

## ACCEPTED VERSION

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25 than the requirement in conventional FRD-based techniques. Sensitivity analysis and  
26 numerical simulations are performed to assess the robustness and applicable range of the  
27 proposed leak location technique. The proposed leak location technique is verified by  
28 both numerical simulations and using an experimental FRD obtained from a laboratory  
29 pipeline.

30  
31 *Keywords:* pipelines; fluid transients; water hammer; water distribution systems; leak  
32 detection; frequency response diagram; harmonic analysis

### 33 **Introduction**

34 With rapid population growth, urbanization and industrialization, providing adequate  
35 water for domestic and industry use is increasingly becoming a challenge for water  
36 authorities around the world. Resources of fresh water are limited or even scarce in some  
37 countries, however, for almost every city, only part of the treated water is delivered to  
38 consumers successfully, since a large amount of water is lost during transmission.

39  
40 The amount of water lost during transmission varies between systems, from lower than  
41 10 % in well maintained systems such as those in The Netherlands (Beuken et al. 2006)  
42 to more than 50 % in some undeveloped countries or regions (Mutikanga et al. 2009).  
43 According to publications released by the International Water Association (Lambert 2002)  
44 and the Asian Development Bank (McIntosh and Yniguez 1997), ‘non-revenue water’  
45 (NRW) or ‘unaccounted for water’ (UFW) is between 20 % to 40 % for most countries or  
46 cities investigated. Among various reasons for the water loss, leakage is considered to be  
47 the major one (Nixon and Ghidaoui 2006; Colombo et al. 2009).

48

49 In addition to water loss, leakage also costs extra energy for water treatment, storage and  
50 pumping (Colombo and Karney 2002). Moreover, leaks may lead to water quality  
51 problems, because toxins and bacteria can be introduced into water distribution systems  
52 via leaks in low pressure conditions during hydraulic transients (Karim et al. 2003;  
53 Colombo et al. 2009; Meniconi et al. 2011; Collins et al. 2012). As a result, leak detection  
54 in water distribution systems is of great interest in both industry and academic areas  
55 (Puust et al. 2010).

56

57 In the past two decades, a number of leak detection techniques have been developed,  
58 including acoustic techniques (Fuchs and Riehle 1991; Tafuri 2000), ground penetrating  
59 radar (Eiswirth and Burn 2001), electromagnetic techniques (Goh et al. 2011), fiber optic  
60 sensing (Inaudi et al. 2008), and hydraulic transient-based techniques (Colombo et al.  
61 2009; Puust et al. 2010). A major advantage of the transient-based methods is that the  
62 information of a long pipeline (usually thousands meters) can be obtained efficiently and  
63 cost-effectively, because transient waves travel at high speed along fluid-filled pipes. Up  
64 to now, intensive simplified numerical simulations, some elaborately controlled  
65 laboratory experiments and a few field tests have been conducted for leak detection using  
66 transient-based techniques (Colombo et al. 2009; Puust et al. 2010).

67

68 The existing transient-based leak detection techniques can be divided into two categories:  
69 the time-domain techniques and the frequency-domain techniques. In the time domain,  
70 leak-induced reflections are observed as discontinuities in the pressure traces measured  
71 along the pipe. A few leak detection techniques have been developed based on time-  
72 domain phenomena (Jönsson and Larson 1992; Brunone 1999), which are complicated by  
73 the fact that the size and shape of a leak-induced reflection not only depend on the  
74 properties of the leak, but also relate to the input signal (Lee et al. 2007). For example,  
75 using a positive step transient wave as the input, the leak-induced reflections are shown  
76 as a small negative step in the measured pressure trace; while when a pulse input is

77 injected, the leak-induced reflections are also pulses. By using signal processing, a leak  
78 location can be determined irrespective of the characteristics of the input signal. For  
79 example, the use of the wavelet analysis (Ferrante and Brunone 2003a) or the impulse  
80 response function (IRF) of the pipeline can improve the estimation of the leak location  
81 (Vítkovský et al. 2003b; Lee et al. 2007). However, difficulties exist in real world  
82 applications, where leak-induced reflections are usually small in magnitude, and they can  
83 be hard to distinguish from the reflections introduced by other hydraulic components,  
84 such as joints, junctions, and entrapped air.

85

86 Several transient-based leak detection techniques have been developed in the frequency  
87 domain, based on analyzing the frequency response function (FRF) or the frequency  
88 response diagram (FRD) of a pipeline system. The FRF of a pipeline system is the  
89 Fourier transform of the IRF, which describes the magnitude of the system response to  
90 each oscillatory excitation at a specific frequency, and the FRD is the plot of a FRF. The  
91 FRF or FRD is dependent on the physical configuration of the pipeline system, such as  
92 the boundary condition, the length, the location and size of the leak. As a result, the FRF  
93 or FRD can be used for leak detection.

94

95 Jönsson and Larson (1992) first proposed that it is possible to distinguish the leak-  
96 induced reflections in the spectrum at a frequency corresponding to the leak location.  
97 Mpesha et al. (2001) proposed that the FRD of a pipeline with leaks had additional  
98 resonant pressure amplitude peaks, and a method using the FRD was presented for  
99 detecting and locating leaks. Ferrante and Brunone (2003b) demonstrated that Fourier  
100 transform of transient pressure does not show further peaks unless leak size is larger than  
101 a critical value.

102

103 Covas et al. (2005) proposed a *standing wave difference method*, which uses the spectral  
104 analysis of an FRD to determine the leak-resonance frequency and indicate the leak  
105 location. However, two locations are estimated for a single leak, with one of them an  
106 alias and undistinguishable.

107

108 Lee et al. (2005a) proposed a *resonance peak-sequencing method* for leak location. The  
109 resonant responses in a FRD (peaks at the odd harmonics of the pipeline fundamental  
110 frequency) are ranked in order of magnitude. The rank sequence is indicative to the  
111 dimensionless leak location range, and the size of the leak has no effect on the order of  
112 the peaks. For example, using the rank sequence of the first three resonant peaks, the leak  
113 can be located to one of the six unequal ranges along the pipe, but the exact location  
114 cannot be pinpointed.

115

116 In the same year, Lee et al. (2005b) proposed a technique for leak location and size  
117 estimation using the sinusoidal leak-induced pattern shown on the resonant responses  
118 (frequency responses at the odd harmonics). The period and phase of the sinusoidal leak-  
119 induced pattern is indicative of the leak location, while the amplitude is related to the leak  
120 size. One year later, laboratory experiments were conducted by the same authors, which  
121 verified the odd harmonics-based leak detection technique (Lee et al. 2006). The  
122 experimental FRD were affected by the frequency-dependent behavior resulting from  
123 unsteady friction. In order to produce an accurate estimation of the oscillation frequency  
124 and phase, a least squares regression algorithm was adapted to fit a cosine function to the  
125 inverted resonant responses. However, up to 10 coefficients need to be calibrated, which

126 requires at least 10 resonant responses to yield a determined system for the regression  
127 process.

128

129 Sattar and Chaudhry (2008) suggested a similar leak detection method but using the leak-  
130 induced pattern on the anti-resonant responses (frequency responses at the even  
131 harmonics). The anti-resonant responses can be hard to measure accurately in practice,  
132 because they are usually low in amplitude.

133

134 The odd harmonics-based leak detection technique was extended to complex series pipe  
135 systems by Duan et al. (2011), in which the results of analytical analysis and numerical  
136 simulations suggest that internal junctions of series pipe sections can change the location  
137 of the resonant peaks, but have little impact on the period and phase of the leak-induced  
138 sinusoidal pattern.

139

140 Although the existing odd harmonics-based leak detection technique (Lee et al. 2005b;  
141 Duan et al. 2011) has its advantages, two major limitations are obstacles for real world  
142 applications. Firstly, a significant number of resonant responses need to be known, in  
143 order to provide sufficient information to identify the period and phase of the sinusoidal  
144 leak-induced pattern. This in turn requires the input signal to have a wide bandwidth that  
145 covers a significant number of harmonics of the pipeline's fundamental frequency.  
146 However, due to limitations in the maneuverability of existing transient generators, it is  
147 difficult to obtain a wide bandwidth input with enough signal-to-noise-ratio (SNR).  
148 Secondly, the distortion caused by the frequency-dependent behavior of real pipelines,

149 such as the effects of unsteady friction, needs to be corrected in order to give a better  
150 estimation of the amplitude, period and phase of the sinusoidal leak-induced pattern. The  
151 frequency-dependent behavior of real pipelines is complicated, and more distortion is  
152 expected in the response at higher resonant frequencies.

153

154 The research presented in this paper proposes a novel FRD-based leak detection  
155 technique that is not affected significantly by problems with either the bandwidth of the  
156 input or distortion due to unsteady friction. Only the first three resonant responses  
157 recorded in a FRD (which are the responses at the first three odd harmonics), are used to  
158 estimate the location and size of a single leak. The bandwidth of the input signal only  
159 needs to be greater than the third resonant frequency of the pipeline, which is five times  
160 the fundamental frequency. In addition, the effects of unsteady friction are usually not  
161 significant on the first three resonances, and the new leak location algorithm is robust to  
162 measurement errors (as shown in the sensitivity analysis in a latter section), so that the  
163 procedure for correction can be avoided. This new technique is verified by both  
164 numerical simulations and laboratory experiments.

## 165 **Frequency response equations for a single pipe with a leak**

166 This section reviews the frequency response equations for a single pipeline with a leak,  
167 which are the basis of most frequency-domain transient-based leak detection techniques.  
168 The reservoir-pipeline-valve (RPV) configuration is adopted, where two possible  
169 boundary conditions are discussed and compared.



## 170 **System configurations**

171 Typically, to extract the FRD of a pipeline, systems with two types of configuration can  
172 be used: the reservoir-pipeline-valve (RPV) system and the reservoir-pipeline-reservoir  
173 (RPR) system (Lee et al. 2006). The fundamental frequency of a RPV system is half that  
174 of a RPR system (Lee et al. 2006). As a result, the RPV system requires a smaller  
175 bandwidth for the input signal to cover the same number of resonant frequencies, and this  
176 type of configuration is the focus of the current research. A typical RPV system for leak  
177 detection is given in Fig. 1, where  $H_r$  represents the head of the reservoir;  $L$  is the total  
178 length of the pipe;  $L_1$  and  $L_2$  are the length of the pipe sections upstream and  
179 downstream of the leak, respectively. A pressure transducer is located at the end of the  
180 pipe to achieve the highest signal-to-noise ratio (Lee et al. 2006).

181

182 Pipeline systems with a RPV configuration can have two possible boundary conditions:  
183 the *RPV-High Loss Valve* boundary condition and the *RPV-Closed Valve* boundary  
184 condition. For RPV-High Loss Valve systems, the in-line valve has a small opening to  
185 achieve a high value of hydraulic impedance. The downstream side of the in-line valve  
186 can be connected to the atmosphere or a constant head reservoir. For *RPV-Closed Valve*  
187 systems, the in-line valve is fully closed to form a dead end.

188

189 The frequency responses equations for pipelines with the *RPV-High Loss Valve* and the  
190 *RPV-Closed Valve* boundary conditions are given below in sequence. The *RPV-Closed*  
191 *Valve* boundary condition can be regarded as a special case of the *RPV-High Loss Valve*

192 boundary condition, where the opening of the valve is extremely small. The limitations  
 193 and benefits of the *RPV-Closed Valve* boundary condition are analyzed and presented.

194 ***Frequency response equations for RPV-High Loss Valve***  
 195 ***systems***

196 The frequency response equation of a pipeline system can be derived from the transfer  
 197 matrix method (Chaudhry 1987; Wylie and Streeter 1993). The transfer matrix for an  
 198 intact pipe section is given as

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} \cosh(\mu L_i) & \frac{-1}{Z_p} \sinh(\mu L_i) \\ -Z_p \sinh(\mu L_i) & \cosh(\mu L_i) \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n \quad (1)$$

199 where  $q$  and  $h$  are complex discharge and head at either end of the pipe section; the  
 200 superscripts  $n$  and  $n+1$  represent the upstream and downstream positions respectively;  
 201  $L_i$  is the length of this pipe section;  $Z_p = \mu a^2 / (j\omega gA)$  is the characteristic impedance of  
 202 the pipe;  $\mu$  is the propagation operator given by  $\mu = \sqrt{-\omega^2 / a^2 + jgA\omega R / a^2}$ , in which  
 203  $\omega$  is the angular frequency;  $a$  is the wave speed;  $j = \sqrt{-1}$  is the imaginary unit;  $g$  is the  
 204 gravitational acceleration;  $A$  is the cross-sectional area of the pipe; and  $R$  is a linearised  
 205 resistance term. For turbulent flow and steady friction  $R = R_s = fQ_0 / (gDA^2)$ , where  $f$   
 206 is the Darcy-Weisbach friction factor;  $Q_0$  is the steady-state flow rate; and  $D$  is the  
 207 inside diameter of the pipeline. If unsteady friction is included, an additional component  
 208  $R_{us}$  needs to be added into the linearised resistance term, i.e.  $R = R_s + R_{us}$ . Unsteady  
 209 friction is studied in detail in the *numerical verification* section presented latter in this  
 210 paper.

211

212 To highlight the impact of a leak on the frequency response, the pipeline is assumed to be  
213 frictionless in the following derivation. The transfer matrix for a frictionless and intact  
214 pipe is given as

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} \cos\left(\frac{L_i\omega}{a}\right) & -\frac{j}{Z_c}\sin\left(\frac{L_i\omega}{a}\right) \\ -jZ_c\sin\left(\frac{L_i\omega}{a}\right) & \cos\left(\frac{L_i\omega}{a}\right) \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n \quad (2)$$

215 where  $Z_c = a/(gA)$  is the characteristic impedance of a frictionless pipeline.

216

217 The matrix for a leak is

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} 1 & -\frac{1}{Z_L} \\ 0 & 1 \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n \quad (3)$$

218 where  $Z_L = 2H_{L0}/Q_{L0}$  is the impedance of the leak in the steady state, in which  $H_{L0}$  and  
219  $Q_{L0}$  are the steady-state head and discharge at the leak.

220

221 An in-line valve can be used to generate steady oscillatory flow, where the transfer matrix  
222 is given as

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} 1 & 0 \\ -Z_v & 1 \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n + \begin{Bmatrix} 0 \\ \frac{2\Delta H_{v0}\Delta\tau}{\tau_0} \end{Bmatrix} \quad (4)$$

223 where  $Z_v = 2\Delta H_{v0}/Q_{v0}$  is the impedance of the in-line valve at the steady state, in which  
224  $\Delta H_{v0}$  and  $Q_{v0}$  are the steady-state head loss across the valve and the flow through the  
225 valve, respectively;  $\tau_0$  is the dimensionless valve opening size at the steady state; and

226  $\Delta \tau$  is the amplitude of the dimensionless valve opening perturbation that generates the  
 227 transients.

228

229 The matrices for all the components along a pipeline can be multiplied together from the  
 230 downstream to upstream boundary to form an overall transfer matrix. At the upstream  
 231 face of the in-line valve (where the transducer is located), the magnitude of the head  
 232 response at resonant frequencies (odd harmonics) is given as

$$|h_{odd}| = \frac{2\Delta H_{V0}\Delta\tau / \tau_0}{1 + \frac{Z_V}{2Z_L} [1 - \cos(\pi x_L^* \omega_r^{odd})]} \quad (5)$$

233 where  $x_L^*$  is the dimensionless leak location that is defined as  $x_L^* = L_1 / L$ ; and  $\omega_r^{odd}$   
 234 represents the relative angular frequency for the odd harmonics, which is given as  
 235  $\omega_r^{odd} = \omega^{odd} / \omega_{th} = 1, 3, 5, \dots$ , where  $\omega^{odd}$  represents the angular frequency for the odd  
 236 harmonics; and  $\omega_{th} = a\pi / (2L)$  is the fundamental angular frequency of the RPV system.

237

238 In practice, it is difficult to control the oscillatory perturbation of an in-line valve. Instead,  
 239 a side-discharge valve located upstream of and adjacent to the in-line valve can be used to  
 240 generate the transients (Lee et al. 2006). The side-discharge valve can be modeled as a  
 241 point where a discharge perturbation takes place:

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n + \begin{Bmatrix} \hat{q} \\ 0 \end{Bmatrix} \quad (6)$$

242 where  $\hat{q}$  represents the discharge perturbation at the side-discharge valve.

243

244 Once a side-discharge valve is used to generate the transients, the in-line valve can have a  
 245 constant opening, of which the transfer matrix can be obtained from Eq. (4) by removing  
 246 the last column vector on the right hand side. Then the overall transfer matrix of a RPV  
 247 system with a side-discharge valve can be obtained, and the magnitude of the resonant  
 248 response as measured at the upstream face of the in-line valve is written as

$$|h_{odd}| = \frac{\hat{q}Z_V}{1 + \frac{Z_V}{2Z_L} [1 - \cos(\pi x_L^* \omega_r^{odd})]} \quad (7)$$

249 ***Frequency response equations for RPV-Closed Valve***  
 250 **systems**

251 For *RPV-Closed Valve* systems, the pipeline sections and the leak are modeled by their  
 252 transfer matrices as described in Eq. (2) and Eq. (3), respectively. The in-line valve is not  
 253 included in the deviation, as it is fully closed to form the dead end. A side-discharge  
 254 valve that is located at the upstream face of the closed in-line valve is used to generate the  
 255 transients, and Eq. (6) is adopted to describe the input discharge perturbation produced by  
 256 the side-discharge valve. Finally, the magnitude of the resonant response as measured at  
 257 the upstream face of the closed in-line valve is derived as

$$|h_{odd}| = \frac{\hat{q}}{\frac{1}{2Z_L} [1 - \cos(\pi x_L^* \omega_r^{odd})]} \quad (8)$$

258

259 *RPV-Closed Valve* systems can be regarded as *RPV-High Loss Valve* systems but the  
 260 opening of the valve is extremely small, and accordingly the impedance of the valve is

261 extremely high. Under this assumption, Eq. (8) can be obtained directly from Eq. (7) by  
262 rearranging the equation and setting the impedance of the valve  $Z_v$  to infinite.

### 263 ***Comparison between the RPV-High Loss Valve and the RPV-*** 264 ***Closed Valve boundary conditions***

265 Compared with the *RPV-High Loss Valve* boundary condition, the *RPV-Closed Valve*  
266 boundary condition has two limitations: firstly, the dead end boundary condition cannot  
267 always be obtained because the in-line valve in real pipelines may not seal perfectly;  
268 secondly, theoretically, the magnitude of the resonant response can be infinite for *RPV-*  
269 *Closed Valve* systems according to Eq. (8) (when the cosine component in the  
270 denominator equals unity). In real pipelines, the magnitude of the resonant response will  
271 not be infinite due to the effects of friction, but it will still be large. The high magnitude  
272 of resonant response can introduce risks of pipe burst and significant fluid-structure  
273 interactions. In contrast, for *RPV-High Loss Valve* systems, the maximum magnitude of  
274 resonant response is controllable and it is related to the impedance of the valve according  
275 to Eq. (7).

276

277 However, the *RPV-Closed Valve* boundary condition has its own benefits. The governing  
278 equation for the resonant response of *RPV-Closed Valve* systems [Eq. (8)] is less complex  
279 than that of systems with the *RPV-High Loss Valve* boundary condition, as the impedance  
280 of the valve  $Z_v$  is not included. As a result, theoretically less information is required for  
281 estimating the leak location and size in systems with the *RPV-Closed Valve* boundary  
282 condition.

283

284 Techniques are developed in this research for leak detection in pipelines with the *RPV-*  
285 *High Loss Valve* and the *RPV-Closed Valve* boundary conditions, respectively. The leak  
286 detection technique for *RPV-High Loss Valve* systems is presented first, following by the  
287 technique for *RPV-Closed Valve* systems as a special case.

### 288 **Leak detection for *RPV-High Loss Valve* systems**

289 The development of a technique for detecting leaks in *RPV-High Loss Valve* systems is  
290 presented in this section. It can be seen from Eqs (5) and (7) that the magnitude of each  
291 resonant response  $|h_{odd}|$  is related to the impedance of the leak  $Z_L$  and the dimensionless  
292 location of the leak  $x_L^*$ . Provided the values of other parameters are known, including  
293  $(2\Delta H_{V0}\Delta\tau/\tau_0)$ ,  $\hat{q}$  and  $Z_V$ , theoretically only two equations are required for solving the  
294 two unknowns, which means only two resonant responses are needed for leak location  
295 and size estimation.

296

297 In practice, however, the estimation of  $(2\Delta H_{V0}\Delta\tau/\tau_0)$ ,  $\hat{q}$  and  $Z_V$  may have errors, thus  
298 yielding errors in the estimated values of  $Z_L$  and  $x_L^*$ . This research proposes a leak  
299 location algorithm that uses solely the magnitude of the first three resonant responses,  
300 being independent of the values of  $(2\Delta H_{V0}\Delta\tau/\tau_0)$ ,  $\hat{q}$  or  $Z_V$ . However, the impedance of  
301 the leak  $Z_L$  cannot be derived using the magnitude of the first three resonant responses  
302 solely, but rather the ratio of  $Z_V$  to  $Z_L$  can be estimated.

303

304 Details about the new leak location and size estimation algorithms for *RPV-High Loss*  
 305 *Valve* systems are described below. A sensitivity analysis is performed to confirm the  
 306 robustness and applicable range of the proposed technique.

307 **Determination of the leak location for RPV-High Loss Valve**  
 308 **systems**

309 In the proposed new leak location technique, all the parameters on the right hand side of  
 310 Eq. (5) or Eq. (7) are assumed to be unknowns. Although there are a number of symbols  
 311 on the right hand sides of these equations, it is observed that they can be categorized into  
 312 three independent variables. For Eq. (5), the three variables are  $(2\Delta H_{V0}\Delta\tau/\tau_0)$ ,  $Z_V/Z_L$   
 313 and  $x_L^*$ . For Eq. (7), they are  $\hat{q}Z_V$ ,  $Z_V/Z_L$  and  $x_L^*$ . As a result, to solve for  $x_L^*$ , three  
 314 equations are required, which means the peak values of three resonant responses are  
 315 needed.

316

317 Using the inverted peak values of the first three resonant responses given by Eq. (5) or Eq.  
 318 (7) (obtained with  $\omega_r^{odd} = 1, 3$  and  $5$  respectively), the following equation can be written:

$$\frac{\frac{1}{|h|_1} - \frac{1}{|h|_5}}{\frac{1}{|h|_1} - \frac{1}{|h|_3}} = \frac{\cos(5\pi x_L^*) - \cos(\pi x_L^*)}{\cos(3\pi x_L^*) - \cos(\pi x_L^*)} \quad (9)$$

319 where the subscripts ‘ $_{odd}$ ’ for the head responses are removed for simplicity, and the new  
 320 subscripts ‘ $_1, _3$  and ‘ $_5$ ’ representing the values of  $\omega_r^{odd}$  are used. Simplifying the above



321 equation and assuming  $\cos(\pi x_L^*) \neq 0$  or  $\pm 1$ , which means  $x_L^* \neq 0, 0.5$  or  $1$ , the following  
322 equation is obtained:

$$\frac{(|h|_5 - |h|_1)|h|_3}{(|h|_3 - |h|_1)|h|_5} = 4 \cos^2(\pi x_L^*) - 1 \quad (10)$$

323

324 Eq. (10) gives the relationship between the peak values of the first three resonant  
325 responses and the location of the leak. This relationship is independent of any other  
326 parameters. In addition,  $x_L^*$  is only related to the relative sizes of the peaks, thus the  
327 absolute magnitude of the resonant response is not important. Solving Eq. (10) for  $x_L^*$   
328 yields

$$x_L^* = \frac{1}{\pi} \arccos \left( \pm \frac{1}{2} \sqrt{1 + P_L} \right) \quad (11)$$

329 where  $P_L$  represents the left part of Eq. (10).

330

331 From Eq. (11), two values of  $x_L^*$  can be obtained for a specific value of  $P_L$ , provided the  
332 two values within the brackets in Eq. (11) are within the range of  $[-1, 1]$ . The summation  
333 of these two  $x_L^*$  values is unity, implying that they are two symmetric possible leak  
334 locations along the pipe. Numerical simulations performed in this research illustrate that,  
335 by comparing the size of the first two resonant responses  $|h|_1$  and  $|h|_3$ , the alias can be  
336 eliminated. When  $|h|_1 > |h|_3$ , the leak is located within the range of  $x_L^* \in (0, 0.5)$ ; while  
337 when  $|h|_1 < |h|_3$ , the leak is located within  $x_L^* \in (0.5, 1)$ . Details of the numerical  
338 simulations are given in Fig. 2 in the sensitivity analysis presented in a latter section.

339 **Determination of the leak size for RPV-High Loss Valve systems**

340 Once the leak location has been identified, the leak size can be determined. In the steady  
341 state, the size of the leak is related to the steady-state head  $H_{L0}$  and discharge  $Q_{L0}$  at the  
342 leak through the orifice equation

$$Q_{L0} = C_{Ld} A_L \sqrt{2gH_{L0}} \quad (12)$$

343 where  $C_{Ld}$  is the discharge coefficient of the leak; and  $A_L$  is the flow area of the leak  
344 orifice. To estimate the lumped leak parameter  $C_{Ld} A_L$ , the values of  $H_{L0}$  and  $Q_{L0}$  need  
345 to be known.

346

347 The value of  $H_{L0}$  can be estimated once the location of the leak  $x_L^*$  has been determined.  
348 The value of  $Q_{L0}$  can be calculated if the value of the leak impedance  $Z_L$  is known  
349 ( $Q_{L0} = 2H_{L0} / Z_L$ ). However, unlike the  $x_L^*$ , the value of  $Z_L$  cannot be estimated from the  
350 magnitude of the first three resonant responses directly, but rather only the value of  
351  $Z_V / Z_L$  can be obtained. Using Eq. (5) or Eq. (7) with  $\omega_r^{odd} = 1$  and 3, the following  
352 equation can be derived:

$$Z_L = \frac{Z_V}{2} \frac{|h|_3 [\cos(3\pi x_L^*) - 1] - |h|_1 [\cos(\pi x_L^*) - 1]}{|h|_3 - |h|_1} \quad (13)$$

353 where the value of  $Z_V$  can be estimated from the steady-state head loss across the valve  
354  $\Delta H_{V0}$  and the steady-state flow through the valve  $Q_{V0}$  (which in turn can be estimated  
355 from  $\Delta H_{V0}$  using the orifice equation).

356

357 Compared with the process for estimating the leak location [Eq. (11)], the estimation of  
358 the leak size depends on more parameters, and the procedure is more complex. However,  
359 in practice it is more important to detect the existence of a leak and estimate its location.  
360 A sensitivity analysis is performed and presented below for the proposed leak location  
361 algorithm to confirm its robustness and applicable range.

362 **Sensitivity analysis for the three resonant responses-based leak**  
 363 **location algorithm**

364 A sensitivity analysis is now performed to assess the robustness and the applicable range  
 365 of the proposed three resonant responses-based leak location technique. The sensitivity  
 366 analysis is based on the analysis of the total differential of  $x_L^*$ , which is presented as  $dx_L^*$ ,  
 367 with respect to all the dependent variables, which are the measured three resonant  
 368 responses  $|h|_1$ ,  $|h|_3$  and  $|h|_5$ . By normalizing the total differential  $dx_L^*$  by  $x_L^*$ , the  
 369 relationship between the fractional change in  $x_L^*$  (which is  $dx_L^*/x_L^*$ ) and the fractional  
 370 change in each dependent variable (which are  $d|h|_1/|h|_1$ ,  $d|h|_3/|h|_3$  and  $d|h|_5/|h|_5$ ) can  
 371 be obtained. The coefficient before the fractional change of a variable represents the  
 372 degree of influence of this variable on the estimated  $x_L^*$ . The smaller the absolute value of  
 373 the coefficient, the less sensitive the estimated  $x_L^*$  is to the corresponding dependent  
 374 variable. The procedure for the total differential-based sensitivity analysis is detailed  
 375 below.

376  
 377 Using Eq. (10), the total differential of  $x_L^*$  with respect to  $|h|_1$ ,  $|h|_3$  and  $|h|_5$  can be  
 378 obtained as presented in Eq. (14):

$$\frac{dx_L^*}{x_L^*} = C_1 \frac{d|h|_1}{|h|_1} + C_3 \frac{d|h|_3}{|h|_3} + C_5 \frac{d|h|_5}{|h|_5} \quad (14)$$

379 where the three coefficients before  $d|h|_1/|h|_1$ ,  $d|h|_3/|h|_3$  and  $d|h|_5/|h|_5$  are

$$\begin{aligned} C_1 &= -\frac{2 \cos(2\pi x_L^*) + 1}{4\pi x_L^* \sin(2\pi x_L^*)} \frac{|h|_1 (|h|_5 - |h|_3)}{(|h|_3 - |h|_1)(|h|_5 - |h|_1)} \\ C_3 &= \frac{2 \cos(2\pi x_L^*) + 1}{4\pi x_L^* \sin(2\pi x_L^*)} \frac{|h|_1}{(|h|_3 - |h|_1)} \\ C_5 &= -\frac{2 \cos(2\pi x_L^*) + 1}{4\pi x_L^* \sin(2\pi x_L^*)} \frac{|h|_1}{(|h|_5 - |h|_1)} \end{aligned} \quad (15)$$

380

381 It can be seen from Eqs (14) and (15) that, for any leak position, if the fractional changes  
382 (relative errors) in the first three peak values are the same  
383 ( $d|h|_1/|h|_1 = d|h|_3/|h|_3 = d|h|_5/|h|_5$ ), theoretically the estimation of  $x_L^*$  is free of error  
384 ( $dx_L^*/x_L^* = 0$ ), because the summation of the three coefficients is zero ( $C_1 + C_3 + C_5 = 0$ ).  
385 This indicates that theoretically steady friction does not have any effects on the proposed  
386 leak location technique, because steady friction only introduces uniform reduction on the  
387 overall magnitude of the resonant response (Lee et al. 2005b).

388

389 In practice, however, due to the effects of frequency-dependent behavior, the fractional  
390 changes in the first three peak values are usually different. Therefore, it is necessary to  
391 analyze the behavior of the three coefficients in detail. When the value of  $x_L^*$  is close to 0,  
392 0.5 or 1, the coefficients  $C_1$ ,  $C_3$  and  $C_5$  can be much greater than unity, as shown in Eq.  
393 (15). This indicates that the estimation of  $x_L^*$  from Eq. (11) is very sensitive to variations  
394 in the peak values for these cases. As a result, when the dimensionless leak location  $x_L^*$  is  
395 close to 0, 0.5 or 1, the proposed leak location algorithm is unstable and not applicable.

396

397 For other leak positions, the values of the three coefficients in Eq. (14) vary. To study the  
398 dependence of the three coefficients on the location of the leak  $x_L^*$ , a dimensionless  
399 analysis is performed. Dividing the resonant response  $|h_{odd}|$  shown in Eq. (5) [or Eq. (7)]  
400 by  $(2\Delta H_{V0}\Delta\tau/\tau_0)$  (or  $\hat{q}Z_V$ ), the resonant response can be nondimensionalized to  $|h_{odd}|^*$ ,  
401 and the result is shown as

$$|h_{odd}|^* = \frac{1}{1 + \frac{Z_V}{2Z_L} [1 - \cos(\pi x_L^* \omega_r^{odd})]} \quad (16)$$

402

403 Fig. 2 is obtained from Eq. (16), which shows how the dimensionless peak values of the  
404 first three resonant responses change when  $x_L^*$  varies from 0 to 1. The value of  $Z_V/Z_L$  is

405 fixed to unity, which means that the impedance of the leak is the same as the impedance  
406 of the valve in the steady state. Note that the value of  $Z_V/Z_L$  can change the absolute  
407 magnitude of the FRD, but the order of the peaks remains unaffected (Lee et al. 2005a).  
408 The effects of  $Z_V/Z_L$  on the values of the three coefficients ( $C_1$ ,  $C_3$  and  $C_5$ ) is  
409 discussed later in this section.

410

411 The changing patterns of the dimensionless peak values shown in Fig. 2 are consistent  
412 with the curves shown in Fig. 8 in Lee et al. (2005a), which are dimensional rather than  
413 dimensionless. As shown in Fig. 2, the peak values are observed to intersect at five leak  
414 positions along the pipeline, dividing the pipeline into six unequal sections. Within each  
415 section, the order of the three peaks, i.e. the peak-ranking sequence, is unique. Lee et al  
416 (2005a) developed a *resonance peak-sequencing method* for locating a leak within a  
417 particular section using the rank of the measured first three resonant responses. In the  
418 current research, the rank of the first two resonant responses is used to eliminate the alias  
419 from the two possible leak locations estimated by the proposed three resonant responses-  
420 based leak location algorithm [Eq. (11)]. When  $|h_1| > |h_3|$ , the leak is located within the  
421 range of  $x_L^* \in (0, 0.5)$ ; while when  $|h_1| < |h_3|$ , the leak is located within  $x_L^* \in (0.5, 1)$ .

422

423 The values of the coefficients  $C_1$ ,  $C_3$  and  $C_5$  can then be estimated from Fig. 2 for  
424 various leak positions, and the results are shown in Fig. 3.

425

426 As seen in Fig. 3 and as expected, for three  $x_L^*$  ranges  $[0, 0.1]$ ,  $[0.45, 0.55]$  and  $[0.9, 1]$ ,  
427 the values of the three coefficients  $C_1$ ,  $C_3$  and  $C_5$  are very large (values exceeding  $\pm 10$   
428 are not displayed). This confirms the previous statement that the leak cannot be detected  
429 when it is located near  $x_L^* = 0$ ,  $0.5$ , or  $1$ . In contrast, for leak position ranges  
430  $x_L^* \in [0.1, 0.45]$  and  $x_L^* \in [0.55, 0.9]$ , most values for the three coefficients are within  $[-1,$   
431  $1]$ . As a result, the proposed leak location algorithm is applicable within these two ranges.

432

433 The above numerical analysis also illustrates that the proposed leak location algorithm is  
434 tolerant of measurement errors. In real applications the measurement errors in the three  
435 peak values (  $d|h|_1/|h|_1$  ,  $d|h|_3/|h|_3$  and  $d|h|_5/|h|_5$  ) usually share the same sign. For  
436 example, unsteady friction introduces reduction to all the resonant peak values, although  
437 non-uniform. However, from Fig. 3, the values of  $C_1$ ,  $C_3$  and  $C_5$  for any  $x_L^*$  are always a  
438 mixture of positive and negative values. According to Eq. (14), the final result of  $dx_L^*/x_L^*$   
439 can be smaller than the summation of the measurement errors in the three peak values  
440 (  $d|h|_1/|h|_1 + d|h|_3/|h|_3 + d|h|_5/|h|_5$  ), which indicates the fact that part of the effects of the  
441 error in the measured peak values can be cancelled out through the transfer process to the  
442 error in the estimated leak location.

443

444 From additional numerical testing, increasing  $Z_V/Z_L$  increases the robustness of the new  
445 leak location algorithm [Eq. (11)]. Although the value of  $Z_V/Z_L$  does not affect the order  
446 of the peaks, it exerts an influence on the magnitude of the resonant responses and the  
447 values of the three coefficients. When the value of  $Z_V/Z_L$  increases from 1, the  
448 difference between  $|h|_1$ ,  $|h|_3$  and  $|h|_5$  increases and the values of  $C_1$ ,  $C_3$  and  $C_5$  decrease  
449 correspondingly. In practice, the increase of  $Z_V/Z_L$  can be achieved by reducing the  
450 opening of the in-line valve. The value of  $Z_V$  will be increased accordingly, while the  
451 value of  $Z_L$  is constant when the effects of friction are ignored, and it will not change  
452 significantly even when friction is included.

453

454 In summary, the proposed three resonant responses-based leak location technique for the  
455 *RPV-High Loss Valve* system is applicable when the leak is located within  
456  $x_L^* \in [0.1, 0.45]$  or  $x_L^* \in [0.55, 0.9]$ . To assure the robustness of the algorithm, the opening  
457 of the in-line valve is suggested to be small to yield a large value of  $Z_V/Z_L$ .

## 458 **Leak detection for *RPV-Closed Valve* systems**

459 A leak detection technique is developed for *RPV-Closed Valve* systems, which is a  
460 special case of *RPV-High Loss Valve* systems when the opening of the valve is extremely  
461 small. The frequency response equation for an *RPV-Closed Valve* system is given in Eq.  
462 (8). Compared with Eq. (7) for an *RPV-High Loss Valve* system, the impedance of the  
463 valve  $Z_V$  is not included on the right hand side of Eq. (8). The unknowns can be regarded  
464 as  $\hat{q}Z_L$  and  $x_L^*$ , so that only two equations are required to solve these two unknowns from  
465 the measured resonant responses. The requirement for the signal bandwidth is further  
466 reduced, as only the second resonant frequency needs to be covered. Details about the  
467 two resonant responses-based leak location and size estimation procedures for *RPV-*  
468 *Closed Valve* systems are given below.

### 469 **Determination of the leak location for *RPV-Closed Valve*** 470 **systems**

471 As a special case of RPV systems, a single leak in a pipeline with the RPV-Closed Valve  
472 boundary condition can be detected from the magnitude of the first two resonant  
473 responses. Using Eq. (8) and substituting  $\omega_r^{odd}$  with 1 and 3, the peak values of the first  
474 two resonant responses can be obtained as  $|h|_1$  and  $|h|_3$ . Dividing  $|h|_1$  by  $|h|_3$ , the  
475 unknown  $\hat{q}Z_L$  can be eliminated, yielding an equation with a single unknown  $x_L^*$ , as  
476 shown in the equation below:

$$\frac{|h|_1}{|h|_3} = [2 \cos(\pi x_L^*) + 1]^2 \quad (17)$$

477 Solving the above equation for  $x_L^*$  yields

$$x_L^* = \frac{1}{\pi} \arccos \left[ \frac{1}{2} \left( \pm \sqrt{\frac{|h|_1}{|h|_3}} - 1 \right) \right] \quad (18)$$

478 According to Eq. (18), if  $|h|_1 > |h|_3$ , only one  $x_L^*$  can be obtained and it is within the range  
479  $x_L^* \in (0, 0.5)$ . However, if  $|h|_1 < |h|_3$ , two  $x_L^*$  values may be obtained, but one of them is an  
480 alias. The two possible leak locations are both within the range  $x_L^* \in (0.5, 1)$ , so that the  
481 alias cannot be identified solely by using the rank of the first two resonant responses. The  
482 *resonance peak-sequencing method* would be helpful, but it requires the measurement of  
483 the third resonant response.

484

485 Notably, the three resonant responses-based leak location algorithm given in Eq. (11) for  
486 *RPV-High Loss Valve* systems is also applicable for *RPV-Closed Valve* systems, as the  
487 *RPV-Closed Valve* condition is a special case of the *RPV-High Loss Valve* condition  
488 when the impedance of the in-line valve is infinite or extremely high. One benefit of  
489 using three resonant responses is that the aliased leak location can be distinguished. On  
490 the other hand, a disadvantage is that it requires the input signal to have a wider  
491 bandwidth to cover the third resonant frequency.

## 492 ***Determination of the leak size for RPV-Closed Valve systems***

493 To estimate the size of a leak, the impedance of the leak  $Z_L$  needs to be known. Once the  
494 location of the leak is estimated, the value of  $\hat{q}Z_L$  can be estimated using either  $|h|_1$  or  
495  $|h|_3$ . Then, the value of the discharge perturbation  $\hat{q}$  must be known to estimate the value  
496 of  $Z_L$ . Finally, using the value of  $Z_L$  and the orifice equation [Eq. (12)], the lumped leak  
497 parameter  $C_{L,d}A_L$  can be estimated.

498

499 The value of  $\hat{q}$  can be estimated from the measured pressure deviation resulting from the  
500 movement of the side-discharge valve, which is defined as the *input flow perturbation* in  
501 Lee et al. (2006). The input flow perturbation is related to the head perturbation during  
502 the generation of the transient by the Joukowsky formula. In the case where the side-  
503 discharge valve is located adjacent to a closed boundary with the valve perturbing in a



504 pulse-like fashion,  $\hat{q}$  can be estimated as  $\hat{q} = -(gA/a)\Delta H$ , where  $\Delta H$  is the head  
 505 perturbation from the mean state at the generation point.

506 ***Sensitivity analysis for the two resonant responses-based leak***  
 507 ***location algorithm***

508 To study the robustness of the two resonant responses-based leak location algorithm, as  
 509 given in Eq. (18), a sensitivity analysis is performed. The total differential  $dx_L^*$  is derived  
 510 from Eq. (17) and then normalized by  $x_L^*$ , which is shown as

$$\frac{dx_L^*}{x_L^*} = C'_1 \frac{d|h|_1}{|h|_1} + C'_3 \frac{d|h|_3}{|h|_3} \quad (19)$$

511 where

$$C'_1 = -\frac{2 \cos(\pi x_L^*) + 1}{4\pi x_L^* \sin(\pi x_L^*)}$$

$$C'_3 = \frac{2 \cos(\pi x_L^*) + 1}{4\pi x_L^* \sin(\pi x_L^*)} \quad (20)$$

512

513 It can be seen from Eq. (20) that the values of the two coefficients  $C'_1$  and  $C'_3$  are  
 514 independent of the magnitude of the resonant responses, but rather depending only on the  
 515 dimensionless leak location  $x_L^*$ . The plots for  $C'_1$  and  $C'_3$  are given in Fig. 4.

516

517 The values of  $C'_1$  and  $C'_3$  represent the sensitivity of the estimated  $x_L^*$  to the measured  
 518 resonant responses  $|h|_1$  and  $|h|_3$  for various leak positions. Most values of  $C'_1$  and  $C'_3$  are  
 519 within the range of  $[-1, 1]$  when the leak location range is within  $[0.2, 0.95]$ . Therefore,  
 520 the two resonant responses-based leak location algorithm is stable and applicable when  
 521 the leak is located within  $x_L^* \in [0.2, 0.95]$ .

522

523 Similar to the leak location algorithm using three resonant responses, the two resonant  
 524 responses-based leak location algorithm is also tolerant of measurement errors, as the

525 values of  $C'_1$  and  $C'_3$  are the same in the absolute value but always opposite in sign.  
526 According to Eq. (19), part of the effects of the frictional variations (relative errors) in  
527  $|h|_1$  and  $|h|_3$  can be cancelled out if they share the same sign, which is usually the case in  
528 real applications. However, two possible leak locations may be obtained from Eq. (18)  
529 for a pair of  $|h|_1$  and  $|h|_3$ .

530

531 The values of  $C'_1$  and  $C'_3$  for the two resonant response-based algorithm shown in Fig. 4  
532 are small around  $x_L^* = 0.5$ , which indicates that the leak location can be estimated even if  
533 it is located at or around the middle of the pipeline. However, if the actual leak location is  
534  $x_L^* = 0.5$ , an alias  $x_L^* = 1$  will exist. It cannot be removed because for both  $x_L^* = 0.5$  and 1,  
535 all the resonant responses are the same, i.e.  $|h|_1 = |h|_3 = |h|_5$ .

536

537 In summary, the two resonant responses-based leak location algorithm is applicable to  
538 *RPV-Closed Valve* systems when the leak is located within  $x_L^* \in [0.2, 0.95]$ , however, if  
539  $x_L^* \geq 0.5$ , two possible leak locations can be estimated and the alias is hard to be  
540 distinguished.

## 541 **Numerical verification**

542 Numerical simulations are performed to verify the proposed three resonant responses-  
543 based leak location [Eq. (11)] and size estimation [Eq. (13)] techniques for *RPV-High*  
544 *Loss Valve* systems. The transfer matrix method is used for the numerical modeling and  
545 unsteady friction is included.

546

547 The two resonant responses-based leak location [Eq. (18)] and size estimation techniques  
548 for *RPV-Closed Valve* systems are not modeled or discussed in this section, because: the  
549 *RPV-Closed Valve* condition is just a special case of the *RPV-High Loss Valve* condition;  
550 the two resonant responses-based leak location technique has difficulty in distinguishing  
551 the aliased leak location; the leak size estimation procedure for *RPV-Closed Valve*

552 systems is complicated; and the three resonant responses-based leak location technique is  
553 still applicable for systems with the *RPV-Closed Valve* boundary condition. However,  
554 both the three and the two resonant responses-based leak location techniques are applied  
555 to the interpretation of an experimental FRD, as presented later in the *experimental*  
556 *verification* section in this paper.

### 557 ***Unsteady friction model***

558 Unsteady friction is included in the numerical simulations performed in this section.  
559 Compared with frictionless pipeline models or models with steady friction only, the  
560 behavior of the numerical model with unsteady friction is closer to that of real pipelines,  
561 thus yielding a better estimation of the validity of the proposed leak detection technique.

562

563 The unsteady friction model used in this research is adopted from Vítkovský et al.  
564 (2003a). Vítkovský et al. (2003a) derived the frequency-domain expression for the  
565 unsteady friction component ( $R_{us}$ ) of the resistance term using the Zielke (1968) unsteady  
566 friction model and the Vardy and Brown (1996) weighting function for smooth-pipe  
567 turbulent flow, which is given below as

$$R_{us} = \frac{2j\omega}{gA} \left( \frac{1}{C} + \frac{j\omega D^2}{4\nu} \right)^{-1/2} \quad (21)$$

568 where  $\nu$  is the kinematic viscosity and  $C$  is the shear decay coefficient, which depends  
569 on the Reynolds number of the mean flow and is given by  $C = 7.41/\mathbf{Re}^\kappa$  and  
570  $\kappa = \log_{10}(14.3/\mathbf{Re}^{0.05})$ .

571

572 The summation of the unsteady friction component  $R_{us}$  and the steady friction component  
573  $R_s$  composes the linearized resistance term  $R$  in Eq. (1). Together with the matrix for a  
574 leak Eq. (3) and the matrix for an oscillating valve Eq. (4), the governing equation for the  
575 resonant response at the upstream face of the valve can be derived. The numerical studies  
576 described in the following subsections are based on this numerical pipeline model.

577

578 Pipeline models with steady friction only are not considered in the numerical study.  
579 Steady friction is not dependent on frequency and only yields a uniform reduction on the  
580 overall magnitude of the frequency response. According to the sensitivity analysis shown  
581 in Eq. (14), the uniform distortion due to steady friction does not have any effects on the  
582 accuracy of the estimated leak location.

### 583 **Case study**

584 A case study is performed on a pipeline with a leak located at  $x_L^* = 0.2$ , where the  
585 unsteady friction model described in the previous subsection is used. The system layout is  
586 given in Fig. 1. The in-line valve is used to generate the transient, and it is assumed to  
587 have a small opening in the steady state and connected to the atmosphere at the  
588 downstream side. The parameters used for the numerical simulations are listed in Table 1  
589 below.

590

591 The frequency response diagrams (FRDs) for the case study ( $x_L^* = 0.2$ ) are obtained  
592 numerically using the transfer matrix method. The results are presented in Fig. 5, where  
593 the FRD in the solid line is for the pipeline with the system parameters shown in Table 1  
594 and with unsteady friction (Vítkovský et al. 2003a); the FRD in the dotted line is for the  
595 same pipeline but under a frictionless assumption. The FRDs in Fig. 5 are  
596 nondimensionalized, where the  $y$ -axis represents the dimensionless head response that is  
597 nondimensionalized by dividing the dimensional resonant response by the active  
598 input ( $2\Delta H_{V0}\Delta\tau / \tau_0$ ), and the  $x$ -axis denotes the relative angular frequency  $\omega_r = \omega / \omega_{ih}$ .

599

600 From Fig. 5, the dimensionless peak values for the first three resonant responses are  $|h|_1 =$   
601  $0.912$ ,  $|h|_3 = 0.601$  and  $|h|_5 = 0.496$  for the frictionless simulation (the dotted line), and  
602  $|h|_1^{us} = 0.821$ ,  $|h|_3^{us} = 0.542$  and  $|h|_5^{us} = 0.446$  for the simulation with unsteady friction (the  
603 solid line). Using Eq. (11) and  $|h|_1^{us}$ ,  $|h|_3^{us}$  and  $|h|_5^{us}$ , the possible dimensionless leak  
604 locations are estimated as  $(x_L^*)^{us} = 0.199$  or  $(x_L^*)^{us} = 0.801$ . Then using the rank of the

605 first two resonant response  $|h|_1^{us} > |h|_3^{us}$ , it is concluded that the leak should be within the  
606 range of (0, 0.5). Therefore, the leak is confirmed to be located at  $(x_L^*)^{us} = 0.199$ .

607

608 Compared with the actual leak location  $x_L^* = 0.2$  m,  $(x_L^*)^{us}$  is accurate as it only has a  
609 relative deviation of  $\left[ (x_L^*)^{us} - x_L^* \right] / x_L^* \times 100\% = -0.5\%$ . This deviation is much smaller  
610 than the deviation between the numerical peak values for the unsteady friction model  
611 ( $|h|_1^{us}$ ,  $|h|_3^{us}$  and  $|h|_5^{us}$ ) and the results for the frictionless model ( $|h|_1$ ,  $|h|_3$  and  $|h|_5$ ). In  
612 addition, the value of  $dx_L^*/x_L^*$  is calculated as  $-0.2\%$  using the estimated  $(x_L^*)^{us}$ , which is  
613 consistent with the result of Eq. (14) when the numerical peak values are substituted.

614

615 The impedance of the in-line valve is calculated as  $Z_V = 1.78 \times 10^4$  s/m<sup>2</sup> from the steady-  
616 state analysis of the pipeline system shown in Table 1. The impedance of the leak is then  
617 estimated from Eq. (13) using the numerical results for the simulation with unsteady  
618 friction [ $(x_L^*)^{us}$ ,  $|h|_1^{us}$ ,  $|h|_3^{us}$  and  $|h|_5^{us}$ ] and it is  $Z_L = 1.75 \times 10^4$  s/m<sup>2</sup>. Using  $Z_L$  and  
619 assuming that the steady-state head at the leak  $H_L$  is the same as the reservoir head  $H_r$ ,  
620 finally the lumped leak parameter can be estimated from Eq. (12) and it is  $(C_{Ld}A_L)^{us} =$   
621  $1.42 \times 10^{-4}$  m<sup>2</sup>. Compared with the theoretical leak size given in Table 1, the estimation is  
622 accurate.

623

624 The above numerical case study with  $x_L^* = 0.2$  and incorporating unsteady friction shows  
625 that the leak location and size are estimated accurately using the proposed three resonant  
626 responses-based leak detection technique. To study the behavior of the proposed leak  
627 detection technique for other leak positions, additional numerical testing is performed and  
628 reported in the following subsection.

629 ***Simulations for various leak locations***

630 Numerical simulations are performed on pipelines with the dimensionless leak location  
631  $x_L^*$  varying from 0.01 to 0.99, with a step of 0.01 each. The system parameters used in  
632 these simulations are the same as those given in Table 1. Unsteady friction is included in  
633 all the numerical simulations.

634

635 The relative deviation between the estimated leak location and the corresponding actual  
636 leak location is estimated for each simulation. Meanwhile, for each of the estimated leak  
637 size, the relative deviation from the actual leak size is also estimated. The relative  
638 deviation for the estimated leak size is defined as  $\left[ (C_{Ld}A_L)^{us} - C_{Ld}A_L \right] / C_{Ld}A_L \times 100\%$ .

639 The curves of the relative deviation for the estimated leak location and the estimated leak  
640 size are given in Fig. 6.

641

642 The curves presented in Fig 6 are not continuous. One reason for the discontinuity is that  
643 the data out of the bounds of the y-axis are not shown, and another reason is that the leak  
644 location algorithm Eq. (11) and/or the leak impedance estimation algorithm Eq. (13) are  
645 not applicable mathematically when the leak is located at some specific positions. It can  
646 be seen from Fig. 6 that when the leak is actually located within  $x_L^* \in [0.15, 0.4]$  or  
647  $x_L^* \in [0.6, 0.9]$ , the accuracy of the estimated leak location  $(x_L^*)^{us}$  is acceptable (within  
648  $\pm 5\%$ ). In contrast, the estimated leak size is less accurate, as expected, and  
649 underestimated most times.

650

651 The numerical simulations indicate that the proposed three resonant responses leak  
652 location algorithm is applicable for pipelines with unsteady friction. The relative  
653 deviations of the estimated leak locations (solid lines in Fig. 6) are consistent with the  
654 results of Eq. (14) in the sensitivity analysis. However, compared with the theoretical  
655 applicable ranges  $x_L^* \in [0.1, 0.45]$  and  $x_L^* \in [0.55, 0.9]$  for frictionless pipes given in the  
656 sensitivity analysis, when the effects of unsteady friction are considered, the applicable

657 ranges is slightly reduced to  $x_L^* \in [0.15, 0.4]$  and  $x_L^* \in [0.6, 0.9]$ . The estimation of the  
658 leak size is less accurate, and it is usually underestimated compared with the actual leak  
659 size. The proposed leak detection technique is further verified using an experimentally  
660 determined FRD in the following section.

## 661 **Experimental verification**

662 The proposed three and two resonant responses-based leak location techniques are  
663 verified using an experimentally determined FRD. The laboratory experiments were  
664 conducted by Lee et al. (2006) in the Robin Hydraulics Laboratory at the University of  
665 Adelaide. The methods for extracting the FRD of a real pipeline have been discussed in  
666 detail in Lee et al. (2006). The system configuration and experimental data presented in  
667 Lee et al. (2006) are also described briefly in the subsection below.

### 668 ***System configuration and experimental data***

669 The experimental pipeline was a copper pipeline in a tank-pipeline-(in-line) valve  
670 configuration. The length of the pipe is  $L = 37.53$  m and the internal diameter is  $D =$   
671  $0.022$  m. The in-line valve is fully closed, so the pipeline system had a *RPV-Closed Valve*  
672 boundary condition. The upstream water tank is pressurized by air and the steady-state  
673 pressure head is  $H_r = 38.09$  m. The wave speed in the experimental pipeline was  $a =$   
674  $1328$  m/s determined by experiment. A side-discharge valve was located at the upstream  
675 face of the closed in-line valve to generate the transient excitation (a pulse signal). A free  
676 discharging orifice with a diameter of  $1.5$  mm ( $C_{Ld}A_L = 1.6 \times 10^{-6}$  m<sup>2</sup>) was located at  $28.14$   
677 m downstream from the reservoir to simulate the leak, thus the actual dimensionless leak  
678 location was  $x_L^* = 0.75$ .

679

680 The experimentally determined FRD was presented as Fig. 17 in Lee et al. (2006). The  
681 peak values for the first resonant responses are estimated as  $|h|_1^{lab} = 3.05 \times 10^6$  m<sup>2</sup>s,

682  $|h|_3^{lab} = 7.75 \times 10^6 \text{ m}^{-2}\text{s}$  and  $|h|_5^{lab} = 5.35 \times 10^6 \text{ m}^{-2}\text{s}$  from the experimental FRD. They  
683 represent the head response per unit discharge input [i.e.  $\hat{q} = 1 \text{ m}^3/\text{s}$  in Eq. (8)].  
684

### 685 ***Leak location using the three resonant responses-based*** 686 ***technique***

687 Using the three resonant responses-based leak location technique given in Eq. (11), the  
688 dimensionless leak location is estimated as  $(x_L^*)^{lab} = 0.27$  or  $0.73$ . The rank of the peak  
689 values of the first two resonant responses is  $|h|_1^{lab} < |h|_3^{lab}$ , so that the leak should be within  
690 a dimensionless range of  $(0.5, 1)$ . As a result,  $(x_L^*)^{lab} = 0.73$  is adopted. Compared with  
691 the actual dimensionless leak location  $x_L^*$ , the absolute error in the estimated  $x_L^*$  is  
692  $(x_L^*)^{lab} - x_L^* = -0.02$ , and the relative error is  $\left[ (x_L^*)^{lab} - x_L^* \right] / x_L^* \times 100\% = -2.7\%$ . The size  
693 of the leak is not estimated, because the proposed leak size estimation formula [Eq. (13)]  
694 is not applicable to the experimental pipeline with the *RPV-Closed Valve* boundary  
695 condition.  
696

### 697 ***Leak location and size estimation using the two resonant*** 698 ***responses-based technique***

699 For the two resonant responses-based leak location technique, Eq. (18) is used. The  
700 dimensionless location of the leak is estimated as  $(x_L^*)^{lab} = 0.56$  or  $0.80$ . The alias cannot  
701 be removed. For the estimation  $(x_L^*)^{lab} = 0.80$  which is closer to the actual location, it is  
702 less accurate than the estimation derived from the three responses-based leak location  
703 technique.  
704



705 To determine the size of the leak, the impedance of the leak is determined first. It is  
706 estimated as  $Z_L = 2.53 \times 10^6 \text{ s/m}^2$  by substituting the first resonant response  
707  $|h_1^{lab}| = 3.05 \times 10^6 \text{ m}^{-2}\text{s}$  and the unit discharge perturbation  $\hat{q} = 1 \text{ m}^3/\text{s}$  into Eq. (8). Then,  
708 under the assumption that the steady-state head at the leak is the same as the steady-state  
709 head at the reservoir ( $H_{L0} = H_r = 38.09 \text{ m}$ ), the steady-state flow at the leak is estimated  
710 as  $Q_{L0} = 3.01 \times 10^{-5} \text{ m}^3/\text{s}$ . Finally, the lumped leak size is estimated as  
711  $(C_{Ld}A_L)^{lab} = 1.1 \times 10^{-6} \text{ m}^2$  using the orifice equation Eq. (12). Compared with the  
712 theoretical leak size ( $C_{Ld}A_L = 1.6 \times 10^{-6} \text{ m}^2$ ), the estimated size is significantly smaller.

### 713 ***Summary of experimental verification***

714 The experimental verification illustrates that the proposed leak detection technique is  
715 applicable to pipelines in controlled laboratory conditions. The location of the leak is  
716 estimated successfully using either the three or the two resonant responses-based  
717 algorithm. The leak location estimated from the three resonant responses-based algorithm  
718 is accurate, with an absolute error of 2 % of the total pipe length. However, the two  
719 resonant responses-based algorithm yields less accuracy. The size of the leak is estimated  
720 from the two resonant responses-based algorithm, but the estimated leak size is smaller  
721 than the theoretical value.

722

723 The error in the estimates comes from the distortion in the experimentally determined  
724 FRD, which in turn may be mainly sourced from the effects of frequency-dependent  
725 behavior in the experimental pipeline, such as unsteady friction. For pipelines with longer  
726 length in the field, the fundamental frequency is usually significantly lower and the  
727 effects of unsteady friction on the first three resonant responses will be relatively small.  
728 As a result, it is expected that the proposed leak location technique is also applicable in  
729 field applications.

## 730 **Challenges in field applications**

731 The proposed leak detection technique has been verified by numerical studies and  
732 controlled laboratory experiments; however, some challenges may exist for application of  
733 the proposed methodology in the field. The proposed technique is designed for the  
734 detection of a single leak in a single pipeline, while in the field, complex pipeline  
735 networks and multiple leaks may exist.

736

737 Lee et al. (2005a) have studied how to extract the FRD for a branched pipe network. By  
738 closing the valve at one end of the pipe section, an individual pipeline can be partially  
739 separated from the network. A side-discharge valve located adjacent to the closed valve is  
740 then used to generate a transient pulse, and a pressure transducer located at the same  
741 location as the generator is used to measure the transient pressure trace. By assuming that  
742 a reservoir exists at the open boundary, and using signal processing, the FRD of the  
743 specified pipe section can be obtained (Lee et al. 2005a).

744

745 When multiple leaks exist in a single pipeline, three resonant responses are not sufficient  
746 to be able to determine the location of all the leaks. In this case, more resonant responses  
747 need to be measured and further investigation is required. Nevertheless, using the first  
748 three resonant responses, the method proposed in this paper can determine whether the  
749 pipe is leaking or not.

750

751 Another challenge in the application of the newly proposed method is that the shape of  
752 the leak may have some impact on the accuracy of the detection. In the numerical study  
753 and the experimental verification presented in this paper, a leak is simulated by an orifice  
754 with a circular opening. If the leak has a different shape, Eq. (12) as used in this paper  
755 cannot accurately describe the relationship between the head and the flow through the  
756 leak. As a result, the estimation of the size of the leak will be in error. However,  
757 theoretically the relative size of the first three resonant responses will not be affected, so  
758 that the location of the leak can still be determined accurately. More experiments are  
759 necessary to study the effects of the shape of a leak.

## 760 **Conclusions**

761 A novel frequency response diagram (FRD)-based leak location and size estimation  
762 technique is proposed in this research. It is suitable for detecting of a single leak in single  
763 pipelines with a reservoir-pipeline-valve (RPV) configuration. Instead of using the  
764 sinusoidal leak-induced patterns on the FRD as in traditional techniques, the new  
765 technique only uses the magnitude of the first three resonant responses.

766

767 A RPV-high loss valve configuration is suggested for the extraction of the FRD. A side-  
768 discharge valve is used to generate an impulse transient excitation, which is located at the  
769 upstream face of a high loss in-line valve at the end of the pipe. A pressure transducer is  
770 located at the same location as the side-discharge valve to measure the transient pressure.  
771 The opening of the in-line valve should be small enough to make the leak-induced  
772 distortion obvious in the first three harmonics. In practice, this can be achieved by trial-  
773 and-error. In addition to the measured transient pressure, the steady-steady head and flow  
774 at the in-line valve, the head at the reservoir, the length and internal diameter of the pipe,  
775 and the wave speed in the pipe need to be known.

776

777 The requirement for the bandwidth of the transient excitation is reduced to five times of  
778 the fundamental frequency of the pipeline under test, because only the first three resonant  
779 responses are used. In addition, the distortion in the measured FRD due to unsteady  
780 friction does not need to be corrected before applying the leak detection algorithm,  
781 because the effects of unsteady friction is not significant for the first three resonant  
782 responses, and part of the effects are cancelled out through the calculation for leak  
783 location. Moreover, only the relative sizes of the first three resonant responses are  
784 required, rather than the absolute values of the frequency response. This is a great  
785 advantage, as it can simplify the procedure for determining the FRD and avoids error  
786 introduced through intermediate calculations. For example, the voltage output from a  
787 pressure transducer can be used in the calculation directly, avoiding the transfer from  
788 voltage data to pressure data.

789

790 When the in-line valve at the end of the pipeline is fully closed, the requirement for the  
791 number of resonant responses can be reduced to two. However, two possible leak  
792 locations may be obtained from a specific FRD, and the alias is hard to remove.

793

794 Numerical simulations with unsteady friction performed in this research show that the  
795 three resonant responses-based leak location technique is applicable when the actual leak  
796 is located within the dimensionless range of  $x_L^* \in [0.15, 0.4]$  or  $[0.6, 0.9]$ . Within the  
797 applicable ranges, the relative deviation between the estimated leak location and the  
798 actual location is within  $\pm 5\%$ . However, the estimated size of the leak is less accurate,  
799 and shown to be underestimated most times.

800

801 The proposed leak detection technique is also verified using an experimentally  
802 determined FRD. The experimental verification indicates that the proposed technique is  
803 applicable to real pipelines in controlled laboratory condition, even though the pipeline is  
804 short and the effects of unsteady friction is relatively high. The three resonant responses-  
805 based technique performs better than the two resonant responses-based technique. For  
806 pipelines with longer length in the field, the fundamental frequency of the pipeline is  
807 much lower and the effects of unsteady friction on the first three resonant responses will  
808 be relatively small. It is expected that the proposed three resonant responses-based  
809 technique leak detection technique is also applicable in field applications, provided the  
810 first three resonant responses can be measured successfully.

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816

817

818 **Notations**

819 *The following symbols are used in this paper:*

- $A$  = inside pipe cross sectional area;
- $a$  = wave speed;
- $A_L$  = area of a leak orifice;
- $C$  = shear decay coefficient;
- $C_1, C_3, C_5$  = coefficients used in Eqs (14);
- $C'_1, C'_3$  = coefficients used in Eqs (19);
- $C_{Ld}$  = coefficient of discharge for a leak orifice;
- $D$  = internal pipe diameter;
- $f$  = Darcy-Weisbach friction factor;
- $g$  = gravitational acceleration;
- $H_0$  = steady-state head;
- $H_r$  = reservoir head;
- $H_{L0}$  = steady-state head at a leak orifice;
- $h$  = complex head amplitude;
- $|h_{odd}|$  = amplitude of head fluctuation at the odd harmonics;
- $|h|_1, |h|_3, |h|_5$  = amplitude of the head oscillation at the first, the third and the fifth harmonics;
- $j$  = imaginary unit,  $\sqrt{-1}$  ;
- $L$  = total length of pipe;

- $L_1, L_2$  = lengths of the two pipe sections divided by a leak;  
 $P_L$  = left part of Eq. (10);  
 $Q_0$  = steady-state discharge;  
 $Q_{L0}$  = steady-state flow out of a leak;  
 $Q_{V0}$  = steady-state flow through a valve;  
 $q$  = complex discharge amplitude;  
 $\hat{q}$  = discharge perturbation;  
 $R$  = linearised resistance term;  
 $\mathbf{R}_e$  = Reynolds number;  
 $R_s, R_{us}$  = resistance factor components for steady friction and unsteady friction;  
 $x_L^*$  = dimensionless position of a leak;  
 $Z_C$  = characteristic impedance of a frictionless pipe;  
 $Z_L$  = hydraulic impedance of a leak orifice;  
 $Z_P$  = the characteristic impedance of a pipe;  
 $Z_V$  = hydraulic impedance of a steady-state valve;

820

821 *Superscripts:*

- $*$  = dimensionless values;  
 $lab$  = sourced from laboratory experiments;  
 $n, n+1$  = the upstream and the downstream position of a pipe;

<sup>us</sup> effects of unsteady friction are included;

822

823 *Greek symbols:*

$\Delta H$  = head perturbation from the mean state at the generation point;

$\Delta H_{V0}$  = steady-state head loss across a valve;

$\Delta \tau$  = amplitude of the dimensionless valve-opening oscillation;

$\kappa$  = coefficient in Eq. (21);

$\mu$  = propagation operator;

$\nu$  = kinematic viscosity;

$\tau_0$  = mean dimensionless valve-opening coefficient, centre of oscillation;

$\omega, \omega_r$  = angular frequency and dimensionless relative angular frequency;

$\omega^{odd}, \omega_r^{odd}$  = angular frequency and relative angular frequency for odd harmonics;

$\omega_{th}$  = fundamental angular frequency for a reservoir-pipeline-valve system;

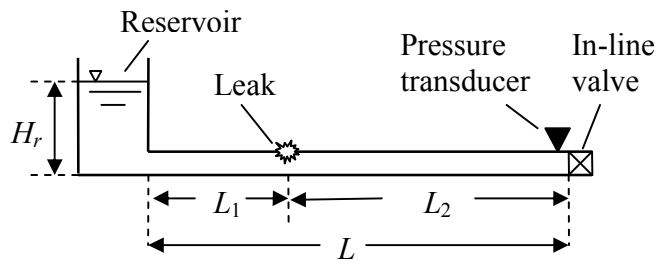
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**Fig. 1.** A reservoir-pipeline-valve system with a leak.

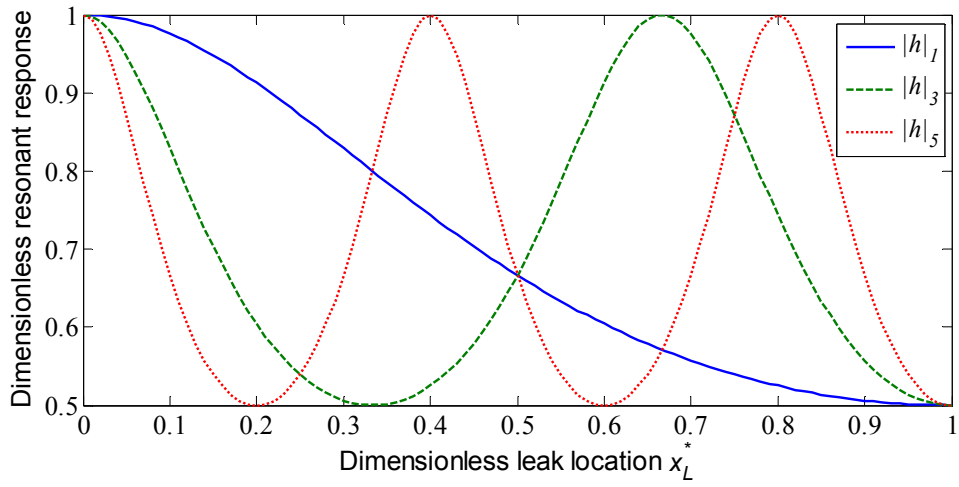


Fig. 2 Impact of the dimensionless leak location  $x_L^*$  on the dimensionless peak values of the first three resonant responses, with  $Z_V / Z_L = 1$ .

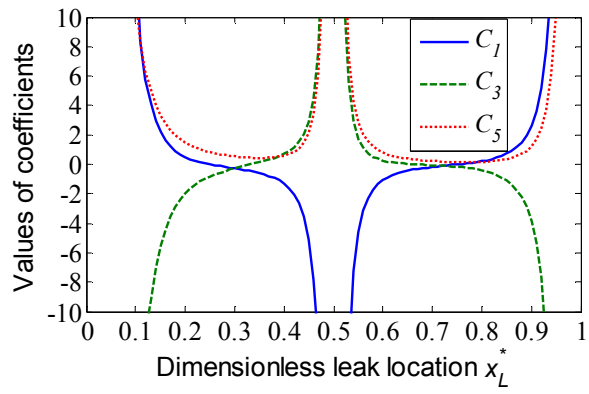


Fig. 3 Impact of the dimensionless leak location  $x_L^*$  on the three coefficients  $C_1$ ,  $C_3$  and  $C_5$  in Eq. (14), with  $Z_V/Z_L = 1$ .

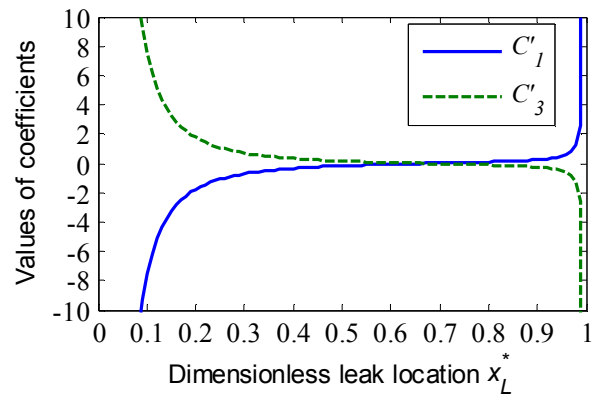


Fig. 4 Impact of the dimensionless leak location  $x_L^*$  on the two coefficients  $C_1$  and  $C_3$  in Eq. (19).

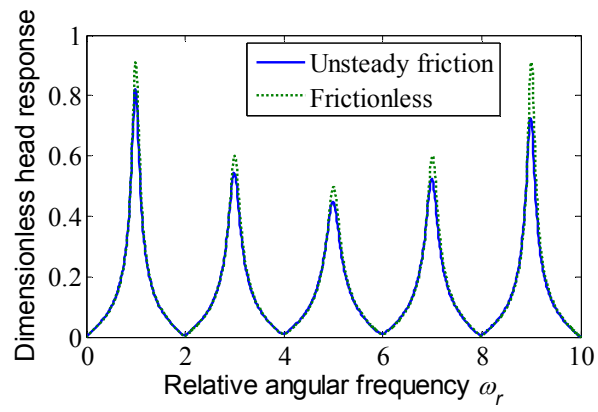


Fig. 5 Numerical FRDs for the case study  $x_L^* = 0.2$ .

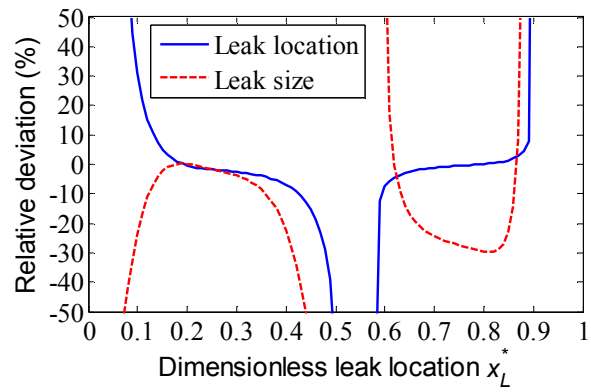


Fig. 6 The relative deviation between the estimated leak location and the actual leak location (solid lines), and the relative deviation between the estimated leak size and the actual leak size (dashed lines).



**Table 1.** System parameters for the numerical simulations

| Parameter             | Value                                |
|-----------------------|--------------------------------------|
| $H_r$                 | 30 m                                 |
| $Q_{r0}$              | 0.0034 m <sup>3</sup> /s             |
| $L$                   | 2000 m                               |
| $D$                   | 0.3 m                                |
| $a$                   | 1200 m/s                             |
| $f$                   | 0.02                                 |
| $\Delta\tau / \tau_0$ | 0.05                                 |
| $C_{Ld}A_L$           | $1.41 \times 10^{-4}$ m <sup>2</sup> |