# AUTOMATIC FAULT ANALYSIS IN POWER SYSTEMS VIA APPLICATION SERVICE PROVIDER

A Dissertation

by

### Mustarum Musaruddin

Submitted to the Office of Graduate Centre of

The University of Adelaide
in partial fulfilment of the requirements for the degree of

### **Doctor of Philosophy**

in

The School of Electrical and Electronic Engineering

The Faculty of Engineering, Computer and Mathematical Sciences

The University of Adelaide, Australia

# AUTOMATIC FAULT ANALYSIS IN POWER SYSTEMS VIA APPLICATION SERVICE PROVIDER

by

### Mustarum Musaruddin

B. Eng. (Electrical Engineering), Hasanuddin University, Indonesia, 1999

MIT. (Electrical & Computer Engineering), The University of Newcastle, Australia, 2003

Dissertation submitted in partial fulfilment of the requirements for the Degree of

### **Doctor of Philosophy**

in

The School of Electrical and Electronic Engineering

The Faculty of Engineering, Computer and Mathematical Sciences

The University of Adelaide, Australia

Supervisor: Dr. Rastko Zivanovic

Co-supervisor: Assoc. Prof. Nesimi Ertugrul

## **Table of Contents**

Table of Contents	ii
Abstract	v
Statement of Originality	vi
List of Figures	vii
List of Tables	X
List of Abbreviations	xi
Acknowledgments	xiv
List of Publications	XV
Chapter 1: Introduction	1
1.1 Background	1
1.2 Research Objectives	4
1.3 Major Contributions	5
1.4 Organisation of the Dissertation	5
Chapter 2: Review of Transmission System Fault Analysis	7
2.1 Introduction	7
2.2 Infrastructure for Collecting Fault Data	7
2.2.1 Remote Terminal Unit (RTU)	8
2.2.2 Intelligent Electronic Devices (IEDs)	8
2.2.3 Substation Communication Infrastructure	9
2.2.4 Supervisory Control and Data Acquisition (SCADA) Systems	10
2.3 Fault Investigation Scenario	12
2.4 Fault Analysis Functions	14
2.4.1 Signal Segmentation	15
2.4.2 Fault Type Classification	16
2.4.3 Fault Location	17
2.5 Fault Analysis Techniques	21
2.6 Overview of the Fault and Disturbance Analysis Tools	23
2.6.1 Wavewin	23

2.6.2 SIGRA	24
2.6.3 SEL-PROFILE	26
2.6.4 DFR Assistant	27
2.6.5 TransView	27
2.7 Chapter Summary	30
Chapter 3: Application Service Provider and IEC 61850 Technologies	31
3.1 Introduction	31
3.2 Application Service Provider (ASP)	31
3.3 Web Services	33
3.3.1 Extensible Markup Language (XML)	34
3.3.2 Simple Object Access Protocol (SOAP)	35
3.3.3 Web Services Description Language (WSDL)	37
3.3.4 Universal Discovery, Description and Integration (UDDI)	37
3.4 Thin Client Computing	39
3.5 Impact of the IEC-61850 Standard on Disturbance Recording	40
3.5.1 Information Models of IEC 61850 for Disturbance Recording	42
3.5.2 Information Exchange Model	46
3.5.3 Mapping to Communication profile	47
3.6 Ole for Process Control (OPC)	47
3.7 Manufacturing Message Specification (MMS)	50
3.8 Chapter Summary	51
Chapter 4: Automated Fault and Disturbance Analysis Service (AFAS)	52
4.1 Introduction	52
4.2 AFAS System Overview	52
4.3 Signal Segmentation Service	59
4.4 Signal Modelling Service	71
4.5 Fault Type Classification Service	71
4.6 Fault Location Service	74
4.7 Illustrative Examples of Using Services	74
4.7.1 Simulated PSCAD Fault Records	74
4.7.2 Real Disturbance Records from Power Utilities	81

4.8 Implementing the AFAS	85
4.8.1 The ASP Server	88
4.8.2 Signal Processing Module	89
4.8.3 Automated IED File Retrieval	92
4.8.4 Triggering Method using GOOSE message	93
4.8.5 Database for System and Event Related Data Storage	99
4.8.6 Development of COMTRADE viewer	99
4.8.7 The Automated Fault Analysis Client	108
4.9 Chapter Summary	109
Chapter 5: Remote Relay Testing Service (RRTS)	111
5.1 Introduction	111
5.2 Relay Testing Overview	111
5.3 RRTS Architecture	114
5.4 RRTS Procedures	117
5.4.1 Client	117
5.4.2 Server	117
5.5 RRTS Implementation	118
5.6 RRTS Case Study and Application Results	121
5.7 Chapter Summary	126
Chapter 6: Conclusions	127
6.1 Expected Benefits	127
6.2 Future Work	128
References:	130
Appendix A. Wavelet and Empirical Mode Decomposition	146
A.1. Wavelet Transform Method	146
A 2. Empirical Mode Decomposition Method	151

### **Abstract**

Automatic Fault Analysis in Power Systems via Application Service Provider

Mustarum Musaruddin, B.Eng., Hasanuddin University, Indonesia;

MIT., The University of Newcastle, Australia

This dissertation presents a new approach to automated fault analysis in electrical power systems. New contributions to the fault and disturbance investigation topic are automated fault analysis service (AFAS) via application service provider (ASP) and remote relay testing service (RRTS). The implementation of AFAS complies with the new international standard of communication network and system in substations (IEC-61850).

The signal processing approaches in an automated fault analysis service are based on the wavelet transform and empirical mode decomposition methods. Several case studies have been carried out to test the performance of the signal segmentation technique. The data for analyses are from simulated fault data and from real disturbance records obtained from the intelligent electronic devices (IEDs) in substations.

The implementation of AFAS and RRTS was developed using C# with .NET technologies, MATLAB and open source software. Signal segmentation, signal modelling, fault type classification, fault location service, a web-based COMTRADE viewer and remote relay test service have been developed in this dissertation. Such services are designed to enhance manual investigations performed by engineers. The services have been tested extensively using disturbance records from power utilities and a power system simulation model.

**Statement of Originality** 

This work contains no material which has been accepted for the award of any

other degree or diploma in any university or other tertiary institution and, to the

best of my knowledge and belief, contains no material previously published or

written by another person, except where due reference has been made in the text.

I give consent to this copy of my thesis, when deposited in the Adelaide

University library, being made available for loan and photocopying, subject to the

provisions of the Copyright Act 1998.

I also give permission for the digital version of my thesis to be made available on

the web, via the University's digital research repository, the Library catalogue, the

Australasian Digital Theses Program (ADTP) and also through web search

engines, unless permission has been granted by the university to restrict access for

a period of time.

\_\_\_\_\_

Mustarum Musaruddin

.\_\_\_\_\_

Date

vi

## **List of Figures**

Figure 2-1. Single line diagram representation of line fault	19
Figure 2-2. Fault locator in SIGRA	25
Figure 2-3. Waveforms viewer in SIGRA	25
Figure 2-4. SEL-PROFILE software running under DOS	26
Figure 2-5. Fault locator in TransView	29
Figure 2-6. Waveforms viewer in TransView	29
Figure 3-1. Web services architecture model	33
Figure 3-2. Example of web services	34
Figure 3-3. SOAP structure	36
Figure 3-4. SOAP example	36
Figure 3-5. WSDL example	37
Figure 3-6. IEC 61850 according to OSI layer [7]	41
Figure 3-7. Example of logical devices and logical nodes from SEL421 relay.	43
Figure 3-8. SEL-421 relay logical nodes	44
Figure 3-9. Logical Nodes for Disturbance record	45
Figure 3-10. ACSI communication method [7]	46
Figure 4-1. Fault analysis scenario	53
Figure 4-2. An example of the investigation procedure and required services	54
Figure 4-3. Architecture of AFAS model complying with IEC 61850	57
Figure 4-4. Matrikon OPC server for IEC61850	57
Figure 4-5. AX4-MMS OPC server	58
Figure 4-6. Web client for IEC 61850 explorer	58
Figure 4-7. Signal segmentation algorithm using wavelet	61
Figure 4-8. Signal decomposition using wavelet method with db4 as a mother	r
wavelet, the original Blue phase current and its approximation coefficient (a6)	) and
detail coefficients ( $d1$ to $d6$ ), signals recorded at Delphi substation on $11/01/2$	002.
	62

Figure 4-9. AFAS database (table: waveletdata)
Figure 4-10. The segmented disturbance signal (Ic) using wavelet method
(signals recorded at Delphi substation on 11/01/2002)63
Figure 4-11. Signal segmentation algorithm using EMD66
Figure 4-12. IMF components of Blue phase current in the single-phase-to-
ground fault, signals recorded at Delphi substation on 11/01/200268
Figure 4-13. Instantaneous Amplitude (IA) corresponding to each IMF69
Figure 4-14. Hilbert spectrum of d1-d11 IMFs70
Figure 4-15. The segmented Blue phase current (Ic) using EMD method (signals
recorded at Delphi substation on 11/01/2002)
Figure 4-16. Fault type classification algorithm
Figure 4-17. Example of segmented signal using EMD Method in the single-
phase-to-ground Fault (AG fault) where the fault resistance ( $R_{\text{F}})=0.001\ \Omega$ and
20% fault location
Figure 4-18. Comparison time segment using wavelet and EMD methods in the
single-phase-to-ground fault (AG fault) where the fault resistance ( $R_F$ ) = 0.001 $\Omega$ .
77
Figure 4-19. Comparison of time segment between wavelet and EMD methods in
the phase A for the case of an ABG fault
Figure 4-20. Time segment in phase A using wavelet and EMD methods for
variations in fault resistance (R <sub>F</sub> ) in the three-phase fault where the fault location
is 50 %
Figure 4-21. The segmented current (I <sub>C</sub> ) signals in the faulty phase, (signals
recorded at Delphi substation on 11/01/2002)83
Figure 4-22. The segmented disturbance signals using wavelet method (signal
recorded from Bulukumba substation on 16/09/2008)
Figure 4-23. The segmented disturbance signal using EMD method (signal
recorded from Bulukumba substation on 16/09/2008)
Figure 4-24. AFAS implementation process
Figure 4-25. Automated fault analysis service model

Figure 4-26. Successful compilation of signal processing module	
(AbruptChange.dll)	90
Figure 4-27. Integrate signal processing module (AbruptChange.dll)	91
Figure 4-28. Automated fault analysis service running on server	91
Figure 4-29. Example interface of AFAS web client for IEC 61850 explorer.	93
Figure 4-30. Typical system configuration	96
Figure 4-31. Disturbance data retrieval and pre-processing for automated fault	t and
disturbance analysis	98
Figure 4-32. AFAS database (Table: Station)	99
Figure 4-33. Java Internet Matlab (JIM) server interface	101
Figure 4-34. Example of web based COMTRADE viewer interface	102
Figure 4-35. Example of AFAS COMTRADE viewer interface with the	
automated signal segmentation for two-phase fault (AC fault)	. 105
Figure 4-36. Example of zooming functions in the AC fault	. 107
Figure 4-37. Example of simple AFAS report	. 109
Figure 5-1. Remote relay testing system via ASP	115
Figure 5-2. Remote relay testing architecture	116
Figure 5-3. RRTS console running on server	120
Figure 5-4. RRTS job notification sent to user via email	121
Figure 5-5. Example of power system simulation model using PSCAD	122
Figure 5-6. Fault control in PSCAD	122
Figure 5-7. COMTRADE recorder model in PSCAD	122
Figure A-1. DWT algorithm [122]	148
Figure A-2. Multiresolution signal decomposition realised by QMF [91]	. 150
Figure A-3. Signal <i>X</i> with the local extrema identification	. 154
Figure A-4. Signal <i>X</i> with the upper and lower envelope	. 154
Figure A-5. Signal <i>X</i> with the average value and residual	155
Figure A-6. Iteration 1 with the average value and residual	155

## **List of Tables**

Table 3-1. Example IEC 61850 descriptor components [7]42
Table 3-2. Example of SEL-421 Logical Devices [7]43
Table 4-1. Segmented signal estimation based on wavelet and EMD when76
Table 4-2. Segmented signal estimation based on wavelet and EMD in the two-
phase-to-ground fault (ABG Fault) where fault resistance (R $_{\!F}\!)$ is 0.001 $\Omega.$ 78
Table 4-3. Segmentation time of disturbance record using wavelet and EMD
methods for variation of fault resistance in the three-phase fault where fault
location is 50 %80
Table 4-4. Segmented signal estimation based on wavelet and EMD method in the
Blue phase current ( $I_C$ ) obtained from Delphi substation on $11/01/200282$
Table 4-5. Segmented signal estimation based on wavelet and EMD, disturbance
record obtained from Bulukumba substation Indonesia on 16/09/200884
Table 5-1. Relay response times

### **List of Abbreviations**

ACSI Abstract Communication Service Interface

AFAS Automated Fault and disturbance Analysis Service

ANN Annunciator elements

API Application Program Interfaces

ASP Application Service Provider

ATP Alternative Transient Program

CB Circuit Breaker

CBMA Circuit Breaker Monitor Analysis

CFG Configuration elements

COMTRADE Common format for Transient Data Exchange

CON Control elements

CT Current Transformer

CWT Continuous Wavelet Transform

DFRs Digital Fault Recorders

DFRA Digital Fault Recorders Analysis

DPRA Digital Protective Relay Analysis

DFT Discrete Fourier Transform

DPRs Digital Protective Relays

DWT Discrete Wavelet Transform

EJS Easy Java Simulation

EMD Empirical Mode Decomposition

EMS Energy Management System

FAFL Fault Analysis with Fault Location

FFT Fast Fourier Transform
FTP File Transfer Protocol

GOOSE Generic Object Oriented Substation Event

GUI Graphical User Interface

HPF High Pass Filter

ICA Independent Computing Architecture

IEDs Intelligent Electronic Devices

ISP Internet Service Providers

ISVs Independent Software Vendors

IA Instantaneous AmplitudeIMFs Intrinsic Mode FunctionsIT Information Technology

JIM Java Internet Matlab LAN Local Area Network

LD Logical Devices
LN Logical Nodes
LPF Low Pass Filter

MET Metering and measurement elements

MMI Man Machine Interface

MMS Manufacturing Message Specification

MSD Multi-resolution Signal Decomposition

OLE Object Linking and Embedding

OPC Ole for Process Control

PHP PHP Hypertext Pre-processor

PRO Protection elements

PSCAD Power System Computer Aided Design

QMF Quadrature Mirror Filter

RDP Remote Desktop Protocol

RF Fault Resistance

RMS Root Mean Square

RPC Remote Procedure Calls

RRTS Remote Relay Testing Service

RTS Relay Test System

SAS Substation Automation System

SCADA Supervisory Control and Data Acquisition

SD Standard Deviation

SEL Schweitzer Engineering Laboratories

SER Sequence of Event Recorder
SOA Service Oriented Architecture
SOAP Simple Object Access Protocol

TimeSeg Time Segment

TLI Test Laboratories International

UDDI Universal Description and Discovery Interface

VNC Virtual Network Computing

VT Voltage Transformer

WAN Wide Area Network

WAP Wireless Application Protocol

WCF Windows Communication Foundation

XML eXtensible Markup Language

WS-AFA Web Services for Fault Analysis

WSDL Web Services Description Language

### **Acknowledgments**

Firstly, I want to thank ALLAH the Almighty, the most beneficent, the most compassionate, and the most merciful. I also want to express my deepest gratitude to so many people who had a tremendous effect and notable help, so that this dissertation could be well completed.

In particular, I am indebted to my advisors, Dr. Rastko Zivanovic and Assoc. Prof. Nesimi Ertugrul, for their guidance, nurturing, encouragement, and support in every stage of my study. Their knowledge, kindness, patience, openmindedness, and vision have provided me with lifetime benefits.

AusAID Australian Development Scholarship provided me with a scholarship during my studies for which I am grateful.

I would like also to thank Dr. Bill Winser at the Centre for Learning and Professional Development, University of Adelaide, for his help in editing this dissertation.

I am grateful to every member of department, and staff of University of Adelaide International student centre, especially Niranjala Seimon, who has help me during my stay in Adelaide.

Acknowledgment is extended to ESKOM Transmission Network South Africa and Indonesian Power Utility for providing the experimental data.

Many thanks are also devoted to my family members: my mother, father, my beloved wife, my daughters, brothers and sisters, for all the support they provided and their patience with all frustration they encountered during my study.

Thanks must go to my friends and colleagues for the constant encouragement, helpful suggestions and the enthusiasm they have shown towards this research during its development.

### **List of Publications**

### **Journal Papers:**

- 1. M. Musaruddin & R. Zivanovic, "Web Services for Fault Analysis in Smart Grid Applications," *Australian Journal of Electrical and Electronics Engineering*, *AJEEE* Volume 8, Number 2, 2011, Australia.
- M. Musaruddin & R. Zivanovic, "Web Services for Automated Fault Analysis in Electrical Power Systems," *International Journal of Advances in Information* Sciences and Service Sciences, AISS Volume 2, Number 3, September 2010, Seoul, Korea.

#### **Conference Papers:**

- M. Musaruddin & R. Zivanovic, "Investigation of Power System Disturbances via Application Service Provider," in *Proceedings IEEE 13th International* Conference on Harmonics and Quality of Power (ICHQP 2008), Wollongong, Australia.
- 2. M. Musaruddin, M. Zaporoshenko & R. Zivanovic, "Remote Protective Relay Testing," in *Proceedings IEEE Australasian Universities Power Engineering Conference (AUPEC 2008)*, Sydney, Australia.
- 3. M. Musaruddin & R. Zivanovic, "Web Services for Automated Fault Analysis in Electrical Power System," in *Proceedings IEEE International Conference on Networked Computing and Advanced Information Management and Services (NCM 2009)*, Seoul, Korea.
- 4. M. Musaruddin & R. Zivanovic, "Automated Fault Analysis in the Indonesian Power Utility: A Case Study of South Sulawesi Transmission System," in *Proceedings IEEE Australasian Universities Power Engineering Conference* (AUPEC 2009), Adelaide, Australia.
- M. Musaruddin & R. Zivanovic, "Signal Segmentation of Fault Records based on Empirical Mode Decomposition," accepted for publication in *IEEE International Technical Conference (TENCON 2011)*, Bali, Indonesia.