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Scott, Julia E.; Mathias, Jane Leanne; Kneebone, Anthony C.

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Journal of Arthroplasty, 2013; InPress

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DOI: [10.1016/j.arth.2013.06.007](https://doi.org/10.1016/j.arth.2013.06.007)

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29 October 2013

<http://hdl.handle.net/2440/80500>

**Postoperative Cognitive Dysfunction after Total Joint Replacement in the Elderly:
A Meta-Analysis**

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Postoperative cognitive dysfunction after total joint replacement in the elderly:**A meta-analysis***Abstract*

This meta-analysis consolidated the research on post-operative cognitive dysfunction (POCD) following total joint arthroplasty (TJA). Data from 17 studies that assessed cognition pre- and post-surgery in TJA patients alone (15 studies) or matched TJA and control groups (2 studies) were analysed. Results were grouped by cognitive domain (memory, attention, language, speed, general cognition) and follow-up interval (pre-discharge, 3-6 months post-surgery). The TJA data revealed small declines in reaction time and general cognition pre-discharge, but no evidence of decline 3-6 months post-surgery. Very limited TJA and Control data indicated no group differences in the changes to performance over time; however, the TJA group was cognitively compromised pre- and post-surgery compared to Controls. Further appropriately controlled research is required to clarify whether POCD commonly occurs after TJA.

Keywords: Total joint replacement, post-operative cognitive dysfunction, elderly, meta-analysis, outcomes

1 Introduction

2 Total joint arthroplasty of the hip and knee (TJA) is among the most common major
3 surgeries performed on older adults [1]. The number of TJAs performed each year has increased
4 substantially over the last decade [2] and this trend is predicted to continue as our population ages.
5 TJAs are usually performed to treat damage caused by osteoarthritis [2], which is common among
6 older adults, and typically yield good surgical outcomes as they markedly improve pain, physical
7 function, and have few medical complications [3]. Although surgically successful, patients may still
8 experience short- or long-term post-operative cognitive dysfunction (POCD) [4-6], which is a subtle
9 form of cognitive decline that can develop after surgery and affect multiple cognitive domains,
10 particularly in the elderly [7].

11 There are multiple theories regarding the cause of POCD. One is that it results from intra-
12 operative microemboli that travel to the brain. These emboli are thought to be released when the
13 artificial prosthesis is inserted or the tourniquet that is used during surgery is removed [8]. Other
14 potential causes of POCD include general anaesthesia and/or postoperative analgesia [9]; although
15 research has consistently failed to find a relationship between general anaesthesia and POCD after
16 TJA [5, 10, 11]. Furthermore, a systematic review that investigated the potential role of analgesia in
17 post-operative cognitive problems confirmed that POCD was not related to either the type of
18 analgesia or its method of administration [9]. Thus, the underlying cause of POCD has yet to be
19 established.

20 The actual incidence of POCD after TJA is presently unclear, with some studies reporting
21 substantial rates [4, 5, 12-14] and others failing to find evidence of cognitive dysfunction [11, 15].
22 Moreover, the incidence rates reported by those studies that did find evidence of POCD following
23 TJA are highly variable, ranging from 16% to 45% [5, 13], with both rapid recovery [16] and chronic
24 dysfunction [4] also noted.

25 Some of the variability in these research findings may result from between-study
26 methodological differences. For example, the existing POCD studies differ in terms of their mean
27 age, sample sizes, sample composition (i.e. TJA patients only versus partial/revision procedures plus
28 TJA), research design (i.e. TJA group only versus TJA and Control groups), follow-up interval, and

29 the definition of clinically significant change (i.e. use of reliable change indices versus cut-off
30 scores). There are also differences in how cognition is measured, as both detailed cognitive batteries
31 [4, 5, 14] and basic screening tools, such as the Mini Mental Status Examination (MMSE) [17, 18],
32 have been used. Moreover, differences in the ability of individual tests to detect subtle cognitive
33 dysfunction may impact on the reported incidence, severity, and duration of POCD.

34 Importantly, research suggests that POCD may negatively impact on the post-surgical
35 quality of life of patients and their families. While this area remains under-researched in TJA
36 patients, there is comparable evidence in cardiac and general hospital inpatients suggesting that
37 POCD is associated with enduring negative effects, even after controlling for potential confounding
38 factors, such as age and comorbid medical conditions [19, 20]. For example, cardiac patients who
39 developed cognitive problems within six weeks of their surgery also experienced a range of other
40 problems one year later [19]. Specifically, they had reduced functional capacity, were more limited
41 by their symptoms (e.g. shortness of breath interfered more with their daily activities), and reported
42 more cognitive difficulties [19]. Based on research conducted with general surgery patients, POCD
43 may also have significant financial implications for both TJA patients and the wider community [21,
44 22]. For instance, patients who developed POCD are reportedly more likely to leave the labor
45 market prematurely and to spend more time on welfare [21], and require more assistance with their
46 activities of daily living [22]. While research of this type has yet to be conducted with TJA patients,
47 it might be expected that TJA patients with POCD would be similarly affected.

48 Despite the high incidence of TJA in the older population and the potential burden associated
49 with the development of POCD, the nature and extent of POCD after TJA remains poorly
50 understood. There is little consistency in the research findings, and the literature specifically relating
51 to POCD after TJA has not been reviewed, either qualitatively or quantitatively. Rather, current
52 reviews of post-surgical cognitive outcomes have either focused on cardiac surgery [23, 24] or have
53 combined data from patients that have undergone different forms of surgery [25, 26]. The absence
54 of an over-arching analysis of existing research on POCD following TJA represents a major obstacle
55 to our understanding of the incidence and severity of these problems. The current meta-analysis
56 synthesised the available research data in order to provide this information.

57

58 **Methods**59 *Search strategy and selection criteria.*

60 Comprehensive searches of the PubMed, PsycINFO, Embase and Scopus electronic
61 databases were undertaken to locate all studies that assessed cognition among older adults after TJA
62 that were published between January 1980 and August 2012. A complete list of the search terms is
63 provided in Appendix A.

64 To be eligible for inclusion, studies had to have: (1) included a surgical group who
65 underwent TJA of the hip or knee; (2) examined participants who were over 50 years of age (or
66 mean age minus 1 SD \geq 50 years); (3) assessed cognition using standardised neuropsychological
67 tests (excludes self-report measures and clinician ratings); (4) performed pre- and post-surgical
68 cognitive assessments of either one (TJA) or two (TJA, Control) samples; (5) completed at least one
69 post-surgical assessment 24 hours or more after surgery; (6) provided data that would allow for the
70 computation of an effect size (e.g. proportions, means and SDs, or exact *t*-values); (7) assessed
71 participants who were not reported to have had a neurological (e.g. dementia) or medical condition
72 that may have impacted on cognition; (8) a sample size that was greater than one (excludes case
73 studies); and (9) been published in a journal in English.

74 Studies were deemed eligible for inclusion if they included patients who had ‘elective’ hip
75 and knee surgery. Although this term can be used to refer to partial and revision procedures (in
76 addition to TJA), these procedures usually only constitute a small number of elective surgery patients
77 [2]. Therefore, where TJA data were not reported separately, studies that assessed samples of
78 elective surgery patients were assumed to consist primarily of TJA patients.

79 If a study that was published within the previous decade did not provide the necessary data,
80 but was otherwise eligible, the corresponding author was contacted by email to request these data.
81 The authors of eleven studies were contacted for this purpose [4, 5, 12-14, 27-31], five of whom
82 provided the requisite data [12-14, 27, 29].

83 The original literature search was kept broad in order to capture the maximum number of
84 potentially relevant papers and identified 1,312 studies (excluding duplicates). An examination of

85 the titles and abstracts of these papers revealed that approximately 65% were not relevant to either
86 TJA or POCD. A further 25% were relevant to TJA only, while approximately 5% addressed POCD,
87 but not in a TJA sample. The full-texts of the remaining 5% were screened using the inclusion
88 criteria to determine their eligibility, with 19 studies being eligible for inclusion. The most common
89 reasons for the exclusion of studies were a failure either to provide the data for TJA patients
90 separately from that of other surgical patients or to conduct a post-surgical cognitive assessment (i.e.
91 only pre-surgical assessments completed). Meta-analyses assume that the data from different studies
92 are independent and, therefore, that each sample only contributes once to the calculation of a mean
93 effect-size [32]. If sample independence could not be established through the information provided
94 in the publication, the corresponding authors were contacted by email for confirmation. When
95 samples overlapped, the respective studies were combined and treated as one. To this end, the data
96 from two studies by Evered et al [5, 33] were combined, as were the data from two studies by
97 Dupplis and Wikblad [34, 35]. Therefore, the data from a total of 17 independent studies were
98 analysed in this study.

99

100 *Research design and data preparation*

101 Four of the 17 studies used an experimental design to examine whether different types of
102 anaesthesia resulted in different cognitive outcomes following TJA [6, 10, 11, 36]. Only the
103 ‘standard care’ patients (the control group) from Cheng et al. [36] could be included in the current
104 meta-analysis, as the experimental group received non-standard treatment. In contrast, both the
105 experimental and surgical control groups from Jones et al. [11], Nielson et al. [10] and Williams-
106 Russo et al. [6] received standard care TJA; consequently these groups were combined for current
107 purposes, and means and SDs for the total TJA sample calculated. In addition, two studies provided
108 mean (and SDs) cognitive scores for specific subgroups; namely the presence/absence of POCD [37]
109 or post-surgical delirium [27]. As these subgroups were not required for the current meta-analysis,
110 their data were combined to provide an overall mean and SD for the entire sample. Thus, the data
111 that was extracted from these six studies equated to that of a single-sample pre- and post-surgery
112 design, and hereafter will be referred to as such.

113 Of the 17 studies that assessed cognition after TJA, 15 used a single-sample pre- and post-
114 surgery design [6, 10-13, 15-18, 27, 29, 35-38] and only two used a two-sample (TJA and Controls)
115 pre- and post-surgery design [5, 14]. Given that very few studies used the latter design, the TJA data
116 from these two studies were additionally treated as if they came from a single-sample pre- and post-
117 surgery design (i.e. TJA data analysed, control group data excluded) and tabled with the other studies
118 that used this design for comparative purposes.

119 Cognition was assessed using a wide variety of different tests, and many studies used either
120 the same test or a close variant (e.g. Grooved Pegboard Task and the Purdue Pegboard Task). When
121 tests were deemed to measure the same construct, they were analysed together and given a more
122 generic label (e.g. motor speed). For reporting purposes, all tests were grouped into five broad
123 cognitive domains, based on those identified by Lezak et al. [39]: memory, attention, language,
124 motor and processing speed, and general cognition.

125 Studies also varied considerably with regard to the interval that elapsed between the TJA
126 surgery and the follow-up cognitive assessment; ranging from one day to 12 months. For current
127 purposes, all assessments were combined into one of two follow-up intervals: pre-discharge (within
128 one week of surgery) and longer follow-up (3 to 6 months post-surgery). With two exceptions, these
129 groupings captured all of the available data, with only the six-week follow-up from Patel et al. [15],
130 and one-year follow-up from Stockton et al. [38] being excluded. Both of these studies conducted
131 two cognitive assessments that fell within the longer follow-up interval that was used here (3-6
132 months post-surgery), but each study can only contribute one effect to the calculation of a mean.
133 Therefore, only the three-month data from Patel et al. [15] and the 6-month follow-up data from
134 Stockton et al. [38] were used, as these assessments were more comparable to the follow-up intervals
135 of the other studies.

136 Surgical procedures can vary in terms of the type of implant that is used (i.e. cemented or
137 uncemented/press-fixed) and whether or not a tourniquet is used in total knee replacements, which
138 may contribute to surgical outcomes. Information relating to these two variables was obtained from
139 each study. Unfortunately, nine studies did not report this information [5, 10, 11, 16, 17, 27, 35, 38,
140 40], three used a combination of methods but did not provide separate data for each [13, 15, 18], and

141 the remaining five studies reported using only one of these subgroups (cemented implants [6, 12, 29,
142 37], tourniquets [12, 14, 37]). Therefore, it was not possible to examine the impact of these two
143 variables on cognitive outcome.

144

145 *Effect size calculations and analyses*

146 Contrary to expectation, it was not possible to examine the incidence of POCD because the
147 studies that provided these data used different criteria to define dysfunction, which would
148 significantly impact on incidence rates [41]. Effectively, the incidence rates from these studies were
149 not comparable and could not meaningfully be meta-analysed [24, 32].

150 Group data (means and SDs) were examined using Cohen's d effect sizes. When a study
151 used a single-sample pre- and post-surgery design, a variant of Cohen's d (d_{RM}) was calculated [32],
152 with a negative d_{RM} indicating a decline in cognitive performance between baseline and follow-up.
153 When a two-sample (TJA and Controls) pre- and post-surgery design was used, an independent-
154 groups repeated measures Cohen's d (d_{IGRM}) was calculated [42]. A negative d_{IGRM} indicates that
155 there was a greater decline in cognitive performance between baseline and follow-up in the TJA
156 group, compared to the controls or, alternatively, the cognitive performance of the Control group
157 improved more than the TJA group when they were re-tested. A d_{RM} or d_{IGRM} of .2, .5 and .8 equates
158 to a small, medium and large effect size, respectively [43].

159 The reliability of an effect is influenced by its sample size, consequently it is recommended
160 that the effect sizes from individual studies be weighted prior to calculating a mean effect [32]. The
161 inverse variance method, which is the inverse of the squared standard error, is often used for this
162 purpose but requires the correlation (r) between the baseline and follow-up scores [32, 42].
163 Unfortunately, no study reported these data. Three alternatives to r were identified: (1) published
164 test-retest reliability coefficients [32]; (2) an estimate of r , based on the results of t -tests comparing
165 pre- and post-surgery scores [42]; or (3) a single test-retest reliability coefficient for all tests, based
166 on the minimum acceptable level of reliability (Lipsey, personal communication, 2012). The first
167 two options were not possible because the data were not consistently available, either in the literature
168 or individual studies, respectively; leaving only the third option. To this end, a test-retest reliability

169 coefficient of .7, which is considered the minimum acceptable reliability coefficient for published
170 psychological tests [44], was used when calculating the weights for individual effect sizes.

171 Weighted mean effect sizes and ninety-five percent confidence intervals (95% CIs) were
172 then calculated. If the 95% CIs include zero, it suggests that there is no significant difference
173 between the cognitive performance of the TJA group pre- and post-surgery (d_{RM}) or that there is no
174 significant difference between the pre- and post-surgery test score changes of the TJA and controls
175 groups (d_{IGRM}).

176 A random-effects model was used because there was heterogeneity among individual results
177 and it was likely that a range of uncontrolled variables would impact on the effect sizes (e.g.
178 demographic variables, specific surgical techniques, length of hospital admission) [32]. A random-
179 effects model assumes that the effect sizes for individual studies vary due to both random error
180 (unidentified sources of error) and normal sampling error, and weights individual effect sizes to
181 counteract these two sources of error.

182 One limitation of meta-analyses is their susceptibility to publication bias, whereby
183 significant results are more likely to be published, potentially skewing the findings of a meta-
184 analysis [32]. Fail-safe N statistics (N_{fs}), which estimate the number of unpublished studies with
185 non-significant results that would be required to reduce an effect to an inconsequential size ($d = .1$
186 for current purposes), were calculated to address this problem [32]. When the N_{fs} for an effect size
187 was higher than $N_{studies}$, it was considered unlikely that there would be sufficient unpublished studies
188 with non-significant findings to draw the current finding into question.

189 Effect sizes are reported for all tests that were used by at least two studies, either at the early
190 (pre-discharge) or later (3-6 months post-surgery) follow-up interval, and were interpreted to suggest
191 that POCD occurred following TJA if: (1) d_{RM} or $d_{IGRM} \leq -.2$ (i.e., at least a small negative effect,
192 indicating decline); (2) the 95% CIs did not include zero (i.e., statistically significant effect); and (c)
193 the $N_{fs} > N_{studies}$ (i.e., it was unlikely that there would be this number of unpublished studies with very
194 small effects, relative to the number that had been published). As the d_{RM} measures change in the
195 cognitive performance of TJA patients over time and the d_{IGRM} measures whether the cognitive

196 changes over time differed between patients and controls, the data for these two effect sizes are
197 reported separately, as are the data for the pre-discharge and longer post-operative outcomes.

198

199 **Results**

200 *Participants.*

201 The cognitive outcomes of 1,089 TJA patients and 89 healthy controls were assessed by 17
202 studies that were included in this meta-analysis. Summary demographic and surgical data for these
203 samples are provided in Table 1, where it can be seen that the majority of participants were females,
204 in their late-60s to mid-70s, who underwent total hip replacement. Unfortunately, too few studies
205 provided data on marital status ($N_{\text{studies}} = 6$), mean education ($N_{\text{studies}} = 8$), pre-morbid IQ ($N_{\text{studies}} = 3$),
206 and co-morbid medical conditions ($N_{\text{studies}} = 7$) to reliably report these sample characteristics.

207 *(Table 1 – Summary demographic and surgical data for TJA and control groups)*

208

209 *Early post-operative outcomes.*

210 In total, 13 studies ($N_{\text{TJA}} = 807$) examined cognitive functioning prior to being discharged
211 from hospital (mean interval = 5.1 days, $SD = 1.9$) using a single-sample (TJA) pre- and post-surgery
212 design. As seen in Table 2, the cognitive tests that were most frequently used were tests of
213 immediate verbal recall ($N_{\text{studies}} = 7$), Trail Making Tasks ($N_{\text{studies}} = 6$), Controlled Oral Word
214 Association Test ($N_{\text{studies}} = 6$), and the Mini Mental Status Examination ($N_{\text{studies}} = 6$).

215 *(Table 2 – Pre-discharge cognitive outcomes after TJA surgery)*

216 Prior to discharge, TJA patients showed a small but significant negative effect for Choice
217 Reaction Time performance, which suggests that they were slower to respond (refer to Table 2).
218 However, this result is derived from only one study and should therefore be interpreted with caution.
219 A moderate and significant negative effect was also evident for the Mini Mental Status Examination,
220 indicating that patients typically performed more poorly on a commonly used cognitive screen
221 shortly after surgery. In contrast, a moderate and significant improvement was found for delayed
222 visual recall; however, this result was also only based on one study and may therefore be less
223 reliable.

224 Interestingly, the majority of tests that were used at the pre-discharge assessment showed no
 225 discernable change in performance from the baseline testing that was performed prior to surgery.
 226 Specifically, within the memory domain, there was no change in immediate verbal recall, recognition
 227 memory, or delayed visual recall. In addition, no changes were observed for any of the attention or
 228 language tasks. Lastly, no significant change was noted for the tests of motor speed or digit
 229 substitution.

230 In contrast, there were only two studies ($N_{TJA} = 220$, $N_{controls} = 89$) that assessed cognitive
 231 function prior to hospital discharge (mean interval = 5.5 days, $SD = 2.1$) using a two-sample (TJA
 232 and Controls) pre- and post-surgery design, with a verbal recall task being the one test that was used
 233 by both studies (refer to Table 2). A small, negative effect was found for this test, which suggests
 234 that the verbal recall of TJA patients improved less than the control participants between baseline
 235 (pre-surgery) and follow-up. However, the N_{fs} is low, suggesting that this finding may overestimate
 236 the true effect if publication bias has occurred. Although not evident from the data provided in Table
 237 2, the raw data for these studies indicate that the TJA patients performed more poorly at both
 238 baseline and follow-up, compared to controls. Thus, the TJA patients had compromised verbal recall
 239 performance *prior* to surgery and this disparity increased in the early period after their surgery.

240

241 *Longer-term post-operative outcomes.*

242 A total of 12 studies ($N_{TJA} = 970$, $N_{controls} = 89$) assessed cognitive function at longer follow-
 243 up (mean follow-up interval = 122.5 days, $SD = 48.9$) using a single-sample (TJA) pre- and post-
 244 surgery design. As seen in Table 3, the cognitive tests that were most commonly used by these
 245 studies were tests of immediate verbal recall ($N_{studies} = 8$), Trail Making Tasks ($N_{studies} = 8$), the
 246 Controlled Oral Word Association Test ($N_{studies} = 5$), and digit substitution tasks ($N_{studies} = 5$).

247 *(Table 3 – 3-6 month cognitive outcomes after TJA surgery)*

248 The TJA sample did not show evidence of deteriorating cognitive performance on any of the
 249 tests that were used; however, significant improvements were noted for three tests (refer to Table 3).
 250 Specifically, low-to-moderate positive effect sizes were found for the tests of immediate verbal and
 251 delayed visual recall, which suggests that the memory performance of the TJA patients improved

252 when they were followed-up 3 to 6 months after their surgery. A small positive effect was also
253 found for substitution task performance, indicating that processing speed improved.

254 As was seen for the pre-discharge results, there was no substantial change in performance on
255 the majority of tests between baseline and the longer follow-up, as indicated by negligible effect
256 sizes. More specifically, performance on specific tests of memory (delayed verbal recall, recognition
257 memory, immediate visual recall), attention (Digit Span, Wechsler Memory Scale Attention and
258 Concentration Index), language (Controlled Oral Word Association Test, Category Fluency, Boston
259 Naming Test), speed (Choice Reaction Time, motor speed) and general cognition (Mini Mental
260 Status Examination) all remained relatively constant.

261 Once again, two studies ($N_{TJA} = 220$, $N_{controls} = 89$) assessed cognition at longer follow-up (3
262 months) using a two-sample pre- and post-surgery design, and tests of immediate verbal recall and
263 Trail Making. No substantial effects were found for either of these measures, which suggest that any
264 changes in performance between baseline and follow-up were comparable for the TJA patients and
265 healthy controls (Table 3). However, consistent with the pre-discharge data, TJA patients performed
266 significantly more poorly than controls on all tests at the baseline and longer follow-up interval,
267 suggesting that the TJA patients were more cognitively compromised prior to surgery and remained
268 so for three months post-surgery.

269

270 **Discussion**

271 The current meta-analysis investigated cognitive outcomes after TJA using data from 17 studies
272 ($N_{patients} = 1,089$), only two of which used a control group ($N_{controls} = 89$). When followed-up prior to
273 hospital discharge, the data for the TJA sample revealed small deficits in reaction time and general
274 cognitive performance, compared to their pre-surgery performance. However, the majority of tests
275 showed no change in performance, suggesting that TJA had minimal impact on cognitive
276 performance within one week of surgery. Although the data were limited, when both TJA and
277 control groups were assessed at baseline and pre-discharge, it was found that the immediate verbal
278 recall of the TJA group was significantly poorer than that of the controls; a difference that was
279 present prior to surgery but increased at the time of the pre-discharge follow-up. Pain and the use of

280 opioid analgesics may partly explain the poorer pre-surgery performance of the TJA group [16, 45].
281 In addition, a small number of 'elective' surgeries may have involved revision procedures that were
282 required due to infection, which may also impair cognition [8]. That fact that the TJA patients
283 showed evidence of poorer cognitive performance soon after surgery was not surprising, as they
284 were likely to be experiencing high levels of pain and many more would be taking opioid analgesics,
285 both of which impact on cognition [16, 45].

286 Data collected 3 to 6 months after TJA surgery may therefore be more informative with
287 regard to POCD, as patients were likely to be experiencing less pain and unlikely to be taking high
288 doses of opioid analgesics. However, based on the available data, there was no evidence of cognitive
289 decline following TJA; rather, there were small-to-moderate improvements in immediate verbal
290 recall, delayed visual recall and processing speed. Consistent with pre-discharge assessments, there
291 were no changes in performance on the majority of tasks. Finally, the two studies that assessed both
292 TJA and control groups found that there were no differences in the changes to the immediate verbal
293 recall and Trail Making performance of these groups over time. Thus, although the TJA patients
294 performed more poorly at baseline, they remained equally compromised relative to their healthy
295 peers three months after surgery. The poorer baseline performance of the TJA patients is likely to be
296 the result of joint-related pain and the use of analgesia prior to undergoing surgery. However this
297 does not explain why the differences continued when pain levels and the use of analgesics are likely
298 to have decreased.

299 The large number of small and non-significant results in this study may, in part, be explained
300 by the fact that a single conservative estimate of test-retest reliability ($r = .7$) was used to weight all
301 effect sizes. This value is likely to have underestimated the reliability of some tests [39] and,
302 consequently, increased the size of the CIs and the likelihood that they would span zero, leading to
303 the conclusion that there was no effect [46]. Non-significant findings may also have resulted from
304 the use of tests that are insensitive to subtle levels of cognitive decline, such as the MMSE [47].

305 Alternatively, it is possible that TJA does not affect cognition. However, the failure to
306 observe improvements in test scores due to repeated testing may itself indicate that there has been
307 some cognitive deterioration that has been masked by practice effects [39, 41]. While Control

308 groups normally provide the means by which practice effects are measured and statistically
309 controlled, there were only two studies that used control groups; neither of which showed evidence
310 of improved performance with repeated testing. Unfortunately, these studies only used a small
311 number of comparable measures and so it is not known whether practice effects counteracted any
312 decline on other measures.

313 It is also possible that cognitive decline occurred in a subset of individuals but was masked
314 when group data was analysed [41, 48, 49]. This is illustrated in a recent meta-analysis of cognitive
315 outcomes after cardiac surgery [24] which, based on group data, concluded that there was either no
316 change or small improvements in performance following surgery. However, the prevalence rates of
317 those studies that provided group data, indicate that up to one third of cardiac patients showed
318 cognitive decline; suggesting that there may be a subset of people who experience poor outcomes.
319 This also appears to be the case for the current meta-analysis, with the group data indicating that
320 there are either no persisting cognitive changes or small improvements after TJA surgery, and the
321 prevalence rates for POCD varying between 16% and 45% [5, 12-14, 37]. For this reason, the
322 *Statement of Consensus on Assessment of Neurobehavioral Outcomes After Cardiac Surgery* [48]
323 advocates monitoring the outcomes of individuals, as well as groups, when evaluating surgical
324 interventions.

325 Finally, it is important to consider some of the limitations of this study. First, the data from
326 different tests were combined for present purposes, which may have meant that tests that were subtly
327 different (i.e. in terms of their sensitivity to cognitive deficits) were combined. Second, some studies
328 assessed participants twice and others three times (pre-surgery + pre-discharge and/or 3-6 months),
329 which may have affected the extent to which practice effects would be expected. Third, patients who
330 were assessed between three and six months post-surgery were combined. Lastly, it was necessary
331 to exclude three studies [4, 28, 30] because they did not report the requisite data and attempts to
332 obtain it were unsuccessful. This is particularly regrettable in the case of the Ancelin et al. [4] study
333 because it used a sizeable cognitive test battery and a matched control group. The remaining two
334 studies [28, 30] did not use a control group and only assessed cognition using the MMSE, and were
335 therefore unlikely to add significantly to the findings.

336 Importantly, this meta-analysis highlights the need for additional good quality research,
337 which uses an appropriate control group and clear criteria for identifying cases of POCD, in order to
338 evaluate the frequency with which POCD occurs following TJA [48]. Demographically-matched
339 healthy controls are likely to be the most viable option because they are readily available and control
340 both for normal changes in performance over time and practice effects [41]. However, a surgical
341 control group is needed in order to determine whether TJA, as opposed to surgery itself, is
342 responsible for any observed cognitive decline, provided that the surgical control and TJA groups are
343 matched on important variables (e.g., demographic: age, education; surgical: type of and time under
344 anaesthesia; and disability: pain, physical disability). Another option would be to use a control
345 group consisting either of persons with osteoarthritis or who patients who are wait-listed for TJA
346 surgery, provided they are comparable in terms of pain and disability [41].

347 Future research should also report both group and individual data. POCD must additionally
348 be identified using sound theoretical and statistical methods, such as standardised regression-based
349 methodology [50], which takes time-related confounds into consideration (e.g. practice effects, test-
350 retest reliability, regression to the mean); therefore measuring ‘true’ change in individual patients.
351 Lastly, it is important that researchers provide detailed information regarding other variables that
352 may independently contribute to poor cognitive performance or other outcomes, such as the surgical
353 method used (implant type, tourniquet vs no tourniquet), anaesthetic (type, amount), medication
354 (type, dosage, duration), level of pain, and depression.

355 In summary, prior to discharge, TJA patients showed no change in their performance on the
356 majority of cognitive tasks from their pre-surgical assessment but there were small deficits in
357 reaction time and general cognition. However, these assessments are likely to have been affected by
358 post-operative pain and opioid analgesia. At the 3 to 6 month interval, the TJA group showed no
359 change from baseline on the majority of tasks, although there were small improvements in immediate
360 verbal recall, delayed visual recall, and speed of processing. Unfortunately, in the absence of
361 adequate control data, it is not possible to more definitively determine whether TJA is associated
362 with cognitive decline, as it is not known whether practice effects masked any such decline.
363 Moreover, in the two studies where control groups were used, it appeared that TJA patients were

364 cognitively compromised even before surgery, which further complicates the picture. Additional
365 methodologically rigorous research into POCD after TJA is needed if we are to gain a better
366 understanding of the incidence, cause and impact of POCD.

Supplementary Material*Search terms used*

Total Joint Replacement	Cognition
“joint replacement”	“cogniti*”
“hip replacement”	“neurocog*”
“knee replacement”	“neuropsycholo*”
“joint surgery”	“neurobehavioural”
“hip surgery”	“mental performance”
“knee surgery”	“mental capacity”
“joint procedure”	“mental function”
“hip procedure”	“mental competenc*”
“knee procedure”	“mental ability*”
“joint prosthesis”	“psychologic*”
“hip prosthesis”	
“knee prosthesis”	
“arthroplasty”	
“TJR”	
“THR”	
“TKR”	
“THA”	
“TKA”	

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Table 1

Summary demographic and surgery data for the TJR and control groups

	TJR group					Control group				
	N_{studies}	$N_{\text{participants}}$	%	M	SD	N_{studies}	$N_{\text{participants}}$	%	M	SD
Sample size*	17	1089		64.1	54.6	2	89		44.5	16.3
Age (yrs)	14	873		71.6	3.4	2	89		72.9	1.5
Gender	14	979				2	89			
Female			63					57		
Male			37					43		
Surgery Type										
THR	8	640	59							
TKR	4	177	16							
THR/TKR	5	272	25							

Note. THR = total hip replacement, TKR = total knee replacement, N_{studies} = number of studies, $N_{\text{participants}}$ = number of participants.

*Participants with complete baseline and follow-up data only.

Table 2

Pre-discharge cognitive outcomes after TJR surgery

TJR pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{RM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	verbal - immediate recall	7	572	.10	-.10	.29	0	[5, 6, 12-14, 29, 37]
	verbal - delayed recall	5	236	-.18	-.39	-.03	4	[12-14, 29, 37]
	verbal - recognition	2	238	-.12	-.38	.12	1	[6, 13]
	visual - immediate recall	2	244	.01	-.08	.10	0	[6, 37]
	visual - delayed recall	1	22	.37*	.04	.70	3	[13]
Attention	Trail Making Task A	6	509	.03	-.10	.14	0	[5, 6, 12, 13, 29, 37]
	Trail Making Task B	6	509	-.05	-.20	.09	0	[5, 6, 12, 13, 29, 37]
	Digit Span	4	321	-.07	-.23	.08	0	[6, 13, 14, 29]
	WMS Attention & Concentration	1	28	.09	-.20	.38	1	[37]
Language	Controlled Oral Word Assoc. Test	6	509	.01	-.05	.08	0	[5, 6, 12, 13, 29, 37]
	Category fluency	3	251	.02	-.11	.08	0	[5, 12, 37]
	Boston Naming Test	2	277	.08	.00	.16	1	[6, 12]
Motor and Processing Speed	Choice Reaction Time	1	64	-.24*	-.40	-.08	1	[27]
	Motor speed	3	245	-.11	-.38	.17	1	[5, 12, 13]
	Substitution Task	3	400	-.26	-.54	.04	5	[5, 6, 13]
General Cognitive Screen	Mini-Mental Status Examination	6	216	-.41*	-.61	-.20	19	[17, 18, 27, 35, 36, 51]
TJR and Controls pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{IIGRM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	verbal - immediate recall	2	309 ^a	-.20	-.29	-.12	2	[5, 14]

Note. *N_{studies}* = number of studies, *N_{participants}* = number of participants, *d_{RM}* = weighted mean single-sample repeated measures Cohen's *d*, 95% CI = 95% confidence interval, *N_{fs}* = fail-safe *N*, *d_{IIGRM}* = weighted mean independent-groups repeated measures Cohen's *d*, WMS = Wechsler Adult Memory

*satisfies criteria for change in performance ($d \geq .2$, $CI \neq 0$, $N_{fs} > N_{studies}$)

^aincludes both TJR and Control participants

Table 3

3-6 month cognitive outcomes after TJR surgery

TJR pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{RM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	verbal - immediate recall	8	656	.29*	.16	.42	15	[5, 6, 11-15, 37]
	verbal - delayed recall	4	216	.11	-.23	.45	1	[12-14, 37]
	verbal - recognition	2	301	.17	-.22	.57	1	[6, 13]
	visual - immediate recall	3	307	-.03	-.48	.43	0	[6, 14, 37]
	visual - delayed recall	2	85	.42*	.25	.59	7	[13, 14]
Attention	Trail Making Task A	8	653	.20	.11	.29	8	[5, 6, 10, 12-15, 37]
	Trail Making Task B	8	653	.18	.04	.32	6	[5, 6, 10, 12-15, 37]
	Digit Span	3	301	.07	-.08	.21	0	[6, 13, 14]
	WMS Attention & Concentration	2	89	.09	-.06	.23	0	[10, 37]
Language	Controlled Oral Word Assoc. Test	5	484	.09	-.01	.19	0	[5, 6, 12, 13, 37]
	Category fluency	3	246	.02	-.08	.12	0	[5, 12, 37]
	Boston Naming Test	2	277	.16	.11	.21	1	[6, 12]
Motor & Processing Speed	Choice Reaction Time	2	174	.15	.08	.21	1	[11, 15]
	Motor speed	4	283	.08	-.06	.22	1	[5, 12, 13, 15]
	Substitution Task	5	569	.28*	.16	.40	9	[5, 6, 11, 13, 15]
General Cognitive Screen	Mini Mental Status Examination	3	123	.00	-.38	.38	0	[18, 36, 38]
TJR and Control pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{IGRM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	Immediate verbal recall	2	309 ^a	.07	-.08	.22	0	[5, 14]
Attention	Trail Making Test A	2	309 ^a	-.05	-.42	.32	0	[5, 14]
	Trail Making Test B	2	309 ^a	.01	-.10	.12	0	[5, 14]

Note. $N_{studies}$ = number of studies, $N_{participants}$ = number of participants, d_{RM} = weighted mean single-sample repeated measures Cohen's d , 95% CI = 95% confidence interval, N_{fs} = fail-safe N , d_{IGRM} = weighted mean independent-groups repeated measures Cohen's d , WMS = Wechsler Adult Memory

*satisfies criteria for change in performance ($d \geq .2$, $CI \neq 0$, $N_{fs} > N_{studies}$)

^aincludes both TJR and Control participants

Table 3
3-6 month cognitive outcomes after TJR surgery

TJR pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{RM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	verbal - immediate recall	8	656	.29*	.16	.42	15	[1-8]
	verbal - delayed recall	4	216	.11	-.23	.45	1	[1, 4, 6, 7]
	verbal - recognition memory	2	301	.17	-.22	.57	1	[4, 8]
	visual - immediate recall	3	307	-.03	-.48	.43	0	[6-8]
	visual - delayed recall	2	85	.42*	.25	.59	7	[4, 7]
Attention	Trail Making Task A	8	653	.20	.11	.29	8	[1, 2, 4-9]
	Trail Making Task B	8	653	.18	.04	.32	6	[1, 2, 4-9]
	Digit Span	3	301	.07	-.08	.21	0	[4, 7, 8]
	WMS Attention & Concentration	2	89	.09	-.06	.23	0	[6, 9]
Language	Controlled Oral Word Assoc. Test	5	484	.09	-.01	.19	0	[1, 2, 4, 6, 8]
	Category fluency	3	246	.02	-.08	.12	0	[1, 2, 6]
	Boston Naming Test	2	277	.16	.11	.21	1	[1, 8]
Motor & Processing Speed	Choice Reaction Time	2	174	.15	.08	.21	1	[3, 5]
	Motor speed	4	283	.08	-.06	.22	1	[1, 2, 4, 5]
	Substitution Task	5	569	.28*	.16	.40	9	[2-5, 8]
General Cognitive Screen	Mini Mental Status Examination	3	123	.00	-.38	.38	0	[10-12]
TJR and Control pre- and post-surgery data								
<i>Domain</i>	<i>Test</i>	<i>N_{studies}</i>	<i>N_{participants}</i>	<i>d_{IGRM}</i>	<i>95% CI</i>		<i>N_{fs}</i>	<i>References</i>
Memory	Immediate verbal recall	2	309 ^a	.07	-.08	.22	0	[2, 7]
Attention	Trail Making Test A	2	309 ^a	-.05	-.42	.32	0	[2, 7]
	Trail Making Test B	2	309 ^a	.01	-.10	.12	0	[2, 7]

Note. *N_{studies}* = number of studies, *N_{participants}* = number of participants, *d_{RM}* = weighted mean single-sample repeated measures Cohen's *d*, 95% CI = 95% confidence interval, *N_{fs}* = fail-safe *N*, *d_{IGRM}* = weighted mean independent-groups repeated measures Cohen's *d*, WMS = Wechsler Adult Memory

*satisfies criteria for change in performance ($d \geq .2$, $CI \neq 0$, $N_{fs} > N_{studies}$)

^aincludes both TJR and Control participants