M.Williams August }199
%
load sa_100; % load file
rl=0.66; % set node length
ms=282; % sleeper mass
mr=47^rl; % rail mass
kb=17e6; % ballast/foundation stiffness
cb=0.24*2*sqrt(kb*(ms/2+mr)); % ballast stiffness
LL=length(T)-90;
tstep1=T(2:LL)-T(1:LL-1);
BD1=(xdot(1:LL-1,48:70).^2+xdot(2:LL,48:70).^2)'/2*cb;
BAD=tstep1*ones(1,23).*BD1';
cBAD=cumsum(BAD);
sload=cBAD(length(cBAD),1:23) % printout results
```


## Appendix $1.4 \quad$ FFTINT4.M

clear;
pack;
\%
\% FFTINT2.M
\%
\% DOUBLE INTEGRATION ROUTINE TO ANALYSE SLEEPER DISPLACEMENT
\%
\% M.Williams 29 Jan 92
\%
$[A, B]=$ butter (4,15/16000, 'high');
\% calculate coefficients for Butterworth filter

F1=2; \% start freq
F2=1; \% decimate step
load R95X; $\quad$ \% Load input file
a2 $=1955^{\star}(\operatorname{accel}(;, 2)) ; \quad \%$ Scale function
W2=hanning(2000); \% Set window function
W3 $=[z \operatorname{zeros}(1,8000)$ W2(1:1000)' ones $(1,14000-9000)$
W2(1001:2000)' zeros(1,length(a2)-15000)]; \% Total window
b1=a2(1);b2=a2(length(a2));
step $=(\mathrm{b} 1-\mathrm{b} 2) /$ length(a2);
for $\mathrm{ii}=1$ :length( a 2 );
$C F(i i)=-b 1+$ step $^{* i}$;
end;
$\mathrm{a} 3=\mathrm{a} 2+\mathrm{CF}$ '; \% Adjust zero level of signal
a4 $=[\operatorname{zeros}(1,(32768$-length(a2))/2) (a3.*W3')' zeros(1,(32768-length(a2))/2)]';
\% Window data and add zero tails to each end to create 32768 pt \% signal for efficient FFT processing

T2 $=32000^{*}(0: \mathrm{N} 2-1) / \mathrm{N} 2 ; ~ \% ~ C a l c u l a t e ~ f r e q u e n c y ~ s t e p s ~$
f2dc=f2;
f2dc(1:F1)=zeros(1,F1); \% remove DC drift from signal
dispf2=[f2dc(1:F1)' (f2dc(F1+1:length(f2dc))./ ...
(2^pi^T2(F1+1:length(f2dc)). $\left.\left.{ }^{\wedge} 2\right)^{\prime}\right)$ ];
\% Convert acceleration to displacement by dividing by freq ${ }^{\wedge} 2$
disp2z=ift(dispf2);
disp2=filtfilt(A,B,disp2z);
\% Inverse Fourier Transform

Td2=length(disp2);
Tdd2=1/(32000/F2)*[1:Td2]*length(f2)/length(dispf2);
plot(Tdd2(3000:10:length(Tdd2)),-1000*abs(disp2(3000:10:length(disp2))));
grid, xlabel('Time (s)'),ylabel('Displacement (mm)')
title('R95X, $4247,130 \mathrm{~km} / \mathrm{hr}, 09$ MAR 93,E0200')
pause
return

```
clear;
pack;
%*k***********************************************************************
%
MODAL ANALYSIS ROUTINE
%
%
% 24 bay model with two rails
    % TREIG4.M
%
%
% Version 31
% M. Williams 30 AUG 93
```



```
clg;
rl=0.66; % sleeper bay length ( node spacing) (m)
g=9.81;
Elr=3.19e+6; % Rail bending stiffness
Els=4.00e+6; % Sleeper bending stiffness
Ela=12.5e+6; % Axle bending stiffness
rstf=Elr/(rl^3);
sl=1.5/2; % half sleeper length
axs=1.8; % axle spacing (m)
pss=2.1; % primary suspension spacing
sss=2.1; % secondary suspension spacing
%
% % % % % % % % % % % % % % % % % M A S S P A R A M E T ERS
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
mv=34700; % mass of vehicle body (kg)
mb=1212; % mass of bogie (kg)
mu=380; % unsprung mass of wheel (kg)
mr=47*rl; % nodal mass of rail (kg/m)
ms=292.6; % mass of sleeper (kg)
ma=282; % axle mass
%%%%%%%%%%%%%%%%% STIFFNESS PARAMETERS %%%%%%
kss=2.4e6; % secondary suspension
ks=2.5e6; % primary suspension stiffness
kb=17e6; % ballast stiffness (N/m)
kp=250e6; % pad stiffness (N/m)
kh=1.21e9; % hertzian contact stiffness
%
r1=[(1:8:161)];
s1=[(5:8:165)];
11=[1:21];
%==============================================
%
% Calculate stiffness and mass matrices
%
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
ST(9, 1)= 12*rstf;
ST(9, 2)=-6*r|*rstf;
ST(9, 9)=-24*rstf-kp;
ST(9,13)=kp;
ST(9,17)= 12*rstf;
ST(9,18)= 6^r|`rstf,
```

```
ST(10, 1)= 6*rl*rstf;
ST(10, 2)=-2\starr| - 2^rstf;
ST(10,10)=-8\star rl"2*rstf;
ST(10,17)=-6*r|`rst;
ST(10,18)=-2*rl`2*rstif
ST(11, 3)=12*rstf;
ST(11, 4)=-6*r||rstf;
ST(11,11)=-24*rstf-kp;
ST(11,16)=kp;
ST(11,19)=12*rstf;
ST(11,20)=6*r|`rstf;
ST(12, 3)= 6*r|*rstf;
ST(12, 4)=-2*r|``^rstf;
ST(12,12)=-8*rl^2*rstf;
ST}(12,19)=-6*r|*TStf
ST(12,20)=-2\starrl^2**stf;
ST}(13,9)=kp
ST(13,13)=-kp-kb-3*EIs/(sl`3);
ST(13,14)=3^Els/(sl^3);
ST(13,15)= 2*E|s/(s\mp@subsup{|}{}{\prime}2);
ST(14,13)= 3^Els/(s|`3);
ST(14,14)=-6*Els/(sl`3);
ST(14,16)= 3^E|s/(sl`3);
ST(15,13)= 2*Els/(sl^2);
ST}(15,15)=-\mp@subsup{2}{}{*}\textrm{Els}/(S\mp@subsup{|}{}{\wedge}2)
ST}(15,16)=-2*E|s/(s\mp@subsup{|}{}{\wedge}2)
ST}(16,11)=kp
ST(16,16)=-kp-kb-3*Els/(sl^3);
ST(16,14)=3*Els/(Sl^3);
ST(16,15)=-2*Els/(sl`2);
M(12,20)=0;
M(9,9)=mr;
M(10,10)=mr*rl^2/12;
M(11,11)=mr;
M(12,12)=mr*rl^2/12;
M(13,13)=ms/4;
M(14,14)=3/8*ms;
M(15,15)=0.25*ms*sl`2/12;
M(16,16)=ms/4;
```

```
ST1=[ ST(9:16,9:20) zeros(8,172)
            ST(9:16,:) zeros(8,164)
    zeros(8,8) ST(9:16,:) zeros(8,156)
    zeros(8,16) ST(9:16,:) zeros(8,148)
    zeros(8,24) ST(9:16,:) zeros(8,140)
    zeros(8,32) ST(9:16,:) zeros(8,132)
    zeros(8,40) ST(9:16,:) zeros(8,124)
    zeros(8,48) ST(9:16,:) zeros(8,116)
    zeros(8,56) ST(9:16,:) zeros( }8,108
    zeros(8,64) ST(9:16,:) zeros(8,100)
    zeros(8,72) ST(9:16,:) zeros(8, 92)
    zeros(8,80) ST(9:16,:) zeros(8, 84)
    zeros(8,88) ST(9:16,:) zeros(8, 76)
    zeros(8,96) ST(9:16,:) zeros(8, 68)
    zeros(8,104) ST(9:16,:) zeros(8,60)
    zeros(8,112) ST(9:16,:) zeros(8, 52)
    zeros(8,120) ST(9:16,:) zeros(8, 44)
    zeros(8,128) ST(9:16,:) zeros(8, 36)
    zeros(8,136) ST(9:16,:) zeros(8, 28)
    zeros(8,144) ST(9:16,:) zeros(8, 20)
    zeros(8,152) ST(9:16,:) zeros(8, 12)
    zeros(8,160) ST(9:16,:) zeros(8, 4)
    zeros(8,168) ST(9:16,1:16) j;
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
M1=[ M(9:16,9:20) zeros(8,172)
    M(9:16,:) zeros(8,164)
    zeros(8,8) M(9:16,:) zeros(8,156)
    zeros(8,16) M(9:16,:) zeros(8,148)
    zeros(8,24) M(9:16,:) zeros(8,140)
    zeros(8,32) M(9:16,:) zeros (8,132)
    zeros(8,40) M(9:16,:) zeros(8,124)
    zeros(8,48) M(9:16,:) zeros(8,116)
    zeros(8,56) M(9:16,:) zeros (8,108)
    zeros(8,64) M(9:16,:) zeros(8,100)
    zeros(8,72) M(9:16,:) zeros(8,92)
    zeros(8,80) M(9:16,:) zeros(8, 84)
    zeros(8,88) M(9:16,:) zeros(8,76)
    zeros(8,96) M(9:16,:) zeros(8,68)
    zeros(8,104) M(9:16,:) zeros(8,60)
    zeros(8,112) M(9:16,:) zeros(8,52)
    zeros(8,120) M(9:16,:) zeros(8,44)
    zeros(8,128) M(9:16,:) zeros(8, 36)
    zeros(8,136) M(9:16,:) zeros(8, 28)
    zeros(8,144) M(9:16,:) zeros(8, 20)
    zeros(8,152) M(9:16,:) zeros(8,12)
    zeros(8,160) M(9:16,:) zeros(8, 4)
    zeros(8,168) M(9:16,1:16) I;
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% Vehicle
%
M1 (153+32,153+32)=mu;
M1(154+32,154+32)=ma;
M1(155+32,155+32)=ma*s|^2/12;
M1(156+32,156+32)=mu;
M1 (157+32,157+32)=mu;
M1 ( \(158+32,158+32\) ) \(=\mathrm{ma}\);
M1 (159+32,159+32) \(=\left.\mathrm{ma} \mathrm{s}^{\wedge}\right|^{\wedge} 2 / 12\);
M1 (160+32,160+32) \(=\mathrm{mu}\);
```

$M 1(161+32,161+32)=m b ;$
M1 (162+32,162+32) = mb*axs"2/12;
M1 (163+32,163+32)=mb*sss*2/12;
$\mathrm{M} 1(164+32,164+32)=\mathrm{mv}$;
M1(165+32,165+32)=mv*sss"2/12;
\%\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#\#
ST1 1 153+32,81+16)=kh/2;
ST1 $(153+32,89+16)=k h / 2 ;$
ST1 $(81+16,153+32)=k h / 2$;
ST1 $(89+16,153+32)=k h / 2 ;$
$\mathrm{ST} 1(81+16,81+16)=\mathrm{ST} 1(81+16,81+16)-\mathrm{kh} / 2$;
ST1 $(89+16,89+16)=$ ST1 $(89+16,89+16)-\mathrm{kh} / 2 ;$
ST1 $(153+32,153+32)=-12^{*} \mathrm{Ela} /\left(\left.\mathrm{s}\right|^{\wedge} 3\right)-\mathrm{kh}-\mathrm{ks}$;
ST1 $(153+32,161+32)=k s ;$
ST1 $(153+32,162+32)=-k s * a x s / 2 ;$
ST1 $(153+32,163+32)=k s^{*} p s s / 2$;
ST1 $(153+32,154+32)=12^{\star} \mathrm{Ela} /\left(\mathrm{sl}^{\wedge} 3\right)$;
ST1 $(153+32,155+32)=+6^{\star} \mathrm{Ela} /\left(\left.\mathrm{s}\right|^{\wedge} 2\right)$;
ST1 $(154+32,153+32)=12^{\star} \mathrm{Ela} /\left(\mathrm{sl}{ }^{\wedge} 3\right)$;
ST1 $(154+32,154+32)=-2^{\star} 12^{\star}$ Ela/(sl^3);
ST1 $(154+32,156+32)=12^{\star} \mathrm{Ela} /\left(\mathrm{sl}{ }^{\wedge} 3\right)$;
ST1 $(155+32,153+32)=6 * E \mid a /\left(\left.s\right|^{\wedge} 2\right)$;
ST1 (155+32,155+32)=-8*Ela/sl;
ST1 $(155+32,156+32)=-6^{\star} \mathrm{Ela} /\left(\left.\mathrm{sl}\right|^{\wedge} 2\right)$;
ST $1(156+32,83+16)=k h / 2$;
ST1 $(156+32,91+16)=k h / 2 ;$
ST1 $(83+16,156+32)=k h / 2$;
ST1 $(91+16,156+32)=k h / 2$;
ST $1(83+16,83+16)=$ ST1 $(83+16,83+16)-k h / 2 ;$
$\mathrm{ST} 1(91+16,91+16)=\mathrm{ST} 1(91+16,91+16)-\mathrm{kh} / 2 ;$
ST1 $(156+32,156+32)=-12^{\star} \mathrm{Ela} /\left(\left.s\right|^{\wedge} 3\right)-\mathrm{kh}-\mathrm{ks}$;
ST1 $(156+32,161+32)=k s ;$
ST1 $(156+32,163+32)=-\mathrm{ks}{ }^{\star} \mathrm{pss} / 2$;
ST $1(156+32,162+32)=-k s^{*} \mathrm{axs} / 2$;
ST1 $(156+32,154+32)=12^{*} \mathrm{Ela} /(\mathrm{sl}$ ^3);
ST1 $(156+32,155+32)=-6$ *Ela/(sl^2);
ST $1(157+32,57+16)=k h / 2 ;$
ST $1(157+32,65+16)=k h / 2$;
ST1 $(57+16,157+32)=k h / 2$;
ST1 $(65+16,157+32)=k h / 2 ;$
ST1 $(57+16,57+16)=\mathrm{ST} 1(57+16,57+16)-\mathrm{kh} / 2 ;$
ST1 $(65+16,65+16)=S T 1(65+16,65+16)-k h / 2 ;$
ST1 $(157+32,157+32)=-12$ *Ela/(s| $\left.{ }^{\wedge} 3\right)-k h-k s ;$
ST1 $(157+32,161+32)=k s ;$
ST1 $(157+32,162+32)=k s^{*} \mathrm{axs} / 2$;
ST1 $(157+32,163+32)=k s^{*} \mathrm{pss} / 2$;
ST1 $(157+32,158+32)=12^{\star}$ Ela/(sl^3);
ST1 $(157+32,159+32)=+6^{\star} \mathrm{Ela} /\left(\mathrm{sl}^{\wedge} 2\right)$;
ST1 $(158+32,157+32)=12^{\star} \mathrm{Ela} /\left(\left.\mathrm{s}\right|^{\wedge} 3\right)$;
ST1 $(158+32,158+32)=-2^{*} 12^{\star}$ Ela/(SI $\left.{ }^{\wedge} 3\right)$;
ST1 $(158+32,160+32)=12^{\star} \mathrm{Ela} /\left(\left.\mathrm{s}\right|^{\wedge} 3\right)$;

```
ST1(159+32,157+32)=6*Ela/(sl`2);
ST1(159+32,159+32)=-8*Ela/sl;
ST1(159+32,160+32)=-6*Ela/(sl`2);
ST1(160+32,59+16)=kh/2;
ST1(160+32,67+16)=kh/2;
ST1(59+16,160+32)=kh/2;
ST1(67+16,160+32)=kh/2;
ST1 (59+16,59+16)=ST1 (59+16,59+16)-kh/2;
ST1(67+16,67+16)=ST1(67+16,67+16)-kh/2;
ST1(160+32,160+32)=-12*Ela/(sl^3)-kh-ks;
ST1(160+32,161+32)=ks;
ST1(160+32,162+32)=ks*axs/2;
ST1(160+32,163+32)=-ks*pss/2;
ST1(160+32,158+32)=12*Ela/(sl`3);
ST1(160+32,159+32)=-6*Ela/(S\mp@subsup{|}{}{\wedge}2);
ST1(161+32,161+32)=-4*ks-2*kss;
ST1(161+32,153+32)=ks;
ST1(161+32,156+32)=ks;
ST1(161+32,157+32)=ks;
ST1(161+32,160+32)=ks
ST1(161+32,164+32)=2*kss;
ST1(162+32,162+32)=-4*ks*axs/2;
ST1(162+32,153+32)=-ks*axs/2;
ST1(162+32,156+32)=-ks*axs/2;
ST1(162+32,157+32)=ks*axs/2;
ST1(162+32,160+32)=ks*axs/2;
ST1(163+32,163+32)=-4*ks*pss/2-2*kss*sss/2;
ST1(163+32,153+32)=ks*pss/2;
ST1(163+32,156+32)=-ks*pss/2;
ST1(163+32,157+32)=ks*pss/2;
ST1(163+32,160+32)=-ks*pss/2;
ST1(163+32,165+32)=2*kss*sss/2;
ST1(164+32,164+32)=-2*kss;
ST1(164+32,161+32)=2*kss;
ST1(165+32,165+32)=-2*kss*sss/2;
ST1(165+32,163+32)=2*kss*sss/2;
Z1=ones(165+32,1);
Z2=diag(Z1);
[v1,a1]=eig(ST1/M1,Z2); % Eigenvector routine
[a,k]=sort(diag(a1));
v=v1(:,k);
natf=sqrt(abs(a))/(2*pi); % Natural frequencies
return
```



Figure A2.1 Mode 1, 1.5 Hz, Body vertical bounce


Figure A2.2 Mode 2, 2.6 Hz , Body roll


Figure A2.3 Mode 3, 17,2 Hz, Bogie bounce


Figure A2.4 Mode 4, 25.5 Hz , Bogie pitch


Figure A2.5 Mode 5, 28.8 Hz, Bogie roll


Figure A2.6 Mode 6, 36 Hz , Track forced, Symetric


Figure A2.7 Mode 7, 40 Hz , Track forced, asymetric


Figure A2.8 Mode 8, 44 Hz , Track forced asymetric


Figure A2.9, Mode $9,48 \mathrm{~Hz}$, Track forced, asymetric


Figure A2.10 Mode 10, 48 Hz , Track free, symetric


Figure A2.11 Mode 11, 48 Hz , Track free, symetric

## APPENDIX 3 EXPERIMENTAL LOG

In this appendix the details of the test runs that were performed are listed. These lists show initially the vehicle consist with the axle loads of the vehicles of interest. Following these are the actual test runs undertaken which detail where to find the results on the tape recorder for post analysis, the estimated speed of the test and the location of the accelerometers on the track.

Shaded block shows data subsequently extracted and used in further analysis.
Test 106 Nov 1990

| Vehicle consist |  |  |
| :--- | :--- | :--- |
| Vehicle <br> identification <br> number | Vehicle total mass | Individual axle <br> load |
| GM46 | 116 Tonnes | 19.3 Tonnes |
| GM47 | 116 Tonnes | 19.3 Tonnes |
| CL 7 | 128 Tonnes | 21.3 Tonnes |
| AZSY 1086 |  |  |
| AZTP 400 |  |  |
| AQMF 2778 |  |  |
| AQMF 2371 |  |  |
| AQMF 4240 |  | 4.5 Tonnes |
| AQMF 2742 | 25 Tonnes | 17.43 Tonnes |
| AQMH 4247 | 69.7 Tonnes |  |
| AQMH 4228 |  |  |


| Run Number | Data tape number | Tape Count of run start | Speed of test | Transducer <br> A1 <br> Tape channel 15 | Transducer A2 <br> Tape channel 16 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 67 | PG4 | 280 | Calibrati on | S1 1VRMS | S1 1VRMS |  |
| 69 | PG4 | 320 | 100 | S1 Rail before dip | S1 Rail after dip |  |
| 70 | PG4 | 337 | 110 | " | * |  |
| 71 | PG4 | 355 | 120 | 4 | " |  |
| 72 | PG4 | 371 | 130 | " | S1 Rail @ 2 bays before dip |  |
| 73 | PG4 | 391 | 130 | " | " |  |
| 74 | PG4 | 407 | 110 | " | Not used |  |
| 75 | PG4 | 425 | 100 | " | S1 Sleeper @ CL |  |
| 76 | PG4 | 444 | 100 | S1 Rail Before dip | S1 Sleeper@ rail foot before dip |  |
| 77 | PG4 | 461 | 90 | " | " |  |
| 78 | PG4 | 481 | 80 | S1 Sleeper CL | ${ }^{4}$ |  |
| 79 | PG4 | 504 | 70 | " | " |  |
| 80 | PG4 | 527 | 60 | " | " |  |
| 81 | PG4 | 553 | 100 | St Rail before dip | " |  |
| 82 | PG4 | 568 | 120 | " | " |  |

Test 213 Nov 90
Vehicle consist

| Vehicle identification number | Total vehicle <br> mass | Individual axle load |
| :--- | :--- | :--- |
| GM 43 | 116 Tonnes | 19.3 Tonnes |
| GM 44 | 116 Tonnes | 19.3 Tonnes |
| DL 37 |  |  |
| AZSY 1086 |  |  |
| AZTP 400 |  |  |
| AQMF 2778 |  |  |
| AQMF 2371 |  |  |
| AQMF 4274 |  |  |
| AQMF 4240 |  |  |
| AQMF 2742 | 23 Tonnes | 5.8 Tonnes |
| AOMH 4247 | 69.7 Tonnes | 17.43 Tonnes |
| AQMH 4228 |  |  |

TEST RUNS

| Run number | Data Tape number | Tape count of run start | Speed of test run | Transducer <br> A1 <br> Tape channel 15 | Transducer <br> A2 <br> Tape channel 16 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 85 | PG4 | 1080 | CAL | 1 VRMS | 1 VRMS |  |
| 87 | ＂ | 1134 | 60 | S2 Rail | S2 Sleeper |  |
| 88 | ＂ | 1151 | 70 | ＂ | ＂ |  |
| 89 | ${ }^{\prime}$ | 1166 | 80 | ＂ | ＂ |  |
| 90 | ＂ | 1180 | 90 | ＂ | ＂ |  |
| 91 | ＂ | 1192 | 100 | ＂ | ＂ |  |
| 92 | ＂ | 1204 | 110 | ＂ | ＂ |  |
| 93 | ＂ | 1214 | 120 | ＂ | ＂ | \％\ll |
| 94 | ${ }^{*}$ | 1224 | 130 | ＂ | ＂ | 永滀 |
| 95 | ＂ | 1236 | 130 | ＂ | 1 | ＊縭 |
| 96 | ＂ | 1247 | 120 | ＂ | ＊ | \％\％\ll |
| 97 | ＂ | 1258 | 110 | ＂ | ${ }^{\prime}$ |  |
| 98 | ＂ | 1270 | 100 | ＂ | ＂ |  |
| 99 | ＊ | 1282 | 90 | ＂ | ＂ |  |
| 100 | ${ }^{*}$ | 1296 | 80 | ＂ | ＂ |  |
| 101 | ＊ | 1311 | 70 | ＂ | ＂ |  |
| 102 | ＊ | 1329 | 60 | ＊ | ＊ |  |

Test 315 Nov 90
Vehicles

| Vehicle identification number | Total vehicle mass | Indlvidual axle load |
| :--- | :--- | :--- |
| GM 45 | 116 Tonnes | 19.3 Tonnes |
| GM 37 | 116 Tonnes | 19.3 Tonnes |
| 601 | 116 Tonnes | 18.6 Tonnes |
| AZSY 1086 |  |  |
| AZTP 400 |  |  |
| AQMF 2778 |  |  |
| AQMF 2371 |  |  |
| AQMF 4274 |  |  |
| AQMF 4240 |  |  |
| AQMF 2742 |  |  |
| AQMH 4247 | 69.7 Tonnes | 17.43 Tonnes |
| AQMH 4228 |  |  |
| AQYY 18 (5 pack =6 bogies ) |  |  |

Test Runs

| Run number | Data tape number | Tape count at run start | Speed of test run | Transducer <br> A1 <br> Tape channel $15$ | Transducer <br> A2 <br> Tape channel 16 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 112 | PG6 | 290 | CAL | S3 Rail | S3 Sieeper |  |
| 115 | * | 377 | 100 | " | " |  |
| 116 | " | 399 | 110 | " | " |  |
| 117 | * | 420 | 110 | * | " |  |
| 118 | * | 438 | 120 | " | * |  |
| 119 | * | 460 | 100 | " | " |  |
| 120 | " | 482 | 90 | S4 Rail | S4 Sleeper |  |
| 121 | " | 508 | 80 | * | " |  |
| 122 | * | 541 | 60 | " | " |  |
| 123 | * | 560 | 90 | " | " |  |
| 124 | " | 579 | 100 | " | * |  |

Test 429 Nov 90
Test Consist

| Vehicle identification <br> number | Total vehicle mass | Individual axle load |
| :--- | :--- | :--- |
| GM 37 | 116 Tonnes | 19.3 Tonnes |
| GM 35 | 116 Tonnes | 19.3 Tonnes |
| AL 22 |  |  |
| AZSY 1086 |  |  |
| AZTP 400 |  |  |
| AQMF 2778 |  |  |
| AQMF 2371 |  |  |
| AQMF 4274 |  | 19 Tonnes |
| AQMF 4240 | 76 Tonnes |  |
| AQMF 2742 | 69.7 Tonnes |  |
| AQMF 4247 |  |  |
| AQMH 4228 |  |  |
| AVEP 129 |  |  |

Test Runs

| Run number | Data tape number | Tape count at run start | Speed of test run | Transducer <br> A1 <br> Tape channel 15 | Transducer A2 Tape channel 16 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 146 | PG6 | 713 | 60 | S2 Sleeper @ rail foot | S2 Sleeper @ centreline |
| 147 | " | 734 | 70 | " | * |
| 148 | ${ }^{\prime \prime}$ | 753 | 80 | " | ${ }^{\prime}$ |
| 149 | * | 769 | 90 |  | ' |
| 150 | * | 785 | 100 | " | " |
| 151 | * | 801 | 110 | " | " |
| 152 | " | 816 | 120 | ${ }^{\prime \prime}$ | " |
| 153 | " | 828 | 130 | " | ${ }^{*}$ |
| 154 | " | 842 | 120 | " | S2 Sleeper @ <br> 1/4 point |
| 155 | " | 860 | 100 | ${ }^{\prime \prime}$ | * |
| 157 | " | 955 | CAL | 1 VRMS | 1 VRMS |

## DIGITISED DATA LSTING

From the above tables the runs of interest were extracted via a analogue to digital converter through the software package CTRAN.

The following tables detail the blocks of data necessary to enable the double integration routine to give the displacement results.

The numbers contained within the tables are the start and end of the acceleration record of interest (in $1000^{s}$ ). This information is used by CTRAN to extract the information of interest and output it in a form suitable to the post processing by the MATLAB software.

SITE 1

| Run Number |  | 76S |  | 775 |  | 81S |  | 82S |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Speed |  | 100 |  | 90 |  | 100 |  | 120 |  |
| lead GM46 | B | 10 | 30 | 0 | 18 | 0 | 17 | 25 | 45 |
| GM46 GM47 | 0 | 30 | 58 | 18 | 50 | 17 | 39 | 45 | 65 |
| GM47 CL7 | F | 58 | 86 | 50 | 81 | 39 | 60 | 65 | 85 |
| CL7 1086 | H | 86 | 110 | 81 | 109 | 60 | 81 | 85 | 102 |
| 1086400 | $J$ | 110 | 133 | 109 | 134 | 81 | 98 | 102 | 118 |
| 4002778 | L | 133 | 158 | 134 | 163 | 98 | 118 | 118 | 136 |
| 27782371 | N | 158 | 186 | 163 | 196 | 118 | 142 | 136 | 157 |
| 23714240 | $P$ | 186 | 217 | 196 | 230 | 142 | 166 | 157 | 178 |
| 42402742 | $R$ | 217 | 244 | 230 | 264 | 166 | 188 | 178 | 198 |
| 27424247 | T | 244 | 275 | 264 | 296 | 188 | 211 | 198 | 218 |
| 42474228 | V | 275 | 306 | 296 | 329 | 211 | 235 | 218 | 239 |
| 4228 | $x$ | 306 | 337 | 329 | 363 | 235 | 266 | 239 | 270 |


| RUN Number |  |  | 915 |  | 92S |  | 935 |  | 94S |  | 95S |  | 965 |  | 97S |  | 98S |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Speed |  |  | 100 |  | 110 |  | 120 |  | 130 |  | 130 |  | 120 |  | 110 |  | 100 |  |
| Lead GM43 |  | B | 36 | 53 | 30 | 47 | 35 | 52 | 18 | 35 | 60 | 77 | 27 | 44 | 38 | 55 | 26 | 43 |
| GM 43 | GM44 | D | 50 | 75 | 47 | 68 | 52 | 71 | 35 | 52 | 77 | 94 | 44 | 60 | 55 | 72 | 43 | 62 |
| GM44 | DL37 | F |  |  | 68 | 90 | 71 | 90 | 52 | 71 | 94 | 110 | 60 | 77 | 72 | 90 | 62 | 82 |
| DL37 | 1086 | H |  |  | 90 | 109 | 90 | 108 | 71 | 87 | 110 | 126 | 77 | 92 | 90 | 106 | 82 | 100 |
| 1086 | 400 | J |  |  | 109 | 126 | 108 | 123 | 87 | 101 | 126 | 139 | 92 | 105 | 106 | 120 | 100 | 116 |
| 400 | 2778 | L |  |  | 126 | 146 | 123 | 141 | 101 | 118 | 139 | 155 | 105 | 120 | 120 | 136 | 116 | 133 |
| 2778 | 2371 | N |  |  | 146 | 169 | 141 | 162 | 118 | 137 | 155 | 172 | 120 | 139 | 136 | 155 | 133 | 154 |
| 2371 | 4247 | P |  |  | 169 | 193 | 162 | 184 | 137 | 156 | 172 | 190 | 139 | 156 | 155 | 175 | 154 | 175 |
| 4247 | 4240 | R |  |  | 193 | 215 | 184 | 204 | 156 | 174 | 190 | 208 | 156 | 174 | 175 | 194 | 175 | 196 |
| 4240 | 2742 | T |  |  | 215 | 238 | 204 | 224 | 174 | 194 | 208 | 225 | 174 | 192 | 194 | 212 | 196 | 216 |
| 2742 | 4247 | V | 275 | 299 | 238 | 261 | 224 | 245 | 194 | 213 | 225 | 243 | 192 | 209 | 212 | 231 | 216 | 237 |
| 4247 | 4228 | $x$ | 293 | 313 | 261 | 284 | 245 | 265 | 213 | 231 | 243 | 261 | 209 | 227 | 231 | 250 | 237 | 258 |
| 4228 |  | z | 318 | 336 | 284 | 302 | 265 | 283 | 231 | 249 | 261 | 279 | 227 | 245 | 250 | 268 | 258 | 276 |

Calibration factor $=1955$

SITE 3 and SITE 4

| SITE |  | S 3 |  |  |  |  |  |  |  |  |  |  |  | S 4 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| RUN Number |  | 115S |  | 116S |  | 1175 |  | 118S |  | 1195 |  | 120S |  | 1235 |  | 124S |  |
| Speed |  | 100 |  | 110 |  | 110 |  | 120 |  | 100 |  | 90 |  | 90 |  | 100 |  |
| lead GM45 | B | 37 | 55 | 65 | 85 | 28 | 48 | 10 | 30 | 15 | 31 | 1 | 20 | 25 | 45 | 28 | 46 |
| GM45 GM37 | D | 55 | 78 | 85 | 105 | 48 | 68 | 30 | 49 | 31 | 54 | 20 | 45 | 45 | 70 | 46 | 68 |
| GM37 601 | F | 78 | 98 | 105 | 125 | 68 | 88 | 49 | 67 | 54 | 73 | 45 | 69 | 70 | 93 | 68 | 90 |
| 6011086 | H | 98 | 118 | 125 | 142 | 88 | 104 | 67 | 83 | 73 | 92 | 69 | 89 | 93 | 112 | 90 | 108 |
| 1086400 | $J$ | 118 | 135 | 142 | 157 | 104 | 119 | 83 | 98 | 92 | 111 | 89 | 109 | 112 | 134 | 108 | 125 |
| 4002778 | L | 135 | 155 | 157 | 174 | 119 | 136 | 98 | 115 | 111 | 129 | 109 | 131 | 134 | 156 | 125 | 145 |
| $2778 \quad 2371$ | N | 155 | 178 | 174 | 198 | 136 | 158 | 115 | 136 | 129 | 154 | 131 | 157 | 156 | 179 | 145 | 167 |
| 23714274 | $P$ | 178 | 202 | 198 | 220 | 158 | 179 | 136 | 157 | 154 | 176 | 157 | 182 | 179 | 207 | 167 | 191 |
| 42744240 | A | 202 | 228 | 220 | 242 | 179 | 200 | 157 | 175 | 176 | 201 | 182 | 208 | 207 | 232 | 191 | 213 |
| 42402742 | T | 228 | 248 | 242 | 261 | 200 | 221 | 175 | 195 | 201 | 223 | 208 | 233 | 232 | 258 | 213 | 236 |
| 27424247 | $v$ | 248 | 274 | 261 | 281 | 221 | 241 | 195 | 215 | 223 | 246 | 233 | 259 | 258 | 284 | 236 | 257 |
| 42474228 | $x$ | 274 | 296 | 281 | 305 | 241 | 264 | 215 | 237 | 246 | 270 | 259 | 287 | 284 | 310 | 257 | 282 |
| 422818 (1) | $z$ | 296 | 319 | 305 | 320 | 264 | 282 | 237 | 255 | 270 | 291 | 287 | 308 | 310 | 333 | 282 | 300 |
| 18(2) | ZB | 319 | 332 | 320 | 338 | 282 | 295 | 255 | 266 | 291 | 304 | 308 | 324 | 333 | 348 | 300 | 314 |
| 18(3) | ZD | 332 | 346 | 338 | 351 | 295 | 309 | 266 | 280 | 304 | 318 | 324 | 340 | 348 | 366 | 314 | 329 |
| 18(4) | ZF | 346 | 362 | 351 | 364 | 309 | 320 | 280 | 292 | 318 | 333 | 340 | 356 | 366 | 382 | 329 | 343 |
| 18(5) | ZH | 362 | 376 | 364 | 376 | 320 | 333 | 292 | 304 | 333 | 347 | 356 | 372 | 382 | 397 | 343 | 357 |
| 18(6) | ZJ | 376 | 390 | 376 | 388 | 333 | 349 | 304 | 320 | 347 | 364 | 372 | 390 | 397 | 415 | 357 | 370 |


|  |  |  |  |  | 4228 | 4228 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> to | Displacement <br> (mm) | Displacement <br> (mm) |
| R76X | 100 | E0316 | 2000 | 17000 | 0.8583 | 0.8491 |
| R77X | 90 | E0317 | 6000 | 20000 | 0.8675 | 1.5664 |
| R81X | 100 | E0318 | 3000 | 15000 | 1.0986 | 0.6354 |
| R82X | 120 | E0319 | 2000 | 15000 | 0.6381 | 1.3173 |


|  |  |  |  |  | 4247 | 4228 | 4228 |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Numbe <br> r | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> to | Displ <br> (mm) | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R76V | 100 | E0320 | 5000 | 25000 | 0.6595 | 0.8621 | 1.1164 | 1.3208 |
| R77V | 90 | E0321 | 7000 | 20000 | 0.9934 | 0.7374 | 0.9934 | 1.5299 |
| R81V | 100 | E0322 | 7000 | 20000 | 1.2942 | 1.4689 | 1.3267 | 1.1397 |
| R82V | 120 | E0323 | 4000 | 17000 | 1.0830 | 1.041 | 1.1443 | 1.0896 |


|  |  | , ⿹ㅣㄴ |  | -tar | GM46 | GM46 | GM46 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Numbe r | Speed $\left(k_{m ~ h r}{ }^{-1}\right)$ | Output <br> File | Window from | Window $t 0$ | Displ (mm) | Displ (mm) | Displ (mm) |
| R76B | 100 | E0349 | 6000 | 18000 | 0.9097 | 1.022 | 1.111 |
| R77B | 90 | E0350 | 5000 | 16000 | 1.0115 | 1.385 | 1.218 |
| R818 | 100 | E0351 | 7000 | 15000 | 1.892 | 1.674 | 1.592 |
| R82B | 120 | E0352 | 10000 | 19000 | 2.308 | 1.609 | 1.628 |


|  |  |  |  |  | GM46 | GM46 | GM46 | GM47 | GM47 | GM47 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run <br> Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output File | Window from | Window to | Displ (mm) | Displ <br> (mm) | Displ (mm) | Dispi (mm) | Displ (mm) | Displ (mm) |
| R76D | 100 | E0353 | 3000 | 25000 | 0.919 | 0.858 | 0.904 | 1.169 | 1.016 | 0.937 |
| R77D | 90 | E0354 | 4000 | 28000 | 1.714 | 1.364 | 1.277 | 0.983 | 1.831 | 1.211 |
| R81D | 100 | E0355 | 2000 | 20000 | 1.014 | 1.046 | 1.514 | 1.310 | 1.075 | 1.522 |
| R82D | 120 | E0356 | 2000 | 18000 | 1.090 | 1.057 | 1.057 | 1.387 | 2.130 | 1.870 |


|  |  |  |  |  | GM47 |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | GM47 | GM47 |
| :---: |
| Run <br> Number |
| R76F |


|  |  |  |  |  | 4247 | 4247 | 4228 | 4228 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run <br> Number | Speod $\left(k m h r^{-1}\right. \text { ! }$ | Output <br> File | Window from | Window to | Displ (mm) | Displ (mm) | Displ (mm) | Displ <br> (mm) |
| R91X | 100 | E0244 | 5000 | 17000 | 1.628 | 1.912 | 0.978 | 1.049 |
| R92X | 10 | E0245 | 7000 | 17000 | 1.278 | 1.562 | 0.948 | 1.099 |
| R93X | 120 | E0246 | 5000 | 15000 | 1.943 | 1.231 | 1.653 | 1.790 |
| R94X | 130 | E0247 | 5000 | 14000 | 1.540 | 1.978 | 1.755 | 2.384 |
| R95X | 130 | E0248 | 4000 | 14000 | 1.931 | 2.221 | 2.648 | 2.480 |
| R96X | 120 | E0249 | 5000 | 14000 | 1.238 | 2.107 | 2.145 | 2.846 |
| R97X | 110 | E0250 | 5000 | 14000 | 1.489 | 2.181 | 1.851 | 2.403 |
| R98X | 100 | E0251 | 5000 | 16000 | 2.149 | 1.825 | 1.038 | 1.082 |


|  |  |  |  |  | GM43 | GM43 | GM43 | GM44 | GM44 | GM44 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output <br> File | Window from | Window to | Displ (mm) | Displ (mm) | Displ (mm) | Displ (mm) | Displ (mm) | Displ (mm) |
| R910 | 100 | E0252 | 5000 | 23000 | - | 1.876 | 2.194 | 1.501 | 1.609 | 1.552 |
| R92D | 110 | E0253 | 2000 | 19000 | 3.258 | 3.000 | 2.555 | 2.147 | 2.129 | 1.835 |
| R93D | 120 | E0254 | 1100 | 17000 | 2.122 | 2.655 | 2.754 | 1.520 | 1.840 | 2.068 |
| R94D | 130 | E0255 | 2000 | 16000 | 3.107 | 2.182 | 3.480 | 2.822 | 2.529 | 2.022 |
| R950 | 130 | E0256 | 2000 | 15000 | 4.440 | 4.074 | 2.325 | 2.988 | 3.171 | 2.253 |
| R96D | 120 | E0257 | 1100 | 14000 | 3.774 | 3.622 | 3.083 | 2.738 | 3.632 | 3.215 |
| R97D | 110 | E0258 | 1100 | 15000 | 2.555 | 3.611 | 2.748 | 2.230 | 2.849 | 2.820 |
| R98D | 100 | E0259 | 2000 | 18000 | - | 2.571 | 2.670 | 1.802 | 1.931 | 1.817 |


|  |  |  |  |  | GM44 | GM44 | GM44 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output File | Window from | Window to | Displ (mm) | Dispi (mm) | Displ (mm) |
| R91F | 100 | E0361 | 3000 | 13000 | 2.257 | 2.232 | 1.990 |
| R92F | 110 | E0362 | 1100 | 11000 | 2.183 | 2.853 | 2.198 |
| R93F | 120 | E0363 | 1100 | 10000 | 2.192 | 2.564 | 2.381 |
| R94F | 130 | E0364 | 2000 | 9000 | 3.000 | 1.773 | 2.687 |
| R95F | 130 | E0365 | 1100 | 8000 | 2.591 | 3.462 | 2.351 |
| R96F | 120 | E0366 | 1100 | 9000 | 2.961 | 3.896 | 3.479 |
| R97F | 110 | E0367 | 1100 | 9000 | 2.433 | 3.743 | 2.037 |
| R98F | 100 | E0368 | 1100 | 10000 | 2.320 | 1.840 | 2.663 |


|  |  |  |  |  | EMPT | EMPT |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> to | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R91V | 100 | E0228 | 5000 | 9000 | 1.236 | 1.381 |
| R92V | 110 | E0229 | 13000 | 17000 | 1.501 | 1.253 |
| R93V | 120 | E0230 | 11000 | 16000 | 1.928 | 1.999 |
| R94V | 130 | E0231 | 10000 | 14000 | 1.914 | 2.054 |
| R95V | 130 | E0232 | 10000 | 14000 | 2.092 | 1.882 |
| R96V | 120 | E0233 | 9000 | 13000 | 2.720 | 2.552 |
| R97V | 110 | E0234 | 10000 | 14000 | 2.545 | 2.463 |
| R98V | 100 | E0235 | 11000 | 16000 | 2.068 | 2.549 |


|  |  |  |  | , | 4228 | 4228 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run <br> Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output File | Window from | Window to | Displ <br> (mm) | Displ (mm) |  |
| R912 | 100 | E0201 | 5500 | 11500 | 1.7 | 1.3 |  |
| R927 | 110 | E0202 | 6000 | 12000 | 1.8 | 2.1 |  |
| R932 | 120 | E0203 | 5000 | 11000 | 1.7 | 1.4 |  |
| R942 | 130 | E0204 | 4000 | 11000 | 2.7 | 1.7 |  |
| R952 | 130 | E0205 | 3000 | 10000 | 3.2 | 3.0 |  |
| R962. | 120 | E0206 | 4000 | 10000 | 3.5 | 2.8 |  |
| R972 | 110 | E0200 | 3500 | 10000 | 3.0 | 2.4 |  |
| R982 | 100 | E0207 | 5000 | 10000 | 2.2 | 2.1 |  |
|  |  |  |  |  | GM | GM | GM |
| Run Number | Speed <br> ( $\mathrm{km} \mathrm{hr}^{-1}$ ) | Output File | Window from | Window to | Displ (mm) | Displ (mm) | Displ (mm) |
| R91B | 100 | E0209 | 6000 | 15000 | 2.1 | 1.8 | 1.9 |
| R92B | 110 | E0210 | 6000 | 15000 | 1.7 | 1.9 | 1.7 |
| R938 | 120 | E0211 | 7000 | 16000 | 2.4 | 3.1 | 2.2 |
| R94B | 130 | E0212 | 9000 | 15000 | 2.2 | 3.2 | 2.2 |
| R95B | 130 | E0213 | 9000 | 16000 | 3.0 | 2.8 | 2.8 |
| R96B | 120 | E0214 | 8000 | 17000 | 2.6 | 3.0 | 2.9 |
| R97B | 110 | E0208 | 7000 | 16000 | 3.2 | 2.6 | 2.2 |
| R988 | 100 | E0215 | 7000 | 15000 | 1.3 | 2.5 | 2.5 |


|  |  |  |  |  | 400 | 400 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> trom | Window <br> to | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R91J | 100 | E0369 | 9000 | 16000 | 2.2 | 2.8 |
| R92J | 110 | E0370 | 8000 | 15000 | 2.6 | 3.1 |
| R93J | 120 | E0371 | 7000 | 13000 | 3.1 | 2.5 |
| R94J | 130 | E0372 | 7000 | 12000 | 3.1 | 4.2 |
| R95J | 130 | E0373 | 6000 | 11000 | 2.9 | 3.4 |
| R96J | 120 | E0374 | 7000 | 11000 | 3.5 | 4.2 |
| R97J | 110 | E0375 | 7000 | 12000 | 3.3 | 3.7 |
| R98J | 100 | E0376 | 7000 | 13000 | 2.8 | 3.7 |


|  |  |  |  |  | 400 | 400 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> to | Displ <br> (mm) | Displ <br> $(\mathrm{mm})$ |
| R91L | 100 | E0377 | 2000 | 9000 | 2.6 | 2.4 |
| R92L | 110 | E0378 | 2000 | 9000 | 2.8 | 3.2 |
| R93L | 120 | E0379 | 2000 | 7000 | 1.9 | 2.7 |
| R94L | 130 | E0380 | 1500 | 7000 | 2.6 | 2.6 |
| R95L | 130 | E0381 | 1100 | 6000 | 2.7 | 4.0 |
| R96L | 120 | E0382 | 2000 | 7000 | 2.4 | 3.1 |
| R97L | 110 | E0383 | 1500 | 7000 | 3.0 | 4.4 |
| R98L | 100 | E0384 | 1500 | 7000 | 2.8 | 3.0 |


|  |  |  |  |  | GM45 | GM45 | GM45 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speed $\left(k m r^{-1}\right)$ | Output File | Window from | Window to | Displ (mm) | Displ <br> (mm) | Displ <br> (mm) |  |  |  |
| R115B | 100 | E0301 | 8000 | 17000 | 0.311 | 0.484 | 0.552 |  |  |  |
| R116B | 110 | E0302 | 9000 | 19000 | - | - | - |  |  |  |
| R117B | 110 | E0303 | 10000 | 19000 | - | - | - |  |  |  |
| R118B | 120 | E0304 | 9000 | 19000 | 0.749 | 1.239 | 1.115 |  |  |  |
| R119B | 100 | E0305 | 6000 | 15000 | 0.813 | 0.611 | 0.646 |  |  |  |
|  |  |  |  |  | GM45 | GM45 | GM45 | GM37 | GM37 | GM37 |
| Run Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output File | Window from | Window to | Displ <br> (mm) | Displ (mm) | Dispi (mm) | Displ (mm) | Displ (mm) | Displ (mm) |
| R115D | 100 | E0306 | 3000 | 20000 | 0.639 | 0.566 | 0.621 | 0.742 | 0.675 | 0.861 |
| R116D | 110 | E0307 | 3000 | 18500 | 1.013 | 0.187 | 0.584 | 0.577 | 0.617 | 0.507 |
| R117D | 110 | E0308 | 2500 | 18000 | 1.001 | 1.550 | 1.250 | 0.973 | 0.697 | 1.115 |
| R1180 | 120 | E0309 | 2000 | 17000 | 0.900 | 0.941 | 1.445 | 0.746 | 0.408 | 0.603 |
| R1190 | 100 | E0310 | 2000 | 20000 | 0.941 | 0.989 | 0.629 | 0.903 | 0.748 | 0.996 |


|  |  |  |  |  | 4247 | 4247 | 4228 | 4228 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speod ( $\mathrm{km} \mathrm{hr}^{-1}$ ) | Output File | Window from | Window to | Dispr (mm) | Displ (mm) | Displ (mm) | Displ (mm) |
| R115X | 100 | E0311 | 2000 | 20000 | 0.647 | 0.672 | 0.578 | 0.739 |
| R116X | 110 | E0312 | 2000 | 20000 | 1.270 | 1.497 | 1.003 | 1.042 |
| R117X | 110 | E0313 | 2000 | 20000 | 1.047 | 1.090 | 0.910 | 1.105 |
| R118x | 120 | E0314 | 2000 | 20000 | 0.757 | 0.995 | 0.666 | 1.187 |
| R119x | 100 | E0315 | 2000 | 20000 | 0.972 | 0.933 | 0.913 | 1.268 |


|  |  |  |  |  | 4228 | 4228 | 18 | 18 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(k_{m}\right.$ hr $^{-1)}$ | Output <br> File | Window <br> fom | Window <br> to | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R115Z | 100 | E0324 | 10000 | 22000 | 1.065 | 0.660 | 0.976 | 0.869 |
| R116Z | 110 | E0325 | 4000 | 15000 | 0.556 | 1.065 | 0.962 | 1.349 |
| R117Z | 110 | E0326 | 4000 | 16000 | 0.891 | 0.891 | 0.675 | 0.778 |
| R118Z | 120 | E0327 | 8000 | 17000 | 1.086 | 1.111 | 1.371 | 1.292 |
| R119Z | 100 | E0328 | 5000 | 17000 | 1.278 | 0.891 | 1.186 | 1.213 |


|  |  |  |  |  | GM37 | GM37 | GM37 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run <br> Number | Speed $\left(k m h r^{-1}\right)$ | Output File | Window from | Window to | Displ <br> (mm) | Displ (mm) | Displ (mm) |
| R115F | 100 | E0329 | 1000 | 11000 | - | - | - |
| R116F | 110 | E0330 | 2000 | 11000 | 1.026 | 0.928 | 0.995 |
| R117F | 110 | E0331 | 1000 | 11000 | 0.908 | 0.748 | 0.745 |
| R118F | 120 | E0332 | 1000 | 10000 | 1.099 | 1.117 | 1.053 |
| R119F | 100 | E0333 | 1000 | 12000 | 0.511 | 0.735 | 0.487 |


|  |  |  |  |  | GM45 |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  | GM45 |  |  |  |  |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output <br> File | Window <br> from | Window <br> 10 | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R120B | 90 | E0334 | 7000 | 18000 | 0.921 | 0.791 | 0.667 |
| R123B | 90 | E0335 | 7000 | 17000 | 0.797 | 0.972 | 0.813 |
| R124B | 100 | E0336 | 7000 | 17000 | 0.985 | 1.319 | - |


|  |  |  |  |  | GM45 | GM45 | GM45 | GM37 | GM3 | GM37 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speed <br> ( $\mathrm{km} \mathrm{hr}^{-1)}$ | Output <br> File | Window from | Window to | Dispi <br> (mm) | Displ (mm) | Displ <br> (mm) | Displ (mm) | Displ (mm) | Displ (mm) |
| R1200 | 90 | E0337 | 2000 | 23000 | 0.797 | 0.879 | 1.022 | 0.934 | 0.590 | 0.553 |
| R123D | 90 | E0338 | 2000 | 23000 | 0.752 | 0.664 | 0.746 | 1.445 | 1.546 | 1.189 |
| R1240 | 100 | E0339 | 2000 | 21000 | 1.374 | 0.940 | 0.990 | 1.040 | 1.218 | 0.751 |


|  |  |  |  | GM37 |  |  | GM37 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> (o | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R120F | 90 | E0340 | 1500 | 12000 | 0.928 | 0.660 | 0.981 |
| R123F | 90 | E0341 | 1500 | 12000 | 0.707 | 0.601 | 0.974 |
| R124F | 100 | E0342 | 2000 | 11000 | 1.673 | 1.481 | 1.193 |


|  |  |  |  |  | 4247 | 4247 | 4228 | 4228 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Run <br> Number | Speed <br> $\left(\mathrm{km} \mathrm{hr}^{-1)}\right.$ | Output <br> File | Window <br> from | Window <br> to | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ | Displ <br> $(\mathrm{mm})$ |
| R120X | 90 | E0343 | 6000 | 20000 | 0.502 | 0.678 | 0.806 | 0.422 |
| R123X | 90 | E0344 | 5000 | 20000 | 0.840 | 0.886 | 0.817 | 0.753 |
| R124X | 100 | E0345 | 5000 | 19000 | 1.180 | 0.600 | 0.920 | 0.949 |


|  |  |  |  |  | 42284228 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Run Number | Speed $\left(\mathrm{km} \mathrm{hr}^{-1}\right)$ | Output File | Window from | Window to | Displ (mm) | Displ (mm) |
| R1202 | 90 | E0346 | 5000 | 11000 | 0.946 | 0.735 |
| R1232 | 90 | E0347 | 5000 | 12000 | 0.831 | 0.874 |
| R124Z | 100 | E0348 | 5000 | 10000 | 1.093 | 1.203 |















$$
1.85
$$

$$
\begin{aligned}
& \bar{x}=2.74 \\
& 50=0.527
\end{aligned}
$$

$$
21
$$

$$
\begin{aligned}
& 2.5 \\
& 3.0 \\
& 3.0 \\
& 3.1 \\
& 3.2 \\
& 3.2
\end{aligned}
$$

























$$
\begin{aligned}
\bar{x} & =2.59 \\
50 & =0.797
\end{aligned}
$$











































Rリ232. 4228.90 km/hr,01 FE日 93.E0347























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