

# Investigation of Single and Multiple Faults Under Varying Load Conditions Using Multiple Sensor Types to Improve Condition Monitoring of Induction Machines

## **PhD Thesis**

### **Intesar Ahmed**

A Thesis Presented for the Degree of Doctor of Philosophy

September 2007

#### **Abstract**

Condition monitoring involves taking measurements on an induction motor while it is operating in order to detect faults. For this purpose normally a single sensor type, for example current is used to detect broken rotor bar using fault frequency components only under the full-load condition or a limited number of load cases. The correlations among the different types of sensors and their ability to diagnose single and multiple faults over a wide range of loads have not been the focused in previous research.

Furthermore, to detect different faults in machines using any fault frequency components, it is important to investigate the variability in its amplitude to other effects apart from fault severity and load. This area has also often been neglected in the literature on condition monitoring.

The stator current and axial flux have been widely used as suitable sensors for detecting different faults i.e. broken rotor bar and eccentricity faults in motors. Apart from detecting the broken rotor bar faults in generalized form, the use of instantaneous power signal has often been neglected in the literature condition monitoring.

This thesis aims to improve machine condition monitoring and includes accurate and reliable detection of single and multiple faults (faults in the presence of other faults) in induction machines over a wide range of loads of rated output by using current, flux and instantaneous power as the best diagnostic medium.

The research presents the following specific tasks:

A comprehensive real database from non–invasive sensor measurements, i.e. vibration measurements, axial flux, 3-phase voltage, 3-phase current and speed measurements of induction motor is obtained by using laboratory testing on a large set of identical motors with different single and multiple faults. Means for introducing these faults of varying severity have been developed for this study.

The collected data from the studied machines has been analysed using a custom-written analysis programme to detect the severity of different faults in the machines. This helps to improve the accuracy and reliability in detecting of single and multiple faults in motors using fault frequency components from current, axial flux and instantaneous power spectra.

This research emphasises the importance of instantaneous power as a medium of detecting different single and multiple faults in induction motor under varying load conditions. This enables the possibility of obtaining accurate and reliable diagnostic medium to detect different faults existing in machines, which is vital in providing a new direction for future studies into condition monitoring.

Another feature of this report is to check the variability in healthy motors due to: test repeatability, difference between nominally identical motors, and differences between the phases of the same motor. This has been achieved by conducting extensive series of laboratory tests to examine fault frequency amplitudes versus fault severity, load, and other factors such as test repeatability and machine phases.

The information about the variations in the amplitudes of the fault frequency components is used to check the accuracy and reliability of the experimental set-up, which is necessary for the practical application of the results to reliably detect the different faults in the machines reliably.

Finally, this study also considers the detection of eccentricity faults using fault frequency amplitudes as a function of average eccentricity, instead of as a function of load under different levels of loading. This has not been reported in previous studies.

Date:\_\_\_\_\_

## **Declaration**

Signed:

This work contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text.

I give consent to this copy of my thesis, when deposited in University Library, being
made available for photocopy and loan subject to the provisions of the Copyright Act
1968

# Acknowledgment

The research work and the experimental work related to this project were carried out at the Power Engineering Laboratory at the School of Electrical and Electronics Engineering, University of Adelaide, Adelaide, South Australia.

Associate Professor Nesimi Ertugrul has acted as a supervisor and I gratefully acknowledge his valuable advice, guidance, and encouragement during the study and also for his positive attitude to my work. I also highly acknowledged the valuable advice from Dr. W. L. Soong during my study.

I am thankful to all technical staff of Power Engineering Laboratory for providing the experimental needs and technical assistance throughout the study. My thanks are also due to all academic and administration staff members for creating a best environment for study.

Special thanks to my wife "Farhat Sultana" for her positive attitude and taking care of children's during study. I deeply appreciate my father Dr. Zaki Ahmad for his encouragement, economical support and looking after my family.

#### **Intesar Ahmed**

# **Contents**

	Abstract	I
	Declaration	III
	Acknowledgment	IV
	Contents	V
	List of Figures	XII
	List of Tables	. XXII
	Symbols and Abbreviations	XXIII
Cha	pter 1	1
Intr	oduction	1
	1.1 Background	1
	1.2 Induction Motors	3
	1.2.1 Basic Construction	4
	1.2.2 Induction Motor Principles	5
	1.2.3 Key Terms and Formulas	7
	1.3 Survey of Induction Motor Faults	8
	1.3.1 Bearing Faults	9
	1.3.2 Stator Faults	9
	1.3.3 Broken Bars/End Ring Faults	10
	1.3.4 Eccentricity Faults	11
	1.3.5 Hidden Faults	12
	1.4 Sensor Types for Non-Invasive Measurements	13
	1.5 Literature Review of Fault Detection Techniques	14
	1.6 Shortcomings of Condition Monitoring Research	18
	1.7 Aim of Thesis	19

	1.8	Significance of Thesis	0
	1.9	Thesis Layout	1
Cha	pter 2	22	3
Ana	lysis I	Methods used for Condition Monitoring2	3
	2.1	Introduction	:3
	2.2	Signal Processing Techniques for Feature Extraction	4
	2.2.1	Frequency Spectral Analysis2	:5
	2.2.2	Selection of Window Size in FFT Technique	6
	2.2.3	Short-Time Fourier Transform (STFT)	8
	2.2.4	Wavelet Analysis	9
	2.2.5	Park's Vector Approach	0
	2.2.6	Negative Sequence Impedance	1
	2.3	Features of Sampled Signals	2
	2.4	Frequency Measurement and Motor Load	4
	2.4.1	Supply Frequency [f <sub>1</sub> ]	4
	2.4.2	Slip Frequency [f <sub>2</sub> ]	4
	2.4.3	Methods to Identify Motor Load	6
	2.5	Identification of Fault Frequencies From Current/Flux Spectra	8
	2.5.1	Broken Bars Fault Frequency Components from Current/Flux Spectra3	8
	2.5.2	Eccentricity Fault Frequency Components from Current/Flux Spectra 3	9
	2.5.3	Shorted Turn Fault Frequency Components from Current/Flux Spectra .4	-1
	2.5.4	Fault Frequencies Components From Instantaneous Power Spectra4	-2
	2.6	Summary4	4
Cha	pter 3	34	5
Exp	erime	ental Set-up and its Features4	5
	3 1	Introduction	5

	3.2	Condition Monitoring System4	6
	3.3	Experimental Test Set-up4	7
	3.3.1	Test Motors4	7
	3.3.2	Critical Issues and Features of the Test Arrangement4	9
	3.3.3	Sensors Arrangements and Measuring Tools5	0
	3.3.4	Sampling Information5	2
	3.3.5	Testing Procedure	3
	3.4	Data-Acquisition System5	4
	3.5	Fault Analysis Software5	5
	3.6	Summary5	8
Cha	pter 4	6	0
Brol	ken R	otor Bar Faults6	0
	4.1	Introduction6	0
	4.2	Broken Rotor Bar Faults6	1
	4.2.1	Rotor Bar Fault Detection using Current Spectra6	2
	4.2.1	.1 Comparison of Healthy and Faulty Current Spectra6	2
	4.2.1	.2 Broken Bar Fault Frequencies from Current Spectrum6	3
	4.2.1	.3 Current Spectrum Variations for Healthy Machines6	4
	4.2.2	Rotor Bar Fault Detection using Flux Spectrum6	7
	4.2.2	.1 Comparison of Healthy and Faulty Flux Spectra6	7
	4.2.2	.2 Broken Bar Fault Frequencies from Flux Spectrum6	8
	4.2.2	.3 Flux Spectrum Variations for Healthy machines6	9
	4.2.3	Rotor Bar Fault Detection using Instantaneous Power7	1
	4.2.3	.1 Comparison of Healthy and Faulty Instantaneous Power Spectra7	1
	4.2.3	.2 Broken Bar Fault Frequencies from Instantaneous Power Spectra7	2
	4.2.3	.3 Instantaneous Power Spectrum Variations for Healthy Machines7	3

4.2.4	Analysis of Broken Rotor Bar Fault Frequencies from Current, Flux and
	Instantaneous Power spectra75
Chapter 5	77
Static Eccen	tricity Faults77
5.1 St	ratic Eccentricity Faults
5.2 D	etection of Eccentricity Fault Frequencies79
5.2.1	Eccentricity Fault Detection using $(f_1\pm f_r)$ from Current Spectra81
5.2.1.1	Comparison of Healthy and Faulty Current Spectra81
5.2.1.2	Eccentricity Fault Frequencies from Current Spectra83
5.2.1.3	Current Spectrum Variations for healthy Machines84
5.2.2	Eccentricity Fault Detection using Flux Spectrum
5.2.2.1	Comparison of Healthy and Faulty Flux Spectra86
5.2.2.2	Eccentricity Fault Frequencies from Flux Spectra87
5.2.3	Eccentricity Fault Detection using Instantaneous Power
5.2.3.1	Comparison of Healthy and Faulty Instantaneous Power Spectra89
5.2.3.2	Eccentricity Fault Frequencies from Instantaneous Power Spectra90
5.2.3.3	Instantaneous Power Spectrum Variations for Healthy Machines92
5.3 D	etection of Faults using Eccentricity Components $f_1[(R\p)(1-s)\pm k]$ 94
5.3.1	Eccentricity Fault Detection from Current Spectra94
5.3.1.1	Comparison of Healthy and Faulty Spectra94
5.3.1.2	Eccentricity Fault Frequencies from Current Spectra95
5.3.2	Eccentricity Fault Detection using $f_1[(R/p)(1-s)\pm k]$ from Flux Spectra97
5.3.2.1	Comparison of Healthy and Faulty Flux Spectra97
5.3.2.2	Eccentricity Fault Frequencies from Flux Spectra97
5.3.3	Eccentricity Fault Detection using $f_p[(R/p)(1-s)\pm k]$ from Instantaneous Power Spectrum

	5.3.3	3.1	Comparison of Healthy and Faulty Instantaneous Power Spectra	99
	5.3.3	3.2	Eccentricity Fault Frequencies from Instantaneous Power Spectra	.100
	5.3.3	3.3	Instantaneous Power Spectrum Variations for Healthy Machines	.101
	5.4	Sumn	nary	.103
Cha	pter 6	5		.106
Shor	rted T	Turns I	Fault	.106
	6.1	Introd	uction	.106
	6.2	Shorte	ed Turns Fault	.107
	6.2.1	l Sh	norted Turn Fault Detection using Current Spectra	.108
	6.2.1	1.1	Healthy and Faulty Current Spectra	.108
	6.2.1	1.2	Shorted Turn Fault Frequencies from Current Spectra	.109
	6.2.2	2 Sh	norted Turn Fault Frequencies Detection using Flux Spectrum	.110
	6.2.2	2.1	Healthy and Faulty Flux Spectra	.110
	6.2.2	2.2	Shorted Turn Fault Frequencies from Flux Spectrum	.111
	6.2.2	2.3	Flux Spectrum Variations for Healthy Machines	.113
	6.2.3	Sh Sh	norted Turn Fault Detection using Instantaneous Power Spectrum	.115
	6.2.3	3.1	Healthy and Faulty Instantaneous Power Spectra	.115
	6.2.3	3.2	Shorted Turn Fault Frequencies from Instantaneous Power	.116
	6.2.3	3.3	Instantaneous Power Variations for Healthy Machines	.117
	6.2.4	4 Co	ombination of Flux and Instantaneous Power Spectra	.119
	6.3	Summ	nary	.120
Cha	pter 7	7		.123
Misa	alignn	nent Fa	aults	.123
	7.1	Introd	uction	.123
	7.2	Detec	tion of Misalignment using Broken Bar Sidebands from different	
		Specti	ra	124

	7.2.1	Comparison of Healthy and Faulty Spectra of different Signals	124
	7.2.2	Misaligned Broken Bar Fault Frequencies from different Spectrums	125
		Detection of Misalignment using Eccentricity Sidebands from different	127
	7.3.1	Comparison of Healthy and faulty Spectra of different signals	
	7.3.2	Misalignment Eccentricity Fault frequencies from Current, Flux and Instantaneous Power Spectrum	129
		Detection of Misalignment using Shorted Turn Sidebands from different pectra	131
	7.4.1	Comparison of Healthy and faulty Spectra of different Signal	131
	7.4.2	Shorted Turn Misalignment Fault Frequencies from Current, Flux and Instantaneous Power Spectra	133
	7.5 S	ummary	135
Cha	pter 8		136
Inve	stigatio	n of Multiple Faults	136
	8.1 I	ntroduction	136
		ntroduction Detection of Multiple Faults using Broken Bar Fault Frequencies	
			137
	8.2 I	Detection of Multiple Faults using Broken Bar Fault Frequencies	137 138
	8.2 I 8.2.1	Detection of Multiple Faults using Broken Bar Fault Frequencies	137 138 138
	8.2 I 8.2.1 8.2.1.1	Detection of Multiple Faults using Broken Bar Fault Frequencies	137 138 138 139
	8.2.1 8.2.1.1 8.2.1.2	Detection of Multiple Faults using Broken Bar Fault Frequencies  Multiple Fault Detection using Current Spectra  Comparison of Healthy and Faulty Current Spectra  Broken Rotor Bar Fault Frequencies from Current Spectrum  Multiple Fault Detection from Flux Spectra	137 138 138 139
	8.2.1 8.2.1.1 8.2.1.2 8.2.2	Detection of Multiple Faults using Broken Bar Fault Frequencies  Multiple Fault Detection using Current Spectra	137 138 138 139 139
	8.2.1 8.2.1.1 8.2.1.2 8.2.2 8.2.2.1	Detection of Multiple Faults using Broken Bar Fault Frequencies  Multiple Fault Detection using Current Spectra	137 138 138 139 139 140
	8.2.1 8.2.1.1 8.2.1.2 8.2.2 8.2.2.1 8.2.2.2	Detection of Multiple Faults using Broken Bar Fault Frequencies  Multiple Fault Detection using Current Spectra  Comparison of Healthy and Faulty Current Spectra  Broken Rotor Bar Fault Frequencies from Current Spectrum  Multiple Fault Detection from Flux Spectra  Comparison of Healthy and Faulty Flux Spectra  Broken Rotor Bar fault frequencies from flux spectrum  Multiple Fault Detection using from Instantaneous Power Spectra	137 138 138 139 139 140 141

	8.3 An	nalysis of BRB Fault Frequencies	43
	8.4 De	etection of Multiple Faults using Eccentricity Fault Frequencies1	44
	8.4.1	Multiple Fault Detection using Eccentricity Fault Frequencies from	
		Current Spectra1	45
	8.4.1.1	Comparison of Healthy and Faulty Current Spectra1	45
	8.4.1.2	Eccentricity Fault Frequencies from Current Spectra1	46
	8.4.2	Multiple Fault Detection using Eccentricity Fault Frequencies from Flux Spectra	
	8.4.2.1	Comparison of Healthy and Faulty motors from Flux Spectra1	47
	8.4.2.2	Eccentricity Fault Frequencies from Flux Spectra1	49
	8.4.3	Multiple Fault Detection using Eccentricity Fault Frequencies from	
		Instantaneous Power Spectra	49
	8.4.3.1	Comparison of Healthy and Faulty motors from Instantaneous Power	
		Spectra1	49
	8.4.3.2	Eccentricity Fault Frequencies from Instantaneous Power Spectra 1	51
	8.5 An	nalysis of Eccentricity Fault Frequencies	51
	8.6 Su	mmary1	52
Cha	pter 9	1	54
Con	clusions a	and Recommendations1	54
	Recomm	nendations1	59
	Reference	ces1	60
	Appendi	ix1	66
	List of P	Publications	71

# **List of Figures**

Figure 1.1:	View of an induction motor showing its constituent parts [10]4
Figure 1.2:	Sketch of the bars and end-rings used in a squirrel-cage rotor (left) and a photograph of a wound rotor (right) [12]
Figure 1.3:	Cross-sectional view of an induction motor including, three phase supply currents and rotating magnetic field [13]
Figure 1.4:	A typical torque-speed characteristic curve of a three-phase induction motor.6
Figure 1.5:	A photograph of a rolling element bearing9
Figure 1.6:	Stator winding faults: turn-to-turn, phase to phase and phase to ground10
Figure 1.7:	Photograph of a rotor with broken bars11
Figure 1.8:	Visual illustration of eccentricity faults, from left to right: no eccentricity, static eccentricity and dynamic eccentricity
Figure 1.9:	Typical sensors positions used in condition monitoring of machines [13]14
Figure 2.1:	The steps for transforming a time domain signal into the frequency domain, which is used in condition monitoring system [13]24
Figure 2.2:	Left column (top and bottom) illustrates the full (100s) and zoomed (0.1s) version of the measured stator current waveform as a function of time. Right column (top and bottom) represents the full (200 Hz) and zoomed (45 to 55 Hz) versions of the FFT results of the measured signals
Figure 2.3:	Application of Short-Time Fourier Transform on the measured current signal shown in Fig 2.2.
Figure 2.4:	Application of the Wavelet Transform on a measured current signal shown in Fig 2.2
Figure 2.5:	Park's Vector representing a healthy motor (left) and faulty motor (right)31
Figure 2.6:	Waveform of current versus time with a 400 Hz sampling frequency of 0.1 second (top left) and its zoomed version (top right). The spectrum of the
	current waveform is shown (bottom) for (0 to 200 Hz)

Figure 2.7: Waveform of current versus time with a 8 KHz sampling frequency (top left) and its zoomed version of 0.1 second (top right). The spectrum of the current
waveform is shown (bottom) for (0 to 4 kHz)33
Figure 2.8: Spectrum of motor current phase "A" showing the supply frequency34
Figure 2.9: Frequency spectrum of flux signal showing the slip frequency components.35
Figure 2.10: Measured slip frequency components and their respective amplitudes in
the flux spectrum under different loading conditions. This figure is obtained
using multiple plots on the same axis
Figure 2.11: Typical relationship between % rated current and % of rated load of the
induction motor
Figure 2.12: Slip frequency f <sub>2</sub> versus % of rated load for a healthy motor
Figure 2.13: A sample current spectrum illustrating the broken bar sideband components
(full-load).
Figure 2.14: Vibration spectrum illustrating the rotor frequency $f_r$ at full-load40
Figure 2.15: Flux spectrum illustrated the negative sideband component (top) and
positive sideband component (bottom) at full-load41
Figure 2.16: Flux spectrum illustrated the negative sideband (top) and positive sideband
(bottom) at full-load
Figure 2.17: Left column (top, middle and bottom) illustrates the real measured
waveforms of current, voltage and instantaneous power signals as a function
of time. Right column represents the FFT results of measured signals43
Figure 3.1: Condition monitoring system for baseline analysis [18]46
Figure 3.2: Data-acquisition hardware (left) and motor/load test set-up (right)47
Figure 3.3: Diagram of AC and DC test arrangement
Figure 3.4: Laser Alignment Device used for precision alignment
Figure 3.5: Torque Wrench used in experimental set-up
Figure 3.6: The block diagram of the test set-up including the positions of the sensors51
Figure 3.7: Front panel of the LabVIEW program showed sampled signals54

Figure 3.8:	Brief user guide of the LabVIEW program showed in figure 3.7	55
Figure 3.9:	Flow chart of MATLAB program developed for spectrum analysis5	56
Figure 3.10	2: Broken rotor bar fault frequencies and their respective amplitudes of a healthy motor from current spectrum (top row) and flux spectrum (bottom row) spectra at full-load.	57
Figure 3.11	: Shorted turn fault frequencies and their respective amplitudes of a healthy motor from current spectrum (top row) and flux spectrum (bottom row) spectra at full-load.	58
Figure 4.1:	Comparison of the current spectrum from a healthy machine (left) and a faulty machine with 2 BRB (right), at no-load, 50% load and full-load. The circles indicate the broken bar sideband components	52
Figure 4.2:	Comparison of variation in sidebands amplitudes versus % of rated load of a healthy motor with faulty motors (partial, two and four broken rotor bars) from current spectrum.	
Figure 4.3:	Variations in BRB sideband amplitudes for three tests on the same healthy motor. The faulty motor results are shown for reference	<b>5</b> 5
Figure 4.4:	Variations in BRB sideband amplitudes for tests on two nominally identical healthy motors. The faulty motor results are shown for reference	
Figure 4.5:	Variations in BRB sideband amplitudes of healthy motor 1 and the 4 BRB motor between phases A and B	56
Figure 4.6:	Flux spectrum from a healthy machine (left) and a faulty machine with 2 BRB (right), at no-load, 50% and full-load. The circles indicate the sidebands.	<b>5</b> 7
Figure 4.7:	Comparison of variations in sideband amplitudes versus % of rated load of healthy motor with faulty motors (partial, two and four broken rotor bars)	
Figure 4.8:	Variations in BRB sideband amplitudes for three tests on the same healthy motor from flux spectrum. The faulty motor results are shown for reference.	
		70

Figure 4.9:	Variations in BRB sideband amplitudes for tests on two nominal identical
	healthy motors from flux spectrum. The faulty motor results are shown for
	reference
Figure 4.10	: Instantaneous power spectra from a healthy machine (left) and a faulty
	machine with 2BRB (right), at no-load, 50% and at full-load. The circles
	indicate the sidebands71
Figure 4.11	: Comparison of variations in sideband amplitudes versus % of rated load of
	healthy motor with faulty motors (partial, two and four broken rotor bars)
	from instantaneous power spectrum
Figure 4.12	2: Variations in BRB sideband amplitudes for three tests on the same healthy
	motor. The faulty motor results are shown for reference
Figure 4.13	3: Variations in BRB sideband amplitudes for tests on two identical healthy
	motors. The faulty motor results are shown for reference
Figure 4.14	: Variations in BRB sideband amplitudes of healthy motor 1 between phases
	A and B. The faulty motor results are shown for reference74
Figure 5.1:	Test motor with two rotational knobs that are used to create unequal air-gap
	between stator and rotor
Figure 5.2:	Frequency spectrum of vibration signal showing the rotor frequency80
Figure 5.3:	Frequency spectrum of vibration signal (top) showing slot passing frequency
	and current spectrum (bottom) showing eccentricity fault frequency peaks. 80
Figure 5.4:	Current spectrum from a healthy motor (row 1 and 3) and a faulty motor (row
	2 and 4) at 30% and full-load respectively
Figure 5.5:	Comparison of variations in the sideband amplitudes versus average
	eccentricity at 30% load (top) and at full-load (bottom) between the healthy
	and faulty motors from current spectrum
Figure 5.6:	Comparison of variations in the sideband amplitudes versus average
	eccentricity at 30% load (top) and at full-load (bottom) between the healthy
	and faulty motors from current spectrum84

Figure 5.7:	Comparison of variations in current sideband amplitudes for two tests on the same healthy motor. The result for the faulty motor is shown for reference. 85
Figure 5.8:	Variations in current sideband amplitudes for tests on two nominally identical healthy motors. The result for the faulty motor is shown for reference85
Figure 5.9:	Variations in current sideband amplitudes of healthy motor 1 and the faulty motor (NDE at -0.1mm and DE at +0.3mm between phases A and B86
Figure 5.10	2): Flux spectrum from healthy motor (row 1 and 3) and a faulty motor with airgap of -0.1 mm at NDE and +0.3 at DE (row 2 and 4) at 30% load and at full-load. The circle indicates the sideband components
Figure 5.11	1: Comparison of variations in the sideband amplitudes versus average eccentricity at 30% load (top) and at full-load (bottom) between the healthy and faulty motors from flux spectrum
Figure 5.12	2: Instantaneous power spectrum from healthy motor (row 1 and 3) and faulty motor (row 2 and 4) at 30% and at full-load
Figure 5.13	3: Instantaneous power spectrum from healthy motor (row 1 and 3) and faulty motor (row 2 and 4) at 30% and at full-load
Figure 5.14	4: Comparison of variations in the sideband amplitudes versus average eccentricity at 30% load (top) and at full-load (bottom) between the healthy and faulty motors from instantaneous power spectrum91
Figure 5.15	5: Variations in instantaneous power sideband amplitudes for two tests on the same healthy motor. The faulty motor results are shown for reference92
Figure 5.16	5: Variations in instantaneous power sideband amplitudes for tests on two nominally identical healthy motors. The faulty motor results are shown for reference
Figure 5.17	7: Variations in instantaneous power sideband amplitudes of healthy motor 1 and the 4 BRB motor between phases A and B
Figure 5.18	3: Current spectrum from a healthy machine (top row) and a faulty machine (bottom row) at full-load for k=±395

Figure 5.19	9: Comparison of variations in the sideband amplitude of a healthy motor with
	faulty motor with airgap at NDE is -0.3mm and DE is +0.3mm at 30% load
	(top) and at full-load (below) from current spectrum96
Figure 5.20	): Comparison of the flux spectrum from a healthy machine (top row) and a
	faulty machine (bottom row) for k=±3, at full-load97
Figure 5.21	1: Comparison of variation in the sideband amplitudes of the healthy and faulty
	motor at eccentricity level of (-0.3mm at NDE and +0.3mm at DE) under
	30% load (top) and at full-load (bottom)
Figure 5.22	2: Comparison of the instantaneous power spectrum from a healthy machine
	(top row) and a faulty machine (bottom row) for k=±3, at full-load99
Figure 5.23	3: Variations in eccentricity sideband amplitudes for two tests on the same
	healthy motor. The result of faulty motor is shown for reference
Figure 5.24	4: Variations in eccentricity sideband amplitudes for two tests on the same
	healthy motor. The result of faulty motor is shown for reference
Figure 5.25	5: Variations in eccentricity sideband amplitudes for tests on two identical
	healthy motors. The faulty motor results are shown for reference 102
Figure 5.26	6: Variations in eccentricity sideband amplitudes of healthy motor between
	phases A and B. The faulty motor results are shown for reference
Figure 6.1:	View of stator winding showing effect of shorted turns in early stages 106
Figure 6.2:	View of shorted turns in stator winding of faulty motor with
Figure 6.3:	Current spectrum from a healthy motor (top row) and a faulty machine with
	20 shorted turns at 30% load. The circles indicate the shorted turns fault
	frequencies at 30% and at full-load
Figure 6.4:	Comparison of variation in the sidebands amplitudes of a healthy motor with
	faulty motor (20 shorted turns) at 30% load from current spectrum 109
Figure 6.5:	Comparison of variation in the sidebands amplitudes of a healthy motor with
	faulty motor (20 shorted turns) at full-load from current spectrum110
Figure 6.6:	Comparison of the flux spectrum from a healthy motor (top row) at 30% load
	and at full-load (bottom row).

Figure 6.7:	Comparison of variation in shorted turn sideband amplitude versus % of rated
	load of healthy motor at 30% load and at full-load112
Figure 6.8:	Comparison of variations in flux sideband amplitudes for three tests on the
	same healthy motor. The faulty motor result is shown for reference 113
Figure 6.9:	Variations in shorted turn sideband amplitudes for tests on two nominal
	identical healthy motors. The result of faulty motor is shown for reference.
Figure 6.10	Variations in shorted turn sideband amplitudes for tests on two nominal
	identical healthy motors. Faulty motor result is shown for reference114
Figure 6.11	: Comparison of the instantaneous power spectrum from a healthy motor at
	30% load (top row) and at full-load (bottom row)115
Figure 6.12	2: Variations in the shorted turn sidebands amplitudes versus % of rated load
	of healthy motor for different values of k from instantaneous power
	spectrum116
Figure 6.13	3: Variations in shorted turn sideband amplitudes for three tests on the same
	healthy motor at full-load
Figure 6.14	: Variations in the shorted turn sideband amplitudes for tests on two identical
	healthy motors at 100% load
Figure 6.15	5: Variations in shorted turn sideband amplitudes of the healthy and faulty
	motor between phases A and B at full-load
Figure 6.16	5: Variations in the sideband amplitudes for the healthy and faulty motor (with
C	20 shorted turns). Top row (left) and top row (right) show the flux and
	instantaneous power. The Bottom (row) represents a single signal120
Figure 7.1:	schematic diagram of parallel and angular misalignment123
Figure 7.2:	Comparison of the current, flux and instantaneous power spectrums from a
	healthy machine with a misaligned machine showed in (top, middle and
	bottom row) at full-load
Figure 7.3:	Comparison of variation in sideband amplitudes versus % of rated load of a
	healthy motor with misaligned motor from current spectrum

Figure 7.4:	Comparison of variation in sideband amplitudes versus % of rated load of a	
	healthy motor with misaligned motor from flux spectrum	26
Figure 7.5:	Comparison of variation in sideband amplitudes versus % of rated load of a healthy motor with misaligned motor from instantaneous power spectrum.	26
Figure 7.6:	Current spectrum from a healthy machine (top row) and a misaligned	
rigule 7.0.	machine (bottom row) at full-load	27
Figure 7.7:	Flux spectrum from a healthy machine (top row) and a misaligned machine (bottom row) at full-load.	28
Figure 7.8:	Instantaneous power spectrum from a healthy machine (top row) and a	• •
	misaligned machine (bottom row) at full-load	28
Figure 7.9:	Comparison of variations in sideband amplitudes versus % of rated load of healthy motor with misaligned motor from current spectrum	29
Figure 7.10	2: Comparison of variations in sideband amplitudes versus % of rated load of	
	healthy motor with misaligned motor from flux spectrum	30
Figure 7.11	: Comparison of variations in sideband amplitudes versus % of rated load of healthy motor with misaligned motor from instantaneous power spectrum.	
		30
•	2: Current spectrum from a healthy machine (top row) and a misaligned machine (bottom row) at full-load.	31
Figure 7.13	3: Flux spectrum from a healthy machine (top row) and a misaligned machine (bottom row) at full-load	
Figure 7.14	4: Instantaneous power spectrum from a healthy machine (top row) and a misaligned machine (bottom row) at full-load	32
Figure 7.15	5: Comparison of variations in sideband amplitudes versus % of rated load of healthy motor with misaligned motor from current spectrum	
Figure 7.16	5: Comparison of variations in sideband amplitudes versus % of rated load of healthy motor with misaligned motor from flux spectrum13	

Figure 7.17	7: Comparison of variations in sideband amplitudes versus % of rated load of
	healthy motor with misaligned motor from instantaneous power spectrum.
Figure 8.1:	Flux spectrum of slip frequency versus sidebands amplitudes under different level of load tests
Figure 8.2:	Current spectrum from a healthy machine (left) and a faulty machine with two broken rotor bars and eccentricity of +0.3mm at driving-end (right), at no-load, 50% load and full-load. The circles indicate the sidebands
Figure 8.3:	Comparison of variation in sidebands amplitudes versus % of rated load of a healthy motor with faulty motors (combination of two broken bars and eccentricity of +0.1mm and +0.3 mm at driving-end) from current spectrum.
Figure 8.4:	Flux spectrum from a healthy machine (left) and a faulty machine with two broken rotor bars and eccentricity of +0.3mm at driving-end (right), at no-load, 50% load and full-load. The circles indicate the sidebands
Figure 8.5:	Comparison of variation in sidebands amplitudes versus % of rated load of a healthy motor with faulty motors (combination of two broken bars and eccentricity of $+0.1$ mm and $+0.3$ mm at driving-end) from flux spectrum. 141
Figure 8.6:	Instantaneous power spectrum from a healthy machine (left) and a faulty machine with two broken rotor bars and eccentricity of +0.3mm at drivingend (right), at no-load, 50% load and full-load. The circles indicate the sidebands.
Figure 8.7:	Comparison of variation in sidebands of a healthy motor with faulty motors (combination of two broken bars and eccentricity of +0.1mm and +0.3 mm at driving-end) from instantaneous power spectrum
Figure 8.8:	Current spectrum from a healthy motor (top) and a faulty motor with eccentricity of +0.3 mm at driving-end (bottom) at 30% load. The circles indicate the sidebands

Figure 8.9:	Current spectrum from a healthy motor (top) and a faulty motor with
	eccentricity of +0.3 mm at driving-end (bottom) at full-load. The circles
	indicate the sidebands
Figure 8.10	: Comparison of variation in sidebands amplitudes versus % of rated load of a
	healthy motor with faulty motors (combination of two broken bars and
	eccentricity of +0.1mm and +0.3 mm at driving-end) from current spectrum.
Figure 8.11	: Flux spectrum from a healthy motor (row 1 and 3) and a faulty motor with
	eccentricity of +0.3 mm at driving-end (row 2 and 4) at 30% load and full-
	load. The circles indicate the sidebands
Figure 8.12	: Comparison of variation in sidebands amplitudes versus % of rated load of a
	healthy motor with faulty motors (multiple faults) from flux spectrum149
Figure 8.13	: Instantaneous power spectrum from a healthy motor (row 1 and 3) and a
	faulty motor (row 2 and 4) at 30% load and full-load respectively
Figure 8.14	: Comparison of variation in sidebands amplitudes of a healthy motor with
	faulty motors (combination of two broken bars and eccentricity of +0.1mm
	and +0.3 mm at driving-end) from instantaneous power spectrum151

# **List of Tables**

Table 3.1: Technical information for the induction motors used in the testing48
Table 3.2: Technical information about the DC machine used in the testing49
Table 3.3: Alignment limits and the actual measured values obtained for a test motor50
Table 3.4: Sensor specifications
Table 3.5: Summary of sensor signal sampling information
Table 4.1: Summary of fault frequencies associated with different types of faults60
Table 4.2: Comparison of healthy and faulty motors results to detect the broken rotor bar faults using current, flux and instantaneous power spectra
Table 5.1: Different combinations of airgap eccentricity developed in the machine to study the severity of eccentricity faults under different loading conditions79
Table 5.2: Comparison of healthy and faulty motors for detecting eccentricity faults using components $(f_1 \pm f_r)$ under different levels of loading. The average eccentricity level is considered from 0 to 0.3 mm at the non-driving-end104
Table 5.3: Comparison of healthy and faulty motors to detect the eccentricity faults using components $f_1[(R\p)(1-s)\pm k]$ under different levels of loading. Eccentricity level is +0.3 mm at non-driving-end
Table 6.1: Number of turns short-circuiting to investigate the severity of shorted turns fault versus % of rated loads
Table 6.2: Comparison of healthy and faulty motors in detecting shorted turn faults using components $f_1[(n\p)(1-s)\pm k]$ under different levels of loading121
Table 8.1: Comparison of healthy and faulty motors to detect the multiple faults in the presence of eccentricity level of 0.3 mm using BRB components (1±2s)f <sub>1</sub> .144
Table 8.2: Comparison of healthy and faulty motors to detect the multiple faults in the presence of broken rotor bars using $(f_1 \pm f_r)$

# **Symbols and Abbreviations**

 $\mathbf{f_1}$  fundamental frequency

 $f_2$  slip frequency  $f_r$  rotor frequency

 $N_s$  synchronous speed

 $N_r$  rotor speed

T total sampling time

 $\Delta t$  sampling time

 $\Delta \mathbf{f}$  sampling frequency

L<sub>R</sub> total points of record length

s slip of motor

V voltageI current

**p** number of pair of poles

**P**<sub>i</sub> total active power in three-phase system

**PF** power factor

P<sub>ins</sub> instantaneous powerk number of integers

**f** BRB broker rotor bar frequencies

 $\mathbf{f_p}$  supply frequency from power signal

Ir rated current of motorVr rated voltage of motor

**fecc** eccentricity fault frequency

R number of rotor barsCM condition monitoring

BRB broken rotor barFT Fourier transform

**STFT** short time Fourier transform

**DE** driving end

**NDE** non-driving end

**DEH** driving end horizontal

**DEV** driving end vertical