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Clover improvement for stout-smearred 2+1 flavour SLiNC fermions: non-perturbative results

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We discuss an action in which the fermion matrix has single level stout smearing for the hopping terms together with unsmeared links for the clover term. With the (tree level) Symanzik improved gluon action this constitutes the **Stout Link Non-perturbative Clover** or SLiNC action. To cancel $O(a)$ terms the clover coefficient, c_{sw} has to be tuned. We present here preliminary results of a non-perturbative determination of c_{sw} using the Schrödinger functional and as a by-product also a determination of the critical hopping parameter, κ_c . A determination of the renormalisation constant for the local vector current is also given. Comparisons of the results are made with lowest order perturbation theory results.

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1. $O(a)$ Improvement

When constructing a lattice QCD action, even the simplest gluon Lagrangian action has only $O(a^2)$ corrections. The naive fermion action also has $O(a^2)$ corrections, but suffers from the ‘doubling problem’ describing 16 flavours in the continuum limit. A ‘cure’ is to add the Wilson mass term, so 15 flavours decouple in the continuum limit, but the price is that there are now $O(a)$ corrections, so that for example for a ratio of hadron masses

$$\frac{m_H}{m_{H'}} = r_0 + ar_1 + O(a^2).$$

The Symanzik approach is a systematic improvement to $O(a^n)$ (where in practice $n = 2$) by adding a basis (an asymptotic series) of irrelevant operators and tuning their coefficients to remove completely $O(a^{n-1})$ effects. Restricting improvement to on-shell quantities the equations of motion reduce the set of operators in both the action and in matrix elements. Indeed, for $O(a)$ improvement only one additional operator in the action is required

$$\mathcal{L}_{clover} \propto ac_{sw} \sum \bar{\psi} \sigma_{\mu\nu} F_{\mu\nu} \psi,$$

the so-called ‘clover term’. So if we can improve *one* on-shell quantity this then fixes c_{sw} as a function of the lattice spacing a or equivalently of g_0^2 , so that all other physical on-shell quantities are automatically improved to $O(a)$, i.e., we now have

$$\frac{m_H}{m_{H'}} = r_0 + O(a^2).$$

Matrix elements still require additional $O(a)$ operators, for example

$$\begin{aligned} \mathcal{A}_\mu &= (1 + b_A am_q)(A_\mu + c_A a \partial_\mu^{LAT} P) \\ \mathcal{P} &= (1 + b_P am_q)P, \end{aligned}$$

with

$$A_\mu = \bar{q} \gamma_\mu \gamma_5 q, \quad P = \bar{q} \gamma_5 q.$$

An easily determined quantity is the quark mass, determined from the PCAC relation¹

$$m_q^{WI} = \frac{\langle \partial_0^{LAT} (A_0(x_0) + c_A a \partial_0^{LAT} P(x_0)) O \rangle}{2 \langle P(x_0) O \rangle}.$$

Choosing different boundary conditions or operators, O , gives different determinations of the quark mass $m_q^{WI(i)}$, $i = 1, 2$. If the quark mass is improved then its errors are $O(a^2)$. So we can determine improvement coefficients, c_{sw}, \dots , by finding the point where

$$m_q^{WI(1)} = m_q^{WI(2)}.$$

¹This is equivalent to considering the renormalised quark mass $m_{qR}^{WI} = \frac{Z_A(1+b_A am_q)}{Z_P(1+b_P am_q)} m_q^{WI}$ as the difference is just a numerical factor, which in the chiral limit does not effect considerations of $O(a)$ -improvement.

The ALPHA Collaboration achieved this by means of the ‘Schrödinger functional’, [1]. Dirichlet boundary conditions are applied on the time boundaries to the fields. For the gluon fields fixing them on $x_0 = 0$ and T is then equivalent to a constant chromo-electric background field (which means that simulations with $m_q \sim 0$ with no zero mode problems are possible), while the fixed quark fields $(\rho, \bar{\rho})$ can be taken as sinks/sources to build operators for correlation functions. For example here we can take at the lower boundary $x_0 = 0$ ($i = 1$) and upper boundary $x_0 = T$ ($i = 2$)

$$O^{(i)} = \sum_{\vec{y}, \vec{z}} \bar{\rho}^{(i)}(\vec{y}) \gamma_5 \rho^{(i)}(\vec{z}).$$

So we can investigate PCAC behaviour at different distances from the boundaries. Redefining the quark mass slightly (but in a way which coincides to $O(a^2)$ in the improved theory, [2]) to eliminate the unknown c_A ($m_q^{WI} \rightarrow M$) we can define improvement when

$$(M, \Delta M) = (0, 0),$$

where

$$M \equiv M^{(1)} \quad \Delta M \equiv M^{(1)} - M^{(2)},$$

are chosen at some suitable x_0 , [2]. This gives the required critical c_{sw}^* and κ_c^* .

There are (small) ambiguities due to the finite volume used. In an infinite volume we expect $O(a\Lambda_{QCD})$ contributions (in the chiral limit, otherwise there are also extra $O(am_q)$ terms) due to the different boundary conditions or operators chosen. In a finite volume there are additional $O(a/L_s)$ terms. $O(a\Lambda_{QCD}) \rightarrow 0$ as a (or g_0^2) $\rightarrow 0$, but $O(a/L_s) \sim O(1/N_s)$ (where $L_s = aN_s$). We can either keep L_s fixed in physical units as $a \rightarrow 0$ (the ‘constant physics condition’) so $O(a/L_s) \rightarrow 0$, or alternatively simulate for several values of N_s and extrapolate to $N_s \rightarrow \infty$. The ‘Poor man’s solution’ is to evaluate at large $\beta \rightarrow \infty$ (small a) and subtract this result. Practically we have found that for c_{sw} this $O(1/N_s)$ term is negligible, while for Z_V , this subtraction is about a 1% affect.

2. The SLiNC action

We shall apply the Schrödinger functional formalism to 2 + 1 flavour stout link clover fermions – SLiNC fermions (Stout Link Non-perturbative Clover). In a little more detail

$$S_F = \sum_x \left\{ \kappa \bar{\psi}(x) \tilde{U}_\mu(x + \hat{\mu}) [\gamma_\mu - 1] \psi(x - \hat{\mu}) - \kappa \bar{\psi}(x) \tilde{U}_\mu^\dagger(x - \hat{\mu}) [\gamma_\mu + 1] \psi(x + \hat{\mu}) \right. \\ \left. + \bar{\psi}(x) \psi(x) + \frac{1}{2} c_{sw} (g_0^2) \bar{\psi}(x) \sigma_{\mu\nu} F_{\mu\nu}(x) \psi(x) \right\}.$$

The hopping terms (Dirac kinetic term and Wilson mass term) use a once iterated stout smeared link or ‘fat link’,

$$\tilde{U}_\mu = \exp\{iQ_\mu(x)\} U_\mu(x) \\ Q_\mu(x) = \frac{\alpha}{2i} [VU^\dagger - UV^\dagger - \frac{1}{3} \text{Tr}(VU^\dagger - UV^\dagger)],$$

(V_μ is the sum of all staples around U_μ) while the clover term remains built from ‘thin’ links – they are already of length $4a$ and we want to avoid the fermion matrix becoming too extended. Smearing

is thought to help at present lattice spacings and the stout variation is analytic which means that the derivative can be taken (so the HMC force is well defined) and perturbative expansions are also possible, [3].

To complete the action we also use the Symanzik tree-level gluon action

$$S_G = \frac{6}{g_0^2} \left\{ c_0 \sum_{\text{Plaquette}} \frac{1}{3} \text{Re Tr}(1 - U_{\text{Plaquette}}) + c_1 \sum_{\text{Rectangle}} \frac{1}{3} \text{Re Tr}(1 - U_{\text{Rectangle}}) \right\},$$

together with

$$c_0 = \frac{20}{12}, \quad c_1 = -\frac{1}{12} \quad \text{and} \quad \beta = \frac{6c_0}{g_0^2} = \frac{10}{g_0^2}.$$

3. The lattice simulation

The lattice simulation used the Chroma software library, [4]. The Schrödinger Functional details follow [5]. All results were generated on $8^3 \times 16$ lattices using the HMC algorithm. A mild smearing of $\alpha = 0.1$ was used. A series of simulations were performed (typically generating $O(3000)$ trajectories), quadratic and then linear interpolations of the M , ΔM results being used to locate the critical point.

We thus have a two-parameter interpolation in c_{sw} and κ which is split here into two separate interpolations. First plotting ΔM against M and then interpolating to $M = 0$ gives $\Delta M(c_{sw}, \kappa_c(c_{sw}))$. A typical result is shown in Fig. 1. These values of ΔM for $M = 0$ for various β values are then

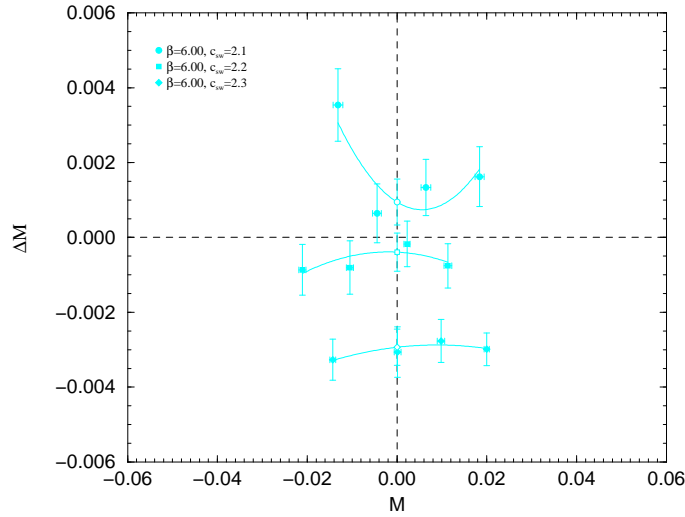


Figure 1: ΔM against M for $\beta = 6.00$ (filled symbols) together with quadratic interpolations to $M = 0$ (the open symbols).

plotted against c_{sw} as shown in Fig. 2. $\Delta M = 0$ then gives c_{sw}^* .

A similar procedure yields κ_c^* : plotting M against $1/\kappa$ and interpolating to $M = 0$ gives $\kappa_c(c_{sw})$. Then subsequently plotting ΔM against $1/\kappa_c$ and interpolating to $\Delta M = 0$ gives κ_c^* .

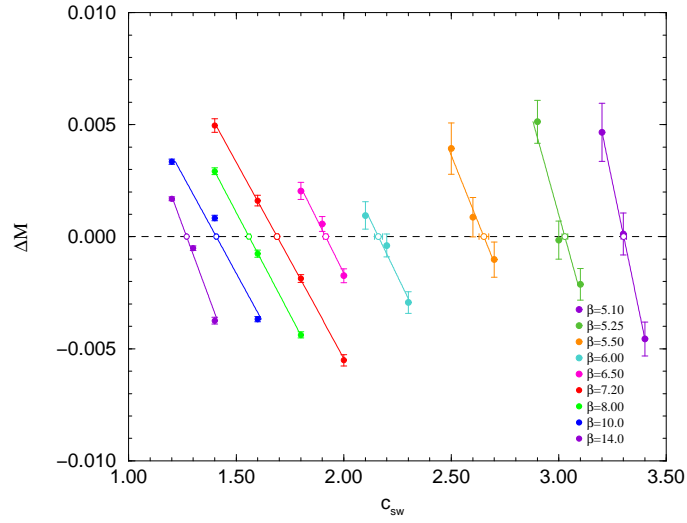


Figure 2: ΔM at $M = 0$ against c_{sw} for various values of β (filled circles) together with linear interpolations to $\Delta M = 0$ (open circles).

4. Results

The results for c_{sw}^* and κ_c^* against g_0^2 are plotted in Figs. 3, 4 respectively in the range $\beta \leq 5.10$.

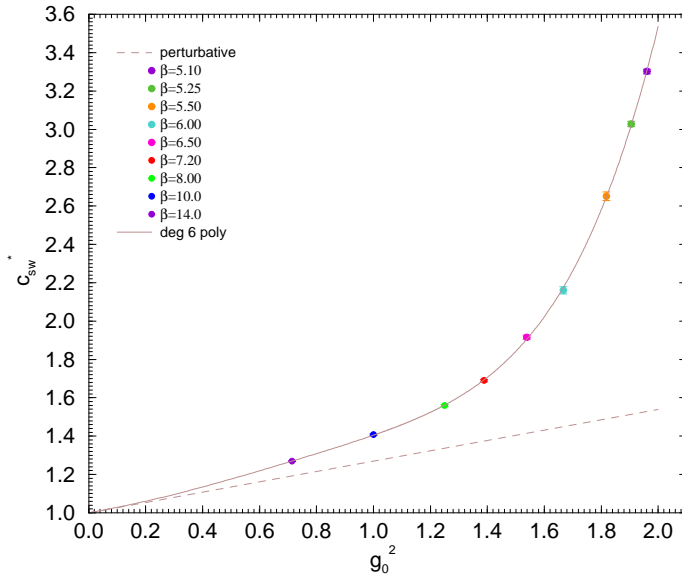


Figure 3: c_{sw}^* against g_0^2 for various values of β (circles), together with a polynomial interpolation (line). Also shown is the perturbative result.

The lowest order perturbative limit has been computed for both c_{sw}^* and κ_c^* , [3] and is also shown in the figures. An interpolation between the numerically determined points is also shown. For both c_{sw}^* and κ_c^* a 6th order polynomial in g_0^2 proved sufficient. (These interpolation functions are constrained to reproduce the perturbative results, [3], in the $\beta \rightarrow \infty$ limit. Therefore, they have four

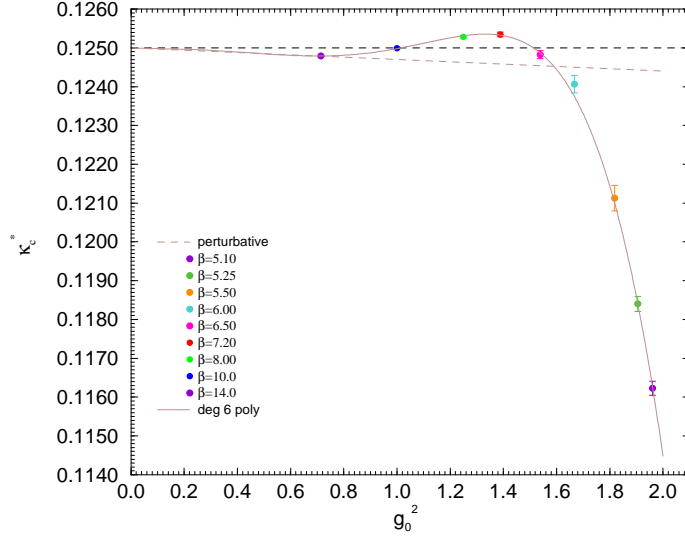


Figure 4: κ_c^* against g_0^2 for various values of β (circles), together with a polynomial interpolation (line). Also shown is the perturbative result.

free parameters.) This smooth fit between the points gives an estimate for c_{sw}^* which will be used in the action for future generation of configurations.

For c_{sw}^* the polynomial only tracks the perturbative solution for small values of g_0^2 . This is perhaps not surprising as the tadpole improved, TI, estimate is $c_{sw}^{TI} = u_0^{(S)}/u_0^4$, [3], which is to be compared with the unsmear case of $c_{sw}^{TI} = 1/u_0^3$ where u_0 is the average plaquette value and $u_0^{(S)}$ is the smeared value. As smearing increases the plaquette value this indicates that c_{sw}^* can be large. For κ_c^* on the other hand as $\kappa_c^{TI} = 1/(8u_0^{(S)})$ we expect that it is $\sim 1/8$. This is true for reasonably fine lattices, however κ_c^* does begin to decrease for larger values of g_0^2 . For $n_f = 2$ the same phenomenon occurs: for larger g_0^2 , κ_c^* begins to decrease (after initially increasing).

Finally in Fig. 5 we show the vector renormalisation constant. This is computed using the vector current in the ratio of a three-point to two-point function where the sinks/sources are built using $O^{(i)}$ as described earlier in section 1.

5. Conclusions

Non-perturbative $O(a)$ improvement is a viable procedure for (stout) smeared actions with typical clover results being obtained. (Other recent results for $2+1$ flavours are given in [6, 7].) As a decreases we need a significant $c_{sw} \gg c_{sw}^{tree} \equiv 1$ for $O(a)$ improvement. We are now seeking a region where $a \sim 0.05 - 0.1$ fm. Improvement, which is presumably an asymptotic series, brings an advantage for smaller a say $a \leq 0.1$ fm. The two extremes for a are simulations at small a with ‘large’ m_{ps} when there is no continuum extrapolation but a chiral extrapolation, or alternatively simulations at ‘coarse’ a with $m_{ps} \sim m_\pi$ when there is no chiral extrapolation but a continuum extrapolation. Of course the Schrödinger functional does not tell us a ; for this conventional HMC simulations are required. Some preliminary results indicate that around $\beta \lesssim 6.0$ we have $a \lesssim 0.07$ fm. Final results, including larger lattice size comparisons will be published elsewhere, [8].

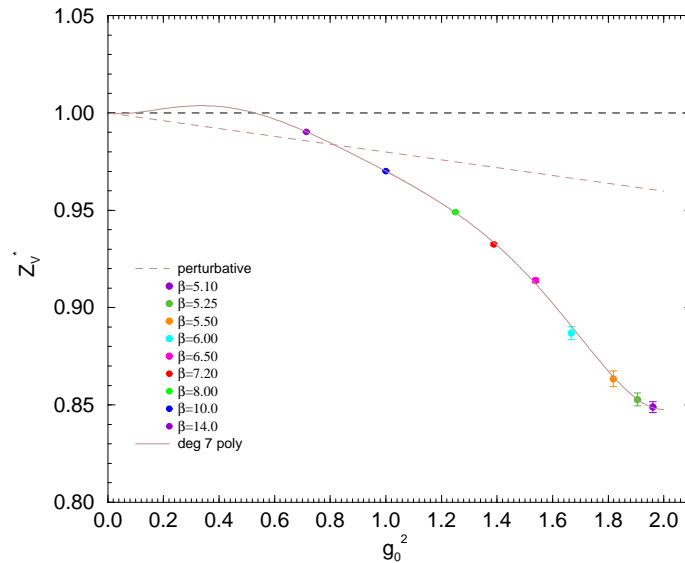


Figure 5: Z_V^* against g_0^2 for various values of β (circles), together with a polynomial interpolation (line). Also shown is the perturbative result.

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