

ACCEPTED VERSION

Haefele, S.M.; Nelson, A.; Hijmans, R.J.

[Soil quality and constraints in global rice production](#) Geoderma, 2014; 235-236:250-259

© 2014 Elsevier B.V. All rights reserved.

NOTICE: this is the author's version of a work that was accepted for publication in Geoderma resulting from the publishing process, such as peer review, editing, corrections, structural formatting, and other quality control mechanisms may not be reflected in this document. Changes may have been made to this work since it was submitted for publication. A definitive version was subsequently published in Geoderma, 2014; 235-236, 250-259.

[10.1016/j.geoderma.2014.07.019](http://dx.doi.org/10.1016/j.geoderma.2014.07.019)

PERMISSIONS

<http://www.elsevier.com/journal-authors/open-access/open-access-policies/article-posting-policy#accepted-author-manuscript>

Elsevier's AAM Policy: Authors retain the right to use the accepted author manuscript for personal use, internal institutional use and for permitted scholarly posting provided that these are not for purposes of **commercial use** or **systematic distribution**.

Permitted scholarly posting	Voluntary posting by an author on open websites operated by the author or the author's institution for scholarly purposes, as determined by the author, or (in connection with preprints) on preprint servers.
--	--

21 October, 2014

<http://hdl.handle.net/2440/85185>

1 Soil quality and constraints in global rice production

2
3 S.M. Haefele¹, A. Nelson², and R.J. Hijmans³,

4
5 ¹ ACPFG, University of Adelaide, Adelaide, Australia; stephan.haefele@acpfg.com.au,
6 Tel.: +61 8 8313 7499, Fax: +61 8 8313 7102;

7 ² International Rice Research Institute, Los Baños, Philippines

8 ³ Department of Environmental Science and Policy, University of California, Davis, CA,
9 USA

10 11 **Abstract**

12 We assessed soil quality in global rice production areas with the Fertility Capability Soil
13 Classification (FCC) system adjusted to match the harmonized world soil database,
14 established by the Food and Agriculture Organization and the International Institute for
15 Applied Systems Analysis. We computed the distribution of 20 soil constraints, and used
16 these to categorize soils as 'good', 'poor', 'very poor', or 'problem soil' for rice production.
17 These data were then combined with data of global rice distribution to determine soil
18 quality in the main rice production systems around the world. Most rice is grown in Asia
19 (143.4 million ha), followed by Africa (10.5 million ha) and the Americas (7.2 million ha).
20 Globally, one-third of the total rice area is grown on very poor soils, which includes 25.6
21 million ha of irrigated rice land, 18.5 million ha in rainfed lowlands, and 7.5 million ha of
22 upland rice. At least 8.3 million ha of rice is grown on problem soils, including saline,
23 alkaline/sodic, acid-sulfate, and organic soils. Asia has the largest percentage of rice on
24 good soils (47%) whereas rice production on good soils is much less common in the
25 Americas (28%) and accounts for only 18% in Africa. The most common soil chemical
26 problems in rice fields are very low inherent nutrient status (35.8 million ha), very low pH
27 (27.1 million ha), and high P fixation (8.1 million ha); widespread soil physical problems
28 especially severe in rainfed environments are very shallow soils and low water-holding
29 capacity. The results of the analysis can be used to better target crop improvement
30 research, plant breeding, and the dissemination of stress-specific tolerant varieties and
31 soil management technologies.

32
33 *Key words:* global rice production areas; soil quality; P fixation; problem soils;

34 **Introduction**

35 Soil quality has long been synonymous with agricultural productivity. Before
36 mechanization and widespread fertilizer use, inherent chemical, physical, and biological
37 soil properties were the major determinant of soil fertility, and farmers had a limited number
38 of options to improve soil quality and crop production. And, although today there is a wider
39 range of technologies reducing the importance of inherent soil quality and soil fertility for
40 agricultural productivity, they cannot overcome all constraints, they may not always be
41 economical, or they may not be within the reach of farmers for other reasons. Intensive
42 soil amelioration often is economical only for high-value crops, and many farmers,
43 especially in developing countries, do not have the resources to invest much in fertilizer,
44 soil amendments, or machinery to overcome soil constraints. Others may not be willing to
45 make such investments if they don't own the land or their production environment is risky,
46 for example, in drought- or flood-prone environments. Thus, "natural" soil quality remains
47 a major factor of productivity in most agricultural production systems because it provides
48 favorable growing conditions and determines the indigenous nutrient supply to the crop.
49 In addition, soil characteristics affect the retention and plant availability of fertilizers and
50 the benefit of other soil amendments, thereby controlling the possible yield increase and
51 return for a given investment. Soil characteristics also influence the amount of crop-
52 available water in water-limited environments, and certain conditions in the rhizosphere,
53 such as salinity, acidity, alkalinity, and toxicity may affect crop growth negatively.

54 Rice cultivation extends from the humid tropics to temperate regions of northeastern
55 China and southeastern Australia, and from sea level to altitudes of more than 2500 m in
56 Nepal and Bhutan. Although most rice is grown in Asia, substantial areas are also planted
57 with rice in Africa and the Americas, whereas relatively small rice production areas are
58 situated in Oceania and Europe. As a consequence of this broad geographic distribution,
59 rice is grown in many different climates, and on a wide range of soils with huge differences
60 in soil quality. There have been some earlier efforts to characterize rice soils in flooded
61 rice production systems in Asia (e.g., Kawaguchi & Kyuma, 1977; Moormann & van
62 Breemen, 1978; IRRI, 1978, 1985). However, most studies of rice soils concentrated on

63 specific characteristics or processes in flooded rice soils (e.g., Ponnampereuma, 1972;
64 Banta & Mendoza, 1984; Ladha et al., 1992; Wassmann et al., 2000; Kirk, 2004; Koegel
65 Knabner et al., 2010), and recent studies on the spatial characterization and distribution
66 of rice soils are rare. Consequently, comparable quantitative data on rice soil quality across
67 regions and rice production systems are not available and important soil quality-related
68 questions can usually be answered only in a qualitative way by local experts. A better
69 spatial characterization of soil quality and constraints could serve several purposes.
70 Spatial information on environmental constraints to crop production can be used to
71 evaluate, target, and focus agricultural research (e.g., Hijmans et al., 2003) and assist
72 technology dissemination (Singh & Singh, 2010). Knowledge of spatial distribution and the
73 importance of abiotic stresses related to soil characteristics, climate, or hydrology could
74 help to better target rice varieties with specific traits such as submergence tolerance (Xu
75 et al., 2006), salinity tolerance (Thomson et al., 2010), P-deficiency tolerance (Gamuyao
76 et al., 2012), and drought tolerance (Verulkar et al., 2010). Similarly, such information
77 could be used to improve research and the dissemination of management options for
78 specific soil-related problems. And, a better understanding of what the most important
79 problems in a specific region are could help to focus limited research or development
80 resources on widespread problems.

81 Any analysis of soils under rice production and their characteristics has to consider the
82 major rice production systems (IRRI, 1984). Most rice is grown in aquatic conditions in
83 banded fields that retain a shallow water layer for most of the season. These fields may
84 be irrigated and/or rainfed, and are referred to as the “lowland rice production system”.
85 Lowland rice production also occurs in mountainous areas as terracing allows for the
86 construction of fields that are banded and flooded. “Upland rice”, in contrast, is grown
87 under aerobic soil conditions, without bunds around the field and no standing water like
88 most other crops. Upland rice is commonly grown on plateau uplands (mainly in India) or
89 on sloping land (mainly in Southeast Asia). Most of these fields are rainfed, but, in some
90 regions, notably in parts of Brazil, upland rice is irrigated. Additional, but less common,
91 production systems are the “deepwater rice” systems in which fields may be naturally

92 flooded with as much as 5 meters of water, and “tidal wetland” rice systems in coastal
93 regions.

94 The present study is based on previous work by Garrity et al. (1986) and Haefele &
95 Hijmans (2007) that combined data on rice distribution and soil fertility constraints for the
96 characterization of rainfed lowland ecosystems in Asia. Both these studies used now
97 outdated soil data and considered only soil constraints in rainfed lowland rice production
98 in Asia, partly because rainfed lowlands are generally assumed to have the most abiotic
99 stress problems and partly because continuous flooding typical for most irrigated systems
100 brings about a multitude of chemical, physical, and microbiological changes that render
101 flooded soils very different from well-drained soils (Ponnamperuma, 1972). However, not
102 all irrigated environments have good soils and some problem soils are even preferably
103 cultivated with irrigated rice. Also, many negative soil characteristics for crop production
104 like low nutrient reserves, very low cation exchange capacity (CEC), or high Fe/Al oxide
105 content, are not much affected by flooding. The objective of the present analysis was
106 therefore to use the most recently developed spatial databases for a quantitative
107 characterization of soil quality for rice production systems worldwide.

108

109 **Materials and methods**

110 We analyzed soil fertility-related characteristics of rice environments by combining
111 global spatial databases of soil characteristics and of rice production systems. The rice
112 distribution data came from an updated and expanded version of the database for sub-
113 national administrative regions of South and Southeast Asia of Huke & Huke (1997). For
114 each country, the area of each rice production system (irrigated lowland, rainfed lowland,
115 upland, and other [i.e., deepwater or mangrove]) was compiled at the best available level
116 of spatial detail, with an emphasis on collecting more spatially detailed data in the larger
117 and more important rice-growing regions of the world. For example, the distribution of rice
118 production systems was compiled for 1749 counties in China and for 434 districts in India.
119 In total, the database contained 9218 spatial units with rice production, or one unit per
120 17,400 ha of the global rice area across 112 countries. When necessary, we adjusted the

121 sub-national data pro rata to match the rice area for 2010-2012 according to FAOSTAT
122 (2013). The data for each rice production system were transferred from the administrative
123 area polygon data structure to a raster data structure with a 30 arc-seconds (~0.9 km² at
124 the equator) spatial resolution. For each administrative area, the area of rice production
125 was distributed across the raster cells that were deemed most likely to support that rice
126 production system. Cells that were assumed to have rice were those that had agriculture
127 according to a satellite image-derived raster database of global land cover (GLOBCOVER
128 version 2.3; Arino et al., 2008), for flooded systems in South and Southeast Asia
129 complemented by satellite derived data on the extent of paddy rice cultivation by
130 Xiangming et al. (2006). For some regions, these datasets had much less area with crops
131 than the rice area reported for the administrative regions. This happened in regions with
132 double (or triple) cropping of rice, but frequently it appeared to be caused by
133 underreporting of agricultural land use. When necessary, we therefore allocated rice area
134 to additional cells within an administrative area, excluding areas with no soil (e.g., rocks or
135 water), with cities, or with very steep slopes.

136 We used soil data from the Harmonized World Soil Database (HWSD, version 1.2;
137 FAO/IIASA/ISRIC/ISSCAS/JRC, 2012). It has 16327 unique map units, and rice was
138 produced in 6162 of them. Each map unit describes a soil unit or associations of soil units.
139 When a map unit is not homogeneous, it is composed of a dominant soil unit and
140 component soil units. The latter are either associated soils (maximum three, each covering
141 at least 20% of the area) or soil inclusions (maximum four, covering together less than
142 20% of the area). The median number of soil units per map unit is 3, and 90% of the map
143 units have 5 or fewer soil units (the maximum was 10 soil units in a single map unit). The
144 median share (relative area) of a soil unit in a map unit is 24%. Each soil unit has an FAO
145 soil name and many additional soil properties for the topsoil and subsoil, such as texture,
146 soil depth, gravel, organic carbon content, pH, CEC, calcium carbonate (lime) content,
147 exchangeable sodium percentage, and electrical conductivity of the soil
148 (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012).

149

150 To generalize these data into groups of soil fertility constraints, we classified all the
151 soil units within each map unit based on the Fertility Capability Soil Classification (FCC)
152 system (Sanchez & Buol, 1985; Sanchez et al., 2003). The FCC groups soils according to
153 their physical and chemical properties causing problems in crop production. It consists of
154 two categorical levels, describing topsoil and subsoil texture (the first category) and soil
155 conditions affecting plant growth (the second category). The second category consists of
156 several modifiers indicating whether a soil has, for example, a low pH, limited CEC, or
157 salinity problems. The fraction of the area covered by each FCC modifier was computed
158 for each raster cell based on the fraction of the area covered of a soil type in a particular
159 soil unit. We then multiplied the raster cell values representing the area of each rice
160 production system with these FCC fractions to compute the distribution of soil fertility
161 constraints by rice production system. We aggregated and tabulated these data to country
162 and regional levels and reported the results, at a conservative level of precision, to the
163 nearest 1000 ha.

164 We distinguished four groups of soils with different levels of soil fertility and severity
165 of soil constraints, because groups of these modifiers go together in soils anyway and
166 because the larger groups are easier to report, use, and visualize (but the underlying data
167 can also be retrieved for each separate modifier). The three main groups (good, poor, and
168 very poor) provide a clear and easy to use, high level soil fertility classification. The 4th
169 group (problem soils) are soils with specific soil chemical constraints which can be
170 addressed with management and/or tolerant rice germplasm.

171

172 1. **Problem soils:** all topsoils designated with the FCC modifier *s* (saline soils), *c* (acid-
173 sulfate soils), *O* (organic soils), *n* (sodic soils), or *b* (alkaline soils). Crop growth on
174 these soils is likely to be limited by salinity (*s*); very low pH; P deficiency; Fe/S/Al
175 toxicity (*c*); nutrient deficiencies of N, Zn, K, P, Cu, and Mo (*O*); or high pH causing P,
176 Fe, and Zn deficiency (*n*, *b*). These are the most frequently cited “problem soils” in rice-
177 based systems (Sanchez & Buol, 1985; Sanchez et al., 2003).

- 178 2. **Very poor soils, considerable soil constraints:** all topsoils designated by one or
179 more of the FCC modifiers *k* (< 10% weatherable minerals in silt and sand fraction or
180 exchangeable K < 0.20 cmol per kg soil), *e* (effective CEC < 4 cmol per kg soil), *a* (>
181 60% Al saturation), or *i* (% free Fe₂O₃ divided by % clay > 0.15 and more than 35%
182 clay or hues of 7.5 YR or redder and granular structure). Crop growth on these soils is
183 potentially limited by combinations of low nutrient reserves (*k*), low CEC (*e*), Al toxicity
184 (*a*), and/or high P fixation. We added the characteristics “very shallow (< 30 cm)” and
185 “limited water-holding capacity (< 50 mm m⁻¹)” to this group. Generally, these are highly
186 weathered soils with very limited indigenous nutrient supplies, low nutrient retention
187 capacity, frequent and often severe P deficiency, acidic to very acidic soil reaction (pH
188 < 5.5), and Fe/Al toxicity. They also might be shallow and prone to drought spells.
- 189 3. **Poor soils, no major soil constraints:** According to Haefele and Hijmans (2007),
190 these were all soils with no other FCC modifier than *h* (10-60% Al saturation of the
191 effective CEC or pH between 5 and 6). Sanchez et al. (2003) added the *h* modifier to
192 the soils without constraints but introduced the *a* modifier (weathered soils with limited
193 indigenous nutrient supplies, low nutrient retention capacity/CEC, and moderately
194 acid), which we considered characteristics of very poor soils. New characteristics
195 added to this group were indicators of limited soil fertility such as low organic C content
196 in the topsoil, shallow soils (*R*), gravelly soils (*r*), and slightly alkaline (*n*-) soils. Thus,
197 this group includes various soils with only minor constraints and/or limited soil fertility.
- 198 4. **Fertile (good) soils, no or minor soil constraints:** These are all soils not designated
199 with any of the FCC modifiers *h*, *k*, *e*, *a*, *i*, *s*, *c*, *O*, *n*, or *b*; therefore, none of the
200 constraints indicated by these modifiers would occur. Soils included can be
201 designated with the FCC modifiers *x* (volcanic materials) or *v* (vertic soil properties),
202 and we also added calcareous soils (modifier *b*) and waterlogged soils (*g*, *g*+) to this
203 group. In addition, this group contains soils not characterized by any of the FCC
204 condition modifiers. Soils in this group are much less weathered, have considerably
205 higher natural soil fertility than poor and very poor soils, and soil constraints are minor
206 or absent.

207

208 As the definitions in the FCC do not exactly match the data available in the HWSD,
209 we developed an adjusted system that matches the spirit and concepts of the FCC with
210 the HWSD, and focused on constraints to rice production (Table 1). We used R v3.0.1 (R
211 Development Core Team, 2012) and the raster package (Hijmans, 2013) to tabulate the
212 area of each rice production system for each soil mapping unit, and then to estimate the
213 distribution of soil fertility constraints by rice production system.

214

215 **Results**

216 World rice area has been rising over the past decade: from a recent low point of
217 148 million ha in 2002 to 164 million ha in 2011 (FAOSTAT, 2013). The global rice area in
218 the years analyzed (the average for 2010-2012) was about 162 million ha annually (Table
219 2). About 30 million ha are planted at least twice a year to rice; hence, the land area with
220 at least one rice crop is about 132 million ha per year. In the results below, the area of
221 “rice soils” refers to “annual area planted with rice”, not “physical area on which rice is
222 planted”. Thus, in tallying soil characteristics, if a field is planted with rice twice a year, it is
223 counted twice, and the rice soil area always sums up to 162 million ha.

224 Most rice is grown in Asia (about 143.4 million ha), and substantial but much
225 smaller areas are planted with rice in Africa (10.5 million ha) and the Americas (7.2 million
226 ha) (Table 2, Figure 1). Among these major rice-growing continents, irrigated rice is most
227 important in Asia (60%) and the Americas (54%, not including irrigated upland rice), and
228 least important in Africa (21%). In Asia, most rainfed rice is found in South and Southeast
229 Asia. Rainfed rice is the dominant rice production system in Africa (79%), with large shares
230 of lowland rice (44% of the total annual area) and upland rice (28% of the total annual
231 area). In contrast, most rainfed rice in the Americas is upland rice (46% of the total annual
232 area). Deepwater/mangroves rice systems (other in Figure 1) in Asia (3.5 million ha) are
233 located mostly in South and Southeast Asia, either in coastal deltas (Southeast Asia) or
234 inland (South Asia). In Africa, there are about 0.7 million ha of deepwater/mangrove rice
235 systems in coastal regions of West Africa and in the inland Niger delta. Only small rice

236 areas are found in Europe and Oceania (about 0.7 and 0.04 million hectares, respectively),
237 and almost all is irrigated. Most of the European rice is concentrated in Italy, Russia, and
238 Spain whereas most of the rice in Oceania is grown in Australia. A second rice crop in the
239 dry season is mostly important in Asia, but additional areas where this is important occur
240 in Africa, for example, Madagascar, Nigeria, and some irrigated areas in the Sahel region.
241 A more recent development in Latin America is that rice production has been shifting from
242 low-yielding upland rice to high-yielding and much better managed irrigated rice (Dawe et
243 al., 2010; Jennings, 2007).

244 Of all the continents, Asia has the largest percentage of good rice soils (47%) and
245 of rice soils without major constraints (good and poor soils, 65%) (Table 3; Figure 2). Good
246 rice soils are less common in the Americas (28%) and account for less than a fifth of the
247 total area in Africa (18%). Consequently, the share of soils with major constraints
248 (combining very poor soils and problem soils) is much higher in Africa (64%) and the
249 Americas (55%). Saline problem soils represent a large fraction of the rice soils in Oceania,
250 whereas rice soils are often very sandy/poor in southern Europe.

251 Beyond these general trends, there is considerable variation within continents. Asia
252 has the largest percentage of rice soils without major soil constraints (Table 3), but these
253 relatively good soils are not evenly distributed (Figure 2). Regions where rice is grown on
254 relatively good soils are South Asia (good and poor soils = 81%) and East Asia (good and
255 poor soils = 61%). However, many less favorable rice soils are common in Southeast Asia,
256 where very poor soils and problem soils dominate (52%). Within Africa, rice soils without
257 major soil constraints are common in northern Africa (78%) whereas very poor and
258 problem rice soils are widespread in West, Central, and East Africa (64%, 76%, and 67%,
259 respectively) (Figure 2). In South America, much rice is grown on very poor soils with major
260 constraints (62%), whereas, in North America, Central America, and the Caribbean, it is
261 grown on better soils (good and poor soils = 76%, 74%, and 70%, respectively).

262 In addition to these differences between and within continents, considerable soil
263 quality differences are found between the different rice production systems (Table 4). In
264 Asia and the Americas, irrigated rice systems tend to have better soils than other rice

265 systems. This is not the case in Africa, because a large percentage of irrigated rice is
266 grown on problem soils (mostly saline soils, especially in Egypt), and because much of the
267 irrigated rice area in Africa is in Madagascar, which has generally very poor soils. The soil
268 quality difference between irrigated and rainfed environments is largest in the Americas,
269 where almost all the rainfed rice is upland rice, but the difference is also considerable in
270 Asia and Africa. In rainfed production systems, very poor soils and problem soils together
271 constitute 39% in Asia, 66% in Africa, and 72% in the Americas.

272 In all three major rice-growing continents, there is a trend of a decreasing fraction
273 of good soils and problem soils when going up in the landscape (deepwater/mangrove
274 environments – irrigated lowlands – rainfed lowlands – uplands), and an increase in very
275 poor soils in the same direction (Tables 4 and 5). This trend is strongest in Southeast Asia,
276 the Asian sub-region with the worst soils. There, the percentage of good rice soils
277 increases from 18% in the rainfed uplands to 34% in deepwater environments, while the
278 percentage of very poor soils decreases from 64% to 27% (Table 5). Problem soils
279 increase from 3% to 18% going down the toposequence. Similar trends for upland and
280 lowland rice were found for the Americas and sub-regions in Africa (data not shown).

281 In Asia, problem soils cultivated with rice are not common (5% of the total rice area
282 there) but they are locally important, especially in Pakistan and northern India (alkaline
283 and sodic soils); in some coastal lowlands of India, Bangladesh, Myanmar, Thailand, and
284 Vietnam (saline and acid-sulfate soils); and in coastal regions of Borneo, Sumatra, and
285 New Guinea (acid-sulfate and organic soils) (Figure 2). Problem soils in the Americas (6%
286 of the total rice area) are mostly saline or organic and occur in coastal areas and at a few
287 inland sites (Figure 2). In Africa, they are common in Egypt, in some Sahelian irrigation
288 schemes, and in coastal regions, but they occupy “only” 3% of the total rice area. Within
289 the problem soils assessed here, salt-related problems are the most common in rice
290 cultivation (Table 6). Worldwide, soil salinity is a constraint for rice on about 2.7 million ha,
291 and alkalinity/sodicity affects 3.5 million ha. Because salinity, alkalinity, and sodicity often
292 overlap (e.g., many alkaline/sodic soils can also be saline), the sum of the areas with
293 individual soil problems is considerably higher than the total area of problem soils. About

294 3.0 million ha of rice are cultivated on acid-sulfate soils, and around 1.5 million ha are
295 grown on organic soils. With respect to total area, Asia is the most affected by problem
296 soils but the relative abundance of rice on problem soils is highest in the Americas (see
297 Tables 3 and 6).

298 The distribution of individual soil constraints for the main rice-growing areas and
299 the major production systems is shown in Table 7. Saline and alkaline/sodic characteristics
300 are important soil problems across regions and systems, whereas acid-sulfate soils are
301 mostly important in Asia, and organic soils in Asia and the Americas. Saline/alkaline/sodic
302 soils are closely associated with deepwater, mangrove, and irrigated environments,
303 whereas acid-sulfate soils are most common in rainfed lowlands and deepwater/mangrove
304 environments. All four problem soil constraints are rare in upland rice (Table 7). In the
305 Americas, alkaline/sodic soils are the main problem in irrigated rice whereas organic soils
306 are the main problem soil type in rainfed rice. In the group of very poor soils, poor nutrient
307 status and very low pH are the most common problems (36 and 27 million ha,
308 respectively). Limited water-holding capacity is an important problem mainly in Asia and
309 Africa, where it also often coincides with rainfed environments. High P fixation caused by
310 high Fe/Al oxide concentration in highly weathered soils occurs on 8 million ha of tropical
311 soils in Asia, Africa, and the Americas. Poor soils are most often limited by moderate
312 acidity/limited Al toxicity, low soil organic matter content, and soil physical impediments
313 (gravelly). Within the good soils, high carbonate content can cause P and Zn deficiencies,
314 considerable P fixation occurs on 9.6 million ha of vertic and andic soils, and gleyic
315 conditions can indicate drainage/submergence problems but are otherwise no constraint
316 for rice. Soils without any constraints are most common in Asia (24%) and least common
317 in Africa (12%).

318

319 **Discussion**

320 The total world rice area of 162 million ha in this study is within the normal range
321 of the past decade, going from a recent low in 2002 (148 million ha) to the maximum rice
322 area reached in 2011 (164 million ha), and the main part of this fluctuation occurs in Asia

323 and Africa (FAOSTAT, 2013). Within Asia and in comparison with the mid-1990s (Huke &
324 Huke, 1997), irrigated rice land increased from about 55% of the total area to 60% now,
325 rainfed lowland rice decreased from 35% to about 32%, upland rice decreased from 7%
326 to 6%, and deepwater/other production systems decreased from 3% to 2%. However, the
327 actual area of upland and deepwater/other rice hardly changed – it just did not increase.
328 Thus, the main area trends in Asia are a considerable flexibility of the total rice area and
329 an increasing share of irrigated rice. The total rice area in Africa increased considerably
330 from 7.0 million ha in 1995 to 10.5 million ha now. In the Americas, the total area remained
331 stable in the last 17 years according to FAOSTAT (2013), but a considerable shift from
332 rainfed upland rice to irrigated lowland rice was reported for South America (Dawe et al.,
333 2010).

334 Before discussing the soil quality results, some methodological limitations should
335 be mentioned. Our analysis of rice soil quality and constraints is obviously dependent on
336 the resolution and quality of the underlying data sources. Global agricultural and
337 environmental data that is based on data compiled from national sources (whether crop
338 area, soils, climate, or other variables) varies considerably in resolution and uncertainty by
339 country, and probably also within country. For example, the resolution and quality of the
340 soil and rice data for China seems very high but, at the other extreme, in some parts of
341 Africa the data is much more uncertain. Another issues is that we identified 9218 spatial
342 units with rice production but “only” 6162 soil mapping units with rice production. This
343 would suggest that the rice data were more detailed which is not necessarily true because
344 the same soil types can occur over large areas and be mapped with high precision (i.e.
345 high resolution, few spatial units). But an important uncertainty in the soil data is that the
346 spatial distribution of the dominant soil unit and associated component soil units within a
347 mapping unit is unknown. In case of the rice area units, we downscaled the rice data using
348 land cover data such that the spatial resolution was much higher than the original
349 administrative boundaries data. More accurate results from this type of characterization
350 studies will be possible when databases with a higher spatial resolution become available.
351 Improved rice distribution maps using remotely sensed land cover data for mapping rice

352 production systems are already being developed (e.g., Xiao et al, 2006; Gumma et al.,
353 2011). A more detailed characterization could also be achieved by integrating
354 geomorphology and hydrology into the characterization as both factors have been shown
355 to modify soil characteristics and constraints (Homma et al., 2003; Oberthür & Kam, 2000).
356 And, improved spatial resolution of soil databases could be obtained by digitizing and
357 reconciling national-level soil maps and making better use of legacy soil profile data
358 (Tempel et al., 2013).

359 The major soil fertility groups we distinguished were based on the earlier study by
360 Haefele & Hijmans (2007), which addressed only rainfed lowland environments. Similar
361 fertility groups for paddy soils in tropical Asia were also found by Kawaguchi & Kyuma
362 (1977). They analyzed 410 topsoil samples from paddy soils in nine Asian countries and
363 distinguished three main factors determining soil fertility: inherent potentiality (determined
364 primarily by the nature and amount of clay, and base status), organic matter and nitrogen
365 status (related to total organic carbon and nitrogen, and extractable NH₃-N), and available
366 phosphorus status (total P, available P indicators). High scores in the “inherent potentiality
367 factor” would in most cases qualify for the “good soils” group, and low scores in the
368 “available phosphorus factor” would generally lead to the “very poor soil” category.
369 Sanchez et al. (2003) also proposed a new FCC modifier “*m*”, denoting an organic carbon
370 deficit, probably similar to the “organic matter and nitrogen status factor” determined by
371 Kawaguchi & Kyuma (1977). Consequently, we included “organic carbon concentration”
372 as an indicator of soil fertility in this analysis, as such data were available in the new HWSD
373 database. Sanchez et al. (2003) also proposed to combine the former “*h*” modifier (acid
374 but limited Al toxicity) with the “no major chemical constraints” group, but we kept the new
375 indicator *a*- as a characteristic of poor soils because it denotes considerably weathered
376 soils with lower indigenous nutrient supplies, limited nutrient retention capacity, and
377 potential Al toxicity.

378 Although a characterization of world rice soils has not been conducted before, our
379 results for rainfed lowlands in Asia (Table 4) can be compared with those of Garrity et al.
380 (1986). These authors found that 44% of the shallow lowlands (in shallow rainfed lowlands,

381 submergence of the rice crop is usually limited to less than 10 consecutive days; IRRI,
382 1984) were fertile without major constraints and that the FCC modifiers for very poor soils
383 (*a*, *e*, and *l*, representing low CEC and low CEC plus high P fixation) accounted for 45%
384 of the total area. Problem soils covered 11% of the rice area in this ecosystem. In
385 comparison, our results for all rainfed lowland environments indicate that “only” 5.5% can
386 be characterized as problem soils (Table 4). Fertile soils without major constraints
387 according to Garrity et al. (1986) would combine good and poor soils (= 60%) in this study.
388 Thus, we found a considerably higher percentage of soils without major constraints and
389 fewer problem soils, although we added soils typified by *the k* modifier only (< 10%
390 weatherable minerals in silt and sand fraction or exchangeable K < 0.20 cmol per kg soil)
391 to the very poor soils whereas Garrity et al. (1986) added them to the fertile soils (about
392 10% of shallow rainfed lowlands, data not shown). Thus, our study detects considerably
393 fewer rainfed lowlands with very poor soils (35%) in Asia than the study of Garrity and co-
394 authors (45%). Possible reasons for these differences are that we analyzed soil quality
395 across shallow *and* intermediate rainfed lowlands (submergence in intermediate rainfed
396 lowlands is more frequent and can last more than 10 days; IRRI, 1984), we used the
397 newest and improved soil database (HWSD), and our rice area maps had a higher
398 resolution. Also, there was obviously a significant change in rainfed rice area: Garrity et al.
399 (1986) reported about 28 million hectares of shallow rainfed lowlands whereas Huke &
400 Huke (1997) already found 34 million hectares. Large-scale reclamation of problem soils
401 in, for example, the Indo-Gangetic plains (Yadav et al., 2010) should not have affected the
402 results because such developments are not integrated into the available soil maps.
403 However, these differences indicate that some uncertainty exists and that higher resolution
404 data are needed to verify the results of our study.

405 Apart from this comparison, few quantitative data on soil quality in rice soils using
406 the FCC system have been published. The dominance of very poor soils in mainland
407 Southeast Asia has also been reported by Garrity et al. (1986), who estimated that about
408 two-thirds of the rainfed area in northeast Thailand, Laos, and Cambodia falls into that
409 category. Similarly, Kawaguchi & Kyuma (1977) found that most of their soils tested with

410 very low “inherent potentiality” came from northeast Thailand, and most of the soils with
411 very low “available phosphorus status” came from northeast Thailand and Cambodia. They
412 also reported that most soils with a high fertility status with respect to both parameters
413 were from India or the Philippines. In an analysis of upland rice, Gupta & O’Toole (1986)
414 classified 58% of South and Southeast Asian upland rice soils as infertile but added that
415 South Asia had more upland rice on fertile soils than Southeast Asia. This clear dominance
416 of very poor soils in upland rice is confirmed by our analysis (Table 5, Figure 2) for
417 Southeast Asia (64%) but not for South Asia (21%). It is noteworthy that, although very
418 poor soils are dominant in mainland Southeast Asia, several countries there have policies
419 to not support or even discourage the use of inorganic fertilizer in rice, which clearly
420 restricts productivity growth. In contrast, other countries, including China and India, that
421 have better soils heavily subsidize inorganic fertilizer use. In Africa, Balasubramanian et
422 al. (2007) highlighted the dominance of very poor soils in upland systems, and of generally
423 better soils in lowland environments. Windmeijer & Andriessen (1993) described a similar
424 distribution of soil fertility in many inland valleys with lower fertility on the slopes and higher
425 fertility in the valley bottom. The important effect of production system rather than locality
426 for African rice soils is confirmed in our analysis (Tables 3 and 4). That the position in the
427 landscape, toposequence, and/or rice system has a similar effect worldwide is also
428 illustrated by the data presented in Tables 4 and 5. The trend there is that the occurrence
429 of very poor rice soils is decreasing in the sequence from uplands to rainfed lowlands to
430 irrigated lowlands and deepwater/mangrove areas. Good soils obviously show the
431 opposite trend. This is caused by the transport of nutrients and particles from higher to
432 lower parts of the landscape (colluvium, alluvium, leaching), and its effect on the fertility of
433 rice soils was described earlier (e.g., Oberthür & Kam, 2000; van Asten et al., 2003;
434 Haefele & Konboon, 2009). In contrast, problem soils are much more frequent in the lower
435 parts of the landscape, to a large extent because saline and acid-sulfate soils are typical
436 lowland/coastal soils (Driessen and Dudal, 1991). The fact that these trends were
437 detectable despite the limited spatial resolution of the input data, as discussed above,
438 augments the confidence in the method used and the results achieved.

439 The most widespread problem soils for rice are soils affected by salinity, which in
440 its wider definition includes alkalinity and sodicity (Table 6). Apart from salinity and
441 alkalinity, these soils are frequently also constrained by P and Zn deficiency (Neue et al.,
442 1998). The rainfed lowland rice area in Asia with salinity problems was estimated by Akbar
443 et al. (1986) at about 1.3 million ha, and Garrity et al. (1986) in the same year confirmed
444 these 1.3 million ha of saline rice soils but added 1.3 million ha of alkaline rice soils. Our
445 study indicates 2.5 million ha of saline rice soils and 3.0 million ha of alkaline/sodic rice
446 soils in Asia today, which is a large increase even if these two categories overlap
447 considerably. However, we could not confirm rough estimates of 9–12 million ha of rice
448 soils with salinity problems in all Asian rice environments by, for example, Bouman et al.
449 (2007), and the basis of such estimates is unclear. In Africa, rice on saline soils accounts
450 for about 250,000 ha according to our estimates (total problem soils minus acid-sulfate
451 and organic soils), which is again far below the 650,000 ha estimated by Manneh et al.
452 (2007). We did not find any published values to compare with our estimate for soil salinity
453 in rice soils of the Americas (about 240,000 ha).

454 Additional soil constraints previously reported to be widespread in Asian rice are
455 acid-sulfate soils (\approx 2 million ha) and Fe toxicity (\approx 7 million ha) (Garrity et al., 1986, Akbar
456 et al., 1986; van Bremen & Pons, 1978). According to our analysis, the area of acid-sulfate
457 soils cultivated with rice in Asia is larger (about 2.9 million ha), possibly because of different
458 estimation methods and an increased cultivation of acid-sulfate soils. Outside Asia, the
459 rice area on acid-sulfate soils is relatively small. According to WARDA (1983), about
460 214,000 ha of cleared mangrove swamps were then cultivated with rice, and much of that
461 was assumed to have potential acid-sulfate soils (Sylla et al., 1983). We do not know
462 whether our much lower estimate (51,000 ha) is caused by a reduction in rice area in that
463 ecosystem or because acid-sulfate soils are less common than previously assumed. Also,
464 their extent may be underestimated in our study due to the insufficient resolution of the
465 available data, because these areas usually occur in narrow coastal strips.

466 Iron toxicity is well recognized as the most widely distributed nutritional disorder in
467 lowland-rice production (Fairhurst et al., 2007). It is a complex phenomenon, often

468 occurring together with soil acidity, Al toxicity, P deficiency, and generally low nutrient
469 availability (Neue et al., 1998). Garrity et al. (1986) estimated that, in northeast Thailand,
470 Laos, and Cambodia, about two-thirds of the rainfed area is characterized by soil acidity,
471 widespread Fe toxicity, low cation exchange capacity, and low soil N, P, and K reserves.
472 Sanchez & Buol (1985) also reported that acidity is widespread in wetland soils. Our
473 analysis did not allow us to specifically identify soils with Fe toxicity but it is likely to occur
474 on soils characterized by the FCC indicator *a* (Al-toxic, very acidic), which covers 23.5
475 million ha of rice soils worldwide (Table 7, excluding aerobic upland environments, where
476 Fe toxicity is rare). This estimate seems very high but balanced nutrition as used in most
477 irrigated fields reduces the Fe susceptibility of rice, and constraints to rice growth might
478 occur only in early growth stages in mildly Fe-toxic conditions (Fairhurst et al., 2007). Our
479 analysis also indicates that Fe toxicity is very common in Africa (19% across all
480 environments). Although this confirms the importance of this problem in Africa, our
481 estimate is considerably lower than estimates from a preliminary survey by WARDA
482 (2001), which suggested that as much as 60% of the lowland rice area in West and Central
483 Africa may suffer from iron toxicity. In lowland rice in the Americas, the area of very poor
484 soils with potential Fe toxicity is substantial (about 0.5 million ha, data not shown). Very
485 poor soils are even more common in rainfed upland rice in Latin America (68%; there is
486 no upland rice in North America) but Fe toxicity is usually not a problem under aerobic soil
487 conditions typical for that system.

488 Although not the subject of this study, it should be mentioned that abiotic stresses
489 not related to soil quality are probably equally important constraints for productivity and
490 intensification of rice-based lowlands. In rainfed lowlands, drought stress is considered the
491 most important limitation to production and is estimated to frequently affect 19 to 23 million
492 hectares (Garrity et al., 1986). In our analysis, drought-prone soils due to a low available
493 water capacity add up to 14.4 million ha, mostly located in Asia and Africa, and in rainfed
494 environments (Table 7). Another important abiotic stress in lowlands is submergence,
495 regular occurring in deepwater/mangrove systems (other in Figure 1) but also in irrigated
496 and rainfed lowlands. Huke & Huke (1997) estimated that about 11 million ha of lowland

497 rice area were prone to temporary submergence from flooding, whereas Mackill et al.
498 (1996) estimated the submergence-prone rice area at about 16 million ha. In sub-Saharan
499 Africa, as much as one-third of the rainfed lowland area is thought to be affected by
500 submergence, which would correspond to about 1 million ha of submergence-prone rice
501 area. In the Americas, most rice is irrigated or grown in uplands, and submergence is not
502 a significant constraint (Table 4). Mapping approaches to quantify and localize drought as
503 well as submergence areas grown to rice are underway and will complete our analysis of
504 soil constraints in rice cultivation.

505

506 **Conclusions**

507 To our knowledge, this study is the first attempt to quantitatively characterize soil
508 quality in rice soils worldwide. This was achieved by classifying a global spatial database
509 of soils according to the Fertility Capability Soil Classification (FCC) system, and by
510 intersecting these data with rice area distribution data. Although the accuracy of the results
511 is limited by the spatial resolution of the available data, it appears that this method does
512 allow for a reasonable interpretation of soil constraints at the regional level. This was
513 illustrated by the correspondence of our results with the few published studies using very
514 different methods, and the observed trends between rice systems and within the
515 toposequence, which agreed with important soil formation and quality-determining
516 processes. The study clearly showed that rainfed lowland rice in Southeast Asia and
517 upland rice all over the world are strongly associated with very poor soils with various soil
518 constraints, but it also revealed that irrigated environments have their fair share of poor
519 and very poor soils. The spatial analysis within environments showed that soil quality is
520 not equally distributed and that some regions are clearly disadvantaged. Problem soils are
521 most common in the lowest part of the toposequence, and the study provides quantitative
522 data on their distribution and importance. The results presented and the detailed database
523 underlying the analysis will help to better focus research, and allow tailoring germplasm
524 selection and management practices for the dominant abiotic stresses in any given rice
525 environment. Especially if our analysis is complemented by an analysis of the incidence

526 of drought and flooding, the knowledge of abiotic stresses in rice will be markedly
527 increased and allow applications from regional planning to field-specific technology
528 dissemination. Our approach could also be applied to better understand the spatial
529 distribution of soil constraints for the world's other major staple crops.

530

531 **References**

- 532 Akbar, M., Gunawardena, I.E., Ponnampereuma, F.N., 1986. Breeding for soil stresses. In:
533 International Rice Research Institute, Progress in rainfed lowland rice. IRRI, Los Baños,
534 Philippines, p. 263-272.
- 535 Arino, O., Bicheron, P., Achard, F., Latham, J., Witt, R., Weber, J.L., 2008. GLOBCOVER
536 The most detailed portrait of Earth. Esa Bulletin-European Space Agency 136: 24-31.
- 537 Balasubramanian, V., Sie, M., Hijmans, R.J., Otsuka, K., 2007. Increasing rice production
538 in Sub-Saharan Africa: Challenges and opportunities. *Advances in Agronomy*, 94:55-
539 133.
- 540 Banta, S., Mendoza, C.V., 1984. Organic matter and rice. International Rice Research
541 Institute (IRRI), Los Baños, Philippines, 631 p.
- 542 Bouman, B.A.M., Barker, R., Humphreys, E., Tuong, T.P., Atlin, G.N., Bennett, J., Dawe,
543 D., Dittert, K., Dobermann, A., Facon, T., Fujimoto, N., Gupta, R.K., Haefele, S.M.,
544 Hosen, Y., Ismail, A.M., Johnson, D., Johnson, S., Khan, S., Lin, S., Masih, I., Matsuno,
545 Y., Pandey, S., Peng, S., Thiyagarajan, T.M., Wassman, R., 2007. Rice: feeding the
546 billions. In: Molden, D. (ed.), Water for food, water for life. A Comprehensive
547 Assessment of Water Management in Agriculture. London: Earthscan, and Colombo:
548 International Water Management Institute, p. 515-549.
- 549 Dawe, D., Pandey, S., Nelson, A., 2010. Emerging trends and spatial patterns of rice
550 production. In: Pandey, S. (ed.), Rice in the global economy: strategic research and
551 policy issues for food security. Los Banos, Philippines, International Rice Research
552 Institute, p. 15-35.
- 553 Driessen, P.M., Dudal, R., 1991. The major soils of the world. Lecture notes on their
554 geography, formation, properties and use. Wageningen University, The Netherlands
555 and Katholieke Universiteit Leuven, Belgium. 310 pp.
- 556 Fairhurst, T.H., Witt, C., Buresh, R.J., Dobermann, A., 2007. A practical guide to nutrient
557 management (2nd edition). International Rice Research Institute, International Plant
558 Nutrition Institute, and the International Potash Institute, 89 p.

559 FAO/IIASA/ISRIC/ISSCAS/JRC, 2012. Harmonized World Soil Database (version 1.2).
560 FAO, Rome, Italy and IIASA, Laxenburg, Austria.

561 FAOSTAT 2013. Database available at <http://faostat.fao.org/site/339/default.aspx>.

562 Garrity, D.P., Oldeman, L.R., Morris, R.A., 1986. Rainfed lowland rice ecosystems:
563 characterization and distribution. In: Progress in rainfed lowland rice. International Rice
564 Research Institute (IRRI) Los Baños, Philippines, p. 3-23.

565 Gamuyao, R., Chin, J.H., Pariasca-Tanaka, J., Pesaresi, P., Catausan, S., Dalid, C.,
566 Slamet-Loedin, I., Tecson-Mendoza, E.M., Wissuwa, M., Heuer, S., 2012. The protein
567 kinase Pstol1 from traditional rice confers tolerance of phosphorus deficiency. *Nature*,
568 488:535-539.

569 Gumma, M.K., Nelson, A., Thenkabail, P.S., Singh, A.N., 2011. Mapping rice areas of
570 South Asia using MODIS multi-temporal data, *J. Appl. Remote Sens.*, Vol. 5.
571 doi:10.1117/1.3619838.

572 Gupta, P.C., O'Toole, J.C., 1986. Upland rice: a global perspective. International Rice
573 Research Institute, Los Baños, Laguna, Philippines. 360 p.

574 Haefele, S.M., Hijmans, R.J., 2007. Soil quality in rice-based rainfed lowlands of Asia:
575 characterization and distribution. In: Aggarwal, P.K., Ladha, J.K., Singh, R.K.,
576 Devakumar, C. & Hardy, B. (eds.), Science, technology, and trade for peace and
577 prosperity. Proceedings of the 26th International Rice Research Conference, 9-12
578 October 2006, New Delhi, India. Los Baños (Philippines) and New Delhi (India):
579 International Rice Research Institute, Indian Council of Agricultural Research, and
580 National Academy of Agricultural Sciences, p. 297-308.

581 Haefele, S.M., Konboon, Y., 2009. Nutrient management for rainfed lowland rice in
582 northeast Thailand. *Field Crops Res.*, 114:374-385.

583 Hijmans R.J., 2013. Raster: geographic analysis and modeling with raster data. R package
584 version 2.1-49. <http://raster.r-forge.r-project.org/>

585 Hijmans, R.J., Condori, B., Carillo, R., Kropff, M.J., 2003. A quantitative and constraint-
586 specific method to assess the potential impact of new agricultural technology: the case
587 of frost resistant potato for the Altiplano (Peru and Bolivia). *Agric. Syst.*, 76:895-911.

588 Homma, K., Horie, T., Shiraiwa, T., Supapoj, N., Matsumoto, N., Kabaki, N., 2003.
589 Toposequential variation in soil fertility and rice productivity of rainfed lowland paddy
590 fields in a mini-watershed (Nong) in Northeast Thailand. *Plant Prod. Sci.*, 6:147-153.

591 Huke, R.E., Huke, E.H., 1997. Rice area by type of culture: South, Southeast, and East
592 Asia. A revised and updated data base. International Rice Research Institute (IRRI),
593 Los Baños, Philippines, p. 1-59.

594 IRRI, 1978. Rice and soils. International Rice Research Institute, Los Baños, Philippines,
595 825 p.

596 IRRI, 1984. Terminology for rice growing environments. International Rice Research
597 Institute (IRRI), Los Baños, Philippines, 35 p.

598 IRRI, 1985. Wetland soils: characterization, classification, and utilization. International
599 Rice Research Institute, Los Baños, Philippines, 559 p.

600 Jennings, P., 2007. Rice revolutions in America. *Rice Today*, April-June 2007.

601 Kawaguchi, K., Kyuma, K., 1977. Paddy soils in tropical Asia: their material nature and
602 fertility. Monographs of the Center for Southeast Asian Studies, Kyoto University. 258
603 p.

604 Kirk, G.J.D., 2004. The Biogeochemistry of Submerged Soils. John Wiley & Sons,
605 Chichester, UK, 304 p.

606 Kögel-Knabner, I., Amelung, W., Cao, Z., Fiedler, S., Frenzel, P., Jahn, R., Kalbitz, K.,
607 Kölbl, A., Schloter, M., 2010. Biogeochemistry of paddy soils. *Geoderma*, 157:1-14.

608 Ladha, J.K., George, T., Bohlool, B.B., 1992. Biological nitrogen fixation for sustainable
609 agriculture. Kluwer Academic Publishers, Dordrecht, Netherlands. 209 p.

610 Mackill, D.J., Coffman, W.R., Garrity, D.P., 1996. Rainfed lowland rice improvement. IRRI,
611 Los Baños, Philippines, 242 p.

612 Manneh, B., Kiepe, P., Sie, M., Ndjiondjop, M., Drame, N.K., Traore, K., Rodenburg, J.,
613 Somado, A., Narteh, L., Youm, O., Diagne, A., Futakuchi, K., 2007. Exploiting
614 partnerships in research and development to help African rice farmers cope with climate
615 variability. Paper presented at ICRISAT and CGIAR 35th Anniversary Symposium

616 "Climate-Proofing Innovation for Poverty Reduction and Food Security", 22-24
617 November, 10 p.

618 Moormann, F.R., van Breemen, N., 1978. Rice: soil, water, land. International Rice
619 Research Institute (IRRI) Los Baños, Philippines, 185 p.

620 Neue, H.U., Quijano, C., Senadhira, D., Setter, T. 1998. Strategies for dealing with
621 micronutrient disorders and salinity in lowland rice systems. *Field Crops Res.*, 56:139-
622 155.

623 Oberthür, T., Kam, S.P., 2000. Perception, understanding, and mapping of soil variability
624 in the rainfed lowlands of northeast Thailand. *In: Tuong, T.P., Kam, S.P., Wade, L.,*
625 *Pandey, S., Bouman, B.A.M., Hardy, B. (eds.), Characterizing and understanding*
626 *rainfed environments. Proceedings of the International Workshop on Characterizing*
627 *and Understanding Rainfed Environments, 5-9 Dec., 1999, Bali, Indonesia.*
628 *International Rice Research Institute, Los Baños, Philippines, p. 75-96.*

629 Ponnampereuma, F.N., 1972. The Chemistry of submerged soils. *Adv in Agron.* 24:29-96.

630 R Development Core Team, 2012. R: a language and environment for statistical
631 computing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-900051-
632 07-0. <http://www.R-project.org/>

633 Sanchez, P.A., Buol, S.W. , 1985. Agronomic taxonomy for wetland soils. *In: Wetland soils:*
634 *characterization, classification, and utilization. Proceedings of a workshop held 26*
635 *March to 5 April 1984, International Rice Research Institute (IRRI), Los Baños,*
636 *Philippines, p. 207-229.*

637 Sanchez, P.A., Palm, C.A., Buol, S.W., 2003. Fertility capability soil classification: a tool to
638 help assess soil quality in the tropics. *Geoderma*, 114:157-185.

639 Singh, A.N., Singh, U.S., 2010. Targeted dissemination of stress-tolerant rice varieties:
640 propagating Swarna-Sub1, Sahbhagi Dhan, and CSR36 in Uttar Pradesh, India.
641 STRASA NEWS, Vol. 3 No. 2 August 2010. Available online at [http://strasa.org/](http://strasa.org/attachments/article/35/STRASAVol3No2August2010issue.pdf)
642 [attachments/article/35/STRASAVol3No2August2010issue.pdf](http://strasa.org/attachments/article/35/STRASAVol3No2August2010issue.pdf)

643 Sylla, M., van Breemen, N., Fresco, L.O., Dixon, C., Stein, A., 1993. Temporal and spatial
644 variability of soil constraints affecting rice production along the Great Scarces

645 mangrove swamps, Sierra Leone. Selected Papers, Ho Chi Minh City Symp. on Acid
646 sulphate soils, Vietnam 1992. ILRI Public. 53, D.L. Dent, M.E.F. van Mensvoort (eds.).
647 p. 247-259.

648 Tempel, P., van Kraalingen, D., Mendes de Jesus, J., Reuter, H.I., 2013. Towards an
649 ISRIC World Soil Information Service (WOSIS ver. 1.0). ISRIC Report 2013/02, ISRIC
650 - World Soil Information, Wageningen, 188 p.

651 Thomson, M.J., de Ocampo, M., Egdane, J., Akhlaqur Rahman, M., Sajise, A.G., Adorada,
652 D.L., Tumimbang-Raiz, E., Blumwald, E., Seraj, Z.I., Singh, R.K., Gregorio, G.B.,
653 Ismail, A.M. 2010. Characterizing the *Salto1* quantitative trait locus for salinity tolerance
654 in rice. *Rice*, 3:148-160.

655 van Asten, P.J.A., Wopereis, M.C.S., Haefele, S.M., Ould Isselmou, M., Kropff, M.J., 2003.
656 Explaining yield gaps on farmer identified degraded and non-degraded soils in a
657 Sahelian irrigated rice scheme. *Neth. J. Agric. Sci.*, 50: 277-296.

658 van Bremen, N., Pons, L.J. 1978. Acid sulfate soils and rice. In: International Rice
659 Research Institute, Soils and Rice. IRRI, Los Baños, Philippines, p. 739-762.

660 Verulkar, S.B., Mandal, N.P., Dwivedi, J.L., Singh, B.N., Sinha, P.K., Mahato, R.N., Swain,
661 P., Dongre, P., Payasi, D., Singh, O.N., Bose, L.K., Robin, S., Chandrababu, R., Senthil,
662 S., Jain, A., Shashidhar, H.E., Hittalmani, S., Vera Cruz, C., Paris, T., Robert, H.,
663 Raman, A., Haefele, S.M., Serraj, R., Atlin, G., Kumar, A. 2010. Breeding resilient and
664 productive genotypes adapted to drought prone rainfed ecosystem of India. *Field Crops*
665 *Res.*, 117:197-208.

666 WARDA, 1983. West Africa Rice Development Association Annual Report. Regional
667 Mangrove Swamp Rice Research Station, Rokupr, Freetown, Sierra Leone.

668 WARDA, 2001. West Africa Rice Development Association Annual Report. Bouaké, Côte
669 d'Ivoire, 103 p.

670 Wassmann, R., Lantin, R.S., Neue, H.U. (eds.), 2000. Methane emissions from major rice
671 ecosystems. Special issue of 'Nutrient Cycling in Agroecosystems' (Vol. 58) and as re-
672 print of 'Developments in Plant and Soil Sciences' (Vol. 90).

673 Windmeijer, P.N., Andriessse, W. (eds.), 1993. Inland valleys in West Africa: an agro-
674 ecological characterization of rice-growing Environments. Publication 52, International
675 Institute for Land Reclamation and Improvement, Wageningen, The Netherlands, 160
676 p.

677 Xiangming, X., Boles, S., Frolking, S., Li, C., Babu, J.Y., Salas, W., Moore III, B., 2006.
678 Mapping paddy rice agriculture in South and Southeast Asia using multi-temporal
679 MODIS images. *Remote Sens. Environ.*, 100:95-113.

680 Xu, K., Xu, X., Fukao, T., Canlas, P., Maghirang-Rodriguez, M., Heuer, S., Ismail, A.M.,
681 Bailey-Serres, J., Ronald P/C., Mackill, D.J., 2006. *Sub1A* is an ethylene-response-
682 factor-like gene that confers submergence tolerance to rice. *Nature*, 442:705-708.

683 Yadav, M.S., Yadav, P.P.S., Yaduvanshi, M., Verma, D., Singh, A.N., 2010. Sustainability
684 assessment of sodic land reclamation using remote sensing and GIS. *J. Indian Soc.*
685 *Remote Sens.*, 38:269-278.

686

687 Table 1. Soil fertility classification system to match the HWSO soil database
 688 (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012), adapted from the Fertility Capability Soil
 689 Classification (FCC) system and the description of modifiers according to Sanchez and
 690 Buol (1985), and Sanchez et al. (2003).

Soil property or condition	FCC modifier	FCC definition	HWSO characteristics used
Problem soils			
Saline	s	ECe > 0.4 S m ⁻¹ OR Solonchak OR salid and salic groups	Topsoil ECe > 0.2 S m ⁻¹ OR subsoil ECe > 0.4 S m ⁻¹ (s)
Sulfidic, presence of cat clays	c	pH < 3.5 after drying OR sulfaquents, sulfaqupts, sulfudepts	Presence of a thionic horizon
Organic	O	Organic carbon (OC) > 12% OR Histosols OR histic groups	Topsoil or subsoil OC > 12%
Alkaline or sodic	n	Exchangeable sodium percentage (ESP) > 15%, alkaline OR sodic soils	(Topsoil or subsoil ESP > 15% OR pH ^{****} > 8.5 OR CaCO ₃ > 40%) NOT thionic
Very poor soils			
Low nutrient capital reserves	k	< 10% weatherable minerals OR exchangeable K < 0.2 cmol per kg.	Topsoil < 20% base saturation OR cation exchange capacity (CEC) < 20 cmol kg ⁻¹ clay
Al toxicity for most common crops or very low pH	a	> 60% Al saturation in the topsoil OR < 33% base saturation OR pH < 5.5 except in Histosols, Dystric Cambisols, Dystric Gleysols, Dystric Planosols, Haplic Acrisols	Topsoil pH < 5
Very shallow	-	none	Depth ≤ 30 cm
Soil moisture stress (> three months dry season)	d	Ustic or xeric soil moisture regime: dry > 60 consecutive days/year but moist > 180 cumulative days/year	Available water capacity (AWC) < 50 mm m ⁻¹ *
High leaching potential, low buffering capacity, low ECEC	e	CEC < 4 cmol kg ⁻¹ soil as ECEC or < 7 cmol kg ⁻¹ soil by sum of cations at pH 7;	Topsoil CEC < 4 cmol kg ⁻¹ soil
Very low organic C	-	None	Topsoil OC < 0.2%
High P fixation by Fe and Al oxides (**)	i, i-, i+	High %age of free Fe ₂ O ₃ ; Oxisols or oxic groups with clayey texture > 35% clay; hues redder than 5YR and granular structure	Topsoil texture > 35% clay AND (Ferralsols OR Acrisols OR Alisols OR Plinthosols)
Poor soils			
Limited aluminium toxicity, intermediate weathering	a-	10-60% Al saturation in the topsoil OR < 33% BS	Topsoil: (CEC 4-10 cmol kg ⁻¹ soil OR base saturation 20-50% OR CEC 20-50 cmol kg ⁻¹ clay) AND 5 ≤ pH < 6
Low organic C	-	none	Topsoil OC < 0.6%
Shallow/obstacles to roots	R	Rock or hard layer within 50 cm from the soil surface	Depth 30-50 cm OR obstacles to roots between 0 and 40 cm depth
Gravel	r ⁺ , r ⁺⁺	Gravel > 10%	Gravel > 15%
Slight alkalinity	n-	ESP 6-15%	Topsoil: ESP 6-15% AND pH 7.2-8.5
Good soils			
Calcareous (basic reaction) common Fe and Zn deficiencies ***	b	Calcareous reaction, pH above 7.3, can be deficient in micronutrients but often very high fertility	(ESP < 6 AND pH 7.2-8.5) OR CaCO ₃ 2-40%
Cracking clays, vertic properties, very sticky plastic clay	v	>35% clay and >50% 2:1 expanding clays, vertisols or vertic groups	Topsoil: (texture > 30% clay AND CEC > 50-100 cmol kg ⁻¹ clay) OR vertic properties
Amorphous volcanic, high P fixation by allophane	x	Andosols or andic sub-groups except vitrands and vitric great groups and sub-groups	Andosols (except vitric Andosols) OR CEC > 150 cmol kg ⁻¹ clay
Waterlogging, gleyic conditions ***	g, g+	Aquic soil moisture regime, saturated with water > 60 days year ⁻¹	Gley-, Histo-, Planosols, not Thionic Fluvisols
Remaining soils	none	No constraint	No constraint

691 * Instead of soil moisture regimes, we used the available water storage capacity based on soil texture as a
 692 measure of soil being prone to drought spells.

693 ** i- and i+ are the same soils, either recapitalized with P fertilizer or with potential Fe toxicity if waterlogged, but
 694 they still have the same basic constraints.

695 *** Calcareous soils and waterlogging were not considered soil constraints in the case of rice.

696 **** Here and elsewhere, pH is measured in a soil-water solution.

697

698 Table 2: Area and distribution of agricultural land grown with rice every year across the
 699 world and in all major rice production systems.
 700

Region*	Rice area	Irrigated, lowland	Rainfed lowland	Rainfed upland	Other (deepwater, mangroves)
	('000 ha)	-----(% of the regional area)-----			
Asia	143,429	60	32	6	2
Africa	10,466	21	44	28	6
Americas	7,147	54	-	46	-
Europe	704	100	-	-	-
Oceania	39	96	-	4	-
Southern Asia	60,526	53	34	9	4
South-Eastern Asia	49,120	45	47	5	3
Eastern Asia	33,425	93	6	2	-
Central Asia	202	100	-	-	-
Western Asia	156	100	-	-	-
Western Africa	5,843	10	42	36	12
Eastern Africa	3,330	30	58	12	-
Northern Africa	558	100	-	-	-
Central Africa	736	7	32	60	-
South America	5,121	42	-	58	-
Northern America	1,259	100	-	-	-
Central America	330	5	-	95	-
Caribbean	437	89	-	11	-
Southern Europe	431	100	-	-	-
Eastern Europe	248	100	-	-	-
Western Europe	25	100	-	-	-
Australia and New Zealand	34	100	-	-	-
Melanesia	5	67	-	33	-
World	161,784	57	31	9	3

701 * Region names and definitions as reported in FAOSTAT.
 702

703 Table 3: Area and distribution of soil fertility in the different world regions and sub-
 704 regions where rice is grown (for details of the soil fertility groups see Table 1).
 705

Region*	Total rice area (000 ha)	Good soils	Poor soils	Very poor soils	Problem soils
		------(%)-----			
Asia	143,429	46.7	18.3	29.6	5.3
Africa	10,466	18.2	18.0	60.5	3.1
Americas	7,147	27.8	16.9	49.7	5.6
Europe	704	45.4	14.1	38.1	2.4
Oceania	39	39.9	30.7	7.5	21.9
Southern Asia	60,526	58.4	22.8	13.9	4.7
South-Eastern Asia	49,120	29.4	18.6	43.7	8.3
Eastern Asia	33,425	51.1	9.8	37.6	1.5
Central Asia	202	39.1	13.7	4.8	42.4
Western Asia	156	58.5	9.4	17.1	15.0
Western Africa	5,843	16.8	19.0	62.8	1.3
Eastern Africa	3,330	19.9	13.1	62.3	4.7
Northern Africa	558	27.4	50.4	5.8	16.3
Central Africa	736	15.1	8.6	76.0	0.4
South America	5,121	20.2	12.7	61.8	5.4
Northern America	1,259	47.0	28.9	16.9	7.2
Central America	330	32.2	42.6	23.5	1.6
Caribbean	437	57.7	12.3	22.7	7.2
Southern Europe	431	32.8	13.1	52.8	1.4
Eastern Europe	248	67.9	16.9	10.8	4.3
Western Europe	25	43.8	8.4	46.6	1.3
Australia and New Zealand	34	37.5	33.1	4.6	24.8
Melanesia	5	56.9	13.4	28.8	0.9
World	161,784	44.0	18.2	32.5	5.1

706 * Region names and definitions as reported in FAOSTAT.

707

708

709 Table 4: Distribution of the different soil fertility groups within the different ecosystems for
 710 Asia, Africa, and the Americas (for details, see Table 1).
 711

Ecosystem	Total rice area per system (000 ha)	Good soils	Poor soils	Very poor soils	Problem soils
World					
IRRIGATED, summary	92,301	49.1	17.6	27.7	5.5
RAINFED, summary	69,483	37.3	19.0	38.9	4.7
Other, deepwater/mangroves	4,183	45.9	20.6	25.3	8.2
Rainfed, lowlands	50,373	38.4	19.6	36.7	5.3
Rainfed, uplands	14,927	31.4	16.6	50.2	1.8
Asia					
IRRIGATED, summary	85,503	50.5	17.2	26.9	5.3
RAINFED, summary	57,925	41.2	19.9	33.7	5.2
Other, deepwater/mangroves	3,506	52.5	19.7	18.4	9.4
Rainfed, lowlands	45,762	39.9	19.9	34.6	5.5
Rainfed, uplands	8,657	43.1	20.5	34.7	1.6
Africa					
IRRIGATED, summary	2,215	19.7	25.7	47.8	6.3
RAINFED, summary	8,251	17.8	16.0	63.9	2.3
Other, deepwater/mangroves	678	11.8	25.2	60.8	2.1
Rainfed, lowlands	4,611	22.8	16.7	57.6	2.9
Rainfed, uplands	2,963	11.4	12.8	74.4	1.3
Americas					
IRRIGATED, summary	3,842	35.8	23.0	33.0	8.2
RAINFED, summary	3,305	18.4	9.8	69.2	2.6
Other, deepwater/mangroves	-				
Rainfed, lowlands	-				
Rainfed, uplands	3,305	18.4	9.8	69.2	2.6

712
 713

714 Table 5: Distribution of the soil fertility groups (for details, see Table 1) across Asia and
 715 within two different sub-regions of Asia.
 716

Region and rice ecosystem	Total rice area per system (000 ha)	Good soils	Poor soils	Very poor soils	Problem soils
		------(%)-----			
Asia, overall					
Irrigated, lowlands	85,503	50.5	17.2	26.9	5.3
Other, deepwater/mangroves	3,506	52.5	19.7	18.4	9.4
Rainfed, lowlands	45,762	39.9	19.9	34.6	5.5
Rainfed, uplands	8,657	43.1	20.5	34.7	1.6
Southern Asia					
Irrigated, lowlands	31,859	61.2	22.2	10.2	6.4
Other, deepwater/mangroves	2,156	64.2	18.3	13.1	4.4
Rainfed, lowlands	20,842	54.8	24.1	17.9	3.2
Rainfed, uplands	5,565	54.6	23.3	21.0	1.0
South-Eastern Asia					
Irrigated, lowlands	22,226	33.8	20.6	36.9	8.6
Other, deepwater/mangroves	1,350	33.8	21.9	26.9	17.5
Rainfed, lowlands	22,999	26.1	16.8	49.0	8.0
Rainfed, uplands	2,545	17.8	15.6	63.6	3.0

717

718

719 Table 6: Area and distribution of problem soils in rice fields of different world regions
 720 according to the HWSD definitions.
 721

Region	Total area of problem soils *	Saline soils	Acid- sulfate soils	Organic soils	Alkaline/ sodic soils
------(000 ha)-----					
Asia	7,547	2,500	2,922	1,382	3,021
Africa	327	150	51	29	214
Americas	403	33	49	115	231
Europe	17	5	0	2	14
Oceania	9	2	0	0	7
Total	8,303	2,689	3,023	1,529	3,486

722 * Note that the sum of individual constraints exceeds the total area of problem soils because soils often have
 723 more than one constraint, especially salinity and alkalinity/sodicity often overlap.
 724

725

726 Table 7: Relative frequency of specific soil properties or conditions related to soil fertility
 727 in the main rice-growing regions and the main production environments.
 728

	Asia	Africa	America	Europe	Oceania	Irrigated	Rainfed	Upland	Other
Total area (000 ha)	143,429	10,466	7,147	704	39	92,301	50,373	14,927	4,183
Soil property or condition	-----(% of the regional total area) *-----					------(%) *-----			
<i>Problem soils</i>									
Saline	1.7	1.4	0.5	0.7	4.0	2.3	0.9	0.3	1.5
Sulfidic	2.0	0.5	0.7	0.0	0.0	1.5	2.6	0.4	5.1
Organic	1.0	0.3	1.6	0.3	0.1	0.8	1.1	0.9	1.3
Alkaline/sodic	2.1	2.0	3.2	2.0	17.7	2.8	1.5	0.3	1.7
<i>Very poor soils</i>									
Low nutrients	20.3	37.6	38.2	4.2	3.6	18.6	24.9	36.5	16.2
Al-toxic, very acidic	16.3	18.8	23.7	3.9	2.5	14.4	19.2	24.4	11.6
Very shallow	3.6	9.3	5.6	12.0	1.4	3.6	4.2	7.2	3.2
Drought-prone	8.2	19.0	5.7	36.6	2.4	7.3	10.3	14.4	7.8
Highly leached	0.9	9.3	9.2	1.4	1.3	1.3	1.7	5.3	2.9
Very low organic C	0.0	0.3	0.5	0.0	0.0	0.0	0.0	0.2	0.1
High P fixation	4.9	4.2	8.9	0.0	0.8	6.1	2.7	7.4	1.0
<i>Poor soils</i>									
Limited Al-toxic	11.0	9.5	13.7	4.0	2.1	10.3	12.3	9.0	16.9
Low organic C	6.5	7.4	3.5	4.7	26.8	6.3	6.8	7.3	2.5
Shallow	0.0	0.0	0.0	4.1	0.0	0.0	0.0	0.0	0.0
Gravelly	2.1	3.3	1.2	3.9	3.9	2.8	1.1	1.8	2.0
Slightly alkaline	0.5	0.1	0.0	2.0	1.2	0.8	0.1	0.0	0.1
<i>Good soils</i>									
Calcareous	20.0	3.3	3.9	19.2	18.3	23.4	11.7	8.0	17.8
Vertic	5.2	3.6	8.7	16.9	18.4	6.3	3.9	4.6	1.2
Andic, P fixing	0.1	0.0	0.5	0.0	0.0	0.1	0.0	0.3	0.0
Gleyic	10.0	3.3	6.2	4.8	11.6	8.8	11.0	5.7	15.7
No constraints	24.1	12.1	15.8	22.9	20.2	22.0	24.9	20.6	27.5

729 * Note that, in each column, the sum of percentages exceeds 100 because soils often have more than one
 730 property.

Figures and figure captions:

Figure 1: The spatial distribution of the four main rice agroecosystems in the world.

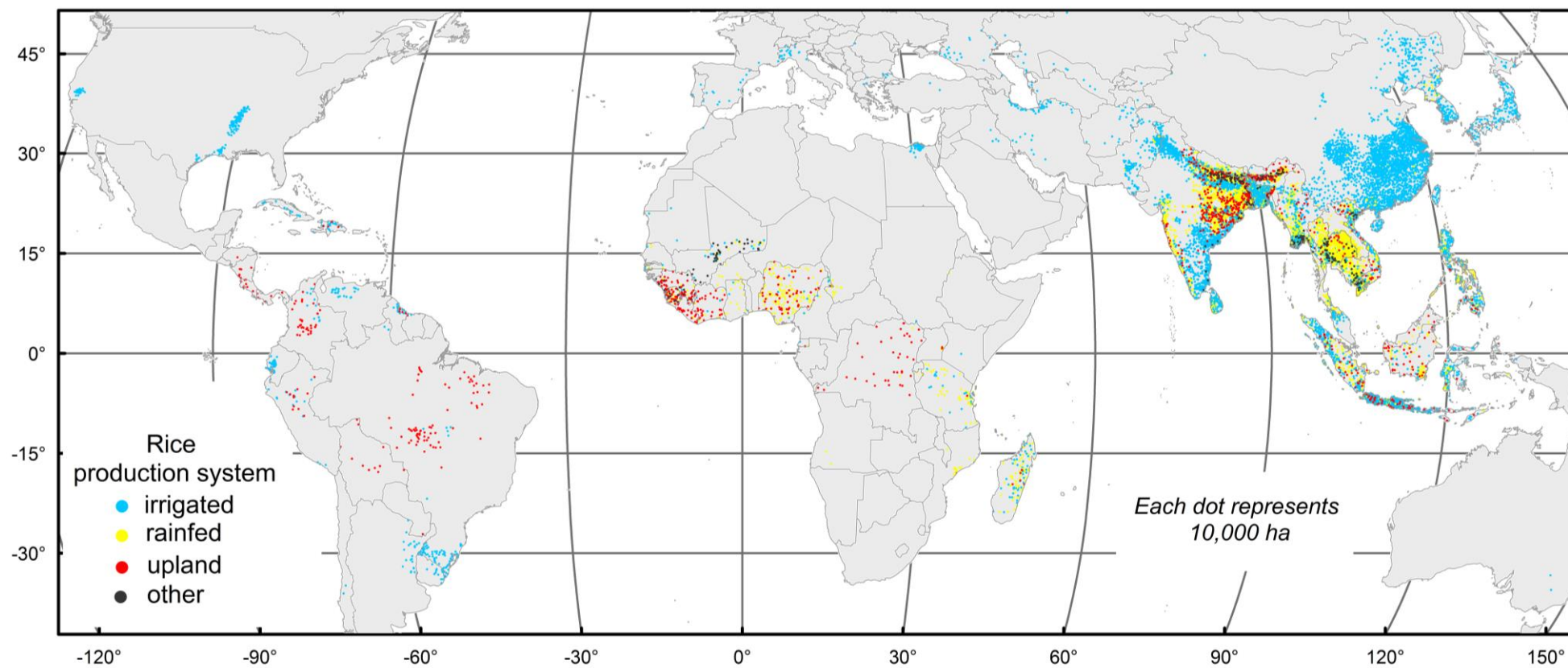


Figure 2: The spatial distribution of rice grown on the four major soil quality groups in the world.

