
**A STEP TOWARDS A BROADER UNDERSTANDING OF
COMPLEX TRAUMATIZATION IN VICTIMS OF CRIME:**

**Psychological and Physical Health Impacts and
Implications for Psychological Interventions and Treatment Evaluation**

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Submitted in Fulfillment of the Requirements for the Degree of
Doctor of Philosophy

July, 2008

School of Psychology, The University of Adelaide, South Australia

Appendix A – Participant information

You are invited to participate in a study examining the relationship between psychological stress and your health. This is a study conducted by Dr. Jane Blake-Mortimer, a Clinical Psychologist and Senior Lecturer at the Psychology Department of the University of Adelaide and Birgit Pfitzer, a PhD candidate at the Psychology Department of the University of Adelaide. Before agreeing to participate in the study, it is important that you read and understand the following explanation of the study and procedures. Prior to agreeing to participate, you will be asked to sign a form indicating that you consent to take part in this study. However, if you choose to participate, you have the right to withdraw from the study at any time.

What is this study about?

We would like to find out whether people who have experienced the stress associated with being a victim of crime have a lowered immunity as compared to non-stressed people who did not experience such an event.

It is possible that people who are suffering from long-term stress may be more likely to have a decreased immunity, develop infections and have a greater risk of developing a heart disease. Thus, an important purpose of this study is to obtain a better understanding of the relationships between psychological stress, immunity and an increased risk of developing illnesses.

Another crucial purpose of this study is to find out which factors might be useful to improve one's immunity and, as a future perspective, to develop strategies which might have valuable benefits for the health of crime victims.

Your immunity can be measured by an examination of biochemical markers such as vitamins or enzymes in your blood and saliva. Psychological stress can be measured by specific questionnaires.

We will provide you with feedback of your psychological and bio-chemical results. If your test results indicate any significant abnormalities, we will contact you and advise you to consult your GP as a precautionary measure.

Who can take part in the trial?

People aged between 18 and 67 years will be invited to participate in this study. For the purpose of this research, we are looking for people

Who have been a victim of a crime, are closely related to a crime victim or have witnessed a crime at least 3 months ago and, as a consequence, feel very distressed.

We will not be able to include you in this study if you are

- physically unwell at the time of testing.

- Please inform us if you are suffering from a cold or flu or any other form of infection at the time of testing.
- suffering from any of the following chronic medical conditions: heart disease, diabetes, cancer, rheumatoid arthritis, Addison's Disease, Cushing's Disease, Lupus or chronic infections.
- taking medication suppressing your immune system
- pregnant or breast feeding. Please inform us if there is any possibility that you may be pregnant.
- suffering from a psychotic disorder such as schizophrenia
- taking warfarin

Please indicate any medication or vitamins you are currently taking.

What does the study involve?

1. Each person will be asked to complete a questionnaire consisting of various psychological factors which may result from the traumatic event you have experienced and contribute to life stress. We will also ask about your history of illnesses, medication and your

health related behaviours. In addition, we will conduct a structured interview with you.

2. For the measurement of biochemical markers, a Registered Nurse from the University of South Australia will take a small blood sample (30ml). This will also include a comprehensive blood test.

3. You will be asked to swill 15 ml of distilled water in your mouth for 30 seconds and expel the liquid into a container.

This assessment will take approximately 1.5 hours of your time.

Precautionary advice and possible adverse effects

Whenever a blood sample is taken there is a slight risk of bruising. As a precaution, blood thinning agents such as aspirin, warfarin,

NSAID and ginkgo should not be taken 3 days prior to giving a blood sample.

Relevant biochemical blood measures will be analysed following the procedure. If any blood abnormalities are detected, you will be advised to consult your GP as a precautionary measure.

Feedback

Upon completion of the study, a summary sheet of the results will be available. Individual results will be available on request.

Voluntary Participation and Confidentiality

Participation in the study is completely voluntary. You are free to withdraw from the project at any time and this will not affect your medical treatment now or in the future.

The information that you provide is strictly confidential. The results of this study are part of a research that may be published in an aggregated form, but will not personally identify you. The data will be stored securely in locked filing cabinets (as required for seven years).

If you agree to participate in the study, you will be asked to sign a consent form.

If you have any questions or concerns, at any time before, during or after the study, please do not hesitate to contact:

Birgit Pfitzer on (08) 8415 2419
e-mail: birgit.pfitzer@adelaide.edu.au

Dr. Jane Blake-Mortimer on 8331 9299

Human Research Ethics Committee Contact
If you have any ethical concerns regarding this study please refer to the attached contacts complaints form.



DEPARTMENT OF PSYCHOLOGY

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PARTICIPANT INFORMATION SHEET

**THE RELATIONSHIP BETWEEN
BIOCHEMICAL MARKERS AND
PSYCHOSOCIAL FACTORS
IN VICTIMS OF CRIME:
A PILOT STUDY**

Birgit Pfitzer, PhD candidate
Dr. Jane Blake-Mortimer, PhD, clinical
psychologist, senior lecturer

Appendix B

TELEPHONE ENQUIRY PROTOCOL: ELIGIBILITY OF CRIME VICTIMS INTERESTED IN JOINING THE STUDY

• Date of enquiry / /

• Surname.....

First name.....

SEX M F

1. ASSESS ELIGIBILITY

AGE

Date of Birth

/ /

• Must be aged 18 - 67 years Yes No **Exclude if outside age limit**

DATE OF CRIME / / \geq 3 months ₁Yes ₂No

Exclude if less than 3 months

INDIVIDUALS WITH ANY OF THE FOLLOWING CONDITIONS

Possible Pregnancy, Pregnancy or breastfeeding ₁Yes ₂No

Heart disease ₁Yes ₂No

High blood pressure ₁Yes ₂No

Cancer ₁Yes ₂No

Diabetes ₁Yes ₂No

Infections ₁Yes ₂No

Autoimmune diseases ₁Yes ₂No

Allergies ₁Yes ₂No

Other chronic diseases ₁Yes ₂No

Schizophrenia or other psychotic illness ₁Yes ₂No

Taking Medication ₁Yes ₂No

Antidepressants ₁Yes ₂No

Anxiolytics ₁Yes ₂No

Immunosuppressive medications ₁Yes ₂No

Vitamins ₁Yes ₂No

Blood thinning agents, e.g. warfarin, aspirin, ginko, NSAID ₁Yes ₂No

Contraceptive pill ₁Yes ₂No

Other medications/name and dose of medication

Need to exclude? ₁Yes ₂No

Practical issues

3. ABLE TO ATTEND STUDY? Yes No

4. ABLE TO ATTEND UNIVERSITY OF SOUTH AUSTRALIA CITY CAMPUS TO HAVE BLOOD AND SALIVA TAKEN? Yes No

5. PERSON INFORMED OF ARRANGEMENTS?
 (People will receive participant information sheet and consent/complaints form, after agreeing they will receive questionnaire and details about date and location of blood examination)

6. PARTICIPANT INFO SHEET, CONSENT/COMPLAINTS FORM SENT Yes No

If person declines trial please record the reason

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COMMENTS / PROBLEMS

Appendix C

TELEPHONE ENQUIRY PROTOCOL: ELIGIBILITY OF PEOPLE INTERESTED IN JOINING THE STUDY

- Date of enquiry / /
- SURNAME..... FIRST NAME.....SEX M F

1. ASSESS ELIGIBILITY

AGE

Date of Birth

/ /

TRAUMATIC EXPERIENCE:

Sometimes things happen to people that are extremely upsetting- things like being in a life-threatening situation like a major disaster, very serious accident or fire: being physically assaulted or raped; seeing another person killed or dead, or badly hurt or hearing about something horrible that has happened to someone you are close to. At any time during your life, have any of these kinds of things happened to you?

₁Yes ₂No

If yes, please indicate the type of event -----

DID YOU EVER SUFFER FROM ONE OF THE FOLLOWING CONDITIONS? IF YES, PLEASE SPECIFY.

Heart disease_____ ₁Yes ₂No

High blood pressure_____ ₁Yes ₂No

Cancer_____ ₁Yes ₂No

Diabetes_____ ₁Yes ₂No

Chronic/long lasting infections_____ ₁Yes ₂No

Autoimmune diseases (e.g. asthma, arthritis)_____ ₁Yes ₂No

Allergies_____ ₁Yes ₂No

Schizophrenia or other psychotic illness _____

₁Yes ₂No

Depression _____

₁Yes ₂No

Anxiety _____

₁Yes ₂No

Other psychological problems _____

₁Yes ₂No

Are you pregnant?

₁Yes ₂No N./A.

PLEASE LIST ALL YOUR MEDICATION WHICH YOU ARE CURRENTLY TAKING

| Name of medication and mg e.g. Lasix 20mg tablet | Dose (How many per day?) | Reason for medication |
|---|--------------------------|-----------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |

PLEASE LIST ALL THE VITAMINS WHICH YOU ARE CURRENTLY TAKING

| Name of vitamin | Dose (how many times per w.) | Reason for vitamin intake |
|-----------------|---------------------------------|---------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |

Please check: Did you think of

- Antidepressants ₁Yes ₂No
- Medication to ease anxiety ₁Yes ₂No
- Immunosuppressive medications (e.g. Cortison) ₁Yes ₂No
- Blood thinning agents, e.g. warfarin, aspirin, ginkgo ₁Yes ₂No
- Contraceptive pill ₁Yes ₂No N./A.

We would like to know if you have had any medical complaints and how your health has been in general, OVER THE LAST FEW WEEKS. Please answer ALL the questions simply by underlining the answer which you think most nearly applies to you. Remember that we want to know about PRESENT AND RECENT complaints, not those that you had in the past. It is important that you try to answer ALL the questions.

Have you recently.....

| | | | | |
|--|---------------------------|----------------------------|-------------------------------|-----------------------------|
| been able to concentrate on whatever you're doing? | Better than usual | Same as usual | Less than usual | Much less than usual |
| lost much sleep over worry? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| felt that you are playing a useful part in things? | More so than usual | Same as usual | Less useful than usual | Much less useful |
| felt capable of making decisions about things? | More so than usual | Same as usual | Less so than usual | Much less than usual |
| felt constantly under strain? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| felt you couldn't overcome your difficulties? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| been able to enjoy your normal day-to-day activities? | More so than usual | Same as usual | Less so than usual | Much less than usual |
| been able to face up to your problems? | More so than usual | Same as usual | Less so than usual | Much less able |
| been feeling unhappy and depressed? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| been losing confidence in yourself? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| been thinking of yourself as a worthless person? | Not at all | No more than usual | Rather more than usual | Much more than usual |
| been feeling reasonably happy, all things considered? | More so than usual | About same as usual | Less so than usual | Much less than usual |

Appendix D

DEMOGRAPHIC QUESTIONNAIRE

Name _____

ID Number _____

Do you live? On your own Family Partner Shared Accommodation

Do you have children? Yes No

How many? _____
 Country of Birth _____
 (please specify)

Education Primary Secondary Tafe Tertiary Other _____

| | |
|--|--|
| <p>1. Do you currently smoke cigarettes, cigars, pipes or any other tobacco products? Please specify</p> <p>Daily <input type="checkbox"/></p> <p>At least weekly (not daily) <input type="checkbox"/></p> <p>Less Often than weekly <input type="checkbox"/></p> <p>Or not at all <input type="checkbox"/></p> | <p>3. During the last 7 days, on how many days did you do <u>vigorous</u> physical activities like heavy lifting, digging, aerobics or fast bicycling?</p> <p>Days per week _____</p> <p>No vigorous physical activities <input type="checkbox"/></p> |
| <p>2. On average how many cigarettes do you smoke per day (daily) or each week (weekly)?</p> <p><i>Enter number of cigarettes per day</i></p> <p>_____</p> <p><i>or</i></p> <p><i>Enter number of cigarettes each week</i></p> <p>_____</p> | <p>4. How much time did you usually spend doing <u>vigorous</u> physical activities on one of those days?</p> <p>Hours per day _____</p> <p>Minutes per day _____</p> <p>Don't know/not sure <input type="checkbox"/></p> |

| | |
|---|--|
| <p>5. During the last 7 days, on how many days did you do moderate physical activities like carrying light loads, bicycling at a regular pace, or doubles tennis? Do not include walking.</p> <p>Days per week _____</p> <p>No moderate physical activities <input type="checkbox"/></p> | <p>9. How often do you have a drink containing alcohol?</p> <p>(0) Never <input type="checkbox"/></p> <p>(1) Monthly or less <input type="checkbox"/></p> <p>(2) 2 to 4 times a month <input type="checkbox"/></p> <p>(3) 2 to 3 times a week <input type="checkbox"/></p> <p>(4) 4 or more times a week <input type="checkbox"/></p> |
| <p>6. How much time did you usually spend doing <u>moderate</u> physical activities on one of those days?</p> <p>Hours per day _____</p> <p>Minutes per day _____</p> <p>Don't know/not sure <input type="checkbox"/></p> | <p>10. How many drinks containing alcohol do you have on a typical day when you are drinking?</p> <p>(0) 1 or 2 <input type="checkbox"/></p> <p>(1) 3 or 4 <input type="checkbox"/></p> <p>(2) 5 or 6 <input type="checkbox"/></p> <p>(3) 7, 8 or 9 <input type="checkbox"/></p> <p>(4) 10 or more <input type="checkbox"/></p> |
| <p>7. During the last 7 days, on how many days did you <u>walk</u> for at least 10 minutes at a time?</p> <p>Days per week _____</p> <p>No walking <input type="checkbox"/></p> | <p>11. How often do you have six or more drinks on one occasion?</p> <p>(0) Never <input type="checkbox"/></p> <p>(1) Less than monthly <input type="checkbox"/></p> <p>(2) Monthly <input type="checkbox"/></p> <p>(3) Weekly <input type="checkbox"/></p> <p>(4) Daily or almost daily <input type="checkbox"/></p> |
| <p>8. How much time did you usually spend walking on one of those days?</p> <p>Hours per day _____</p> <p>Minutes per day _____</p> <p>Don't know/not sure <input type="checkbox"/></p> | <p>12. Do you take any other substances? If yes, please specify how much and how often</p> <p>Marihuana/Cannabis.....</p> <p>Cocaine.....</p> <p>Speed/Ecstasy/Amphetamines.....</p> <p>Opioids/Hallucinogens.....</p> |

PLEASE LIST ALL YOUR MEDICATION WHICH YOU ARE CURRENTLY TAKING

| Name of medication and mg e.g. Lasix 20mg tablet | Dose (How many per day?) | Reason for medication |
|---|--------------------------|-----------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |

PLEASE LIST ALL THE VITAMINS WHICH YOU ARE CURRENTLY TAKING

| Name of vitamin | Dose (how many times per w.) | Reason for vitamin intake |
|-----------------|---------------------------------|---------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |

Appendix E

Table 1 – Spearman correlations between biochemical and psychological results in the control group

Table 1

Spearman correlations between biochemical and psychological results in the control group

| | CRP | HCY | B12 | IL 1B | TNF α | TNF β | IFN γ |
|---------------------------------|-------|-------|-------|-------|--------------|-------------|--------------|
| General Health Questionnaire | .28 | .18 | -.12 | -.10 | -.09 | -.14 | -.07 |
| Beck Depression Inventory | .33* | -.14 | -.16 | -.34 | -.33 | -.45* | -.14 |
| UCLA Loneliness Scale | .34* | -.15 | .03 | -.20 | -.13 | -.18 | .09 |
| STPI state depression | .28 | -.10 | .05 | -.13 | -.18 | -.21 | .05 |
| STPI trait depression | .43** | -.32* | .10 | -.12 | -.11 | -.18 | -.16 |
| STPI state anxiety | .32* | .18 | -.23 | -.14 | -.07 | -.24 | -.17 |
| STPI trait anxiety | .33* | -.01 | -.07 | .03 | -.02 | -.04 | .05 |
| STPI state anger | .31* | 0 | .16 | 0.04 | -.08 | -.14 | -.24 |
| STPI trait anger | .17 | .09 | -.34* | -.05 | .18 | .04 | -.10 |
| STPI state curiosity | -.08 | -.09 | -.27 | -.04 | -.11 | -.01 | -.16 |
| STPI trait curiosity | -.07 | 0 | -.23 | -.19 | -.10 | -.08 | -.34 |
| STAXI anger suppression | 0 | .09 | .05 | .16 | .14 | -.04 | -.02 |
| STAXI outward directed anger | .08 | .27 | -.40* | .04 | .18 | -.06 | .05 |
| STAXI control anger suppression | -.03 | -.09 | .25 | .13 | .02 | .06 | -.12 |
| STAXI control of outward anger | .07 | -.06 | .25 | -.11 | -.27 | -.14 | -.31 |

* for $p < .05$; ** for $p < .01$

CRP, HCY, B12 = one-tailed significance test

IL 1B, TNF α , TNF β , IFN γ = two-tailed significance test

Appendix F

Table 2 – Pearson correlations between biochemical and psychological results in the control group

Table 2

Pearson correlations between biochemical and psychological results in the control group

| | FOL | CHOL | NT | LO | VitC |
|---------------------------------|------|------|-------|------|-------|
| General Health Questionnaire | -.17 | -.02 | .02 | .32* | .06 |
| Beck Depression Inventory | .19 | .07 | .52** | .12 | .29 |
| UCLA Loneliness Scale | .18 | -.07 | .14 | .11 | -.07 |
| STPI state depression | .36* | -.18 | .20 | .22 | .07 |
| STPI trait depression | .36* | -.06 | .21 | .39* | .23 |
| STPI state anxiety | -.04 | -.02 | .04 | .09 | .11 |
| STPI trait anxiety | .06 | -.17 | .15 | .15 | .23 |
| STPI state anger | .33* | .18 | -.07 | -.06 | .46** |
| STPI trait anger | -.06 | .05 | .23 | .35* | .09 |
| STPI state curiosity | -.12 | -.11 | .05 | .07 | .25 |
| STPI trait curiosity | .01 | .08 | -.05 | -.04 | .12 |
| STAXI anger suppression | -.12 | -.16 | .20 | -.13 | -.03 |
| STAXI outward directed anger | -.06 | .18 | -.05 | .38* | .26 |
| STAXI control anger suppression | .11 | .18 | -.32* | -.18 | .01 |
| STAXI control of outward anger | .02 | .06 | -.32* | -.26 | -.09 |

*for $p < .05$; ** for $p < .01$ (one tailed)

Appendix G

Table 3 – Influence of depression severity

Table 3

Comparison of M, SD in biochemical markers depending on depression severity

| | Mild/moderate depression <i>n</i> =17 | Severe depression <i>n</i> =10 |
|---|--|-----------------------------------|
| | <i>M (SD)</i> | <i>M (SD)</i> |
| C-reactive protein (CRP) | 3.64 (3.25) | 4.50 (4.30) |
| Homocystein (HCY) | 8.07 (2.58) | 8.19 (2.69) |
| Vitamin B12 | 297.29 (137.04) | 432.90 (184.11) |
| Interleukin 1b (IL 1b) | 3.34 (1.95) | 39.33 (76.06) |
| Tumor necrosis factor alpha (TNF α) | 2.60 (1.36) | 23.66 (56.47) |
| Tumor necrosis factor beta (TNF β) | 4.44 (4.92) | 8.53 (16.39) |
| Interferon gamma (IFN γ) | 10.14 (10.32) | 16.40 (32.42) |
| Folate | 26.40 (14.21) | 20.73 (7.55) |
| Cholesterol | 5.35 (.88) | 4.87 (.83) |
| Lipidoxide | 5.45 (2.48) | 8.00 (4.36) |
| Lymphocytic'5-Ectonucleotidase | .47 (.15) | .56 (.18) |
| Vitamin C | 52.88 (12.01) | 50.70 (14.16) |

Appendix H

Table 4 – Influence of overall stress

Table 4

Differences in biochemical measures according to overall stress level

| | Normally/mildly stressed (GHQ 0-3) <i>n</i> =17 | Severely stressed (GHQ >4) <i>n</i> =10 |
|---|---|---|
| | <i>M (SD)</i> | <i>M (SD)</i> |
| C-reactive protein (CRP) | 2.33 (1.65) | 4.77 (4.08) |
| Homocystein (HCY) | 7.48 (1.75) | 8.82 (2.88) |
| Folate | 28.66 (13.57) | 22.12 (11.42) |
| Vitamin B12 | 278.11 (115.95) | 382.22 (179.67) |
| Cholesterol | 5.34 (.80) | 5.09 (.93) |
| Interleukin 1b (IL 1b) | 3.49 (2.19) | 21.28 (55.21) |
| Tumor necrosis factor alpha (TNF α) | 2.39 (1.50) | 14.39 (42.46) |
| Tumor necrosis factor beta (TNF β) | 5.25 (6.62) | 6.35 (12.29) |
| Interferon gamman (IFN γ) | 9.49 (12.55) | 13.91 (24.36) |
| Lipidoxide (LO) | 4.72 (1.98) | 7.23 (3.77) |
| Lymphocytic'5-Ectonucleotidase (NT) | .51 (.19) | .50 (.16) |
| Vitamin C | 57.33 (13.61) | 49.44 (11.60) |

Appendix I

PARTICIPANT INFORMATION SHEET

THE RELATIONSHIP BETWEEN BIOCHEMICAL MARKERS AND PSYCHOSOCIAL FACTORS IN VICTIMS OF CRIME: A PILOT STUDY

You have participated in our study examining the relationship between psychological stress and your health. To gain a better understanding of the underlying mechanisms I would like to conduct an interview with you. Before agreeing to participate in this interview, it is important that you read and understand the following explanation of the interview procedure. Prior to agreeing to participate, you will be asked to sign a form indicating that you consent to take part in this interview. However, even if you choose to participate, you have the right to withdraw at any time.

What is this interview about?

This interview will extend upon the questions asked in your completed psychological questionnaires. I would like to obtain a deeper insight into the kind of stress you are experiencing and how you are coping with this stress, how you are supported by relatives and clinical professionals and how the crime has affected your way of thinking and feeling about the future.

An important purpose of this interview is to gain additional knowledge which can be used for the development of a treatment program specifically tailored at the needs of victims of crime.

Who can take part in the trial?

People who participated in the pilot study can participate in this interview.

What does this interview involve?

- Each person will be asked to come in for an interview and answer questions in relation to psychological responses which may result from the traumatic event you have experienced.
- The interview will take place at the Victim Support Service in Adelaide (11 Halifax Street, Adelaide) and take approximately one and a half hours of your time.
- The interview will be tape recorded. After the conduction of the interview, the records will be transcribed and analyzed by myself.

Voluntary Participation and Confidentiality

Participation in the interview is voluntary. You are free to withdraw from it at any time. The information that you provide is strictly confidential. The results of this study are part of a research that may be published in an aggregated form, but will not personally identify you. The data will be stored securely in locked filing cabinets (as required for seven years). If results from this interview will be used in a later publication, names and personal data will be changed to grant your anonymity. If you agree to participate in the interview, you will be asked to sign a consent form. If you have any questions or concerns, at any time before, during or after the interview, please do not hesitate to contact:

Birgit Pfitzer on 8303 6802
e-mail: birgit.pfitzer@adelaide.edu.au

Human Research Ethics Committee Contact

If you have any ethical concerns regarding this study please refer to the attached contacts attached to the consent form.

Appendix J

Semi-structured Interview

1. Firstly, I would like to ask you a few questions in relation to your personal stress experience:

- a) Can you tell me what “stress” means to you? To what state of being do you refer when you talk about stress?

Do you realize any changes in your way of thinking when you feel stressed?

How do you perceive stress in your body – are there any physical reactions related to it?

What kind of feelings or emotions are there when you are experiencing stress?

How does stress affect the way you are acting?

Does stress affect your health behaviour? (food, sleep, smoking habits, alcohol intake, exercise)

- b) For how long have you felt stressed?
- c) When you think of your crime experience - which factors caused most stress to you since the crime has happened?
- d) Have any other life events contributed to more stress or, on the other hand, reduced the stress caused by your crime experience?
- e) Did you/do you feel stressed constantly or were/are there times with a lot of fluctuation?
- f) If yes, what were/are the reasons for this fluctuation?
- g) Can you tell me what is stressful for you at the moment?

2. We have talked a lot about your stress experience, - I would now like to gain some insight into how you are managing your stress:

- a) How do you deal with the stress you are experiencing at the moment?
- b) Can you tell me which strategies help you best to deal with stress?
- c) Did you seek professional help – if yes, what was helpful?
- d) Are there any aspects in treatment/counseling/support groups sessions which you find difficult to deal with?
- e) What would you change if you were able to do so/if you were a therapist/counsellor? (e.g. frequency of appointments, setting, certain aspects of support)
- f) I have asked you many questions about your psychological wellbeing - do you experience any other psychological/emotional difficulties we did not talk about yet?

Semi-structured Interview (continued)

3. I am very interested in how the crime has affected your way of thinking and feeling about the future:

a) Do you consider your crime experience as a life changing experience?

Are these changes of a permanent or of a temporary nature?

b) Did the trauma change you as a person? In which way?

c) Do you think that the crime has affected your relationships with other people?

d) In which way did it affect your plans and goals for your future?

e) Did you loose important aspects of your life or of yourself through this crime experience?

f) Besides all your suffering, - would you say that you have learnt something from this experience which you wouldn't have learnt otherwise?

4. And lastly, I would like to know what motivated you to take part in this research?

Appendix K



BIRGIT PFITZER
SCHOOL OF PSYCHOLOGY
THE UNIVERSITY OF ADELAIDE
SOUTH AUSTRALIA 5005
TELEPHONE 618 8303 6802
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birgit.pfitzer@adelaide.edu.au

PARTICIPANT INFORMATION

EVALUATION OF A COMBINED CBT-HYPNOSIS TREATMENT FOR VICTIMS OF CRIME

You are invited to participate in a study examining the benefits of two different treatment programs for victims of crime. This is a study conducted by Birgit Pfitzer, a Registered Clinical Psychologist and PhD student at the Psychology Department of the University of Adelaide.

Before agreeing to participate in the study, it is important that you read and understand the following explanation of the study and procedures. Prior to agreeing to participate, you will be asked to sign a form indicating that you consent to take part in this study. However, if you choose to participate, you have the right to withdraw from the study at any time.

What is this study about?

I would like to find out which psychological treatment best meets the needs of victims of crime. To answer this question, two treatment programs will be compared with respect to their efficacy. Both programs will use cognitive behavioural therapy (CBT) which has been shown to be very useful in decreasing distress and associated problems after a traumatic event. Both treatments will follow the same goals: you will be provided with information about common psychological and physical responses to a crime experience and you will learn some useful techniques to cope with this stress. An important purpose of the treatment is to enhance your ability to process the traumatic memory. Another important goal is to encourage you to fully participate in life again.

The difference between the two treatment programs is that in the first treatment program only one treatment approach (CBT) will be applied while the second treatment program involves a combination of CBT and Hypnosis. Hypnosis has been found to be very effective for a variety of psychological and health problems. You will be provided with detailed information about Hypnosis before the commencement of the treatment program.

This study also wants to achieve a better understanding of the relationship between improved psychological well being and physical health. Previous research has shown that an increase in psychological well being can result in improved immune functioning. Thus, it is possible that psychological treatment may have valuable benefits not only to psychological health, but also to physical health in crime victims. Effects on physical health outcomes can be tested by examining immunological markers by a small blood sample.

Who can take part in the trial?

People aged between 18 and 70 years will be invited to participate in this study. For the purpose of this research, we are looking for people

Who have been a victim of a crime, are closely related to a crime victim or have witnessed a crime at least 3 months ago and, as a consequence, feel very distressed

We will not be able to include you in this study if you

- are suffering from a psychotic disorder
- are suffering from alcohol and substance dependence
- are suffering from severe chronic health conditions such as organic brain syndrome, heart disease, stroke, diabetes, cancer.
- are suffering from autoimmune diseases such as rheumatoid arthritis, Addison's Disease, Multiple Sklerosis, Cushing's Disease, Lupus.
- are taking medication suppressing your immune system, e.g. Cortisol

As this study looks at people suffering from posttraumatic stress, we may not be able to include you in this study if your current stress level is not high enough.

Please indicate any medication, vitamins or substances you are currently taking. Please do not change your medication intake during the study.

What does the study involve?

Before the commencement of the treatment program:

1. There will be a short telephone interview to gain some general information about your psychological stress and your physical health (10 min.).
2. You will be invited for a structured clinical interview to assess your posttraumatic stress symptoms. (approx. 40 min.). In addition, you will be provided with questionnaires and a test which assesses your hypnotizability which you can complete at home in your own time. Please note that low hypnotic suggestibility does not exclude you from participation in the study.
3. For the measurement of immunological markers, a Registered Nurse from the University of South Australia will take a small blood sample (30ml). This will also include a comprehensive blood test to indicate your general health status. In addition, each person will be asked to complete a psychological questionnaire (approx. 45 min).
4. You will be randomly allocated to one of the two treatment groups. This means you will either receive CBT treatment or a combination of a CBT and Hypnosis treatment.

Treatment program:

5. There will be 9 weekly treatment sessions of approx. 90 minutes duration. After each session, you will be provided with homework. The treatment sessions will be videotaped or audiotaped. Treatment sessions will take place at the Centre for Treatment of Anxiety and Depression, 30 Anderson St., Thebarton, SA 5031.

After treatment program:

6. same procedure as in 3. and another clinical interview (approx. 1.5 hours).

Precautionary advice and possible adverse effects

Both treatment programs will require intense work and commitment. Even though you are free to withdraw anytime, you should carefully consider whether you will be able to attend the 9 treatment sessions to make the most of your treatment. You should also allow time for your homework (approx. one hour a day).

While attending the treatment program, you may feel discomfort when you are recalling your crime experience. However, I will carefully monitor your stress levels and you will learn to apply appropriate stress management techniques. The treatment will take place in a safe, accommodating environment. I will be contactable for you between sessions if you feel that you need help in coping with your feelings. If we both feel that you would benefit more from other forms of assistance I will provide you with information about other services.

Whenever a blood sample is taken there is a slight risk of bruising. Please inform us about your intake of any blood thinning agents such as aspirin, warfarin, NSAID and ginkgo you may take.

If your normal blood picture indicates any significant abnormalities, we will contact you and advise you to consult your GP as a precautionary measure.

Feedback

Upon completion of the study, a summary sheet of the results will be available. Individual results will be available on request.

Voluntary Participation and Confidentiality

Participation in the study is completely voluntary. You are free to withdraw from the project at any time and this will not affect your medical treatment now or in the future. There are no costs involved in the treatment.

The information that you provide is strictly confidential. The results of this study are part of a research that may be published in an aggregated form, but will not personally identify you. The data will be stored securely in locked filing cabinets (as required for seven years).

If you agree to participate in the study, you will be asked to sign a consent form.

If you have any questions or concerns, at any time before, during or after the study, please do not hesitate to contact:

Birgit Pfitzer

PhD Candidate, Registered Psychologist:
School of Psychology, The University of Adelaide
(08) 8303-6802
e-mail: birgit.pfitzer@adelaide.edu.au

Human Research Ethics Committee Contact

If you have any ethical concerns regarding this study please refer to the attached contacts complaints form or contact Ms. Sabine Schreiber, Secretary of the Human Research Ethics Committee at the University of Adelaide: **(08) 8303 6028**

Dr. Paul Delfabbro

Supervisor, Senior lecturer
School of Psychology, The University of Adelaide

Appendix L

Table 5 – Overview of recruitment sources for treatment study

Table 5

Overview of recruitment sources and reached participant numbers

| Recruitment Sources | Participants | Reached | Assessed | Intent to treat |
|---|--------------|-----------|-----------|--------------------|
| GPs | | 3 | | |
| Psychiatrists | | 2 | 2 | 1 |
| Psychologists | | | | |
| Victim Support Service | | 6 | 1 | |
| Families SA/Relationship Australia | | | | |
| Children, Youth – and Family Services | | | | |
| Women’s/Men Information services | | | | |
| Rape and Sexual Assault Service | | | | |
| Domestic Violence Services/Shelters | | | | |
| University counselling service | | | | |
| Church-affiliated counselling services (Anglicare, UCW, Centacare, Salvation Army) | | | | |
| Assessment – and Crisis intervention services | | | | |
| Sexual Assault Unit Police | | | | |
| Police victim contact officers | | | | |
| Community general health services | | | | |
| Community Mental Health Services | | 1 | 2 | 2 |
| GP Newsletter | | 1 | | |
| VSS Newsletter | | | | |
| VSS LATTE group member letter | | | | |
| Participants from Study 1, 2 | | 4 | 3 | 2 |
| University staff newsletter | | 4 | 1 | 1 |
| Homicide Victims group newsletter | | | | |
| Recruitment posters | | 5 | | |
| ASCA/VSS talk | | 2 | | |
| Messenger advertisement | | 2 | 2 | 1 |
| Media Release | | 37 | 13 | 10 |
| Internet | | | | |
| mouth to mouth... | | 2 | 2 | 2 |
| SUM | | 69 | 26 | 19 |

Appendix M – Crisis Protocol

Any indication of suicidal ideations, self harm behaviour, risk behaviour (or any question coded “yes” in M.I.N.I) :

| | |
|----------------------------------|--|
| Risk Of Harm To Self | <p>0 None (no thoughts, actions; appropriate self care)</p> <p>1 Low (fleeting thoughts; no plans; low substance use; mildly impaired self care)</p> <p>2 Moderate (current thoughts; distress; past actions without intent/plans; moderate substance use; moderately impaired self care)</p> <p>3 High (current thoughts; past impulsive actions; recent impulsivity; some, not well developed plans; substance use; poor self care)</p> <p>4 Extreme (current thoughts with expressed intentions; past history of plans; unstable mental illness; high substance use; means at hand; extreme self-neglect)</p> |
| Risk of Harm To Others | <p>0 None (no thoughts; no actions; intact judgement)</p> <p>1 Low (fleeting thoughts; no plans; low substance use)</p> <p>2 Moderate (current thoughts; past actions without intent/plans; moderate substance use; impaired judgement/irritability/disinhibition)</p> <p>3 High (current intense and distressing thoughts; past impulsive actions; recent impulsivity; some, not well developed plans; increased substance use/intoxication; very poor judgement)</p> <p>4 Extreme (current suicidal thoughts with expressed intentions; past history of plans; unstable mental illness; high substance use; means at hand; gross disinhibition)</p> |
| Impairment Of Functioning | <p>0 None (no more than everyday problems)</p> <p>1 Moderate (in one area or mild impairment in several areas)</p> <p>2 Sign. in 1 area (significant in one area, moderate in several areas)</p> <p>3 Sign. in sev. areas (significant in several areas)</p> <p>4 Extreme (inability to function in almost all areas)</p> |
| Level Of Current Support | <p>0 High (extensive support by family; professional support)</p> <p>1 Moderate (some support; can find help in times of need)</p> <p>2 Limited support (only few sources of help)</p> <p>3 Minimal support (only few sources and minimal motivation to help)</p> <p>4 No support (no support in all areas or unwilling to accept support)</p> |

Overall Assessment Of Risk:

1 Low

2 Medium

3 High

4 Extreme

also take into account recent response to treatment and attitude towards treatment (see ACIS Risk Assessment Form)

Birgit Pfitzer/Chris Wigg/CNWAHS Adelaide

According to the score and your clinical judgment determine:

High/Extreme Risk: (3) or (4)

Medium Risk: (2)

Low Risk (1)

| | | |
|--|--|---|
| <ol style="list-style-type: none"> 1. Stay with client 2. Contact senior psychologist at CTAD: Page No? 3. Together contact ACIS: 131 465 4. Contact GP 5. Inform Birgit: 0405 693737 6. Document what you have done | <ol style="list-style-type: none"> 1. Can person credibly assure that he/she is safe right now, will not engage in any self harm behaviours? 2. How does person realize he/she is in a crisis? 3. Does person know where to find help in case of crisis - > provide ACIS phone number: 131 465 4. Consent to inform GP->letter to GP 5. Inform psychologist at CTAD: Page No? 6. Inform Birgit: 0405 693737 and document | <ol style="list-style-type: none"> 1. Can person credibly assure that he/she is safe right now, will not engage in any self harm behaviours? 2. How does person realize he/she is in a crisis? 3. Does person know where to find help in case of crisis - > provide ACIS phone number: 131 465 4. Consent to inform GP->letter to GP 5. Inform Birgit: 0405 693737 6. Document what you have done |
|--|--|---|

Appendix N

Interview questions in the first treatment session

(adapted from Foa & Rothbaum, 1998)

1. I would like to ask you a few questions in relation to your current life situation

1.1 What is your age?

1.2 Can you tell me your current relationship status

1.3 With whom do you live?

1.4 What is your profession?

1.5 What is your employment status now?

1.6 Were there any changes in your employment status since the crime has happened?

2. Now I would like to ask some questions in relation to your crime experience:

2.1 When did the crime happen?

2.2 Could you tell me briefly what happened the day/night you have experienced a crime? What were you doing just before the crime and what happened during the crime?

2.3 How many people were involved in the crime?

2.4 What time of the day did the crime occur?

2.5 In which season of the year?

2.6 Where did it happen?

2.7 Do you know the offender(s)

2.8 Was anyone else with you?

2.9 Did you escape the situation on your own or did someone come to your assistance?

2.10 Have you had any other traumatic experiences in your life?

3. I would like to ask a few questions in relation to life changes after the crime:

3.1 Did you move?

3.2 Did you change phone numbers?

3.3 Did you separate from your partner?

3.4 Did you increase security? How?

3.5 Have you changed your job?

3.6 Do you take special measures to defend yourself?

3.7 How many people have you confided in about the crime?

- 3.8 Did you report the assault to the police?
- 3.9 Did you press any charges?
- 3.10 Did you identify the offender?
- 3.11 Was the offender arrested?
- 3.12 Have you attended a preliminary hearing?
- 3.13 What was the result of the hearing?
- 3.14 Have you been to your trial?
- 3.15 What was the outcome of your trial?
- 3.16 How do you feel about the outcome?
- 3.17 Have you had any contact with the offender?
- 3.18 Has the offender threatened you / is the offender threatening you?

4. Finally, I would like to ask a few questions in relation to your psychological and physical health

- 4.1 Have you sought psychological, psychiatric or any other form of help?
- 4.2 Have you been to the hospital since the crime for a nervous condition?
- 4.3 Since the crime, did you ever think that life is not worth living or thought seriously about suicide?
- 4.4 Did you think of how would kill yourself?
- 4.5 Did you make preparations and/or a concrete plan of killing yourself (Selected a location, date, bought a gun, collecting pills)
- 4.6 Have you ever made a suicide attempt? (since the crime?/before?)
- 4.7 Do you suffer from physical problems since the crime? As a direct/indirect consequence of the crime?
- 4.8 Would you agree for your GP/psychiatrist to be contacted if we would feel that we should give them information or if we would need some information that would benefit this treatment?

yes no

Contact details:

Other comments:

Appendix O - Evaluation of therapy session (by participant)

Session No. _____ Group: Hypnotherapy Cognitive-behavioural therapy

(Please indicate in which treatment group you are)

According to my perception, the goals of today's therapy session were:

1. _____

2. _____

According to my perception, the major focus of today's therapy session was on:

Do you think the above mentioned goals were reached?

Yes

No

If no, why do you think they were not reached?

What was not covered? _____

The topics of today's session were

| | strongly disagree | disagree | rather agree | agree | strongly agree |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| relevant for my problems | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| presented in an understandable way | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| conveyed in an adequate pace | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| too many topics | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| not enough topics | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did you feel comfortable in the session? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did you feel listened to? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did you feel understood? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did you think the therapist showed empathy? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did you think the therapist was credible? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Appendix P - Homework Review

Refers to Session _____ Date _____

On leaving the last session, how much distress did you experience during the next few hours? Please note that this is not a judgment of your therapist, but rather of the actual treatment and its methods.

| | | | | | | | | |
|-------------|---|---|---------------------|---|---|-----------------|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| None at all | | | somewhat distressed | | | very distressed | | |

How anxious were you about returning to this session?

| | | | | | | | | |
|------------|---|---|------------------|---|---|--------------|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Not at all | | | somewhat anxious | | | very anxious | | |

How useful were your info sheets from the last session?

| | | | | | | | | |
|-------------------|---|---|-----------------|---|---|-------------|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Not useful at all | | | somewhat useful | | | very useful | | |

Comments:

The next three questions refer to your homework:

1. Skill: _____

Please indicate how often you have used the learnt skill during the last week?

| | | | | |
|------------|-------------|-----------|------|------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | < two times | 2-5 times | 6-10 | more |

How helpful was it to use this skill?

| | | | | |
|------------|----------|----------|------|-----------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | a little | somewhat | very | extremely |

2. Skill: _____

Please indicate how often you have used the learnt skill during the last week?

| | | | | |
|------------|-------------|-----------|------|------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | < two times | 2-5 times | 6-10 | more |

How helpful was it to use this skill?

| | | | | |
|------------|----------|----------|------|-----------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | a little | somewhat | very | extremely |

3. Skill: _____

Please indicate how often you have used the learnt skill during the last week?

| | | | | |
|------------|-------------|-----------|------|------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | < two times | 2-5 times | 6-10 | more |

How helpful was it to use this skill?

| | | | | |
|------------|----------|----------|------|-----------|
| 1 | 2 | 3 | 4 | 5 |
| not at all | a little | somewhat | very | extremely |

Appendix Q – Therapist self-evaluation of therapy session

Session No. _____ Group _____ Date _____

Session goals:

1. _____

2. _____

Treatment components:

| Treatment component | Planned time | Required time | Problems/comment |
|---------------------|--------------|---------------|------------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| 6. | | | |

Were treatment goals reached?

Yes No If not, why _____?

What was not covered?

_____?

The topics of today's session were

| | strongly disagree | disagree | rather agree | agree | strongly agree |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| relevant for client's problems | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| seemingly understood by client | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| conveyed in an adequate pace | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| too many topics | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| not enough topics | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did I feel comfortable in the session? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did I listen carefully? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did I understand client's perspectives? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Did I show empathy? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Was I credible? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Was I committed? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Was there anything during the session that disturbed me (re client reaction, material, venue etc.)

Appendix R - TREATMENT INTEGRITY MEASURE – CBT/HYPNOSIS

Session:

Rater:

Overall rating of session. Please rate the integrity of the therapy conducted in this session (circle appropriate number):

0 1 2 3 4 5 6
Unacceptable Marginal low acceptability-----high
acceptability

Comments:

1. Treatment rationale

- _____ Explained general CBT and HYPNOTHERAPY principles
- _____ Explained application of cognitive, behavioural and hypnosis components in this treatment program: (exposure, cognitive restructuring, anxiety management)
- _____ Discussed common misconceptions about hypnosis
- _____ Clarified client's previous experiences/expectations/concerns re hypnosis
- _____ Provided overview over treatment sessions (major focus; time required; general structure)
- _____ Allowed time for questions

2. Psychoeducation

- _____ Explained common reactions to victimization
- _____ Provided information on other sources of information such as internet/VSS
- _____ Aimed at overview over individual problems of clients
- _____ Linked client's individual problems to trauma theories/models
- _____ Worked towards individual case conceptualization with perpetuating and exacerbating factors
- _____ Explained to client's level of understanding

3. Preparation of Exposure

- _____ Provided general rationale for exposure (restriction in life quality through avoidance; opportunity to prove dysfunctional thoughts wrong; long term benefits; exposure as a planned, controlled and safe technique to target anxiety)
- _____ Gave examples to illustrate exposure principles: e.g. falling of a horse; cab driver with bridge phobia; not digested meal...
- _____ Explained habituation principles: (repeated exposure, habituation -> showed habituation model: anxiety will rise, stay on a certain level for some time, then decrease...)
- _____ Provided rationale for Hypnotherapeutic Exposure to memories and In-Vivo Exposure: (importance to process traumatic memories; avoidance of thoughts increases their intrusiveness; exposure to avoided situations/people to regain life quality...)
- _____ Explained planned procedures

Explanation of SUDS

- _____ Explained relevance: common understanding of stress level; observation of stress level; control
- _____ Explained scale: distress measured on scale from 0-100; related body reactions, thoughts, feelings
- _____ Collected examples of situations together with client to illustrate different SUDS levels
- _____ Encouraged practice of SUDS (handed out monitoring sheet)

4. Exposure hierarchy

- _____ Explained and educated on hierarchy principles
- _____ Ranked currently avoided/anxiety provoking situations and thought/memories on hierarchy
- _____ Encouraged client to decide upon exposure tasks for In-Vivo Exposure
- _____ Encouraged client to decide upon exposure tasks for Hypnotherapeutic Exposure to memories

5. Conduction of In-Vivo Exposure

- _____ Reviewed In-Vivo Exposure practice at home
- _____ Reviewed emerging dysfunctional thoughts, images -> reframing in hypnosis (later in session or at home)
- Provided imaginative preparation of in-vivo exposure
 - _____ hypnotic induction
 - _____ imagination of planned target situation
 - _____ assessed SUDS continuously
 - _____ kept client in situation until SUDS decreased
- _____ Planned next steps/exposure tasks together with client

6. Conduction of Hypnotherapeutic trauma confrontation

- _____ Reviewed exposure practice at home
- Screen technique exposure:**
 - _____ Provided hypnotic induction
 - _____ Established “safe place” (during first few exposures)
 - _____ Established hypnotic dissociation (screen technique: in the beginning at least double dissociation)
 - _____ Established “Super-person” during first few exposures
 - _____ Continuously assessed SUDS levels
 - _____ Kept steady communication with client on what he/she is experiencing
 - _____ Made sure client did not stop “movie” but used appropriate techniques to stay in control
 - _____ Ran “movie” at least twice
 - _____ Applied adequate probing questions
 - _____ Reframed by using split screen technique (not in first session)

Meeting with inner child exposure

- _____ Provided hypnotic induction
- _____ Provided suggestions to grow to a “super-person”
- _____ Ensured that client had adopted feelings/sensations of a super-person and felt safe
- _____ Encouraged meeting with inner child
- _____ Allowed time for imaginary meeting and exchange of information/fulfillment of needs
- _____ Gave posthypnotic suggestions
- _____ Facilitated re-orientation

- _____ Allowed time to report on experiences -> thoughts, images which can be targeted during next exposure practices
- _____ Used appropriate strategies to ensure client leaves without/not more than a low level of distress
- _____ Hypnosis was tape recorded and provided to client to be practiced at home

7. Activation of resources/anxiety management

- _____ Facilitated hypnotic induction
- _____ Provided deepening technique
- _____ Facilitated concentration on resources (e.g. sensory systems/safe place; observation skills/inner advisor; distancing technique and collection of resources; inner conference to ask other parts for advice; nurturing practice; integration techniques such as empathy for oneself, house with room for various feelings, book of life)
- _____ Gave posthypnotic suggestions
- _____ Facilitated re-orientation

8. Relapse prevention

Suggested traveling along time line:

- _____ Gave hypnotic induction
- _____ Provided Deepening technique
- _____ Encouraged traveling along time line with special focus on turning points
- _____ Included age regression
- _____ Included age progression
- _____ Gave posthypnotic suggestions
- _____ Facilitated re-orientation

After hypnosis:

- _____ Debriefing on useful coping strategies and “turning points/alarm signals”
- _____ Encouraged overall evaluation of therapy program
- _____ Discussed follow-up assessment
- _____ Discussed further support options

9. Overall

- _____ Session outline was provided in the beginning of each session
- _____ Reviewed homework in the beginning of each session
- _____ Allowed time for discussion of difficulties/questions
- _____ Used CBT and Hypnotherapy principles
- _____ Generated appropriate therapeutic relationship
- _____ Did not exceed session time limit
- _____ Explained and assigned homework by the end of each session
- _____ Ensured that client leaves session in a safe/calm state

Appendix S - TREATMENT INTEGRITY MEASURE - CBT

Session:

Rater:

Overall rating of session. Please rate the integrity of the therapy conducted in this session (circle appropriate number):

0 1 2 3 4 5 6
Unacceptable Marginal low acceptability-----high
acceptability

Comments:

1. Treatment rationale

- _____ Explained general CBT principles
- _____ Explained application of cognitive and behavioural components in this treatment program: exposure, cognitive restructuring, anxiety management
- _____ Provided overview over treatment sessions (major focus; time required; general structure)
- _____ Allowed time for questions

2. Psychoeducation

- _____ Explained common reactions to victimization
- _____ Provided information on other sources of information such as internet/VSS
- _____ Aimed at overview over individual problems of clients
- _____ Linked client's individual problems to trauma theories/models
- _____ Worked towards individual case conceptualization with perpetuating and exacerbating factors
- _____ Explained to client's level of understanding

3. Preparation of Exposure

- _____ Provided general rationale for exposure (restriction in life quality through avoidance; opportunity to prove dysfunctional thoughts wrong; long term benefits; exposure as a planned, controlled and safe technique to target anxiety)
- _____ Gave examples to illustrate exposure principles: e.g. falling of a horse; cab driver with bridge phobia; not digested meal...
- _____ Explained habituation principles (repeated exposure, habituation -> habituation model: anxiety will rise, stay on a certain level for some time, then decrease...)
- _____ Provided rationale for Imaginal and In-Vivo Exposure (importance to process traumatic memories; avoidance of thoughts increases their intrusiveness; exposure to avoided situations/people to regain life quality...)
- _____ Provided explanation of planned procedures

Explanation of SUDS:

- _____ Explanation of relevance: common understanding of stress level; observation of stress level; sense of control
- _____ Explanation of scale (distress measured on scale from 0-100; related body reactions, thoughts, feelings)
- _____ Collected examples of situations experienced by client to illustrate different SUDS levels
- _____ Encouraged practicing of SUDS (monitoring sheet)

4. Exposure hierarchy

- _____ Explained and educated on hierarchy principles
- _____ Ranked currently avoided/anxiety provoking situations and thoughts/memories on hierarchy
- _____ Encouraged client to decide upon exposure tasks for In-Vivo Exposure
- _____ Encouraged client to decide upon exposure tasks for Imaginal Exposure

5. Conduction of In-vivo exposure

- _____ Reviewed In-Vivo Exposure practice conducted at home
- _____ Reviewed emerging dysfunctional thoughts -> restructuring
- _____ Planned next steps/exposure tasks together with client

6. Conduction of Imaginal exposure

- _____ Reviewed exposure practice at home
- _____ During exposure:
 - _____ Assessed SUDS levels at least every 10 min./at critical points
 - _____ Kept client at an appropriate level of anxiety
 - _____ Kept client in present tense (apart from first exposure)
 - _____ Reassured client and applied adequate probing questions
 - _____ Noted “hot spots”(not first exposure)
 - _____ Kept client in exposure for 30-45 min
- _____ After exposure
 - _____ Allowed time allowed to report on experiences after exposure
 - > cognitive restructuring of thoughts
 - _____ used anxiety management techniques to ensure client leaves with a low level of distress
 - _____ whole exposure was tape recorded and provided to client to be practiced at home

7. Anxiety management

Breathing techniques

- _____ Provided rationale for its use
- _____ Explained and demonstrated technique
- _____ Practiced technique together with client
- _____ Encouraged distancing techniques, e.g. “Oasis”-practice

8. Activation of resources

- _____ Explained resources concept: (use of present skills; acquirement of new skills; various kinds/categories of resources - practical, physical, psychological, social, spiritual)
- _____ Introduced/explained “skills list”
- _____ Introduced/explained “Observation of pleasant activities”
- _____ Introduced/explained “Emergency kit”

9. Cognitive restructuring

- _____ Provided rationale and explanation (common dysfunctional thoughts after a crime experience; association between thoughts, emotions, body reactions, behaviours)
- _____ Illustrated ABC model by an elaboration of individual dysfunctional thoughts of client
- _____ Explained Cognitive Restructuring (education on common cognitive distortions; questions to check on reality/challenge thoughts)
- _____ Gave example of cognitive restructuring using client's automatic thoughts
- _____ Encouraged further monitoring/restructuring of these thoughts with a provision of monitoring sheets

10. Relapse prevention

- _____ Illustrated client's perceived overall functioning on a time line:
 - _____ focused on changes through crime experience(s)
 - _____ elaborated on applied coping strategies
 - _____ facilitated differentiation between maladaptive/beneficial strategies
 - _____ outlined future perspectives/strategies
- _____ Encouraged overall evaluation of therapy program
- _____ Discussed follow-up assessment
- _____ Discussed further support options

11. Overall

- _____ Provided overview over session contents in the beginning
- _____ Reviewed homework
- _____ Allowed time for discussion of difficulties/questions
- _____ Used CBT principles
- _____ Generated appropriate therapeutic relationship
- _____ Went at speed of patient
- _____ Did not exceed session time limit
- _____ Assigned and explained homework
- _____ Ensured that client left session in a safe/calm state
- _____ **DID NOT USE HYPNOTHERAPEUTIC TECHNIQUES**

Appendix T

DSM-IV Diagnostic Criteria for Posttraumatic Stress Disorder

Criterion A (Stressor): The person has been exposed to a traumatic event in which both of the following were present:

- i. the person experienced, witnessed, or was confronted with an event or events that involved actual or threatened death or serious injury, or a threat to the physical integrity of self or others
- ii. the person's response involved intense fear, helplessness, or horror. Note: In children, this may be expressed instead by disorganized or agitated behavior.

Criterion B (Reexperiencing): The traumatic event is persistently reexperienced in one (or more) of the following ways:

- i. recurrent and intrusive distressing recollections of the event, including images, thoughts or perceptions. Note: In young children, repetitive play may occur in which themes or aspects of the trauma are expressed.
- ii. recurrent distressing dreams of the event Note: In children, there may be frightening dreams without recognizable content.
- iii. acting or feeling as if the traumatic event were recurring (includes a sense of reliving the experience, illusions, hallucinations, and dissociative flashback episodes, including those that occur on awakening or when intoxicated). Note: In young children, trauma specific enactment may occur.
- iv. intense psychological distress at exposure to internal or external cues that symbolize or resemble an aspect of the traumatic event.
- v. physiological reactivity on exposure to internal and external cues that symbolize or resemble an aspect of the traumatic event.

Criterion C (Avoidance): Persistent avoidance of stimuli associated with the trauma and numbing of general responsiveness (not present before the trauma), as indicated by three (or more) of the following:

- i. efforts to avoid thoughts, feelings or conversations associated with the trauma
- ii. efforts to avoid activities, places or people that arouse recollections of the trauma
- iii. inability to recall an important aspect of the trauma
- iv. markedly diminished interest or participation in significant activities
- v. feeling of detachment or estrangement from others
- vi. restricted range of affect (e.g. unable to have loving feelings)
- vii. sense of a foreshortened future (e.g. does not expect to have a career, marriage, children or a normal life span)

Criterion D (Arousal): Persistent symptoms of increased arousal (not present before the trauma), as indicated by two (or more) of the following):

- i. difficulty falling or staying asleep
- ii. irritability or outbursts of anger
- iii. difficulty concentrating
- iv. hypervigilance
- v. exaggerated startle response

Criterion E (Duration): Duration of the disturbance is more than one month

Criterion F (Distress or Impairment): The disturbance causes clinically significant distress or impairment in social, occupational, or other important areas of functioning.

Appendix U

Reliable Change Indices treatment study

4. Impact of Events Scale

| Person / group | T1 | T2 | Observed difference | Difference required | Reliable change yes/no |
|----------------|----|----|---------------------|---------------------|------------------------|
| 1 CBT | 15 | 7 | 8 | 14.23 | N |
| 2 CBT | 32 | 10 | 22 | 14.23 | Y |
| 3 Hypno | 58 | 10 | 48 | 14.23 | Y |
| 4 CBT | 53 | 41 | 12 | 14.23 | N |
| 5 CBT | 34 | 53 | -19 | 14.23 | N |
| 6 Hypno | 28 | 5 | 23 | 14.23 | Y |
| 7 CBT | | 53 | | 14.23 | |

Three out of seven people show a reliable improvement on the IES.

5. STPI State Depression

| Person / group | T1 | T2 | Observed difference | Difference required | Reliable change yes/no |
|----------------|----|----|---------------------|---------------------|------------------------|
| 1 CBT | 22 | 20 | 2 | 3.08 | N |
| 2 CBT | 20 | 14 | 6 | 3.08 | Y |
| 3 Hypno | 21 | 12 | 9 | 3.08 | Y |
| 4 CBT | 15 | 14 | 1 | 3.08 | N |
| 5 CBT | 26 | 32 | -6 | 3.08 | N |
| 6 Hypno | 16 | 13 | 3 | 3.08 | N |
| 7 CBT | 21 | 23 | -2 | 3.08 | N |

Only two out of seven people show a reliable improvement on the State depression scale.

6. STPI State Anxiety

| Person / group | T1 | T2 | Observed difference | Difference required | Reliable change yes/no |
|----------------|----|----|---------------------|---------------------|------------------------|
| 1 CBT | 23 | 17 | 6 | 3.42 | Y |
| 2 CBT | 20 | 15 | 5 | 3.42 | Y |
| 3 Hypno | 26 | 17 | 9 | 3.42 | Y |
| 4 CBT | 27 | 23 | 4 | 3.42 | Y |
| 5 CBT | 23 | 28 | -5 | 3.42 | N |
| 6 Hypno | 19 | 11 | 8 | 3.42 | Y |
| 7 CBT | 23 | 22 | 1 | 3.42 | N |

Five out of seven people show a reliable improvement on the State anxiety scale.

7. STPI State Anger

| Person / group | T1 | T2 | Observed difference | Difference required | Reliable change yes/no |
|----------------|----|----|---------------------|---------------------|------------------------|
| 1 CBT | 15 | 11 | 4 | 1.26 | Y |
| 2 CBT | 10 | 13 | -3 | 1.26 | N |
| 3 Hypno | 10 | 13 | -3 | 1.26 | N |
| 4 CBT | 11 | 13 | -2 | 1.26 | N |
| 5 CBT | 11 | 16 | -5 | 1.26 | N |
| 6 Hypno | 10 | 13 | -3 | 1.26 | N |
| 7 CBT | 13 | 13 | - | 1.26 | N |

Only one out of seven participants showed a reliable improvement on the State anger scale. All other participants demonstrated an increase in anger.