## **Constructing Citizens:**

Social Policy and the State-Citizen Relationship.

#### Ben Revi

Thesis submitted for the degree of
Doctor of Philosophy
in the
Discipline of Politics
School of History and Politics
The University of Adelaide

April 2011

# **Table Of Contents**

Abstract	V
Declaration	vi
Acknowledgements	vii
List of Abbreviations	viii
1. Introduction: Social Policy Constructing Citizens	1
2. A Brief Review of the Literature on the Welfare State	12
2.1. Defining the Welfare State	13
2.2. The Conditions and Processes of Welfare Expansion	14
2.3. The Conditions and Processes of Welfare State Retrenchment	16
2.4. Social Investment: The Third Way, New Public Management and the Return of	
Social Democracy	28
2.5. Institutional Explanations for Policy Change	33
2.6. Europeanisation	40
2.7. Discourse, Governmentality and 'Cultural Governance'	42
2.8. Broadening Welfare Debates	45
3. Hegemonies of Citizenship	47
3.1. A Theory of Policy Change: Diffusion and Discourse	50
3.2. Diffusion	51
3.3. Discourse Analysis and Citizenship	56
3.4. The Governmentality Perspective	59
3.5. Citizenship and Hegemony	64
3.6. Policy Change at the Level of Citizenship	67
4. Building the State-Citizen Relationship	69
4.1. T.H. Marshall and the Social Rights of the Civilised	70
4.2. Welfarism: Keynes and Elitism	83
4.3. Neoliberalism and the 'consumer-citizen'	90
4.4. The Ideal Citizen, The Citizen Ideal	94

5. Institutions and the State-Citizen Relationship	96
5.1. 'Keynesianism', 'Embedded Liberalism' and 'Social Citizenship'	97
5.2. International Welfare and the Designs of Bretton Woods	98
5.3. The Operation of the Bretton Woods System	100
5.4. After Bretton Woods	102
5.5. International Institutions as 'Policy Networks', and the Process of 'Policy Change	106
6. The Emergence of Traditional State-Citizen Relationships	108
6.1. The 'Bourgeois' Revolutions	109
6.2. Unionism, Class Awareness and Democracy in the Immediate Pre-War Period	117
6.3. Traditional Models as a Point of Conflict	126
7. Britain: Liberalism, Industrial Paternalism, Decentralisation	128
7.1. The State-Citizen Relationship in Britain	128
7.2. Policy Networks and International Institutions	129
7.3. Policy Change	130
7.4. Changes in Party Politics	142
7.5. The Contention between Liberalism and Paternalism in British Citizenship.	149
8. Sweden: Equality, Paternalism and the Strong Society.	150
8.1. The State-Citizen Relationship in Sweden	150
8.2. Institutional Change	155
8.3. Policy Change	161
8.4. Changes in Party Politics	169
9. France: Radicalism and Conflict	170
9.1. The State-Citizen Relationship in France	170
9.2. Institutional Change	173
9.3. Policy Change	176
9.4. Changes In Party Politics	183
9.5. The Persistence of Conflict as the Dominant Feature of State-Citizen Relations	184
10. Conclusion	186

#### **Abstract**

This thesis argues that social policy is best seen as an attempt to define and encourage a specific relationship between the citizen and the state. Within this view, the paradigms of welfarism and neoliberalism are seen as attempts to alter this state-citizen relationship. New paradigms can be successful if they can establish legitimacy for a new state-citizen relationship, particularly if the existing relationship is sufficiently plastic to allow change. If a new paradigm falls outside of traditional discourses of legitimate relationship between citizen and state, radical policy change is likely to fail.

The methodology of the study fuses techniques of institutional analysis and discourse analysis. Institutional analysis is used to show how social policy ideas, along with their preferred practices of citizenship, are formed and articulated across the various bodies which influence policy in both the domestic and international arena. Discourse analysis and 'governmentality' studies are used to show how new policy paradigms are constructed as being consistent with traditional state-citizen relationships, in order to create space to accommodate radical policy change.

Sweden, France and Britain are used as case studies to illustrate the effect of the state-citizen relationship on social policy change. Each of these countries developed a unique tradition of state-citizen relations over many centuries of political struggle, and each country found itself responding to international social policy paradigms after World War II. In the immediate post-war period, the welfarist paradigm encouraged states to expand their social services and increase the role of the state in the life of the citizen; from the 1970s, the neoliberal paradigm encouraged states to reduce the influence they had adopted. Each country took unique measures to accommodate these international shifts. In some cases, policy change failed, as it fell outside of traditional state-citizen relations and was not accepted by the public or by necessary institutions. In each successful case, policy change was accompanied by discourses which either altered or reinforced ideas of state and of citizenship. The state-citizen relationship creates a space for new social policy ideas to emerge and offers a means by which such ideas could achieve political success. In sum, the thesis posits that changes in social policy can be understood as reflections of and attempts to recreate the state-citizen relationship.

#### **Declaration**

This work contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution to Ben Revi and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text.

I give consent to this copy of my thesis, when deposited in the University Library, being made available for loan and photocopying, subject to the provisions of the Copyright Act 1968.

I also give permission for the digital version of my thesis to be made available on the web, via the University's digital research repository, the Library catalogue and also through web search engines, unless permission has been granted by the University to restrict access for a period of time.

Ben Revi.

### Acknowledgements

This thesis was made possible by the unerring patience, goodwill and good humour of my supervisor, Clement Macintyre. His intimidating knowledge of British political history and welfare state development was crucial to the development of my argument here. His understanding and his goodwill made my life as a doctoral student slightly less horrifying than it might otherwise have been. So to him I express my sincerest gratitude.

The ideas here were also tested and developed in discussions with my co-supervisors, Carol Bacchi and Carol Johnson. To Carol Bacchi I owe the idea of using 'citizenship' as a key feature of welfare policy, which really does seem rather important now, come to think of it. To Carol Johnson, I owe the excitement of having an acknowledged expert sit in on my conference presentations, read my drafts and take a genuine interest in my work, which made me think I might actually have something worth saying. Carol Johnson's comments on my drafts were also invaluable in tightening the theoretical focus of this thesis, such that this document would not be what it is without her.

I'd like to thank all of my fellow inmates. In particular, I'd like to thank Angie Bletsas, for pointing out that most dissertations actually have some form of theoretical framework, and that I might like to consider having one in mine as well. Her comments have been a highly valuable contribution to the pages ahead. I'd also like to thank Jon Louth, David Cannon, Kazu Shimada, Jordan Bastoni, Alan Goldstone, Ian Goodwin-Smith and Kieran McCarron, for many enlightening conversations over beer and coffee, and Benito Cao and Kat Stats, for making office-sharing quite cosy these last few years.

I also would like to thank my parents, Lyn and Alan Revi, for putting up with years of terribly dull conversations; my housemates, Henry Nicholls, Joel Philp and Hugh Langlands-Bell, for the same, and for accepting my madness as this process comes to an end. I'd like to thank the bands I've played in and with, the artists around us, and the Format and Melodica Festivals, for being a constant source of inspiration. And although there are many more I should mention, I'll reserve the last acknowledgement for Sarah James, without whose patience, care, affection and constant supply of dips and baked goods, my thesis and I would now be in far worse shape than we already are.

### List of Abbreviations

CTC City Technical Colleges EC European Community EFTA European Free Trade Area

EU European Union FN Front National

GDP Gross Domestic Product GNP Gross National Product IMF International Monetary Fund

LO Landsorganisationen (Swedish Trade Union Confederation)

NHS National Health Service
NPM New Public Management
NSE New Schwerzsterier Feet

NSE New Schumpeterian Economics

OPEC Organisation of Petroleum Exporting Countries

PS Parti Socialiste (French Socialist Party)

SAF Svenska Arbetsgivaföreningen (Swedish Employer's Association) SAP Sveriges socialdemokratiska arbetareparti (Swedish Social Democratic

Party)

SERPS State Earnings-Related Pension Scheme

SFIO Section Française de l'Internationale Ouvirière

SGP Stability and Growth Pact